

1 **Socioeconomic gradients in mortality following heart failure hospitalization in a country**  
2 **with universal healthcare coverage**

3 **Short title:** Education, income and mortality following first-time hospitalization due to heart  
4 failure

5 **Author list**

6 Gerhard Sulo<sup>1,2</sup> MD, PhD; Jannicke Iglund<sup>3</sup> PhD; Simon Øverland<sup>1,4</sup> PhD; Enxhela Sulo<sup>5</sup>  
7 MD, PhD; Jonas Minet Kinge<sup>1,6,7</sup> PhD; Gregory A Roth<sup>8</sup> MD, PhD; Grethe S. Tell<sup>3,9</sup> MPH,  
8 PhD.

9 **Author affiliation(s)**

10 1. Centre for Disease Burden, Division of Mental and Physical Health, Norwegian Institute of  
11 Public Health, Norway

12 2. Oral Health Centre of Expertise in Western Norway-Hordaland, Bergen, Norway

13 3. Department of Global Public Health and Primary Care, University of Bergen, Norway

14 4. Department of Psychosocial Science, University of Bergen, Bergen, Norway.

15 5. Haraldsplass Diakonale Sykehus, Bergen, Norway.

16 6. Centre for Fertility and Health, Norwegian Institute of Public Health, Oslo, Norway

17 7. Department of Health Management and Health Economics, University of Oslo

18 8. Institute for Health Metrics and Evaluation (IHME), University of Washington, WA, US.

19 9. Division of Mental and Physical Health, Norwegian Institute of Public Health, Norway

20 **Funding**

21 This study has not received funding

22 **Address for correspondence**

23 Gerhard Sulo, MD, PhD

24 Centre for Disease Burden, Division of Mental and Physical Health, Norwegian Institute of

25 Public Health, Norway

26 Zander Kaaesgate 7, 5015 Bergen, Norway

27 Phone: + 47 21 07 80 17

28 E-mail: Gerhard.Sulo@fhi.no

29 **Total word count:** 4478

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51 **ABSTRACT**

52 **OBJECTIVES:** We explored the association between socioeconomic position (SEP) and  
53 long-term mortality following first heart failure (HF) hospitalization.

54 **BACKGROUND:** It is not clear to what extent education and income - individually or  
55 combined - influence mortality among HF patients.

56 **METHODS:** We analyzed 49 895 patients, age 35+ years, with a first HF hospitalization in  
57 Norway during 2000-2014 and followed them until death or December 31, 2014. The  
58 association between education, income and mortality was explored using Cox regression  
59 models, stratified by sex and age group (35-69 years and 70+ years).

60 **RESULTS:** Compared to patients with primary education, those with tertiary education had  
61 lower mortality (adjusted hazard ratio [HR]: 0.89; 95% confidence interval [CI]: 0.78 to 0.99  
62 in younger men; HR: 0.57; 95% CI: 0.43 to 0.75 in younger women; HR: 0.90; 95% CI: 0.84  
63 to 0.97 in older men and HR: 0.87; 95% CI: 0.81 to 0.93 in older women). After adjusting for  
64 educational differences, younger and older men and younger women in highest income  
65 quintile had lower mortality compared to those in the lowest income quintile (HR: 0.63; 95%  
66 CI: 0.55 to 0.72; HR: 0.78; 95% CI: 0.63 to 0.96 and HR: 0.91, 95% CI: 0.86 to 0.97,  
67 respectively). The association between income and mortality was almost linear. No  
68 association between income and mortality was observed in older women.

69 **CONCLUSIONS:** Despite the well-organized universal healthcare system in Norway,  
70 education and income are independently associated with mortality in HF patients in a clear  
71 sex and age group-specific pattern.

72

73 **ABBREVIATION LIST**

74 CI = confidence interval

75 CVD = cardiovascular disease

76 CVDNOR = “Cardiovascular disease in Norway”

77 COPD = chronic obstructive pulmonary disease

78 DM = diabetes mellitus

79 EF = ejection fraction

80 HF = heart failure

81 ICD = international classification of disease

82 IQR = interquartile range

83 HR = hazard ratio

84 SD = standard deviation

85

86

87

88

89

90

91

92

93

94

95 **INTRODUCTION**

96 The prevalence of heart failure (HF) has increased globally (1). This increase is expected to  
97 continue (2) due to aging of the population (3), improved survival following most cardiac  
98 conditions and increasing trends of obesity and diabetes mellitus (DM).

99 In 2012, Hawkins and al. (4) pointed to the existence of social gradients in HF incidence  
100 and prevalence while evidence on social gradients in mortality were less consistent, with  
101 some studies confirming (5-8) and others failing to show (6,9-11) their presence.

102 Methodological issues that may have contributed to the lack of consistency include use of  
103 area-level (5,7,9,10,12-14) rather than individual-level measurements for socioeconomic  
104 position (SEP), small sample sizes (6), selected, high-risk cohorts (15,16), or narrow age  
105 groups (5,10,13). Most studies have analyzed short-term outcomes (30-day and up to one  
106 year) (5,10,11,16), not allowing enough time for SEP-related mechanisms to operate.

107 The health care system in Norway is characterized by universal coverage with  
108 predominantly public provision of services. All Norwegian residents are entitled to full access  
109 to medical care, regardless of their age, sex, race and employment status.

110 Copayments for health services are capped at 2460 NOK (approximately 246 US dollars) a  
111 year and additional measures are applied for people with permanent reduced health and work  
112 capacities.

113 Despite this universal coverage and low copayment for medical services, social gradients  
114 in health outcomes still exist in Norway (17,18).

115 Education and income are often used as indicators for SEP. Education captures the  
116 knowledge-related assets of a person, is established during early adulthood and remains  
117 relevant throughout life. Income on the other hand, relates to the material resources and can  
118 influence health through one's ability to purchase health-enhancing commodities and services.  
119 The complex interplay between the two is poorly described, especially with regard to HF.

120 To advance knowledge on the issue, and analyzed the independent and combined effects of  
121 education and income on long term mortality in a nationwide cohort of patients hospitalized  
122 with an incident HF in Norway during 2000-2014.

123

## 124 **METHODS**

### 125 **Design and settings**

126 We used data from the CVDNOR project (19) to explore the association between education,  
127 personal income and mortality. We included in the study all patients age 35+ years, with an  
128 incident HF hospitalization between 1 January 2000 and 31 December 2014 (20).

### 129 **Exposure and other covariates**

130 The information on highest attained education was retrieved from the National Education  
131 Database and categorized into primary (up to 10 years), secondary (high/vocational school) or  
132 tertiary education (college/university).

133 Information on patients' personal income in the last three years preceding the HF  
134 hospitalization was obtained from The National Registry for Personal Taxpayers. The  
135 personal income reflects income generated from wages, self-employment, capital income,  
136 pensions, and social benefits after tax deduction. The personal income for each year was  
137 adjusted for inflation using the consumer price index (<https://www.ssb.no/en/kpi>) for the year  
138 2015. The three-year average of adjusted income was used in the analyses as i) categorical  
139 (applying sex and age-specific quintile cutoff points) and ii) continuous variables.

140 Information on relevant co-existing medical conditions during the HF hospitalization was  
141 obtained from the corresponding ICD-10 codes.

### 142 **Study outcome**

143 Information on date, underlying cause and place of death was obtained from the Cause of  
144 Death Registry. A personal, unique project-specific number assigned to each individual  
145 allowed us to follow study participants until death or end of follow up (31 December 2014).

### 146 **Statistical Analyses**

147 Continuous variables are presented as means and SD or median and IQR. Categorical  
148 variables are presented as proportions.

149 We used Cox proportional hazard regression models to explore the association of  
150 education and personal income with mortality. The analyses were conducted separately for i)  
151 men, 35-69 years, ii) women, 35-69 years, iii) men, 70+ years and iv) women, 70+ years.

152 First, we explored the association of education and income with mortality by introducing in  
153 the same model both education (primary education as reference category) and income in  
154 quintiles, (first quintile as reference category). Then, we explored the combined effect of  
155 education and income on mortality by combining education (primary/secondary versus  
156 tertiary) and income ( $<$  median versus  $\geq$  median) into a four-category variable. The category  
157 ‘primary/secondary education and income  $<$  median’ was used as reference category in these  
158 analyses. Schoenfeld residuals were used to evaluate Cox proportionality assumptions and no  
159 significant deviation from proportionality was observed.

160 All analyses were only adjusted for age in ‘Model 1’) and for age, calendar year, civil  
161 status and ten most relevant medical conditions [atrial fibrillation (AF), valvular heart disease,  
162 coronary heart disease (CHD), DM, COPD, anemia, hypertension, neoplasms, renal failure  
163 (RF) and thyroid disease] in Model 2.

164 Lastly, we applied a Cox regression model with education (as three-category variable) and  
165 income (as continuous variable), using penalized cubic splines to allow for a non-linear  
166 association between income and mortality.

### 167 **Additional analyses**

168 To minimize the assumptive effect of spouse’s income on the association between personal  
169 income and mortality among women, we repeated the analyses including only unmarried  
170 women.

171 Analyses were performed using Stata (Stata Corp LP, 4905 Lakeway Drive, College Station,  
172 Texas, USA) and the survival-package in R, version 3.6.0.

173



174 **RESULTS**

175 **Study population characteristics**

176 We included in the analyses 49 895 patients, age [mean (SD)] 78.1 (11.1) years (Table 1).  
177 Nearly half (49.8%) of patients had completed only primary education. The majority were  
178 either married (44.4%) or widow/widowed (36.2%). The proportion of comorbidities varied  
179 widely, from 1.6% for asthma up to 43.0% for AF.

180 Patients with primary education were older, more often women and had a longer  
181 hospitalization (in days) compared to those with higher education (Table 2). Lower education  
182 was associated with lower prevalence of AF, valvular heart disease and neoplasms and higher  
183 prevalence of CHD, COPD, anemia and mental disorders (Table 2).

184 Higher education was associated with higher income in both men and women. Within each  
185 education and age category, men earned more than women (Figure S1, online supplemental  
186 material).

187 **Mortality**

188 During a median follow up time of 27.8 months [interquartile range (IQR), 7.8 - 61.5 months;  
189 maximal, 180 months), 34 127 patients died (Table S1, online supplementary material).

190 CVD deaths accounted for 58.1% of all deaths. Deaths occurring in hospitals and those  
191 occurring in nursing homes accounted for 45.3% and 40.4% of total deaths. The majority  
192 (91.2%) of patients survived the hospitalization for the incident HF.

193 Compared to patients who were alive at the end of follow up, those who died were older,  
194 more often men, less educated, earned less and had a greater burden of comorbidities (Table  
195 S2, online supplementary material).

196 The mortality (per 100 000) among HF patients was much higher than that observed in the  
197 general population (Table S3, online supplementary material).

198 **Education and mortality**

199 Compared to primary education, tertiary education was associated with 11% (HR: 0.89; 95%  
200 CI: 0.78 to 0.99) lower mortality in younger men, 43% (HR: 0.57; 95% CI: 0.43 to 0.75) in  
201 younger women, 11% (HR: 0.89, 95% CI: 0.83 to 0.99) in older men and 10% (HR: 0.90;  
202 95% CI: 0.84 to 0.97) in older women (Table 3).

### 203 **Income (in quintiles) and mortality**

204 The fifth income quintile was associated with lower mortality compared to the first income  
205 quintile (Table 3). The magnitude varied from 37% (HR: 0.63; 95% CI: 0.55 to 0.72) in  
206 younger men to 22% (HR: 0.78; 95% CI: 0.63 to 0.96) in younger women and 9% (HR: 0.91;  
207 95% CI: 0.86 to 0.97) in older men. In older women, we observed no association between  
208 income and risk of dying following first HF hospitalization.

### 209 **Additional analyses**

210 When restricting the analyses to unmarried women, the highest income quintile was  
211 associated with lower mortality compared to the lowest income quintile only in older women  
212 (Table S4, online supplementary material).

### 213 **Income (continuous variable) and mortality**

214 Figure 1 depicts results of adjusted analyses where income was introduced as a continuous  
215 variable. Income was inversely and nearly linearly associated with mortality in all sex and age  
216 groups except for older women.

### 217 **The combined effect of education and income (Figure 2)**

218 Among men, higher income was associated with reduced mortality, regardless of education  
219 level (categories II and IV versus category I). In younger women, *either* highest education *or*  
220 higher income were associated with reduced mortality (categories II, III and IV versus  
221 category I). In older women, only highest education *and* higher income was associated with  
222 lower mortality (category IV versus category I)

223

## 224 **DISCUSSION**

### 225 **Summary of findings**

226 Our study is among the first to demonstrate an inverse association between education and  
227 mortality, which is stronger in women compared to men. Income was inversely and, nearly  
228 linearly associated with mortality in all men and younger women. When education and  
229 income were combined, the later appeared to override education with regard to mortality in  
230 men. In younger women, each component *per se* was associated with reduced mortality. In  
231 older women, we observed reduced mortality only when highest education was combined  
232 with higher income.

### 233 **Published literature**

234 A recent study from Denmark (16) using reported an association between family income and  
235 one-year mortality among 17 122 HF patients with reduced ( $\leq 40\%$ ) ejection fraction (EF).  
236 Median household income was inversely associated with 30-day mortality among 48 338  
237 elderly with HF enrolled in ‘Get With The Guidelines-HF’ database (13) and longer-term  
238 (maximum follow up, 72 months) mortality among 1415 patients with incident HF enrolled in  
239 the ARIC community study (7).

240 Of note, education was not associated with one-year mortality in patients hospitalized with  
241 an incident episode of HF in the Danish study (16). No association between education and  
242 mortality was found either in two sub-analyses of RCTs; the first enrolling 541 ambulatory  
243 patients with chronic HF (6) and the second enrolling 2331 patients with chronic HF and  
244 reduced EF (15).

### 245 **Potential mechanisms involved**

246 HF represents the end-stage of various cardiac and metabolic conditions. Therefore, risk  
247 factor burden and configuration, clinical expression of the underlying conditions and delays in  
248 seeking medical assistance are crucial factors in the development and severity of HF, which in

249 turn influence mortality. Studies point to the existence of socioeconomic gradients in lifestyle-  
250 related factors (21,22), including smoking habits (23). Further, low social status is associated  
251 with lower health literacy (24) and delayed help seeking (25). The net effect of these  
252 determinants operating outside health system are likely to generate social gradients in disease  
253 severity of the underlying conditions (often being coronary heart disease) and its optimal  
254 treatment (26).

255 Little is known on other features through which health care systems themselves may  
256 contribute maintaining, or even perpetuating the observed social gradients in health outcomes.  
257 An optimal prescription (27) and adherence (28) the guideline-directed drug therapy for HF,  
258 improves patients' outcomes. Despite this evidence, real-world studies point to a suboptimal  
259 prescription and/or adherence to treatment among HF patients (29), even in countries with  
260 universal healthcare and low copayment such as the Netherlands (30), the UK (31) and  
261 Sweden (32). Factors influencing suboptimal drug prescription are not fully understood, but it  
262 has been suggested that these gradients can originate from more comorbidities and more  
263 severe HF among socially deprived patients (33).

264 The interaction between health care providers and HF patients is not to be neglected either.  
265 The observed social gradients in participation in rehabilitation programs (34) risk behavior  
266 modification (35), follow up rates (36), or even access to specialized care (37) could be  
267 narrowed if closer follow with more dedicated time were to be offered to socioeconomically-  
268 deprived patients.

269 Lastly, due to its complexity, HF is a costly disease. While direct expenses related to  
270 treatment are capped, other indirect costs (such as those related to transportation  
271 arrangements, interventions and lifestyle modification, including diet) may accumulate and  
272 become a burden for patients with low income. Moreover, lack of sufficient material

273 resources may induce stress which is linked to poor outcomes. Lastly, low income is often  
274 associated with poor social network and social support.

### 275 **Differences between previously published studies and our study**

276 Previous publications (6,15,16) did not find an association between education and  
277 mortality among HF patients. These discrepancies could stem from a number of factors,  
278 including differences in study populations' structure and size, length of follow up, time period  
279 and study settings and data analyses.

280 To illustrate, study population in the other studies comprised patients with reduced EF  
281 (6,15,16) and previous HF hospitalizations (6,15) while in our study we included individuals  
282 with no previous HF hospitalizations and a mixture of reduced and preserved EF. Both  
283 reduced EF and previous hospitalizations for HF increase the odds of dying.

284 The Danish study included 17 122 participants, but restricted the follow up time to one  
285 year (16). The number of participants and subsequently events of interest were much smaller  
286 in the two other studies; 2331 (15) and 571 (6) participants, respectively.

287 The Danish study (16) was observational, with no active follow up of study participants.  
288 The second largest study (15) was a post hoc analysis of HF-ACTION (a randomized  
289 controlled clinical trial), including patients with moderate to severe HF receiving either i)  
290 education or ii) education plus supervised exercise training program. Optimized therapy prior  
291 to study enrollment was a requirement. Further, both income and education was self-reported.  
292 The third study (6) was a propensity score matched analysis of a small sample of HF patients  
293 enrolled in DIG (Digitalis Investigation Group) trial in 1995. Information on education was  
294 self-reported and included the participants or spouses' education level. We believe that shorter  
295 follow up time, severity of HF and study settings (in the two RCTs) may have implied closer  
296 medical follow up of patients (often in hospitals or other specialized care structures) and

297 optimization of therapies, leaving thus little room for education-related mechanisms to  
298 operate and display educational gradients in mortality.

### 299 **Sex and age group-specific patterns**

300 Income was more strongly associated with mortality among men while education among  
301 women. Although our study cannot fully explain the observed patterns, we believe they are  
302 influenced by multiple, non-mutually exclusive potential factors.

#### 303 *The effect of using personal rather than household income*

304 Generally, women earn less than men. Further, women married to partners with high earnings  
305 may in some cases choose to work part-time. Hence, the personal income in married women  
306 would underestimate the household income, dominated by partners' income. As a result, the  
307 observed association between personal income and mortality in women would underestimate  
308 the true association we would observed among them, had we been able to adjust for partner's  
309 income.

#### 310 *Income distribution in men and women*

311 The difference [in Norwegian Kroner (NOK)] from one income quintile to another is greater  
312 in men compared to women. To illustrate, younger men in the fifth income quintile earned  
313 292 000 NOK (approximately 29 200 US dollars) more than younger men in the first income  
314 quintile while. In younger women, the difference between the corresponding income quintiles  
315 was 195 000 NOK (approximately 19 500 US dollars). Hence, a stronger association between  
316 income and mortality in men compared to women (when using income quintiles) may reflect  
317 the absolute differences in earnings between income quintiles in men versus women.

#### 318 *Sex differences in etiology, clinical expression and type of HF*

319 In men, HF is more often of ischemic origin, with reduced EF, and often more with more  
320 typical symptoms. In women, HF's underlying conditions include more often slowly-evolving  
321 and less fulminant conditions such as hypertension (38), obesity, diabetes (39) or anemia. The

322 dominant HF form in women is the diastolic, with preserved EF. Women are often  
323 underrepresented in clinical trials (40), leading to insufficient understanding of mechanisms  
324 involved and HF treatment efficacy among them. This is also reflected in the lack of sex-  
325 specific treatment strategies for HF in international guidelines. The challenges in recognizing  
326 symptoms and timely diagnosing HF in women, as well as uncertainties with regard to  
327 treatment efficiency among them, may leave more room to knowledge-related assets of  
328 patients, which, in a universal health care setting, are captured by education.

329 Other potential explanations include the fact that income and education may capture  
330 health-relevant behaviors to different extents in men and women, or that the set of risk factors  
331 operating along with education and income are different across sexes.

332 The lack of an association between income and mortality among older women may be  
333 further related a higher burden of comorbidities and the fact that they reside more often in  
334 nursing homes, where, due to collective arrangements, personal income may not play an  
335 important role.

### 336 **Strengths and limitations**

337 We measured exposure at the individual level in a well-defined nationwide cohort of HF  
338 patients, thus minimizing the risk of selection bias. The long (up to 15 years) and complete  
339 follow up of study participants add to the value of our study. Further, we analyzed the  
340 individual and combined effects of education and income on mortality, describing for the first  
341 time sex and age group patterns characterizing this relationship.

342 Some limitations inherent to the structure and content of administrative data need to be  
343 kept in mind when interpreting the findings such as lack of information on lifestyle factors  
344 including smoking, physical activity, body mass index or family history of disease. Further,  
345 no information on medication taken during or after discharge and participation in  
346 rehabilitation programs was available. We could not stratify the analyses on EF (preserved vs.

347 reduced EF) as such information was not available. Information on comorbidities was  
348 collected during the incident HF hospitalization.

349 Income measured at the personal level carries the risk of underestimating the true financial  
350 resources of a family, especially among women and diluting the association between personal  
351 income and mortality among them. We addressed this issue by conducting separate analyses  
352 among unmarried women and found an association between personal income and mortality.  
353 However, the ‘unmarried’ category may include a fraction of population who live with a  
354 partner without being formally married. Further, the personal income measured a few years  
355 ahead of the first HF episode may not represent well the real lifelong financial situation of  
356 individuals as it may be influenced by declining health prior to HF hospitalization (41).

357 Lastly, as in all observation studies, potential residual confounding cannot be completely  
358 ruled out.

359 **Conclusions:** Using an unselected population of patients hospitalized with incident HF, we  
360 found that education and income were independently and inversely associated with long-term  
361 mortality. When combined, income was decisive among men. Among younger women, *either*  
362 higher education *or* higher income was associated with lower mortality. In older women,  
363 lower mortality was observed only among those with higher education *and* higher income.  
364 The observed mortality gradients in a country with universal healthcare and low copayments  
365 such as Norway should encourage more studies in order to identify factors responsible for  
366 these gradients.

### 367 **Perspectives**

368 Competency in medical knowledge: Our results point to a significant mortality following the  
369 first HF hospitalization and identify education and income as two independent, yet  
370 complementary SEP dimensions involved in this process.



371 Translational outlook: We need more studies focusing on i) identifying mechanisms through  
372 which education and income operate, ii) providing evidence on the best possible way to  
373 reduce the observed SEP gradients in mortality following HF.

#### 374 **Acknowledgement**

375 The authors thank Tomislav Dimoski at The Norwegian Institute of Public Health, Norway  
376 for his contribution by developing the software necessary for obtaining data from Norwegian  
377 hospitals, conducting the data collection and quality assurance of data in this project.

#### 378 **Disclaimers**

379 Data from the Norwegian Patient Registry and the Norwegian Cause of Death Registry have  
380 been used in this publication. The interpretation and reporting of these data are the sole  
381 responsibility of the authors, and no endorsement by these registries is intended, nor should be  
382 inferred.

#### 383 **Conflict of interest**

384 None declared

385

386

387

388

389

390

391

392

393

394

395

396 **REFERENCES**

- 397 1. Global Burden of Disease Collaborative Network. Global Burden of Disease Study  
398 2017. Institute for Health Metrics and Evaluation (IHME). Available at [http://](http://www.ghdx.healthdata.org/gbd-results-tool)  
399 [www.ghdx.healthdata.org/gbd-results-tool](http://www.ghdx.healthdata.org/gbd-results-tool). Accessed January 12, 2020.
- 400 2. Vigen R, Maddox TM, Allen LA. Aging of the United States population: impact on  
401 heart failure. *Curr Heart Fail Rep* 2012;9:369-74.
- 402 3. Triposkiadis F, Xanthopoulos A, Butler J. Cardiovascular Aging and Heart Failure:  
403 JACC Review Topic of the Week. *JACC* 2019;74:804-13.
- 404 4. Hawkins NM, Jhund PS, McMurray JJ, Capewell S. Heart failure and socioeconomic  
405 status: accumulating evidence of inequality. *Eur J Heart Fail* 2012;14:138-46.
- 406 5. Rathore SS, Masoudi FA, Wang Y et al. Socioeconomic status, treatment, and  
407 outcomes among elderly patients hospitalized with heart failure: findings from the  
408 National Heart Failure Project. *Am Heart J* 2006;152:371-8.
- 409 6. Sui X, Gheorghide M, Zannad F, Young JB, Ahmed A. A propensity matched study  
410 of the association of education and outcomes in chronic heart failure. *Int J cardiol*  
411 2008;129:93-9.
- 412 7. Foraker RE, Rose KM, Suchindran CM, Chang PP, McNeill AM, Rosamond WD.  
413 Socioeconomic status, Medicaid coverage, clinical comorbidity, and rehospitalization  
414 or death after an incident heart failure hospitalization: Atherosclerosis Risk in  
415 Communities cohort (1987 to 2004). *Circ Heart Fail* 2011;4:308-16.
- 416 8. Jhund PS, Macintyre K, Simpson CR et al. Long-term trends in first hospitalization for  
417 heart failure and subsequent survival between 1986 and 2003: a population study of  
418 5.1 million people. *Circulation* 2009;119:515-23.

- 419 9. Blackledge HM, Tomlinson J, Squire IB. Prognosis for patients newly admitted to  
420 hospital with heart failure: survival trends in 12 220 index admissions in  
421 Leicestershire 1993-2001. *Heart* 2003;89:615-20.
- 422 10. Lindenauer PK, Lagu T, Rothberg MB et al. Income inequality and 30 day outcomes  
423 after acute myocardial infarction, heart failure, and pneumonia: retrospective cohort  
424 study. *BMJ* 2013;346:f521.
- 425 11. Bikdeli B, Wayda B, Bao H et al. Place of residence and outcomes of patients with  
426 heart failure: analysis from the telemonitoring to improve heart failure outcomes trial.  
427 *Circ Cardiovas Quality Outcomes* 2014;7:749-56.
- 428 12. Wen M, Christakis NA. Neighborhood effects on posthospitalization mortality: a  
429 population-based cohort study of the elderly in Chicago. *Health Serv Res*  
430 2005;40:1108-27.
- 431 13. Eapen ZJ, McCoy LA, Fonarow GC et al. Utility of socioeconomic status in predicting  
432 30-day outcomes after heart failure hospitalization. *Circ Heart Fail* 2015;8:473-80.
- 433 14. Garcia R, Abellana R, Real J, Del Val JL, Verdu-Rotellar JM, Munoz MA. Health  
434 inequalities in hospitalisation and mortality in patients diagnosed with heart failure in  
435 a universal healthcare coverage system. *J Epidemiol Community Health* 2018;72:845-  
436 51.
- 437 15. Verma AK, Schulte PJ, Bittner V et al. Socioeconomic and partner status in chronic  
438 heart failure: Relationship to exercise capacity, quality of life, and clinical outcomes.  
439 *Am Heart J* 2017;183:54-61.
- 440 16. Schjodt I, Johnsen SP, Stromberg A, Kristensen NR, Logstrup BB. Socioeconomic  
441 Factors and Clinical Outcomes Among Patients With Heart Failure in a Universal  
442 Health Care System. *JACC Heart Fail* 2019;7:746-55.

- 443 17. Mackenbach JP, Kulhanova I, Artnik B et al. Changes in mortality inequalities over  
444 two decades: register based study of European countries. *Bmj* 2016;353:i1732.
- 445 18. Kinge JM, Modalsli JH, Overland S et al. Association of Household Income With Life  
446 Expectancy and Cause-Specific Mortality in Norway, 2005-2015. *JAMA : the journal*  
447 *of the American Medical Association* 2019;321:1916-1925.
- 448 19. Igland J, Tell GS, Ebbing M et al. The CVDNOR project: Cardiovascular Disease in  
449 Norway 1994-2009. Description of data and data quality. Available at:  
450 <https://www.cvdnor.w.uib.no/report/>. Accessed November 19, 2019.
- 451 20. Sulo G, Igland J, Overland S et al. Heart failure in Norway, 2000-2014: analysing  
452 incident, total and readmission rates using data from the Cardiovascular Disease in  
453 Norway (CVDNOR) Project. *Eur J Heart Fail* 2019 [Epub ahead of print],  
454 doi:10.1002/ejhf.1609.
- 455 21. Graff-Iversen S, Ariansen I, Naess O, Selmer RM, Strand BH. Educational  
456 inequalities in midlife risk factors for non-communicable diseases in two Norwegian  
457 counties 1974-2002. *Scand J Public Health* 2019;47:705-12.
- 458 22. Eggen AE, Mathiesen EB, Wilsgaard T, Jacobsen BK, Njolstad I. Trends in  
459 cardiovascular risk factors across levels of education in a general population: is the  
460 educational gap increasing? The Tromso study 1994-2008. *J Epidemiol Community*  
461 *Health* 2014;68:712-9.
- 462 23. Social inequalities in health. Available at: [https://www.fhi.no/en/op/hin/groups/social-](https://www.fhi.no/en/op/hin/groups/social-inequalities/#socioeconomic-differences-in-lifestyle)  
463 [inequalities/#socioeconomic-differences-in-lifestyle](https://www.fhi.no/en/op/hin/groups/social-inequalities/#socioeconomic-differences-in-lifestyle). Accessed April 5, 2020.
- 464 24. Choiniere R, Lafontaine P, Edwards AC. Distribution of cardiovascular disease risk  
465 factors by socioeconomic status among Canadian adults. *CMAJ* 2000;162:S13-24.
- 466 25. Moser DK, Kimble LP, Alberts MJ et al. Reducing delay in seeking treatment by  
467 patients with acute coronary syndrome and stroke: a scientific statement from the

- 468 American Heart Association Council on cardiovascular nursing and stroke council.  
469 Circulation 2006;114:168-82.
- 470 26. Sulo E, Nygard O, Vollset SE et al. Coronary angiography and myocardial  
471 revascularization following the first acute myocardial infarction in Norway during  
472 2001-2009: Analyzing time trends and educational inequalities using data from the  
473 CVDNOR project. Int J Cardiol 2016;212:122-8.
- 474 27. Yamaguchi T, Kitai T, Miyamoto T et al. Effect of Optimizing Guideline-Directed  
475 Medical Therapy Before Discharge on Mortality and Heart Failure Readmission in  
476 Patients Hospitalized With Heart Failure With Reduced Ejection Fraction. Am J  
477 Cardiol 2018;121:969-74.
- 478 28. Gayat E, Arrigo M, Littnerova S et al. Heart failure oral therapies at discharge are  
479 associated with better outcome in acute heart failure: a propensity-score matched  
480 study. Eur J Heart Fail 2018;20:345-54.
- 481 29. Brown KJ, Gaggin HK. "Drugs Do Not Work on Patients Who Do Not Take Them"  
482 Can We Do Better in Patient Adherence? J Cardiac Fail 2019;25:352-54.
- 483 30. Bongers FJ, Schellevis FG, Bakx C, van den Bosch WJ, van der Zee J. Treatment of  
484 heart failure in Dutch general practice. BMC Fam Pract 2006;7:40.
- 485 31. Shah SM, Carey IM, DeWilde S, Richards N, Cook DG. Trends and inequities in beta-  
486 blocker prescribing for heart failure. Br J Gen Pract 2008;58:862-9.
- 487 32. Ohlsson A, Lindahl B, Hanning M, Westerling R. Inequity of access to ACE inhibitors  
488 in Swedish heart failure patients: a register-based study. J Epidemiol Community  
489 Health 2016;70:97-103.
- 490 33. Gilstrap LG, Stevenson LW, Small R et al. Reasons for Guideline Nonadherence at  
491 Heart Failure Discharge. JAHA 2018;7:e008789.

- 492 34. Graversen CB, Johansen MB, Eichhorst R et al. Influence of socioeconomic status on  
493 the referral process to cardiac rehabilitation following acute coronary syndrome: a  
494 cross-sectional study. *BMJ Open* 2020;10:e036088.
- 495 35. Gaalema DE, Elliott RJ, Morford ZH, Higgins ST, Ades PA. Effect of Socioeconomic  
496 Status on Propensity to Change Risk Behaviors Following Myocardial Infarction:  
497 Implications for Healthy Lifestyle Medicine. *Prog Cardiovasc Dis* 2017;60:159-68.
- 498 36. McAlister FA, Murphy NF, Simpson CR et al. Influence of socioeconomic deprivation  
499 on the primary care burden and treatment of patients with a diagnosis of heart failure  
500 in general practice in Scotland: population based study. *BMJ* 2004;328:1110.
- 501 37. Sekhri N, Timmis A, Hemingway H et al. Is access to specialist assessment of chest  
502 pain equitable by age, gender, ethnicity and socioeconomic status? An enhanced  
503 ecological analysis. *BMJ Open* 2012;2.
- 504 38. Levy D, Larson MG, Vasan RS, Kannel WB, Ho KK. The progression from  
505 hypertension to congestive heart failure. *JAMA* 1996;275:1557-62.
- 506 39. Ohkuma T, Komorita Y, Peters SAE, Woodward M. Diabetes as a risk factor for heart  
507 failure in women and men: a systematic review and meta-analysis of 47 cohorts  
508 including 12 million individuals. *Diabetologia* 2019;62:1550-60.
- 509 40. Walsh MN, Jessup M, Lindenfeld J. Women With Heart Failure: Unheard, Untreated,  
510 and Unstudied. *JACC* 2019;73:41-43.
- 511 41. Hlatky MA, Hamad R. Disentangling the Effects of Socioeconomic Factors on  
512 Outcomes Among Patients With Heart Failure. *JACC Heart Fail* 2019;7:756-58.

513  
514  
515  
516

517 **Figure Legends**

518 **Figure 1:** Penalized cubic spline plot for the association between income and mortality among  
519 patients hospitalized with an incident heart failure in Norway, 2000-2014: the CVDNOR  
520 project

521 **Figure 2:** Combined educational and income-related gradients in mortality among patients  
522 hospitalized with an incident heart failure episode in Norway, 2000-2014: the CVDNOR  
523 project

524

525

526

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541

542 Table 1. Baseline characteristics of patients hospitalized with incident heart failure in Norway, 2000-2014: the CVDNOR project

543

	Total (n=49 895)	35-69 years			70+ years		
		Men (n=7642)	Women (n=3105)	P value	Men (n=17 987)	Women (n=24 266)	P value
Age, mean (SD)	78.1 (11.1)	60.7 (7.8)	61.3 (7.9)	<0.001	81.5 (5.8)	83.9 (5.8)	<0.001
Mode of hospitalization				0.522			<0.001
Emergency	92.4	86.6	87.4		92.5	95.2	
Planned	7.6	13.4	12.6		7.4	4.7	
Education				<0.001			<0.001
Primary	49.8	35.5	45.7		45.3	59.6	
Secondary	40.0	48.6	42.2		42.6	34.2	
Tertiary	10.2	15.9	12.1		12.1	6.3	
Income (in 1000 NOK), median (IQR)	188 (147-244)	258 (201-336)	192 (141-245)	<0.001	204 (162-254)	159 (132-197)	<0.001
Civil status, %				<0.001			<0.001
Unmarried/Cohabitants	9.7	19.7	12.0		8.2	6.9	
Married	44.4	55.1	51.9		62.4	24.0	
Widow	36.2	3.3	12.4		22.8	63.1	
Divorced	9.7	21.9	23.7		6.6	6.0	
Readmission due to HF	29.6	35.2	29.4	<0.001	30.9	28.8	<0.001
HF hospitalization (days), median (IQR)	6 (3-9)	6 (3-10)	6 (3-10)	0.007	5 (3-9)	6 (3-9)	0.002
Medical conditions, %							
Atrial fibrillation	43.0	37.4	27.6	<0.001	46.4	44.5	<0.001
Valvular heart disease	17.8	13.8	15.8	0.009	16.3	20.9	<0.001
Coronary heart disease	34.5	41.3	32.6	<0.001	38.9	28.6	<0.001
Hypertension	26.5	27.6	27.8	0.801	22.7	29.2	<0.001
Diabetes mellitus	14.8	19.1	18.5	0.408	14.0	13.4	0.055
Renal failure	10.0	6.8	5.4	0.006	13.9	8.6	<0.001
Chronic obstructive pulmonary disease	11.5	11.2	17.0	<0.001	13.2	9.5	<0.001
Neoplasms	5.4	3.7	6.1	<0.001	7.8	3.9	<0.001
Anemia	4.9	2.1	3.5	<0.001	5.1	5.9	<0.001
Thyroid disease	3.2	0.9	5.0	<0.001	1.4	5.3	<0.001
Mental disorders	3.8	1.9	2.2	0.572	3.8	4.1	0.118
Asthma	1.6	1.3	3.2	<0.001	1.0	2.1	<0.001
Pulmonary hypertension	2.2	1.7	3.6	<0.001	1.9	2.4	0.003

544 SD = standard deviation; IQR = interquartile range; NOK = Norwegian kroner (0.11 EU or 0.12 USD in 2015).



545 Table 2. Characteristics of the study participants by education: the CVDNOR project

	Primary (n=24 881)	Secondary (n=19 914)	Tertiary (n=5100)	P for trend
Age, mean (SD)	79.5 (10.3)	77.0 (11.3)	75.3 (12.5)	<0.001
Sex, (male)	43.6	57.1	66.6	<0.001
Mode of hospitalization				<0.001
Emergency	93.6	91.8	90.4	
Planned	6.4	8.2	7.6	
Income (in 1000 NOK), median (IQR)	166 (135-203)	206 (160-261)	294 (235-367)	<0.001
Length of hospitalization, median (IQR)	6 (3-9)	6 (3-9)	5 (3-9)	<0.001
Civil status				<0.001
Married	37.5	49.5	57.1	
Unmarried	10.3	8.9	9.8	
Widow	43.5	31.1	21.3	
Divorced	8.7	10.5	11.8	
Readmission due to HF, %	32.4	32.8	32.0	0.063
Medical conditions, %				
Atrial fibrillation	41.2	44.5	46.8	<0.001
Valvular heart disease	17.7	17.7	19.9	<0.001
Coronary heart disease	34.0	35.3	33.8	<0.001
Hypertension	26.2	26.7	27.3	0.002
Diabetes mellitus	15.6	14.3	12.5	<0.001
Renal failure	10.2	10.0	9.4	0.091
Chronic obstructive pulmonary disease	12.7	11.1	7.6	<0.001
Neoplasms	5.0	5.8	6.1	0.010
Anemia	5.5	4.4	3.7	<0.001
Thyroid diseases	3.5	2.9	2.7	0.803
Mental conditions	8.4	7.3	6.5	0.004
Asthma	1.8	1.5	1.6	0.571
Pulmonary hypertension	2.3	2.1	2.1	0.152

546  
547  
548

SD = standard deviation; NOK = Norwegian kroner (0.11 EU or 0.12 USD in 2015); IQR =interquartile range.

549 Table 3. Educational and income-related gradients in mortality among patients hospitalized with incident heart failure in Norway, 2000-2014: the CVDNOR  
 550 project  
 551

	Men				Women			
	Deaths / Person - Years	Hazard ratio (95% CI)		Deaths / Person - Years	Hazard ratio (95% CI)			
		Model 1	Model 2		Model 1	Model 2		
<b>Age group, 35-69 years</b>								
<b>Education</b>								
Primary	1217 / 13 989	1 reference	1 reference	648 / 7311	1 reference	1 reference		
Secondary	1346 / 19 963	0.88 (0.82 - 0.96)	0.95 (0.88 - 1.03)	461 / 6904	0.79 (0.70 - 0.90)	0.84 (0.74 - 0.94)		
Tertiary	351 / 6826	0.82 (0.72 - 0.93)	0.89 (0.78 - 0.99)	68 / 2045	0.46 (0.36 - 0.60)	0.57 (0.43 - 0.75)		
<b>Income</b>								
1 <sup>st</sup> quintile	744 / 7709	1 reference	1 reference	271 / 3551	1 reference	1 reference		
2 <sup>nd</sup> quintile	654 / 7727	0.90 (0.81 - 1.00)	0.92 (0.81 - 1.01)	268 / 3155	1.09 (0.92 - 1.29)	0.99 (0.85 - 1.17)		
3 <sup>d</sup> quintile	582 / 8018	0.78 (0.70 - 0.87)	0.84 (0.74 - 0.93)	245 / 3180	1.01 (0.85 - 1.20)	0.88 (0.75 - 1.06)		
4 <sup>th</sup> quintile	503 / 8617	0.64 (0.57 - 0.72)	0.72 (0.64 - 0.81)	225 / 3205	0.98 (0.82 - 1.17)	0.85 (0.73 - 1.03)		
5 <sup>th</sup> quintile	431 / 8707	0.55 (0.48 - 0.62)	0.63 (0.55 - 0.72)	168 / 3168	0.84 (0.69 - 1.03)	0.78 (0.63 - 0.96)		
<b>Age group, 70+ years</b>								
<b>Education</b>								
Primary	6546 / 22 048	1 reference	1 reference	10 110 / 36 612	1 reference	1 reference		
Secondary	5705 / 21 680	0.94 (0.90 - 0.98)	0.96 (0.93 - 0.99)	5247 / 21 553	0.92 (0.89 - 0.96)	0.94 (0.90 - 0.98)		
Tertiary	1497 / 6381	0.85 (0.80 - 0.91)	0.90 (0.84 - 0.97)	904 / 4025	0.83 (0.77 - 0.89)	0.87 (0.81 - 0.93)		
<b>Income</b>								
1 <sup>st</sup> quintile	2914 / 9933	1 reference	1 reference	3334 / 13 144	1 reference	1 reference		
2 <sup>nd</sup> quintile	2838 / 9568	1.01 (0.96 - 1.07)	1.01 (0.96 - 1.07)	3309 / 12 570	1.02 (0.97 - 1.07)	0.96 (0.92 - 1.02)		
3 <sup>d</sup> quintile	2711 / 9930	0.95 (0.91 - 1.00)	0.94 (0.90 - 0.99)	3291 / 12 165	1.05 (1.00 - 1.10)	0.98 (0.93 - 1.04)		
4 <sup>th</sup> quintile	2704 / 10 012	0.95 (0.90 - 1.00)	0.95 (0.90 - 0.99)	3227 / 12 163	1.04 (0.99 - 1.09)	0.97 (0.92 - 1.03)		
5 <sup>th</sup> quintile	2581 / 10 595	0.91 (0.86 - 0.97)	0.91 (0.86 - 0.97)	3127 / 12 131	1.06 (1.01 - 1.12)	0.98 (0.93 - 1.04)		

552  
 553 Model 1 includes education, income and age (continuous variable).  
 554 Model 2 includes education, income, age (continuous variable), calendar year, civil status, atrial fibrillation, valvular heart disease, coronary heart disease, hypertension, diabetes mellitus, renal  
 555 failure, chronic obstructive pulmonary disease, neoplasms, anemia and thyroid diseases.  
 556 CI = confidence interval.