

1 **Title**

2 **Deep-ocean circulation in the North Atlantic during the Plio-Pleistocene intensification of**
3 **Northern Hemisphere Glaciation (~2.65–2.4 Ma)**

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27 **Abstract**

28 Through transporting heat around the world and thereby regulating global climate, ocean
29 circulation is an integral part of Earth's climate system that likely changed substantially on
30 glacial-interglacial timescales in the deep North Atlantic Ocean. However, quantitative records
31 on deep-water dynamics in the North Atlantic older than ~1 Myr are sparse and typically of low
32 temporal resolution. Here we provide a new record on northern- versus southern-sourced waters
33 in the deep North Atlantic at a yet unprecedented temporal resolution. Our record is based on a
34 novel approach (Atlantic-Pacific bottom-water temperature (BWT) difference) for an interval
35 comprising the onset of larger-scale glaciations in the Northern Hemisphere, the Plio-
36 Pleistocene transition (~2.65–2.4 Ma; Marine Isotope Stages G1–95). We thus have generated
37 a new, millennial-scale-resolution BWT record based on benthic foraminiferal Mg/Ca from
38 Ocean Drilling Program Site 849 in the East Pacific backed up with a new neodymium-isotope
39 record on glacial-interglacial-scale resolution. The difference between our new BWT record
40 (Site 849) and previously available BWTs from Integrated Ocean Drilling Program Site U1313
41 in the North Atlantic was used to decipher changes between southern- and northern-sourced
42 waters in the deep North Atlantic. In accordance with previous studies, we document an
43 increased influence of deep waters from high southern latitudes during glacials at the expense
44 of northern-sourced waters. Perhaps even more importantly, our new record is highly relevant
45 for the determination of geochemically-based sea-level records from the deep North Atlantic,
46 which was yet limited by quantitative estimates of deep-water masses at a sufficient, (sub-
47)millennial-scale resolution.

49 **Keywords**

50 benthic foraminiferal Mg/Ca, Atlantic-Pacific bottom-water-temperature difference,
51 neodymium isotopes, deep-ocean circulation, Plio-Pleistocene intensification of Northern
52 Hemisphere Glaciation

53 1. Introduction

54 The Atlantic Meridional Overturning Circulation (AMOC) plays a prominent role in controlling
55 global climate through regulating latitudinal heat transport and carbon sequestration between
56 the atmosphere and the deep ocean (e.g. Raymo et al., 1998; Rahmstorf, 2002; Sigman et al.,
57 2010; Henry et al., 2016). The deep Atlantic Ocean is presently bathed by two major water
58 masses – one being formed in the northern part of the basin (northern-component waters
59 (NCW), i.e., North Atlantic Deep Water (NADW)) and another one that derives from the south
60 (southern-component waters (SCW), i.e., Antarctic Bottom Water (AABW)) (Fig. 1). Due to
61 their different source regions, these water masses exhibit clear differences in their neodymium
62 isotope (ϵ_{Nd}) and stable carbon isotope ($\delta^{13}C$) signatures (NADW: $\epsilon_{Nd} = -12.3$, $\delta^{13}C = 1$ ‰;
63 AABW: $\epsilon_{Nd} = -8.6$, $\delta^{13}C = 0.4$ ‰ (Kroopnick, 1985; Tachikawa et al., 2017)). $\delta^{13}C$ records of
64 deep-sea benthic foraminifera (e.g., Raymo et al., 1990; Lisiecki, 2014; Lang et al., 2016) as
65 well as authigenic ϵ_{Nd} values of materials such as fish teeth or debris (e.g., Staudigel et al.,
66 1985; Martin & Haley, 2000; Frank, 2002; Lang et al., 2016) are thus typically used as
67 paleoceanographic proxies to trace ocean-circulation changes in the Atlantic Ocean and
68 elsewhere.

69 As such, North Atlantic $\delta^{13}C$ proxy records are traditionally interpreted to document the
70 penetration of southern-sourced deep waters into the North Atlantic at the expense of deep
71 waters derived from the north during prominent glacials since the intensification of Northern
72 Hemisphere Glaciation (iNHG) ~2.5 Ma ago (e.g., Raymo et al., 1990; Keigwin 2004; Curry
73 and Oppo, 2005; Lang et al., 2016) (“changing mode” in Fig. 1a). This traditional, $\delta^{13}C$ -derived
74 view is supported by i) a North Atlantic ϵ_{Nd} record for the iNHG (Lang et al., 2016), and by ii)
75 North Atlantic ϵ_{Nd} data (Böhm et al., 2015; Lang et al., 2016) together with $^{231}Pa/^{230}Th$ ratios,
76 i.e., a measure of overall AMOC strength (Böhm et al., 2015), across the last glacial cycle.
77 However, other records on ϵ_{Nd} (Howe et al., 2016; Pöppelmeier et al., 2020) suggest that

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2 78 southern-sourced waters possibly did not expand farther into the deep North Atlantic during the
3 79 Last Glacial Maximum than today (“constant mode” in Fig. 1b).

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7 81 **1.2 Importance of high-resolution records on water-mass prevalence in the deep North**
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9 82 **Atlantic Ocean**

10 83 Profound knowledge on deep-ocean dynamics is not only relevant for our understanding of the
11 84 Earth’s climate system, but also of particular importance for sea-level reconstructions based on
12 85 benthic geochemical proxy records. This is because water masses not only differ in their ϵ_{Nd}
13 86 and $\delta^{13}C$ signatures, but also with regard to other parameters such as temperature or the
14 87 seawater oxygen-isotope composition ($\delta^{18}O_{sw}$). The latter, in turn, influence for example the
15 88 Mg/Ca ratio and the stable oxygen isotope ($\delta^{18}O$) composition of benthic foraminifers – proxy
16 89 records commonly used to evaluate past sea-level change.

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19 90 To date, a correction for such records is limited by the lack of quantitative estimates of
20 91 the prevailing water mass at a sufficient (i.e., [sub-]millennial-scale) temporal resolution.
21 92 Among those estimates that are yet available (e.g., Raymo et al., 1990, 1992; Lang et al., 2016),
22 93 the $\delta^{13}C$ -based record of northern-component waters (%NCW) from Lang et al. (2016) for
23 94 Integrated Ocean Drilling Program (IODP) Site U1313 in the North Atlantic has the highest
24 95 temporal resolution (~4 kyr). That record covers the Plio-Pleistocene iNHG, but applying it to
25 96 higher-resolution (sub-millennial-scale) benthic Mg/Ca and $\delta^{18}O$ data of the same site and time
26 97 interval (Jakob et al., 2020) results in a clear overestimate of absolute glacial sea-level lowstand
27 98 values compared to yet available sea-level records (e.g., mean value of ~135 m below modern
28 99 (Jakob et al., 2020) versus a maximum of ~60 m below modern (e.g., de Boer et al., 2014;
29 100 Miller et al., 2020) for Marine Isotope Stage (MIS) 100).

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39 101 The above clearly highlights the need for improving our knowledge on the water-mass
40 102 mixing history in the deep North Atlantic Ocean. This is even more true in light of
41 103 anthropogenic climate change, which requires a better understanding of sea-level dynamics

104 under warmer-than-modern climatic conditions, such as during the Plio-Pleistocene, on human-
1 relevant timescales. To derive such geochemically-based sea-level reconstructions, in turn,
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5 profound knowledge on the deep water-mass mixing history at a sub-millennial-scale resolution
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8 is required. This is because high-resolution records on water-mass mixing (compared to yet
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11 available lower resolved records) are likely more appropriate to be integrated with well-
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13 resolved benthic geochemical proxy records from North Atlantic drill cores for sea-level
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16 reconstruction.

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19 112 **1.3 Atlantic-Pacific bottom-water temperature difference as a tracer for North Atlantic** 20 21 **deep-water masses**

24 114 To improve our understanding of water-mass prevalence in the deep North Atlantic, we
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27 generated a new, semi-quantitative record of the deep-water mixing history in the North
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30 Atlantic (%NCW) for ~2.65 to 2.4 Ma (MIS G1–95) at a yet unprecedented temporal resolution.
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33 Our record is based on a novel approach utilizing the difference in Atlantic-Pacific bottom-
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35 water-temperatures (Δ BWT) derived from benthic foraminiferal calcite. It covers the late
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38 Pliocene/early Pleistocene, including the culmination of the iNHG (MIS 100–96). During that
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40 time, the Laurentide Ice Sheet advanced into the midlatitudes for the first time (Bailey et al.,
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42 2010; Balco and Rovey, 2010; Lang et al., 2014), and ice rafting became widespread across the
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45 North Atlantic (e.g., Shackleton et al., 1984; Flesche Kleiven et al., 2002; Naafs et al., 2013),
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47 with ice-rafted debris flux and provenance comparable to that of the Last Glacial Maximum
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50 (Bailey et al., 2013).

51 125 Traditionally, benthic $\delta^{13}\text{C}$ gradients are used for the purpose of our study – an approach
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54 we did not select here because benthic $\delta^{13}\text{C}$ is likely not a simple fingerprint of water masses,
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57 but is also affected by other geochemical processes, such as organic-matter remineralization,
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59 that can substantially alter the initial $\delta^{13}\text{C}$ signature of a water mass (e.g., Gebbie, 2014). This
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62 might be of particular relevance for high-productivity regions such as the Eastern Equatorial

130 Pacific (EEP), where the benthic $\delta^{13}\text{C}$ signal likely reflects both the water-mass signature and
131 local variations in productivity and therefore organic-matter export into and remineralization in
132 the deep sea (Jakob et al., 2016).

133 To determine Atlantic-Pacific ΔBWT , we choose a site in the North Atlantic (IODP Site
134 U1313) that was alternately bathed by cold, southern-derived waters during glacials and
135 warmer, northern-sourced deep waters during interglacials as evidenced by both ϵ_{Nd} and $\delta^{13}\text{C}$
136 records (e.g., Raymo et al., 1990; Dwyer and Chandler, 2008; Lang et al., 2016). In contrast,
137 our selected Pacific Ocean site (Ocean Drilling Program (ODP) Site 849) was consistently
138 bathed by relatively cold, southern-sourced waters (Mix et al., 1995) (Fig. 2). Importantly,
139 deep-water formation in the North Pacific and export to the lower latitudes as considered for
140 the warm Pliocene (Burls et al., 2017; Ferreira et al., 2018) or late Pleistocene extreme glacials
141 (Knudson & Ravelo, 2015) is unlikely to have occurred during our target interval.

142 For Site 849, a new, millennial-scale resolution BWT record has been generated for this
143 study, while for Site U1313 such a record is already available (Jakob et al., 2020) (Tab. 1).
144 Based on these records, our approach relies on the simple approximation that a large BWT
145 difference between these sites indicates northern-sourced waters in the deep North Atlantic,
146 while a reduced BWT difference suggests the penetration of southern-sourced deep waters into
147 the North Atlantic (see Section 2.6 for details). The fidelity of our approach is validated with
148 new (Site 849) and previously available (Site U1313; Lang et al., 2016) ϵ_{Nd} data as a tracer for
149 different water masses from both the East Pacific and the North Atlantic Oceans (Tab. 1).

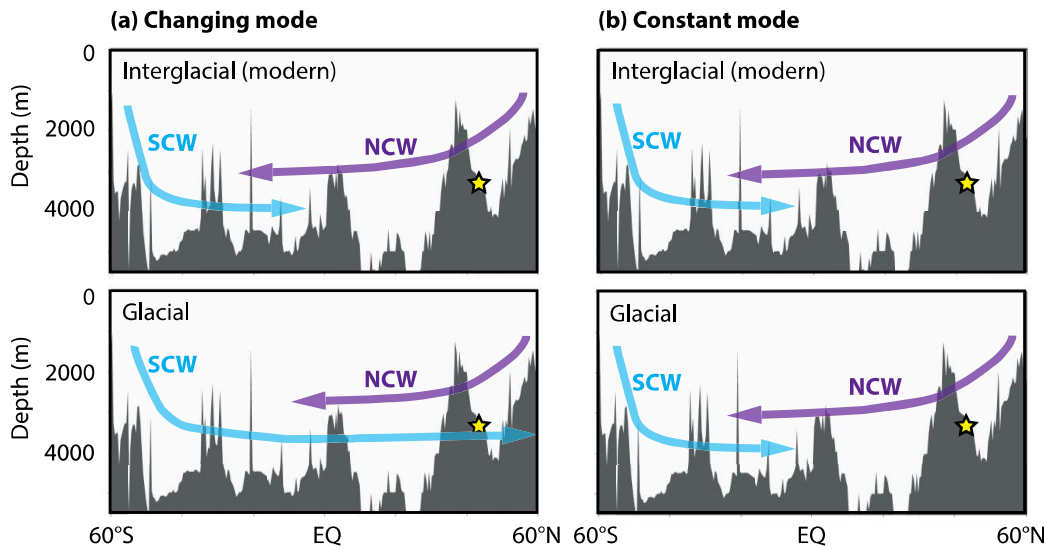


Figure 1. Schematic illustration of deep-water masses in the Atlantic Ocean. Blue and purple arrows indicate the pathway of southern- and northern-component waters (SCW and NCW, respectively) for both interglacials and glacials in two different scenarios. (a) “Changing mode” with SCW penetrating further north during glacials compared to interglacials. (b) “Constant mode” with no significant changes in deep-water circulation on the glacial-interglacial timescale. Yellow star indicates the position of IODP Site U1313. The north-south depth profile corresponds to 32 °W as indicated in Fig. 2a. Bathymetry after Ocean Data View (Schlitzer, 2016).

2. Material and methods

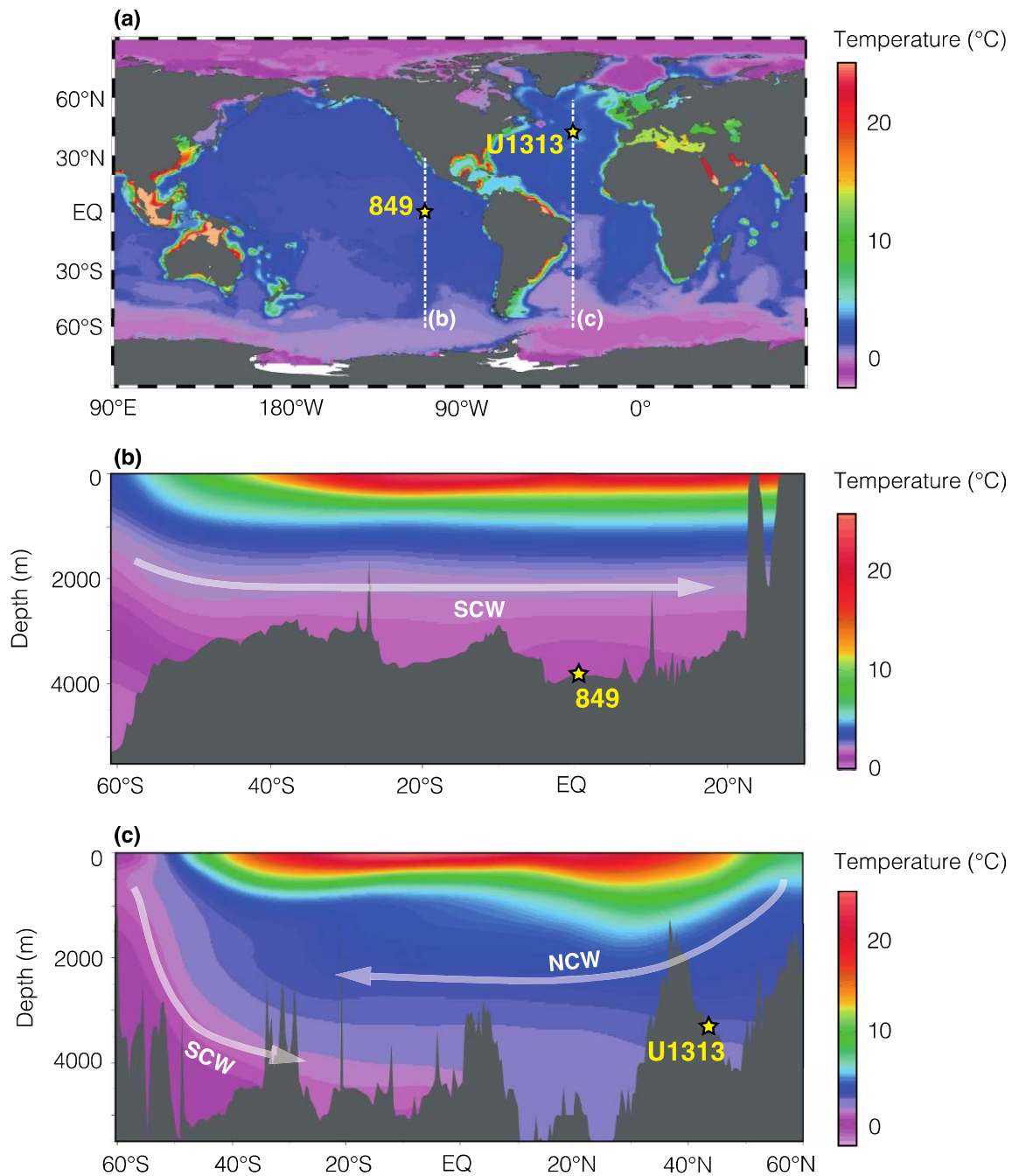
2.1. Site locations

The following two sites were used to evaluate the Atlantic-Pacific BWT difference and thus water-mass prevalence in the deep North Atlantic: ODP Site 849 (0.1°N, 110.3°W, 3851 m water depth; Mayer et al. (1992)) in the EEP and IODP Site U1313 (41.0°N, 32.6°W, 3426 m water depth; Expedition 306 Scientists (2006)) in the North Atlantic (Fig. 2). Site 849 has been chosen because (i) it was permanently bathed by a single deep-water mass derived from the south (see Section 1.3), (ii) it can be considered to represent mean Pacific Ocean conditions (Mix et al., 1995) and (iii) it exhibits continuous sedimentation with high sedimentation rates (2.5–3 cm/kyr (Mayer et al., 1992; Mix et al., 1995; Jakob et al., 2017)) and good foraminiferal preservation (Jakob et al., 2016; Jakob et al., 2018) throughout our study interval. Site U1313 has been selected because (i) it was under the varying influence of NCW and SCW during Plio-

170 Pleistocene interglacials and glacials, respectively (e.g., Raymo et al., 1990; Lang et al., 2016),
171 and (ii) it is the reoccupation of Deep Sea Drilling Program (DSDP) Site 607, a benchmark site
172 for monitoring deep waters throughout the Plio-Pleistocene, that benefited from modern coring
173 techniques (Expedition 306 Scientists, 2006, and references therein).

174 Both sites exhibit excellent age control for the study interval owing to their high-
175 resolution benthic foraminiferal (*Cibicidoides wuellerstorfi*) $\delta^{18}\text{O}$ chronologies tuned to the
176 LR04 stack (Lisiecki and Raymo, 2005; Bolton et al., 2010; Jakob et al., 2017). Together, these
177 details warrant optimum preconditions for generating a high-quality, semi-quantitative record
178 of the water-mass provenance in the North Atlantic Ocean at a high temporal resolution.

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182 **Figure 2.** Location of studied sites. (a) Global map showing the position of ODP Site 849 in the East Pacific and
 183 IODP Site U1313 in the North Atlantic together with present-day mean annual sea-floor temperatures. Dashed
 184 lines indicate north-south depth profiles through the Pacific (110 °W) and Atlantic (32 °W) Oceans shown in (b)
 185 and (c), respectively. White arrows indicate the present-day pathway of southern-component waters (SCW) and
 186 northern-component waters (NCW). Bathymetry and temperatures (in °C) after Ocean Data View (Schlitzer, 2016)
 187 and World Ocean Atlas (Boyer et al., 2013; Locarnini et al., 2013).

2.2 Sample material and processing

For our study, a new benthic foraminiferal Mg/Ca-based BWT record on millennial-scale resolution together with a glacial-interglacial-scale resolution ϵ_{Nd} record have been generated for EEP Site 849 for the ~ 2.65 – 2.4 Ma interval. These records were then integrated with already available BWT and ϵ_{Nd} records of North Atlantic Site U1313 (Lang et al., 2016; Jakob et al., 2020) (Tab. 1).

To study MIS G1–95 (~ 2.65 – 2.4 Ma) at Site 849, we investigated cores 849C-7H-1-80 cm to 849C-7H-2-21 cm and 849D-6H-5-102 cm to 849D-7H-3-73 cm (67.8–74.2 m composite depth (mcd); Hagelberg et al., 1992). For generating a record on a millennial-scale temporal resolution, 190 samples (sample volume: 20 cm^3) were investigated at a 2-cm spacing, which yields a temporal resolution of ~ 800 yr (Jakob et al., 2017).

For foraminiferal Mg/Ca analysis, samples were dried, weighed, and washed over a $63 \mu\text{m}$ sieve. On average, twelve tests of the benthic foraminifer *Oridorsalis umbonatus* (Reuss, 1851) (Fig. 3) were picked from the $>150 \mu\text{m}$ dried sediment fraction of these samples. Subsequently, tests were cracked and homogenized; a subsample of $\sim 2/3$ was used for Mg/Ca analysis. The species *O. umbonatus* was selected for our study because it occurs continuously throughout the studied core material with the necessary number of individuals; further it is well suited for the purpose of our study as it is a highly reliable Mg/Ca recorder. This is because (i) it is easier to clean for Mg/Ca analyses than other taxa due to its larger chambers, (ii) because of its shallow infaunal habitat it is well buffered to the influence of changes in seawater carbonate ions and thus ocean *pH* related to different water masses (Rathmann and Kuhnert, 2008; Lear et al., 2015), and (iii) it shows only a low sensitivity to temporal variations in seawater Mg/Ca ($\text{Mg}/\text{Ca}_{\text{sw}}$) (Lear et al., 2015). Importantly, the Mg/Ca-derived BWT record from Site U1313 (Jakob et al. 2020), to which we compare our new Mg/Ca-based BWT record from Site 849, is based on the same benthic foraminiferal species.

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2 **215** **Table 1.** Compilation of the geochemical datasets from ODP Site 849 and IODP Site U1313 evaluated in this

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4 **216** study.

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| Site | Proxy record | Proxy for | Reference |
|-----------------|--|-------------------|----------------------|
| ODP Site 849 | Mg/Ca (<i>O. umbonatus</i>) | BWT | This study |
| | ϵ_{Nd} (fish debris) | Ocean circulation | This study |
| | $\delta^{18}O$ (<i>C. wuellerstorfi</i>) | Age model | Jakob et al. (2017) |
| IODP Site U1313 | Mg/Ca (<i>O. umbonatus</i>) | BWT | Jakob et al. (2020) |
| | ϵ_{Nd} (fish debris) | Ocean circulation | Lang et al. (2016) |
| | $\delta^{18}O$ (<i>C. wuellerstorfi</i>) | Age model | Bolton et al. (2010) |

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26 **219** **2.3 Foraminiferal preservation**

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29 **220** The preservation of the *O. umbonatus* tests used for Mg/Ca analysis was examined by Scanning

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31 **221** Electron Microscopy (SEM) for selected specimens from both glacial and interglacial intervals.

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34 **222** Images were taken with a LEO 440 SEM at the Institute of Earth Sciences, Heidelberg

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36 **223** University. Results indicate that the preservation is consistently good to acquire high-quality

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38 **224** Mg/Ca data (Fig. 3), which is in line with previous studies documenting excellent preservation

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40 **225** of foraminiferal calcite for the studied interval of Site 849 (Jakob et al., 2016; Jakob et al.,

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42 **226** 2018).

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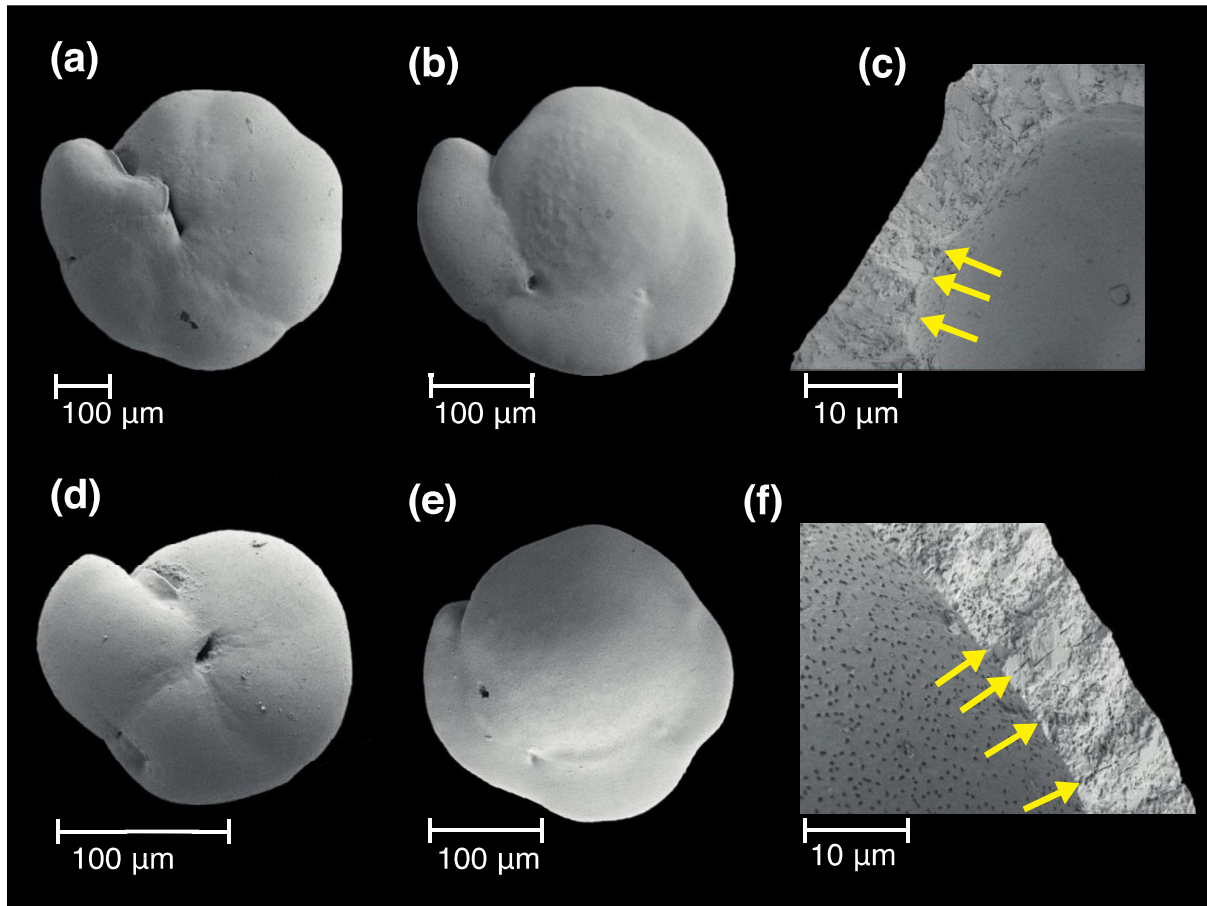


Figure 3. Scanning Electron Microscope micrographs of *Oridorsalis umbonatus* from Site 849 in the EEP. Both glacial (a–c; sample 849D-7H-2-41–43 cm) and interglacial (d–f; sample 849D-7H-4-103–105 cm) tests are well preserved, allowing for the acquisition of reliable Mg/Ca data. Note the preservation of delicate pore channels in the close-up views (yellow arrows in c, f).

2.4 Mg/Ca analysis

Samples for Mg/Ca analyses from Site 849 were carefully cleaned to remove clay minerals, organic material and re-adsorbed contaminants following the cleaning protocol from Barker et al. (2003). The reductive cleaning step was omitted because it removes Mg from foraminiferal tests and therefore decreases their Mg/Ca ratios (Barker et al., 2003; Rosenthal et al., 2004).

Mg/Ca samples were run on an Agilent Inductively Coupled Plasma-Optical Emission Spectrometer (ICP-OES) 720 at the Institute of Earth Sciences, Heidelberg University. To

242 identify potential contamination by clay particles or diagenetic coatings that might bias
243 foraminiferal Mg/Ca ratios (Barker et al., 2003), the element ratios of Al/Ca, Fe/Ca and Mn/Ca
244 were screened (see Section 3.1 for details). Reported Mg/Ca values were normalized relative to
245 the ECRM 752-1 reference values of 3.762 mmol/mol (Greaves et al., 2008). Al/Ca, Fe/Ca, and
246 Mn/Ca ratios are presented as unnormalized values since Al, Fe and Mn concentrations of the
247 ECRM were typically below the detection limit of the ICP-OES (<1 mg/l); the fidelity of values
248 derived for these elements, however, has been ensured with additional certified reference
249 materials (SPS-SW2, TMDA-70.2). To ensure instrumental precision, the ECRM standard was
250 monitored as an internal consistency standard at least every 20 samples. Based on these
251 replicate measurements, the standard deviation for Mg/Ca is $\pm 0.8\%$ (equal to approximately
252 $\pm 0.1\text{ }^{\circ}\text{C}$).

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254 **2.5 Paleotemperature reconstruction**

255 Site 849 Mg/Ca ratios were converted into BWT estimates applying the same calibration used
256 to reconstruct BWTs out of Mg/Ca data for Site U1313 (Jakob et al., 2020), i.e., a species-
257 specific equation for *O. umbonatus* derived from core-top samples ($\text{BWT} = \ln[(\text{Mg/Ca}) / (1.008$
258 $\pm 0.08)] \times [1 / (0.114 \pm 0.02)]$) (Lear et al., 2002). Based on error propagation including both
259 the uncertainty of the Mg/Ca analysis and of the BWT calibration, we estimate the uncertainty
260 for our BWT estimates to be $\pm 0.8\text{ }^{\circ}\text{C}$.

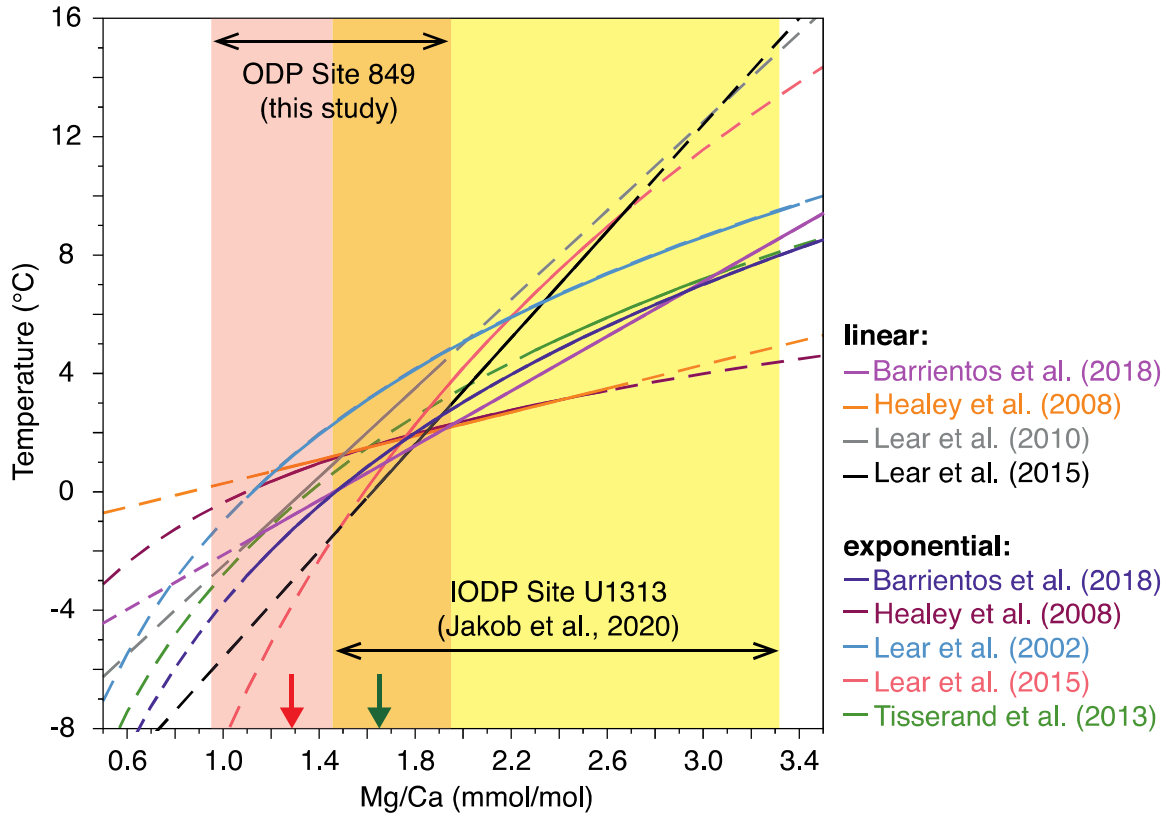
261 Reasons for selecting the Mg/Ca-BWT calibration from Lear et al. (2002) for our study
262 are three-fold: First, it is an *O. umbonatus*-specific calibration, and the Mg/Ca values that we
263 reconstruct for Site 849 (0.95–1.96 mmol/mol) fit best to the calibration range (1.09–3.43
264 mmol/mol) of that equation compared to other species-specific equations (Fig. 4). Temperatures
265 in our reconstruction for the 0.95–1.09 mmol/mol Mg/Ca range might be more uncertain than
266 those for the 1.09–1.96 mmol/mol range, but the same uncertainty would also derive from all
267 other yet available *O. umbonatus*-specific Mg/Ca-temperature calibrations. Second, proper

268 BWT-difference calculation between North Atlantic Site U1313 and EEP Site 849 requires that
269 BWT records from both sites are based on the same Mg/Ca-BWT calibration, and the only yet
270 available *O. umbonatus*-specific calibration that captures nearly the entire Mg/Ca range
271 reconstructed for Sites 849 and U1313 (0.95–3.32 mmol/mol) is that from Lear et al. (2002)
272 (Fig. 4). Third, the selected equation is based on multiple, globally distributed core-top samples
273 and therefore applicable to both the EEP and the North Atlantic Oceans.

274 Applying the above calibration to our Mg/Ca data from Site 849 requires two
275 adjustments: First, because the selected equation is based on oxidative and reductive cleaning
276 of foraminiferal tests, while we only applied oxidative cleaning to our samples, the measured
277 Mg/Ca values were adjusted by reducing each value by 10 % (Barker et al., 2003; Ford et al.,
278 2016). Second, Mg/Ca values used for our BWT reconstruction were adjusted to past variations
279 in Mg/Ca_{sw} by using equation (3) from Lear et al. (2002) and estimates of past Mg/Ca_{sw} from
280 Evans et al. (2016). This correction is required because temporal variations in Mg/Ca_{sw} from
281 5.2 mol/mol in the modern to 4.25–4.4 mol/mol during the studied interval (Evans et al., 2016)
282 have the potential to influence reported foraminiferal Mg/Ca ratios (and therefore Mg/Ca-based
283 records) of timescales longer or older than the residence time of Mg and Ca in seawater, i.e.,
284 >1 Myr (Fantle & DePaolo, 2005; 2006). Although uncertainties are associated with past
285 Mg/Ca_{sw} estimates (compare, e.g., Evans et al. (2016) and Rausch et al. (2013)) and therefore
286 with absolute BWTs that we calculate, the BWT difference is not affected by this source of
287 uncertainty as the same Mg/Ca_{sw} estimates (Evans et al., 2016) are applied to the Site 849 and
288 U1313 sample sets.

289 The above-described approach selected to calculate BWT at Site 849 was validated by
290 reconstructing deep-sea temperatures for Holocene samples of the same site that were treated
291 identically to the Plio-Pleistocene samples. Our exercise shows that the resulting temperature
292 is, within the error associated with our approach, indistinguishable from the modern-day BWT

293 at the study site of ~ 1.5 °C (Locarnini et al., 2013) (Fig. 5) and therefore justifies our
 294 calculations.



296 **Figure 4.** Species-specific Mg/Ca-BWT calibrations for *O. umbonatus*. Calibrations are from Lear et al. (2002)
 297 (used in this study), Healey et al. (2008), Lear et al. (2010), Tisserand et al., (2013), Lear et al. (2015), and
 298 Barrientos et al. (2018). Solid part of a line depicts the calibration range for each equation. Note that the calibration
 299 from Barrientos et al. (2018) is based on *O. tener* and *O. umbonatus*. Orange and yellow bars highlight the Mg/Ca
 300 raw-data range of *O. umbonatus* at Site 849 (this study) and Site U1313 (Jakob et al., 2020), respectively, for the
 301 herein studied time interval (~ 2.65 – 2.4 Ma). Red and green arrows indicate Mg/Ca values of most recent samples
 302 of ODP Site 849 (1.28 mmol/mol; this study) and IODP Site U1313 (1.53 mmol/mol; Jakob et al., 2020),
 303 respectively.

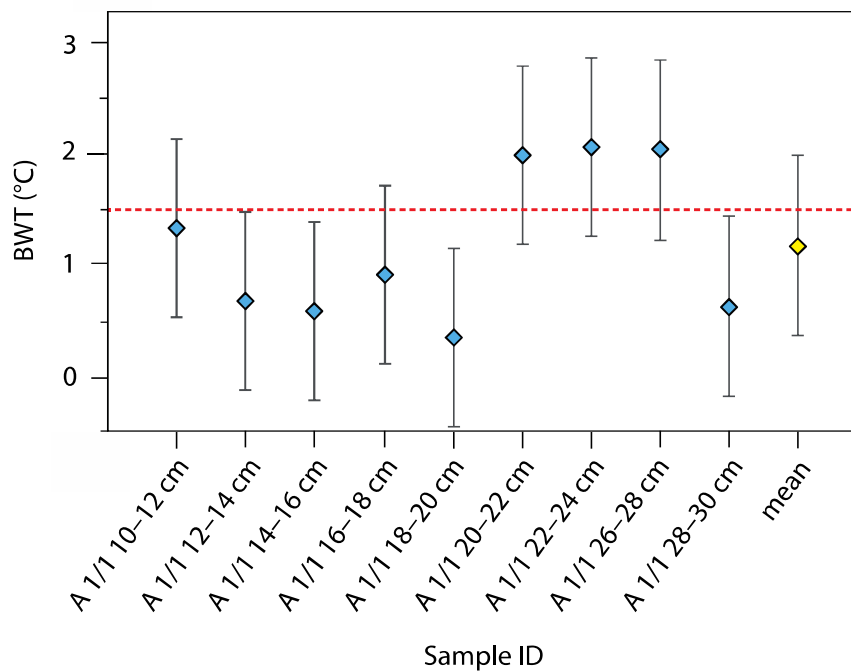


Figure 5. Mg/Ca-derived BWTs for uppermost (Holocene) samples from Site 849. Temperatures of nine samples (blue) and the from these samples resulting mean value (yellow) are shown in comparison to the present-day BWT at Site 849 (~1.5 °C (red dashed line); Locarnini et al., 2013). Vertical bars indicate the standard deviation ($\pm 1\sigma$) associated with the reconstructed temperature values.

2.6 Calculation of the bottom-water temperature difference and %NCW

As highlighted above, both sample sets from North Atlantic Site U1313 (Jakob et al., 2020) and from EEP Site 849 (this study) were treated equally in terms of BWT calculation, which is a necessary precondition for a proper calculation of Δ BWT between these two sites. For this purpose, the BWT record from Site U1313 (Jakob et al., 2020) was linearly interpolated to our new BWT record from Site 849 as the latter has a lower mean temporal resolution.

To develop a semi-quantitative record of %NCW in the deep North Atlantic out of the Atlantic-Pacific (Site U1313-to-849) temperature difference, the following assumptions were made: (i) An Atlantic-Pacific BWT difference of 0 °C or less indicates that both sites were bathed by the same southern-sourced water mass, which is equal to 0 %NCW at Site U1313. (ii) A difference of 2.6 °C or more (equal to the temperature difference between modern AABW

323 and NADW; Craig & Gordon, 1965) is related to 100 %SCW at Site 849 in the EEP and 100
324 %NCW at Site U1313 in the North Atlantic. Any value in between these Δ BWT endmembers
325 is likely to reflect a mixture of southern- and northern-sourced deep waters in the North
326 Atlantic. Given an uncertainty in the Site 849 and U1313 BWT records of ± 0.8 °C (this study)
327 and ± 1.1 °C (Jakob et al., 2020), respectively, calculated Δ BWT and %NCW estimates are
328 necessarily more uncertain for a small (compared to rather large) Site 849-to-U1313
329 temperature difference.

330 Importantly, our approach relies on the assumption that Δ BWT between NADW and
331 AABW, regardless of the absolute temperature value, was similar during our study interval and
332 the present-day. Finally, we note that the selected approach is a simplified approach as
333 southern-sourced deep water in the EEP might not solely reflect AABW *sensu stricto*, but rather
334 represents Pacific Deep Water, i.e., a mixture of AABW, recirculated NADW and Antarctic
335 Intermediate Water (e.g., Mix et al., 1995; Kwiek & Ravelo, 1999). AABW, however, is
336 considered as its main component (Johnson, 2008) and therefore justifies our approach as a
337 valid endmember scenario.

338

339 **2.7 Smoothing of records**

340 In our study, we present both raw data and smoothed records. The latter were generated in order
341 to remove short-term variabilities and to emphasis the overall trend of a record. Smoothing was
342 carried out with a five-point running average using the AnalySeries software package version
343 2.0.8 (Paillard et al., 1996).

344

345 **2.8 Neodymium isotopes**

346 To determine the neodymium isotopic composition of samples representing peak glacial and
347 interglacial conditions at Site 849, fish teeth and bones with a minimum weight of 150 μ g were
348 picked from the >63 μ m size fraction of six samples. Fish-debris samples were cleaned in

349 MilliQ water through ultrasonification and dissolved in concentrated HCl prior to column
1
2 350 chemistry. Dissolution and column chemistry were performed following standard procedures
3
4 351 (Cohen et al., 1988; Pin et al., 1994). Neodymium isotope ratios ($^{143}\text{Nd}/^{144}\text{Nd}$) were analyzed
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6
7 352 with a Multi Collector-Inductively Coupled Plasma-Mass Spectrometer Thermo Fisher
8
9 353 Neptune Plus at the Institute of Environmental Physics, Heidelberg University. Raw data were
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11
12 354 corrected to a $^{146}\text{Nd}/^{144}\text{Nd}$ ratio of 0.7219 with an exponential mass bias law and subsequently
13
14 355 to the accepted $^{143}\text{Nd}/^{144}\text{Nd}$ ratio of 0.512115 for the bracketing JNdi-1 standard solutions
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17 356 (Tanaka et al., 2000). Presented Nd isotope ratios are reported as $\epsilon_{\text{Nd}} =$
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19 357 $\{[(^{143}\text{Nd}/^{144}\text{Nd}_{\text{sample}})/(^{143}\text{Nd}/^{144}\text{Nd}_{\text{CHUR}})]-1\} \times 10^4$, where $^{143}\text{Nd}/^{144}\text{Nd}_{\text{CHUR}}$ (value of 0.512638)
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21
22 358 refers to the Chondritic Uniform Reservoir (Jacobsen and Wasserburg, 1980). The
23
24 359 reproducibility obtained from repeated measurements of secondary standards was $\pm 0.2 \epsilon_{\text{Nd}}$ units
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26 360 (2σ).
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362 3. Results and discussion

363 3.1 Evaluation of contamination and diagenetic effects on Mg/Ca ratios of *O. umbonatus*

364 Al/Ca, Fe/Ca and Mn/Ca ratios of foraminiferal tests exceeding 0.1 mmol/mol are typically
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38
39 365 considered to indicate the presence of clay, Fe-Mn-oxyhydroxides or Fe-Mn carbonate coatings
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41 366 not removed during the Mg/Ca cleaning process. Such contaminations might bias the
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44 367 reconstructed Mg/Ca signature of foraminiferal tests (Barker et al., 2003).

45
46 368 In our samples, absolute Al concentrations were below or near the detection limit of the
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49 369 ICP-OES (see Section 2.4), which indicates that *O. umbonatus* tests were unaffected by clay
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51 370 contamination. Fe/Ca ratios remain typically below 0.1 mmol/mol, implying that Fe-bearing
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54 371 coatings did not exist on *O. umbonatus* test surfaces (Fig. 6a, c). In contrast, Mn/Ca values are
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56 372 clearly above the 0.1 mmol/mol threshold value indicative for the presence of Mn-rich coatings
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58 373 (Fig. 6b, c). This could have biased the Mg/Ca values of *O. umbonatus* that we report, because
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61 374 Mn-rich coatings also contain Mg that pushes the original test Mg/Ca signature towards higher
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375 values, leading to an overestimation of reconstructed temperatures (Barker et al., 2003;
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2 376 Hasenfratz et al., 2017).

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4 377 However, in our samples we find no correlation between Mg/Ca and Mn/Ca ($r^2 = 0.005$)
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6
7 378 as it would be expected if tests were overgrown by Mn-rich coatings. For example, highest
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9 379 Mg/Ca ratios in our record of up to 1.96 mmol/mol at 2.63 Ma (corresponding to MIS G1) are
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11 380 not associated with enhanced Mn/Ca ratios (Fig. 6c), but rather reflect the average Mn/Ca ratio
12
13 381 in our record (1.02 mmol/mol). Moreover, SEM images do not show any kind of
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15 382 microcrystalline overgrowth on *O. umbonatus* test surfaces (Fig. 3). Although we cannot
16
17 383 entirely rule out the possibility of diagenetic changes in *O. umbonatus* tests because of enriched
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19 384 Mn/Ca ratios, we conclude that early diagenetic overprinting, if existing, has not severely
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21 385 affected Mg/Ca ratios of *O. umbonatus* for the ~2.65–2.4 Ma interval at Site 849 and therefore
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23 386 the interpretation of our record.
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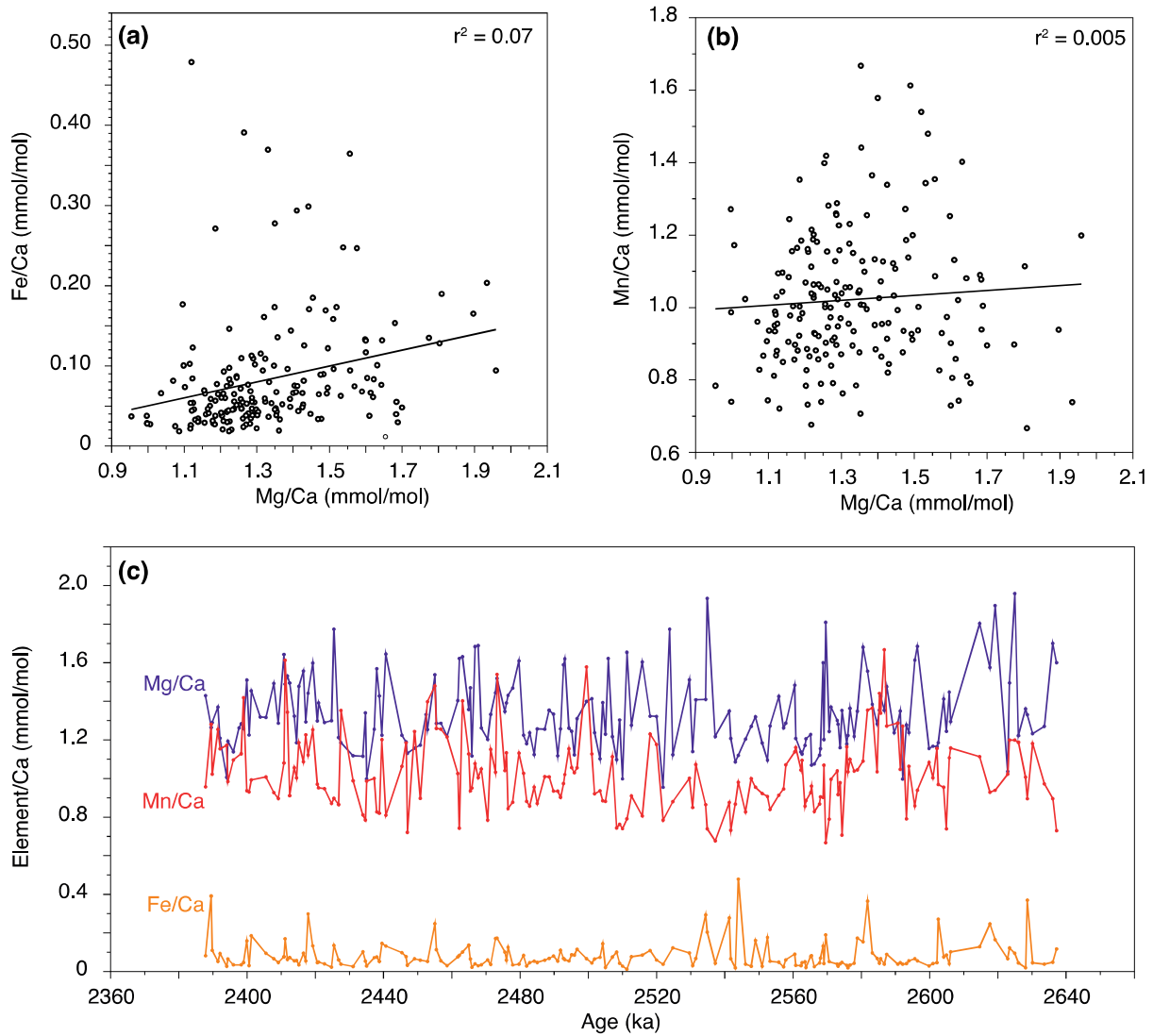


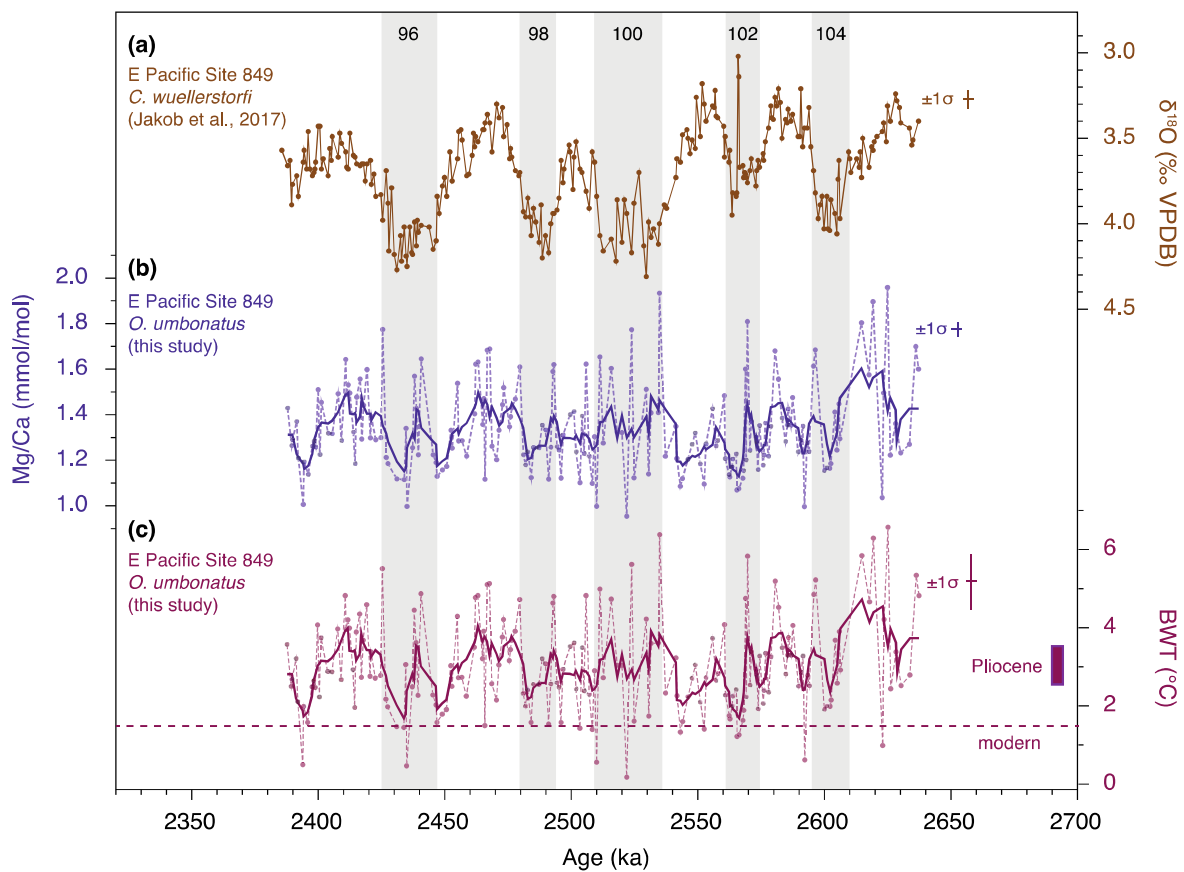
Figure 6. Evaluation of potential contaminations on the Mg/Ca ratio for *O. umbonatus* at Site 849 for the interval ~2.65–2.4 Ma. (a) Cross plot between Mg/Ca and Fe/Ca ratios. (b) Cross plot between Mg/Ca and Mn/Ca ratios. (c) Downcore Mg/Ca (dark blue), Mn/Ca (red) and Fe/Ca (orange) ratios.

3.2 Deep-water temperatures of the late Pliocene/early Pleistocene

3.2.1 East Pacific Site 849

The five-point smoothed average of our new Mg/Ca-based BWT record from Site 849 varies between 1.7 °C and 4.7 °C during the studied time period (Fig. 7). Raw data reach values as low and high as 0.2 °C and 6.6 °C, respectively. Average BWTs of ~3 °C are ~1.5 °C warmer than present-day deep-sea temperatures at that site (Locarnini et al., 2013), implying a ~1.5 °C cooling of EEP deep waters from ~2.5 Ma until today. This ~1.5 °C cooling is at the lower end

400 of temperature data derived from model simulations that indicate a decrease of 2–3 °C in the
 401 deep EEP from the mid-Pliocene period towards pre-industrial times (Burls et al., 2017). Visual
 402 evaluation of our smoothed BWT record appears to indicate low-amplitude (<2 °C) glacial-
 403 interglacial variations across the entire investigated time period, which means that BWTs
 404 during pronounced (as indicated by positive excursions in the benthic $\delta^{18}\text{O}$ record) glacials of
 405 the iNHG (MIS 100, 98 and 96) are indistinguishable to less intense glacials of our study
 406 interval (MIS 104 and 102).



408
 409 **Figure 7.** Proxy records for the deep East Pacific (Site 849) covering ~2.65–2.4 Ma (MIS G1–95). (a) Oxygen-
 410 isotope record of the benthic foraminifer *C. wuellerstorfi* for age control (Jakob et al., 2017). (b) Mg/Ca record
 411 derived from the benthic foraminifer *O. umbonatus*. (c) Mg/Ca-based estimates of deep-sea temperature (corrected
 412 for past changes in $\text{Mg}/\text{Ca}_{\text{sw}}$) in comparison to the modern value (dashed line, ~1.5 °C (Locarnini et al., 2013))
 413 and modelled mid-Pliocene values (purple bar; calculated from the pre-industrial to mid-Pliocene BWT difference
 414 of 2–3 °C (Burls et al., 2017) and a pre-industrial North Pacific BWT value of ~0.5 °C (Hill et al., 2017)) at Site

415 849. Dashed lines in (b) and (c) show raw data, solid lines represent the five-point smoothed average of a record.
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2 416 Vertical bars indicate the standard deviation associated with the proxy records. Grey bars mark glacial periods.

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6 418 **3.2.2 Atlantic-Pacific difference**

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9 419 The only other deep-water temperature record for our study interval with a sufficient temporal
10
11 420 resolution to compare with our new record from EEP Site 849 comes from North Atlantic Site
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13 421 U1313 (Jakob et al., 2020) and is based on exactly the same methodology applied herein. Site
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16 422 U1313 is a reoccupation of DSDP Site 607, for which a Mg/Ca-based BWT record is also
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18 423 available (Sosdian & Rosenthal, 2009). The temporal resolution of that record, is however,
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20
21 424 much lower (~3 kyr) compared to that of the BWT records from Site U1313 (~375–1500 yr)
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23 425 and Site 849 (~800 yr), such that peak glacial and interglacial values are possibly not captured
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26 426 in the lower resolved record from Site 607. In addition, the reliability of BWTs reconstructed
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28 427 for Site 607 has been questioned as modern values cannot be reconciled when applying the
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31 428 approach used by Sosdian & Rosenthal (2009) to core-top samples (Yu & Broecker, 2010).
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33 429 Importantly, the latter has been ensured for the approach used to reconstruct BWTs at Sites
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36 430 U1313 (Jakob et al., 2020) and 849 (Fig. 5)

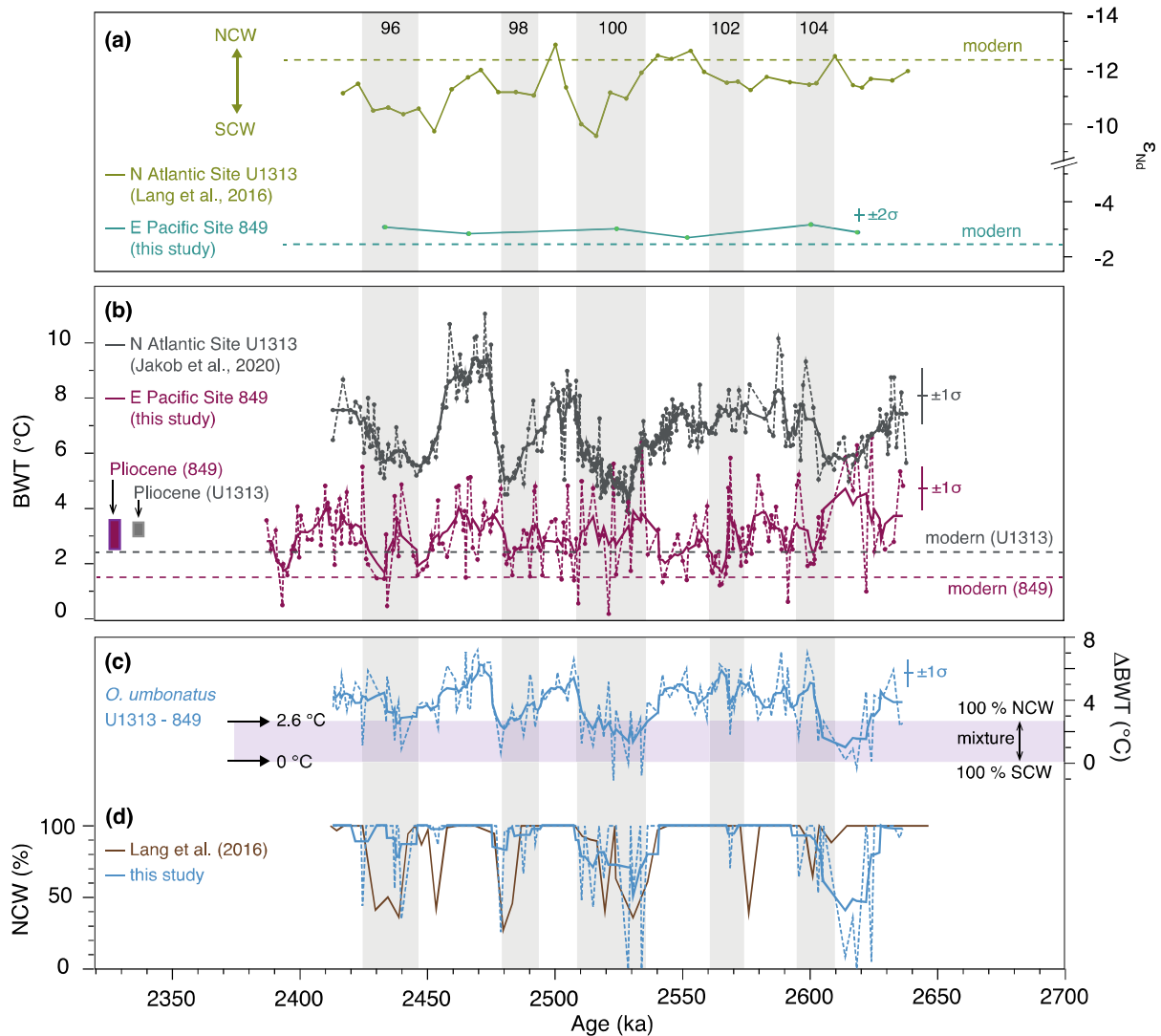
37
38 431 At Site U1313, BWT raw data fluctuate between 3.8 °C and 11.1 °C and the smoothed
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40 432 record indicates temperatures between 4.4 °C and 9.5 °C. The average BWT value (6.9 °C) is
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43 433 clearly above the present-day deep-sea temperature at that site (~2.5 °C; Locarnini et al., 2013),
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45 434 and also 3.9 °C warmer compared to Plio-Pleistocene temperatures at EEP Site 849 (Fig. 8b).
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48 435 Average BWTs are also higher than the modelled mid-Pliocene deep-sea temperature of 3.1–
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50 436 3.4 °C at Site U1313 (Hill et al., 2017). The latter implies i) warming in the North Atlantic from
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52
53 437 the mid-Pliocene towards the Plio-Pleistocene transition, which is, however, difficult to
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55 438 reconcile with the general picture of global cooling from the mid-Pliocene to the present-day;
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58 439 ii) uncertainties in the Site U1313 BWT reconstruction, which is, however, unlikely as the
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60 440 robustness of this reconstruction has been proven with core-top samples (Jakob et al., 2020); or

1
2 442 iii) uncertainties associated with the model simulation, such as local palaeogeographic changes
3 not being incorporated into the model (Hill et al., 2017).

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5 443 The Atlantic-Pacific (Site U1313-to-849) BWT difference as outlined above was not
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7 444 constant during the studied interval, but varied on glacial-interglacial timescales. Specifically,
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9 445 Δ BWT was typically smaller than the average difference (3.9 °C) during strong glacials and
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11 446 larger during interglacials, which is related to larger-scale glacial-interglacial BWT amplitudes
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13 447 in the North Atlantic (>3 °C) compared to the EEP (<2 °C). We ascribe this discrepancy to
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15 448 changing deep-water masses with different temperatures that alternately influenced the seafloor
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17 449 in the North Atlantic on glacial-interglacial timescales, while the deep EEP was permanently
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19 450 bathed by a single water mass that ultimately derives from the high southern latitudes.
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24 451 Supporting this view, our new ϵ_{Nd} data from Site 849 in the EEP show relatively constant
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26 452 values between -3.2 and -2.7 that are nearly identical to present-day values in this region (Hu
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28 453 and Piotrowski, 2018) (Fig. 8a), indicating the presence of only one water mass at that locality
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30 454 during both glacials and interglacials. In contrast, ϵ_{Nd} data from the same interval at Site U1313
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32 455 in the North Atlantic (Lang et al., 2016) (Fig. 8a) suggest that the influence of northern-sourced
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34 456 waters, which prevailed during interglacials at that site, was suppressed during late
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36 457 Pliocene/early Pleistocene glacials; at those times, colder, southern-sourced waters advanced
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39 458 into the deep North Atlantic.
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462 **Figure 8.** East Pacific and North Atlantic ϵ_{Nd} and deep-water temperature, together with estimates of northern-463 component waters at Site U1313 for ~2.65–2.4 Ma (MIS G1–95). (a) Fish-debris-derived ϵ_{Nd} record from Sites

464 849 (this study) and U1313 (Lang et al., 2016) with lower values indicating NCW and higher values indicating

465 SCW. Dashed lines indicate modern ϵ_{Nd} values of NADW (-12.3; Tachikawa et al., 2017) and of bottom waters466 close to Site 849 (Site RC13-114; -2.5; Hu and Piotrowski, 2018). (b) *O. umbonatus*-derived deep-sea temperatures467 (Mg/Ca_{sw} -corrected) from Sites 849 (this study) and U1313 (Jakob et al., 2020) in comparison to i) modern BWTs

468 (horizontal lines; Locarnini et al., 2013) of ~1.5 °C (Site 849) and ~2.5 °C (Site U1313), and ii) modelled BWTs

469 for the mid-Pliocene (vertical bars) of 3.1–3.4 °C (Site U1313; Hill et al., 2017) and 2.5–3.5 °C (Site 849; see Fig.

470 7 for details and references). (c) Atlantic-Pacific temperature difference based on the BWT records shown in (b),

471 purple bar marks a mixture between NCW and SCW based on their modern BWTs (Craig & Gordon, 1965). (d)

472 Δ BWT-based estimates of %NCW (blue; this study) in comparison to a previous %NCW record derived from $\delta^{13}C$

473 (brown; Lang et al., 2016). Dashed lines in (b) and (c) indicate raw data, solid lines show a five-point smoothed
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2 474 average. Vertical grey bars mark glacial periods.

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6 476 **3.3 Deep-ocean circulation change in the North Atlantic across iNHG**

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9 477 Based on the Atlantic-Pacific (Site U1313-to-849) BWT difference, we calculated a record of
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11 478 relative changes between northern- and southern-sourced waters in the deep North Atlantic. As
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14 479 outlined in Section 2.6, our calculations assume BWT differences of 0 °C and 2.6 °C to
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16 480 correspond to 0 % and 100 % northern-sourced waters at Site U1313 (Fig. 8c).

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18 481 Our new record of %NCW at Site U1313 (Fig. 8d) indicates different deep-water masses
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21 482 that alternately influenced the seafloor on glacial-interglacial timescales. In particular, we
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23 483 observe an increased influence of deep waters from the high southern latitudes at the expense
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26 484 of northern-sourced deep waters during prominent glacials of the iNHG. This pattern is
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28 485 generally consistent with previously published reconstructions for the same time interval (e.g.,
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31 486 Raymo et al. 1990; Lang et al., 2016), but the higher temporal resolution of our new record
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33 487 reveals a much more detailed picture of prevailing water masses in the deep North Atlantic
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36 488 Ocean.

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38 489 Incursions of southern-sourced waters were strongest in our record during glacial MIS
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41 490 100, i.e., the first glacial during which the Laurentide Ice Sheet advanced into the midlatitudes
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43 491 (Balco and Rovey, 2010). Our calculations imply that during this glacial, NCW at Site U1313
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45 492 was possibly (at least temporary) completely replaced by SCW. During MIS 98 and 96, i.e., the
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47
48 493 other two prominent glacials of the iNHG, SCW may have reached an amount of up to ~75 %
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50 494 at Site U1313. The potential temporal replacement of ~75–100 % of NCW by SCW, as implied
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53 495 by our calculation for strong iNHG glacials, is in the same order as estimates from Raymo et
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55 496 al. (1990) and Lang et al. (2016) for several glacials of the past 1 Myrs, except for the Last
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57
58 497 Glacial Maximum. During the latter, only ~35–55 % of NCW was likely replaced by SCW in
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60 498 the deep North Atlantic. Regardless of the magnitude of change, our records thus support the

499 “traditional” view (see Section 1 for details; “changing mode” in Fig. 1a) on glacial-interglacial
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2 500 variations of North Atlantic northern- versus southern-sourced deep-water masses during the
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5 501 late Pliocene/early Pleistocene iNHG.
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7 502 By extension, our detailed record even implies that glacials MIS 100, 98 and 96 were
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10 503 for the first time strong enough to invoke broader-scale changes in the deep-water circulation
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12 504 in the Atlantic Ocean. Previous studies (Lang et al., 2016; Sigman et al., 2010) suggest that
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14 505 excursions of SCW into the deep North Atlantic, as documented in our record, have important
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17 506 implications for the global carbon cycle, likely leading to increased storage of carbon dioxide
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19 507 in deep and cold southern-sourced waters. This then might have acted as a positive feedback
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22 508 mechanism through amplifying the strength of iNHG glacials.
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24 509 The BWT difference we reconstruct also indicates a strong influence of southern-
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27 510 sourced waters in the deep North Atlantic during interglacial MIS G1 (Fig. 8c–d). This is,
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29 511 however, difficult to reconcile with evidence from both $\delta^{13}\text{C}$ (Lang et al., 2014) and ϵ_{Nd} (Lang
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31 512 et al., 2016) records for the prevalence of NCW at Site U1313 during this interglacial (Fig. 8a).
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34 513 The reduced BWT difference thus likely reflects regional (EEP) deep-sea warming as
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36 514 evidenced by unusually warm temperature spikes at Site 849 (i.e., the warmest BWTs that we
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39 515 reconstruct) rather than water-mass changes at Site U1313.
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42 43 517 **4. Implications for sea-level reconstructions from the North Atlantic**

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46 518 Our record of semi-quantitative estimates of northern- versus southern-sourced waters in the
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49 519 deep North Atlantic not only reveals detailed insights into ocean circulation in the deep North
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51 520 Atlantic during the iNHG. Perhaps even more importantly, it provides a unique opportunity to
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53 521 substantially improve geochemically-derived ($\delta^{18}\text{O}$ and Mg/Ca) sea-level reconstructions that
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56 522 are based on North Atlantic drill cores.
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58 523 In this context, we integrated our new %NCW record with late Pliocene/early
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61 524 Pleistocene benthic Mg/Ca and $\delta^{18}\text{O}$ from Site U1313 to yield sea level and compared it to the
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525 originally published sea-level record (Jakob et al., 2020) (Fig. 9). The latter derived from a
526 more hypothetical approach for water-mass correction (Dwyer and Chandler, 2008) compared
527 to the usage of our new %NCW record for this purpose. In the “hypothetical approach”, a simple
528 water-mass normalization factor is applied to the underlying Mg/Ca and $\delta^{18}\text{O}$ proxy records,
529 which is based on a linear regression ($\text{BWT} = 5 \times \delta^{18}\text{O}_{\text{sw}} + 2.6$) between modern NADW (2.6
530 °C and 0.1‰) and AABW (0 °C and -0.5‰) temperature and $\delta^{18}\text{O}_{\text{sw}}$ endmembers (Craig &
531 Gordon, 1965; Dwyer and Chandler, 2008).

532 Our resulting %NCW-derived sea-level curve (blue line in Fig. 9) is, within the error
533 typically associated with $\delta^{18}\text{O}$ - and Mg/Ca-derived sea-level reconstructions of ± 20 – 30 m (e.g.,
534 Sosdian & Rosenthal, 2009; Jakob et al., 2020), indistinguishable to the originally published
535 record (purple line in Fig. 9). However, our new %NCW record helps to resolve a more detailed
536 picture of sea-level variability than revealed by the original sea-level record. For example, it
537 records higher-amplitude variations for frequencies shorter than the orbital-scale change for
538 specific intervals (e.g., MIS 100 and 96) compared to the previous reconstruction (Fig. 9). Also,
539 the glacial-interglacial amplitude of sea-level change appears to be slightly enhanced (e.g., MIS
540 101 to 100) or reduced (e.g., MIS 98 to 97) in our %NCW-derived reconstruction than resulting
541 from the original record.

542 Our new, semi-quantitative record on %NCW in the deep North Atlantic thus provides
543 a promising new avenue towards generally more accurate sea-level reconstructions based on
544 North Atlantic drill cores. The high temporal resolution of our record is particularly important
545 for deciphering millennial-scale changes in sea-level and hence ice-volume dynamics and
546 therefore climate variability in general.

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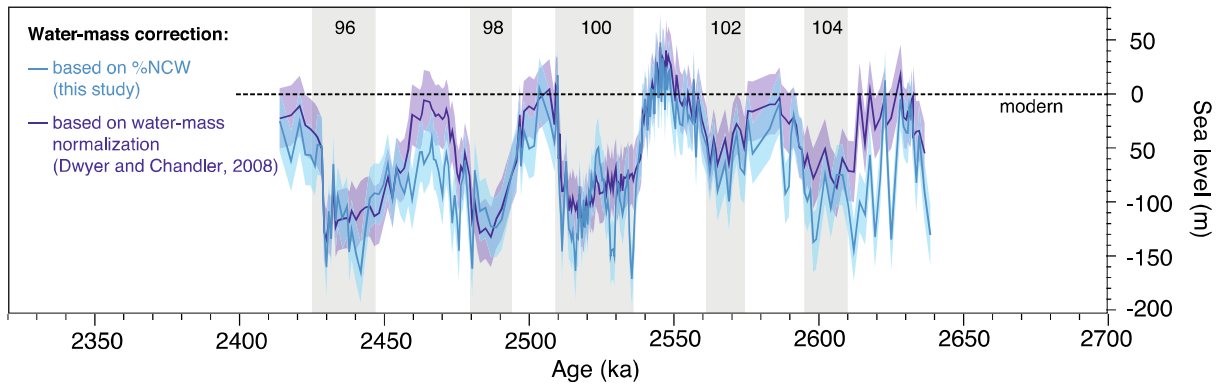


Figure 9. Application of our new %NCW record to reconstruct sea level in the North Atlantic for ~2.65–2.4 Ma (MIS G1–95). Mg/Ca- and $\delta^{18}\text{O}$ -based sea-level data from North Atlantic Site U1313 (Jakob et al., 2020) corrected for changing deep-water masses using i) estimates of northern- versus southern-sourced deep waters at the same site (blue; this study) and ii) the more traditional approach for water-mass correction following Dwyer and Chandler (2008) (approach used in Jakob et al., 2020). Dashed line indicates modern sea level. Vertical grey bars mark glacial periods.

5. Summary and conclusions

We generated a new benthic foraminiferal Mg/Ca-based deep-sea temperature record together with a neodymium-isotope record for ODP Site 849 in the EEP across the late Pliocene/early Pleistocene iNHG (~2.65–2.4 Ma). We observe BWTs that were on average ~1.5 °C warmer than today and that only yield small-scale glacial-interglacial oscillations of <2 °C across the studied time interval. The new ε_{Nd} record indicates that the observed BWT variability is not related to changing deep-water masses, but rather reflects a pure temperature change of a single water mass.

We further used our new BWT record from the EEP to calculate the Atlantic-Pacific (Site U1313-to-849) deep-sea-temperature difference, which allowed to unravel the history of water-mass prevalence in the deep North Atlantic at a much higher temporal resolution than so far available. Based on the assumption of similar-to-modern deep-water-mass temperature differences, our record implies a relation between major glacials of the iNHG and changes in

1 570 deep-water circulation pattern in the North Atlantic Ocean. In particular, our record shows an
2 571 increased influence of deep waters from the high southern latitudes at the expense of northern-
3
4 572 sourced deep waters during glacials, which was strongest during MIS 100. By extension, our
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7 573 record indicates that the iNHG glacials were likely strong enough to have a broader impact on
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9 574 the deep-water circulation in the Atlantic Ocean, with important implications for global carbon
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11 575 sequestration.

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14 576 Moreover, we show that our semi-quantitative record of deep-water-mass change in the
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16 577 North Atlantic is of major importance for geochemically-based sea-level reconstructions that
17
18 578 derive from North Atlantic drill cores. It provides a unique opportunity for correcting these
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20 579 records for changing deep-water masses at a so far unprecedented temporal resolution for a time
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22 580 interval that is widely considered a close analogue to near-future climate. As such, our record
23
24 581 will help to increase our understanding of the Earth's climate system in the past and, by
25
26 582 extension, in the near future.

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32 33 34 584 **Acknowledgements**

35
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57 58 594 **Declarations of competing interest**

59
60 595 None.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Author statement

Kim A. Jakob: Investigation; Writing - Original Draft; Writing - Review & Editing; Visualization

Jörg Pross: Writing - Original Draft; Writing - Review & Editing

Jasmin M. Link: Investigation; Writing - Original Draft; Writing - Review & Editing

Patrick Blaser: Writing - Original Draft; Writing - Review & Editing

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