

# Temperature and size-dependency of lumpfish (*Cyclopterus lumpus*) oxygen requirement and tolerance

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1 **Abstract**

2 Lumpfish are currently produced and utilizes as cleaner fish to control sea lice infestation  
3 rates in salmon net pens, but information on environmental requirements is still limited.  
4 This study aimed to determine the zone of environmental hypoxia for two relevant fish  
5 sizes (15 and 60 g) and temperatures (5 and 12°C), using intermittent flow respirometry  
6 (referred to as 15:5, 15:12, 60:5, 60:12), and to investigate parameters of stress in response  
7 to acute changes in dissolved oxygen (DO, % air saturation) from normoxia to 47, 63, 98  
8 (control), 148 and 194 % O<sub>2</sub> at 10 °C. The standard and maximal metabolic rates (SMR  
9 and MMR) were measured in normoxia (n=8), and MMR was measured at 5 – 6 DO levels  
10 ranging from 20– 160 % O<sub>2</sub> (n=8 per DO) to define the upper and lower boundaries of the  
11 hypoxic zone (DO<sub>lim</sub> and DO<sub>crit</sub>). SMR, MMR and the aerobic metabolic scope (AS)  
12 increased with temperature and decreased with fish size. Similar effects of temperature and  
13 size were found on DO<sub>crit</sub> – DO<sub>lim</sub> ranges: 20 – 55 (15:5), 35 – 147 (15:12), 21 – 53 (60:5)  
14 and 22 – 89 (60:12) % O<sub>2</sub> air saturation. Results from acute exposure tests resulted in  
15 elevated cortisol levels at 63 and 47% O<sub>2</sub>, although not statistically significant at 47% O<sub>2</sub>.  
16 Other parameters of hypoxic or hyperoxic stress (lactate, pH, osmolality, lipid peroxidation  
17 rates, catalase activity) were not affected. Results from the present study suggest that  
18 lumpfish may experience oxygen levels in sea cages that restricts metabolism, performance  
19 and induce hypoxic stress.

20

21 **1. Introduction**

22

23 Control over sea lice (*Lepeophtheirus salmonis* and *Caligus* sp.) infestation rates has  
24 become an increasing challenge for salmon aquaculture worldwide. Cleaner represent a  
25 biological means of control, and lumpfish (*Cyclopterus lumpus*) is widely used due to its  
26 tolerance for low temperatures, the successful up-scaling of juvenile production, and  
27 reports of lice grazing (Imsland et al., 2014 a,b,c; 2015 a,b; 2016). The commercial  
28 production in Norway has grown fast, reaching 38 million individuals in 2019 (Norwegian  
29 Directorate of Fisheries, 2020). A challenge for the production and use of lumpfish is that  
30 mortality rates after transfer to salmon sea cages is high (Powell et al., 2018). Infectious  
31 diseases, skin lesions caused by handling, sea lice (*Caligus* sp.), sub-optimal feeds,  
32 husbandry practices and sea cage environment are suggested as factors thought to affect  
33 the mortality rates (Norwegian Veterinary Institute, 2020).

34 A prerequisite for lumpfish health and function, is that environmental factors are  
35 within suitable ranges for this species. This study focuses on environmental oxygen (DO;  
36 dissolved oxygen), the most important limiting factor of fish metabolism (Fry 1947;1971).  
37 Studies have shown that this environmental factor may vary substantially in salmon sea  
38 cages (Oppedal et al. 2011; Stien et al. 2012; Oldham et al. 2018) and in lumpfish transport  
39 (Remen and Jonassen2017). Reduced DO in salmon net pens surrounded by lice skirts may  
40 reduce welfare status and subsequently, the delousing potential of cleaner fish (Bui et al.,  
41 2020). Information on suitable DO levels for lumpfish is however limited. A study by  
42 Jørgensen et al. (2017) suggests that lumpfish require at least 80 % O<sub>2</sub> to maintain growth  
43 rates at 10°C, and observed moderate increases in plasma cortisol levels at 69 and 55% O<sub>2</sub>.

44 A study performed with progressive hypoxia, registered higher plasma cortisol levels as  
45 DO was reduced to 20% O<sub>2</sub>, but low levels of plasma lactate (Hvas and Oppedal 2019).  
46 More information on oxygen requirements and hypoxia tolerance is necessary to provide  
47 practical guidelines for aquaculturists.

48 The DO required to fulfil the oxygen demand of fish, depends on factors affecting  
49 aerobic metabolism, and the capacity of ventilatory and circulatory systems to provide  
50 tissues with oxygen (Pörtner 2010). It is well established that the metabolic rate (MR) of  
51 teleosts declines exponentially with increasing fish size (Brett and Groves 1979, Jobling  
52 1994), and that temperature controls metabolism (Fry 1971; Brett and Groves, 1979; Farrell  
53 2009). With increasing temperature, the standard metabolic rate (SMR) increases, while  
54 the maximum metabolic rate (MMR) increases and then plateaus with further increase. The  
55 temperature where the difference between MMR og SMR, termed the aerobic scope (AS),  
56 is maximized, is termed the thermal optimum (T<sub>opt</sub>), while the upper and lower limits of  
57 the thermal niche are defined as temperatures where MMR=SMR and AS=0 (Fry  
58 1947;1971; Farrell 2009).

59 The aerobic scope represents the capacity to perform any activity beyond basic life-  
60 supporting functions, and is widely used as a framework to assess ecological effects of  
61 environmental factors (Fry 1947;1971; Claireaux and Lefrançois 2007; Pörtner and Farrell  
62 2008). Although the use of this framework to explain mechanisms of climate change  
63 impacts on water-breathers has been criticized (see Claireaux and Chabot 2016; Jutfelt  
64 2021, and references therein), it is widely used to assess oxygen effects on marine teleosts  
65 (e.g., Claireaux et al. 2000; Claireaux and Lagardère 1999; Lefrancois and Claireaux 2003;  
66 Seibel and Deutch 2020). The DO range where AS progressively falls towards zero is

67 termed the zone of environmental hypoxia (Farrell and Richards 2009). The upper  
68 boundary of this zone, the incipient limiting DO level ( $DO_{lim}$ ), represents the DO where  
69 MMR is first limited. The lower boundary, the critical DO level ( $DO_{crit}$ ) represents the DO  
70 where MMR is reduced to the level of SMR and  $AS = 0$ . Survival below  $DO_{crit}$  is time-  
71 limited (reviewed by Claireaux and Chabot 2016). Knowledge of the zone of  
72 environmental hypoxia provides a basis for defining hypoxia severity during a given drop  
73 in DO (Seibel and Deutch 2020; Seibel et al. 2021).

74 In an aquaculture setting, the metabolic rate is expected to vary at an intermediate  
75 level between SMR and MMR, as a result of varying activity level (termed the routine  
76 metabolic rate, RMR, Neill and Bryan 1991). The DO required to support RMR is termed  
77 the limiting oxygen concentration (LOC), and represents a linear (Seibel and Deutch 2020;  
78 Seibel et al. 2021) or curvilinear (Claireaux and Chabot 2016) continuum, ranging from  
79  $DO_{lim}$  to  $DO_{crit}$ , depending on the level of RMR (Neill and Bryan, 1991).

80 At present, there is no consensus on the succession of effects which occurs as DO  
81 declines from  $DO_{lim}$  to  $DO_{crit}$ , for a fish with a given RMR. Claireaux and Chabot (2016)  
82 propose that oxyregulation (increased gill ventilation and perfusion, and increased  
83 perfusion of critical tissues) upholds RMR as DO declines from  $DO_{lim}$  to LOC, and that  
84 extraneous metabolic costs (feeding, swimming, digestion) are gradually suppressed with  
85 reductions in DO below LOC. In a recent review, Jutfelt (2021) suggest that feed intake is  
86 reduced at DO levels higher than LOC, to conserve aerobic scope for other activities than  
87 food digestion and assimilation. In post-smolt Atlantic salmon (*Salmo salar*), negative  
88 effects on appetite have been observed at DO higher than LOC, and further reductions in

89 appetite, along with increases in plasma cortisol and lactate levels, occur at DO levels  
90 closer to the LOC (Remen et al. 2012; Remen et al. 2016).

91 The main aim of this study was to establish practical oxygen guidelines for this new  
92 aquaculture species, by determining metabolic oxygen requirements, the zone of  
93 environmental hypoxia, and the physiological responses to acute changes in DO.

94 Investigations were performed with relevant fish sizes (15 and 60 g) and temperatures (5  
95 and 12 °C) for lumpfish production and use in net pens.  $DO_{lim}$  and  $DO_{crit}$  were defined on  
96 basis of respiratory measurements of SMR and MMR, which also yields information of  
97 temperature, size and oxygen effects on the aerobic scope of this species.

98

99

## 100 **2. Materials and methods**

101

### 102 **2.1. Experimental animals**

103 Juvenile lumpfish of wild origin (wild caught broodstock caught near Hekkingen, N  
104 69.37 E 17.48), produced at a commercial production facility (Senja Akvakultursenter,  
105 Senja, Norway) were used for the experiments. 300 juveniles (average weight 5.7 g) were  
106 transported on truck on 17 January 2018, and acclimated to 3 m<sup>3</sup> holding tanks at Research  
107 and Innovation Station Kraknes (Akvaplan-niva AS, Tromsø; Norway). Fish were kept at  
108 ambient temperature (4.1 – 4.6°C), 92 – 100% O<sub>2</sub>, continuous lighting and excess,  
109 continuous feeding (Gemma Diamond, Skretting, Norway) for 13 days. After this, the  
110 temperature was gradually increased from ambient temperature to 8°C by 31 January, to  
111 increase fish growth rates, with the use of an inline heating system. Dissolved oxygen levels  
112 were between 85 and 107% of air saturation (tank outlet). This resulted in a doubling of  
113 fish size before experiment start-up on 21 February 2018.

114

### 115 **2.2 Experimental set-up and protocol**

116 The experiment started on 21 February 2018 (day 1). On this day, fish were lightly  
117 sedated (Benzoak, 20 mg/l), individually weighed (average 13 g) and split into three 3000  
118 l holding tanks with temperature 8.6 – 9.6°C. Every 14 – 21 days, 50 fish per tank were  
119 lightly sedated and individually weighed, to estimate fish growth rates and average weights.  
120 SMR and MMR measurements were planned when the development in average weights  
121 showed that fish were approaching the desired sizes (15 and 60 g). SMR and MMR

122 measurements with 15 g fish were performed 10 – 22 days after experiment start-up, and  
123 SMR and MMR measurements with 60 g fish were performed 55 – 71 days after  
124 experiment start-up. This difference in sojourn time between size groups is a potential  
125 confounding factor, which is difficult to avoid when testing different fish of different sizes.  
126 The effect was minimized by maintaining stable conditions in the holding tank, and  
127 allowing for complete temperature acclimation in all tanks (8 – 9.6 °C for a minimum of 4  
128 weeks; Clark et al. 2013). For each combination of temperature and fish size, a total of 48  
129 fish were used for SMR and MMR tests, and subsequently killed, with  $n = 8$  for SMR and  
130  $n = 40$  for MMR ( $n = 8$  per level of DO). When fish approached the desired size, 48  
131 individuals with weights close to 15 or 60 g were chosen from the three holding tanks  
132 (16+16+16 fish), and transferred to a fourth, similar tank, where temperature was gradually  
133 changed ( $1 - 2$  °C day<sup>-1</sup>), to achieve at least 7 days of acclimation at a temperature close to  
134 the desired experimental temperatures (5 and 12 °C). After this, SMR and MMR were  
135 measured (see 2.3).

136 Ideally, fish should be split into two acclimation tanks to incorporate a possible tank  
137 effect in statistical analyses. There was only one tank available for thermal acclimation,  
138 and as all treatment groups were of same origin, came from the same holding tanks, and  
139 were acclimated in the same holding tank (at different time), we considered this an  
140 acceptable limitation of the experimental design. Unfortunately, the inline heating system  
141 was not able to maintain acclimation tank temperatures higher than 9 – 9.5 °C at this time  
142 of year, during acclimation of the 12 °C groups. This was however possible in the smaller  
143 tank housing the four respirometers, resulting in a temperature increase of ~3 °C at transfer  
144 to the respirometers. Potential implications of this are discussed in the Discussion section.



145 At the end of the respiratory experiments (day 70), 50 of the remaining fish (~60 g) were  
146 transferred to 10 smaller tanks (245 l), with no feeding, continuous lighting in the lid and  
147 9.6 °C water temperature. After 36 – 42 hours acclimation to the tanks, fish were subjected  
148 to 5 different, acute changes in DO (47, 63, 98, 148 and 194 % O<sub>2</sub>), with two replicate  
149 tanks per DO treatment, to measure parameters of hypoxic and hyperoxic stress (see 2.4).

### 150 **2.3. Respirometry**

151 To achieve an estimate of DO<sub>crit</sub> and DO<sub>lim</sub>, SMR was measured in 8 individuals at DO  
152 close to air saturation (average 104% O<sub>2</sub>), and MMR was measured in 8 individuals at each  
153 level of DO: on average 20, 44, 68, 100 and 142% of air saturation (see Table 1). The  
154 procedure was repeated 4 times, one per combination of fish size (15 and 60 g) and  
155 temperature (5 and 12 °C). In the following, these combinations are referred to as 15:5,  
156 15:12, 60:5 and 60:12 (Table 1).

#### 157 *2.3.1. Respirometry set-up*

158 SMR and MMR were measured in four individuals simultaneously, placed in four  
159 similar, custom-made intermittent-flow respirometers, submerged in a common holding  
160 tank (110 l), according to Rosewarne et al. (2016). Each respirometer was a plexiglass  
161 cylindrical chamber (ID 9.1 cm, internal length 18 cm) with lid, 2 inlets and 2 outlets  
162 (produced by Plexon, Skallestad, Norway), one flush pump and one recirculation pump  
163 (Eheim, 5 l min<sup>-1</sup>, Loligo Systems, Viborg, Denmark), toxic-free PVC tubes (ID 13 mm,  
164 Loligo Systems, Viborg, Denmark), a fiber-optic oxygen sensing probe (OXROB3-CL4,  
165 coupled to FireStingO2, Pyro Science GmbH, Aachen, Germany) installed in the  
166 recirculation loop using a t-connector, a backwater valve on the flushing outlet to avoid  
167 water exchange during closed respirometry, and an external temperature probe placed in

168 the holding tank (TSUB21, Pyro Science GmbH, Aachen, Germany connected to the  
169 FireSting oxygen meter). The chambers had a total volume of 1.25 l, including tubing in  
170 the recirculation loop. In both ends of the chamber, a perforated (3 mm, Ø 7.5 cm) and a  
171 non-perforated (1.5 mm, Ø 5 cm) plate was installed in the center to break down the water  
172 current within the chamber, and to allow for lumpfish attachment without blocking inlets  
173 or outlets. Flush pumps were automatically controlled, using PC-controlled mains switches  
174 (USB-Swicht 3, Cleware GmbH, Hollingstedt, Germany). All DO and temperature  
175 measurements were logged using the FireStingO2 software, and  $MO_2$  was calculated as  
176 described below (2.3.4). The four intermittent flow respirometers were submerged in a tank  
177 (110 l) with UV-treated inlet water, and controlled temperature and DO. Temperature was  
178 controlled automatically, using an inline heating/cooling system installed in the header  
179 tank. Desired DO was achieved by manually controlled addition of  $O_2$  or  $N_2$  using ceramic  
180 diffusers in the header tank. DO measurements in the tank housing the respirometers were  
181 performed with Oxyguard Handy Polaris TGP. The flow through rate in the respirometer  
182 holding tank was 20 l/min, maintaining optimal water quality throughout SMR  
183 measurements ( $DO > 100\%$  of air saturation). Possible leaks in the respirometer chambers  
184 were tested with 14%  $O_2$  saturation in chambers submerged in 100%  $O_2$  water for 18 hours,  
185 during which DO did not increase, but rather declined slightly due to background  
186 respiration. Background respiration was measured during 2 hours at 5 and 12°C, and  
187 considered negligible for measurements with 60 g fish ( $< 1\%$  of total  $O_2$  consumption), but  
188 large enough to affect results for 15 g fish (2 – 7% of total  $O_2$  consumption, see 2.3.4).

189

190 *2.3.2. SMR measurement*

191 SMR was measured in 8 individuals per combination of temperature and fish size. 36  
192 hours before respirometry, 4 fish of desired size were netted out of the holding tank and  
193 transferred to a smaller tank (245 l) with similar temperature, lid, no lighting or feeding.  
194 This, and the following procedure, was repeated consecutively, to achieve 8 individuals  
195 per combination of temperature and fish size. After 36 hours, fish were placed in the four  
196 intermittent flow- respirometers at either 5 or 12 °C. The lights in the room were turned  
197 off, and the fish were left undisturbed for 65 – 72 hours. During this period, cycles of  
198 flushing and closed respirometry were set up as follows: 10/40 min (15:5 and 15:12), 20/40  
199 min (60:5) and 20/10 min (60:12). The duration of the measurement phase was adjusted  
200 according to fish size and temperature, and was long enough to ensure  $R^2 > 0,9$ , and short  
201 enough to avoid drops in DO below 80% of air saturation. During the period of closed  
202 respirometry, the drop in % oxygen saturation was on average 3.9 percentage points (15:5),  
203 9.6 points (15:12), 13.1 points (60:5) and 4.3 points (60:12), and minimum DO was 81 –  
204 105 % O<sub>2</sub>. The set-up allowed for 68 – 141 measurement periods per individual. At end of  
205 measurements, fish were taken out of the chamber, euthanized, and weights and lengths  
206 were recorded. Oxygen and temperature data from the FireStingO2 were downloaded for  
207 MO<sub>2</sub> calculation. Unfortunately, data from one measurement series is missing due to  
208 technical failure, thus only 4 individuals were measured for 60:12.

209

### 210 *2.3.3. MMR measurement at variable DO*

211 MMR was measured in 8 individuals for each combination of size, temperature and  
212 oxygen level (~ 145, 100, 70, 45, 20% O<sub>2</sub>). The probe sampling rate was 0,2  
213 measurements/s. Maximum metabolic rate was induced by 2-minute manual chasing of

214 individual fish (Ern et al. 2016), after which the fish were immediately transferred to the  
215 respirometer for closed respirometry. The measurement period was set to 5 minutes to  
216 enable sufficient O<sub>2</sub> reduction and R<sup>2</sup> > 0,9 at both temperatures and for both fish sizes.  
217 For 60:5 and 60:12 measurements, 2 minutes measurements were achievable (R<sup>2</sup>> 0,9),  
218 and compared to 5 minutes measurements. MMR was 18 % higher with 2 minutes  
219 measurements. See Discussion section for further detail and discussion on MMR  
220 methodology.

221 The estimate of normoxic MMR was based on data from 8 fish studied at DO between  
222 102 – 162% (8 highest DO levels). These DO levels were higher than DO<sub>lim</sub> for all groups  
223 expect for the 15:12 group, where 4 measurements were performed at DO below DO<sub>lim</sub>  
224 (123 – 135% O<sub>2</sub>, DO<sub>lim</sub> =147% O<sub>2</sub>). By excluding these 4 measurements, the MMR-  
225 estimate was only slightly lower (0,1 mg O<sub>2</sub>/kg/min eq. to 2 %). The effect of including  
226 measurements at 123–135% O<sub>2</sub> was therefore considered negligible, and all results (n=8)  
227 were included in figures and statistical tests.

228

#### 229 2.3.4. Calculations

230 The oxygen consumption rate (MO<sub>2</sub>, mg O<sub>2</sub> kg<sup>-1</sup> min<sup>-1</sup>) for each measurement period  
231 was calculated based on the slope (K) of the linear decrease in DO (mg l<sup>-1</sup>) over time (min)  
232 within the chamber during closed respirometry, which occurs after the initial wait phase  
233 (Rosewarne et al., 2016). The slope (K) was determined using simple regression analysis  
234 (TIBCO Statsoft Statistica). Measurements were only considered valid if R<sup>2</sup> was higher  
235 than 0,9. The MO<sub>2</sub> was calculated according to the equation

236

237  $MO_2 = KVM^{-1}$  (1)

238

239 where  $MO_2$  is the oxygen consumption rate ( $\text{mg O}_2 \text{ kg}^{-1} \text{ min}^{-1}$ ),  $K$  is the rate of DO decline  
240 ( $\text{mg O}_2 \text{ min}^{-1}$ ),  $V$  is the volume of the respirometer corrected for the volume of fish (l), and  
241  $M$  is the body mass of the animal (kg; Svendsen et al., 2015).

242 Background respiration rates were considered negligible for measurements with 60 g  
243 fish (< 1% of total  $O_2$  consumption), but large enough to affect results for 15 g fish ( $3,3$   
244  $\times 10^{-4} \text{ mg O}_2 \text{ min}^{-1}$  at 5 °C and  $6,7 \times 10^{-4} \text{ mg O}_2 \text{ min}^{-1}$  at 12°C). Rates were corrected for,  
245 using the equation

246

247  $MO_{2 \text{ corrected}} = (K_1V_1 - K_2V_2) M^{-1}$  (2)

248

249 where  $MO_{2 \text{ corrected}}$  is fish  $MO_2$  corrected for background respiration,  $K_1$  and  $K_2$  are the rates  
250 of decline ( $\text{mg O}_2 \text{ min}^{-1}$ ) in oxygen content over time in the respirometer during the  
251 measurement phase when the animal is present and absent, respectively,  $V_1$  and  $V_2$  are the  
252 respirometer volumes (l) when the animal is present and absent, respectively, and  $M$  is the  
253 body mass of the animal (kg).

254 For calculation of SMR per individual, the mean of measurements below the 20%  
255 quantile ( $n = 13 - 27$ ) was used (Chabot et al. 2016).

256  $DO_{\text{lim}}$  was determined using the “segmented” package in R 3.1.2 (The R Foundation for  
257 Statistical Computing© 2011, [www.r-project.org](http://www.r-project.org), Muggeo et al. 2008). This method  
258 simultaneously estimates slope parameters and the turning point(s) within a standard linear  
259 model framework:

260

$$261 \quad \psi = \alpha + \beta_1 x_i + \beta_2 (x_i - \psi)_+, \quad (3)$$

262

263 where  $\psi$  is the breakpoint DO ( $DO_{lim}$ ),  $\alpha$  is the intercept ( $MO_2$ ,  $mg\ kg^{-1}\ min^{-1}$ ),  $\beta_1$  is the left  
264 slope,  $\beta_2$  is the difference-in-slopes,  $x_i$  is DO (% of air saturation) and  $(x_i - \psi)_+ = (x_i - \psi)$   
265  $\times I(x_i > \psi)$  and  $I(\cdot)$  is the indicator function equal to one when the statement is true (Muggeo  
266 2008). The model and the estimated  $DO_{lim}$  were only considered valid if the test for  
267 difference in regression line slopes for DO levels above and below the  $DO_{lim}$  returned p-  
268 values lower than 0,05 (Davies test; Muggeo, 2008).

269  $DO_{crit}$  was defined as the DO where MMR was reduced to a level corresponding to the  
270 measured SMR for the given combination of temperature and fish size. This DO level was  
271 determined with the use of coefficients ( $\alpha$  and  $\beta_1$ ) from the break-point analysis (formula  
272 3) and the SMR estimate, with the use of the following formula:

273

$$274 \quad \theta \pm \delta\theta = \frac{\gamma - \alpha}{\beta_1} \pm \frac{\delta\gamma - \delta\alpha}{\beta_1} \quad (4)$$

275 where  $\theta \pm \delta\theta$  is  $DO_{crit}$  (% air saturation)  $\pm$  SE,  $\gamma$  is SMR ( $mg\ kg^{-1}\ min^{-1}$ ),  $\alpha$  is the intercept,  
276  $\beta_1$  is the slope, and  $\delta\gamma$  and  $\delta\alpha$  are the standard errors of SMR and intercept estimates,  
277 respectively.

278

279 The aerobic metabolic scope (AS,  $mg\ O_2\ kg^{-1}\ min^{-1}$ )  $\pm$  SE was calculated as follows:

$$280 \quad AS \pm \delta AS = \varphi - \gamma \pm \sqrt{\delta\varphi^2 + \delta\gamma^2}, \quad (5)$$

281 where  $\varphi$  is MMR,  $\gamma$  is SMR, and  $\delta\varphi$  and  $\delta\gamma$  are the standard errors of  $\varphi$  and  $\gamma$ , respectively.

282

283 The rate of change in SMR with increasing temperature, the temperature quotient ( $Q_{10}$ ) was  
284 calculated as:

285

$$286 \quad Q_{10} = (R_2 / R_1)^{10/T_2 - T_1} \quad (6)$$

287

288 where R is SMR ( $\text{mg kg}^{-1} \text{min}^{-1}$ ) and T is temperature ( $^{\circ}\text{C}$ ).

#### 289 **2.4 Acute hypoxia and hyperoxia experiment**

290 This experiment was performed at end of the study (day 70), using fish from the same  
291 group (mean weights 51 – 65 g, see Table 2). After 36 – 42 hours acclimation to  
292 experimental tanks (245 l tanks with lid,  $9.6^{\circ}\text{C}$ , no feeding, continuous lighting), fish were  
293 subjected to 5 different, acute changes in DO (47, 63, 98 [control], 148 and 194 %  $\text{O}_2$ ), to  
294 measure parameters of hypoxic and hyperoxic stress. There were two replicate tanks per  
295 DO level, with 5 fish per tank.

296 The DO change was achieved by switching the tank inlet source from oxygen air-  
297 saturated water, to DO manipulated inlet water. DO manipulation was performed in two  
298 adjacent tanks, where DO was either reduced or increased by the addition of  $\text{N}_2$  or  $\text{O}_2$ ,  
299 using ceramic diffusers, to achieve a stable, new level of DO with 30 l/min water flow.  
300 Additional tubes and valves were set up to allow for switching between main inlet water  
301 (air saturation) and water from the DO manipulation tanks. The switch resulted in a rapid  
302 increase or decrease in DO (within 30 min) followed by a period with stable, new DO levels  
303 in the tanks (49 – 66 min, see Table 2), after which fish were netted out for blood and liver  
304 sampling. Exposure and sampling were performed sequentially in the different tanks over  
305 a total period of 6 hours (98→47→148→63→194 %  $\text{O}_2$ ). Care was to taken to achieve

306 similar exposure duration, and to avoid disturbance of fish within the tanks during the  
307 exposure and sampling period. For instance, lights in the room were off, lids were on until  
308 fish were sampled, and human activities were reduced to a minimum. At the end of each  
309 tank exposure period, 5 fish per tank were rapidly netted out, anesthetized with metomidate  
310 (15 mg l<sup>-1</sup>) and blood was withdrawn from the heart using heparinized syringes. Fish were  
311 then killed by Finquel overdose (60 mg l<sup>-1</sup>), weights and lengths were measured, and the  
312 liver was dissected out and weighed. A subsample was cut out, weighed and immediately  
313 frozen in liquid N<sub>2</sub> for later analysis of parameters of oxidative stress: catalase activity and  
314 lipid peroxidation rate (see 2.4.1). Blood samples were centrifuged (6 min, 6000 rpm),  
315 plasma was collected, immediately frozen in liquid N<sub>2</sub>, and stored at -70°C for later analysis  
316 of parameters of hypoxic stress: plasma cortisol, osmolality, lactate and pH.

317

#### 318 *2.4.1 Plasma and liver analyses*

319 Plasma samples were analyzed for cortisol concentration using ELISA kit (DEH 3388,  
320 Demeditec Diagnostics GmbH, Kiel, Germany), osmolality using 210 Micro Osmometer  
321 (Fiske® Assosicates, Massachusetts, USA), and lactate and pH using ABL90 Flex analyser  
322 (Radiometer Medical ApS, Brønshøj, Denmark).

323 Liver samples were analysed for parameters of oxidative stress. Catalase activity  
324 was quantified using spectrophotometer, based on the measurement of the disappearance  
325 of hydrogen peroxyde with time at 240 nm (Livingstone et al., 1992). Lipid peroxidation  
326 rates were evaluated by measuring the amount of malondialdehyde (MDA) released (Buege  
327 and Aust, 1978). Liver samples were homogenized in phosphate buffer (50 mM, pH 7.0)  
328 in the ratio 1/5 of weight/volume and centrifuged at 12000 rpm for 15 minutes at 4°C. The



329 supernatants were gently pipetted out, transferred into two clean Eppendorf tubes and kept  
330 in -80°C until further analyses.. Total protein concentrations were determined using the  
331 method of Bradford (1976) with bovine serum albumin as a standard and Brilliant Blue G  
332 250 as a reactant. The reaction was measured spectrophotometrically at 570 nm in  
333 microplate. For the catalase activity quantification, supernatants were diluted 5 times in ice  
334 cold phosphate buffer (50 mM and pH 7), before transfer to a quartz cuvette. H<sub>2</sub>O<sub>2</sub> was  
335 added as a substrate immediately before reading with a spectrophotometer at 240 nm  
336 continuously for 1 min. For the lipid peroxidation bioassay, 200 µL sample was transferred  
337 into a glass reagent tube with 800 µL of the 15 % trichloroacetic / thiobarbituric acid buffer.  
338 The tubes were incubated in water bath at 100 C° for 15 minutes and the reaction stopped  
339 when the tubes were transferred on ice and maintained there to cool down. The mixture  
340 was transferred into Eppendorf tubes and centrifuged at 2500 rpm for 10 minutes at 4 C°.  
341 The supernatants were used for the bioassay, transferred into a cuvette and read with a  
342 UV/VIS spectrophotometer at 535 nm.

343

## 344 **2.5. Statistical analyses**

345 All statistical analyses were performed using TIBCO Statistica® 13.3.0. The interactive  
346 effects of temperature and fish size on lumpfish SMR and MMR were tested using full  
347 factorial ANOVA, with separate tests for the two different variables. For AS, DO<sub>lim</sub> and  
348 DO<sub>crit</sub>, such test were not possible (n=1). In this case, 95% confidence intervals were used  
349 to estimate statistically significant differences between groups (non-overlapping 95% CI).  
350 The effect of DO on plasma and liver parameters of hypoxic and hyperoxic stress were  
351 tested using one-way ANOVA, except plasma osmolality. For osmolality, the assumption

352 of homogeneity of variances was violated, also after log-transformation, and the non-  
353 parametric Kruskal-Wallis test was used to investigate the effect of DO. Plasma cortisol  
354 values were log-transformed to achieve normality and homogeneity of variances before the  
355 one-way ANOVA test. For all ANOVA test, assumptions of normality and homogeneity  
356 of variances were checked using p-plots and Levene's test (Brown and Forsythe 1974),  
357 respectively. Post-hoc analyses were performed using Newman Keuls test. The  
358 significance level ( $\alpha$ ) was 0,05.

359

360

361 **3. Results**

362

363 *3.1 Effects of temperature and fish size on metabolic rates and metabolic scope*

364 Statistically significant effects of size, temperature and their interaction were found for  
365 both SMR and MMR (Fig. 1A). SMR and MMR were higher in 15 g fish than in 60 g fish  
366 at both temperatures, but significantly different at 12°C only. With temperature increasing  
367 from 5 to 12°C, the increase in SMR was 2.4- fold for 15 g fish, and 2.0- fold for 60 g fish,  
368 equivalent to 3.4 and 2.8 in terms of  $Q_{10}$ , respectively. For all combinations of temperature  
369 and fish sizes, the MMR values were 3.3 – 3.8 times higher than the measured SMR. The  
370 aerobic scope (AS) reflects these effects of temperature and size on SMR and MMR: AS  
371 increased with temperature, and decreased with fish size, but was only significantly  
372 different between sizes at 12 °C (Fig. 1B).

373

374 *3.2. Effects of temperature and fish size on estimates of  $DO_{lim}$  and  $DO_{crit}$*

375 Results from respirometry trials shows the limiting effect of environmental DO on  
376 lumpfish  $MO_2$  (Fig. 2A – D). For all combinations of temperature and fish size, MMR was  
377 reduced to levels similar to, or lower than, SMR at the lowest oxygen saturation  
378 (approximately 20%  $O_2$ ).

379  $DO_{crit}$  estimates were within the same range (20 – 22 %  $O_2$ ) for 15:5, 60:5 and 60:12  
380 groups, and higher (35%  $O_2$ ) in the 15:12 group (Fig. 3A). The difference was only  
381 significant between 15:12 and 60:5, based on estimates of statistical significance (non-  
382 overlapping 95% CI).

383 For three of the four tested combinations of temperature and fish size (15:5, 60:5 and  
384 60:12), MMR was relatively stable with lowered DO until a breakpoint ( $DO_{lim}$ ), below  
385 which MMR steadily decreased with further DO reductions (Fig. 2A, C, D). For these three  
386 groups,  $DO_{lim}$  estimates (mean  $\pm$  SE) were similar at 5 °C ( $55 \pm 5$  and  $53 \pm 5$  %  $O_2$  for 15:5  
387 and 60:5) and higher at 12 °C ( $89 \pm 6$  %  $O_2$  for 60:12). For the fourth group (15:12), MMR  
388 was more variable at  $DO >$  air saturation (Fig. 2B), and started to decline at a considerably  
389 higher DO:  $147 \pm 7$  %  $O_2$  (Fig. 3B).

390

#### 391 *3.4 Effects of acute DO change on parameters of hypoxic and hyperoxic stress*

392 Results from analyses of parameters of hypoxic and hyperoxic stress are shown in Fig. 4A-  
393 F. A significant effect of DO on plasma cortisol was observed ( $MS = 0.53$ ,  $F = 4.16$ , d.f. =  
394 4,  $P = 0.006$ ), in terms of a 2.4 - fold increase in plasma cortisol concentration at 63%  $O_2$   
395 compared to the control (98%  $O_2$ ). The 1.7 - fold increase observed at the lower DO level  
396 (47%  $O_2$ ) was not statistically significant ( $P = 0.16$ ). Osmolality was lower at 63%  $O_2$   
397 compared to the control but was not significantly different from any of the other groups.  
398 No effects of DO reduction or increase were found on plasma lactate concentrations ( $F_{4,45}$   
399 = 0.99,  $P = 0.42$ ), plasma pH ( $F_{4,45} = 1.1$ ,  $P = 0.38$ ), liver catalase activities ( $F_{4,45} = 1.85$ ,  
400  $P = 0.14$ ) or liver lipid peroxidation rates ( $F_{1,8} = 0.48$ ,  $P = 0.51$ ).

401

#### 402 **Discussion**

403 The present study provides new information on metabolic rates (SMR and MMR), the  
404 zone of environmental hypoxia, and the effects of acute changes in DO on hypoxic and

405 hyperoxic stress parameters for fish sizes and temperatures relevant for lumpfish  
406 production and use in net pens.

407

#### 408 **4.1 Methodological considerations**

409 Due to inadequate water heating, the acclimation tank water was 2.5 – 3.0 °C lower than  
410 the 12 °C respirometer test temperature. The acute increase in temperature at transfer to the  
411 respirometers makes insufficient thermal acclimation a possible confounding factor in the  
412 present experiment. Acclimation is a class of phenotypic plasticity which includes  
413 reversible changes in physiological phenotypes as a result of environmental exposures in  
414 the time range of days to months (reviewed by Schulte et al. 2011). As a result of  
415 acclimation, the effect of temperature change on metabolism can be reduced, but not  
416 completely abolished (reviewed by Jutfelt 2020). An acclimation period of 1-3 weeks is  
417 considered appropriate for studies of thermal effects (Clark et al. 2013). Acclimation to  
418 higher temperature generally results in lowered SMR, while MMR is less altered  
419 (Sandblom et al. 2016, Jutfelt et al. 2021).

420 There is no available information on the capacity and rate of acclimation of lumpfish  
421 specifically, but these generalizations suggest that the SMR presented for 12 °C is  
422 somewhat overestimated compared to what should be expected in acclimated fish, and that  
423 MMR is less affected by the insufficient acclimation. For the purpose of this study, namely  
424 to provide oxygen guidelines for lumpfish, this error can be considered acceptable. Firstly,  
425 the temperature step is small (2.5 - 3 °C), with relatively small expected effect on SMR  
426 (~10%, Hvas et al. 2018). Secondly, the temperature range in question (9 - 12 °C) is within  
427 a range where this species grows and functions well (Nyrtrø et al. 2014, Hvas et al. 2018).

428 Finally, the implication of overestimating SMR in the present study is that  $DO_{crit}$  is also  
429 over-estimated. The effect of temperature on  $DO_{crit}$  was small, and a possible over-  
430 estimation of  $DO_{crit}$  at 12 °C would to a small degree change the practical guidelines  
431 developed by the assembled findings in Fig. 5A-B. For direct comparison with other studies  
432 of the metabolism of fully acclimated lumpfish, the potential effect of insufficient  
433 acclimatization should be considered.

434 As discussed below, the method for measuring MMR in lumpfish, should be evaluated  
435 based on the collected findings in the present study, as well as studies performed by Hvas  
436 et al. (2018) and Ern et al. (2016).

437

#### 438 **4.2 Metabolic rates and metabolic scope**

439 SMR and MMR were higher at 12 °C compared to 5 °C, and significantly higher in 15  
440 g fish than in 60 g fish at 12 °C. These general effects of temperature and fish size on SMR  
441 and MMR are in accordance with established models of fish metabolism (Fry 1971; Brett  
442 and Groves 1979; Jobling 1994; Farrell 2009) and previous studies of lumpfish metabolism  
443 (Killen et al. 2007; Ern et al. 2016; Hvas et al. 2018).

444 In spite of the potential confounding effect of insufficient acclimation (discussed  
445 above), the SMR values were in accordance with results from Ern et al. (2016). They  
446 performed SMR measurements with comparable methodology to the present study. Ern et  
447 al. reported SMR values of 80 and 114 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup> for 22 g lumpfish at 10 and 16 °C,  
448 compared to 103 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup> for 15 g fish at 12 °C in the present study. In contrast to  
449 hypothesized effects of size on metabolism, the SMR values presented by Hvas et al. (2018)  
450 for ~300 g fish (~110 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup> estimated for 12 °C) were higher than observed for

451 ~60 g fish at 12 °C in the present experiment (60 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>). This may be related to  
452 measurement period. In the experiment performed by Hvas et al. (2018) the acclimation  
453 period may have been too short (18 h) to achieve resting state (Chabot et al. 2016).

454 The MMR measured in 15 g fish at 12 °C in the present study was higher (338 mg O<sub>2</sub>  
455 kg<sup>-1</sup> h<sup>-1</sup>) than what was previously observed in lumpfish of similar size (22 g) at comparable  
456 temperatures (~ 230 and 300 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup> 10 and 16 °C), using similar methodology, by  
457 Ern et al. (2016). Considering the high DO<sub>lim</sub> observed at 12 °C for 15 g fish in the present  
458 experiment, it is possible that respirometer DO (92 – 94% of air saturation) was too low to  
459 allow for maximal metabolic rates in the study by Ern et al. (2016). Compared to Hvas et  
460 al. (2018), the MMR observed in 60 g fish at 12 °C in the present study (216 mg O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>  
461 <sup>1</sup>) was lower than what was estimated for 300 g lumpfish at 12 °C in their study (~260 mg  
462 O<sub>2</sub> kg<sup>-1</sup> h<sup>-1</sup>). This was not expected, based on size differences. It is possible that higher  
463 metabolic rates are achievable if lumpfish are forced to swim at maximal speed, such as  
464 performed by Hvas et al. (2018). Based on data from 60 g fish in the present experiment,  
465 a shorter measurement period after chasing could also produce higher MMR. In this group,  
466 2 minute measurements resulted in 18 % higher MMR, than what was found with the 5  
467 minutes measurements. A protocol for MMR measurement for this species has not yet  
468 been described, and further investigations are warranted to investigate the effect of  
469 different protocols on the resulting MMR.

470 The differences in SMR and MMR between the present and previous studies, also  
471 resulted in differences in aerobic and factorial aerobic scopes (AS and FAS). The FAS was  
472 higher than previously reported: 3,1 – 3,7 vs. 1,5 – 3,0 in Killen et al. (2007), Ern et al.  
473 (2016) and Hvas et al. (2018). As discussed above, the present methodology may have

474 allowed for detection of lower SMR and/or higher MMR, and suggest that the metabolic  
475 scope of this species may be higher than previously suggested (Hvas et al. 2018). The  
476 metabolic scope found for the smallest size group (15 g) at the highest temperature (12 °C),  
477 may contribute to explain the high growth rates (6 – 7% day<sup>-1</sup>) which has been observed  
478 in young lumpfish (< 11 g; Nytrø et al. 2014).

479

### 480 **4.3 The zone of environmental hypoxia**

481 The effects of temperature and fish size on DO<sub>lim</sub> and DO<sub>crit</sub> were similar to what was  
482 found for SMR and MMR. For 15 g fish, the DO<sub>crit</sub> – DO<sub>lim</sub> ranges were 20 – 55 (5 °C),  
483 and 35 – 147% O<sub>2</sub> (12 °C), and for 60 g fish, the DO<sub>crit</sub> – DO<sub>lim</sub> ranges were 21 – 53 (5 °C)  
484 and 22 – 89% O<sub>2</sub> (12 °C). These ranges represent the boundaries of the zone of  
485 environmental hypoxia for the tested temperature and fish sizes, and can be used to estimate  
486 hypoxia severity (e.g., Seibel et al. 2021).

487 This study is the first to report DO<sub>lim</sub> values for lumpfish, which represents a DO below  
488 which oxygen becomes a limiting factor for the aerobic metabolic scope (Fry 1971). DO<sub>lim</sub>  
489 is expected to vary with factors known to affect the metabolic rate, such as temperature or  
490 life stage (Fry 1971; Neill and Bryan 1991). Results from the present study is in line with  
491 this and suggest that both temperature and size influence this threshold. Observed values  
492 were in the range of 53 – 55% O<sub>2</sub> at 5 °C, and substantially higher at 12 °C: 89 – 147 %  
493 O<sub>2</sub>. The DO<sub>lim</sub> found for 15 g lumpfish at 12 °C is high (147% O<sub>2</sub>), considering the  
494 hypothesis that the cardiorespiratory system of fish has evolved to maximize MO<sub>2</sub> at air  
495 saturation (Claireaux and Chabot 2016). This hypothesis is however contradicted in more  
496 recent reviews, which summarizes recent findings in teleosts subjected to high



497 temperatures (above  $T_{opt}$ ). In these studies, AS was substantially increased in hyperoxia  
498 compared to normoxia (reviewed by McArley et al. 2020 and Jutfelt et al. 2021). Results  
499 from studies investigating metabolism and growth of lumpfish propose that 12 °C is likely  
500 below  $T_{opt}$  for 15 g fish (Nytro et al. 2014; Hvas et al. 2018), suggesting that hyperoxia  
501 may also increase AS at lower temperatures than  $T_{opt}$  in young lumpfish.

502  $DO_{crit}$  represents a threshold below which AS is zero, and where further reduction in  
503 DO compromises survival in resting fish (reviewed by Clarieux and Chabot 2016). The  
504 only other study providing  $DO_{crit}$  values for lumpfish, showed results for 22 g lumpfish at  
505 10 and 16 °C (34 and 41%  $O_2$ ; Ern et al., 2016) which were in good consistency with the  
506 value obtained for 15:12 (35%  $O_2$ ). For the remaining groups, their  $DO_{crit}$  values (20 – 22%  
507  $O_2$ ) were comparable to what has been observed in other marine species such as cod, sea  
508 bass, sole and turbot (~20%  $O_2$ ; reviewed by Chabot and Claireaux, 2008). No mortalities  
509 were observed during respirometer trials with ~20%  $O_2$  for ~10 minutes. This severity ×  
510 duration was therefore not lethal for the tested temperatures. Observed respiratory  
511 challenges (unrhythmic, slow and pronounced opercular movements/ gaping) as well as  
512 minimal locomotory response to handling at end of 12 °C and 20%  $O_2$  exposure, does  
513 however indicate that these fish were approaching exhaustion and loss of equilibrium at  
514 this level of DO.

515

#### 516 **4.4 Responses to acute changes in DO**

517 In acute hypoxia tests performed with 60 g fish at 10 °C, we observed elevated plasma  
518 cortisol levels at 47 and 63%  $O_2$ , although not significantly increased at 47%  $O_2$  compared  
519 to the control (98%  $O_2$ ). Increased DO levels (148 and 194%  $O_2$ ) did not result in significant

520 change in hypoxic or hyperoxic stress parameters. The elevated cortisol levels at 47 and  
521 63% O<sub>2</sub> is in accordance with Jørgensen et al. (2017), who observed increased plasma  
522 cortisol levels at 55 and 69% O<sub>2</sub> in ~ 40 g lumpfish at 10°C. The levels were however low  
523 in both studies (~20 – 50 ng ml<sup>-1</sup>), compared to what has been observed in 185 g lumpfish  
524 subjected to lower DO levels (~20% O<sub>2</sub>) at a similar temperature of 9 °C (~180 ng ml<sup>-1</sup>,  
525 Hvas and Oppedal2019). The difference in plasma cortisol concentrations is likely an effect  
526 of hypoxia severity, as fish in the study by Hvas and Oppedal (2019) were subjected to  
527 oxygen levels close to what has been defined as DO<sub>crit</sub> in the present study.

528 The results from the present study confirms previous observations of low lactic acid  
529 levels in plasma of lumpfish subjected to hypoxia or high current velocity in swimming  
530 tests (Jørgensen et al. 2017, Hvas et al.2018; Hvas and Oppedal 2019). It is possible that  
531 the use of their ventral suction disc to attach to surfaces and reduce locomotion may serve  
532 to lower energy demand in face of limiting DO levels.

533 The lack of effect of high DO levels (148 and 194% O<sub>2</sub>) on parameters of hypoxic or  
534 hyperoxic stress is in accordance with conclusions in a review by Dong et al. (2011). Here,  
535 they conclude that teleosts are generally able to tolerate DO levels up to 200% O<sub>2</sub> without  
536 adverse effected on physiology or behaviour.

537

#### 538 **4.5 Practical oxygen guidelines for lumpfish**

539 The main aim of this study was to provide practical oxygen guidelines for production  
540 and use of lumpfish. Results from the present study has therefore been combined with  
541 previous findings and other guidelines to form advice for the aquaculture industry in Fig.  
542 5A-B. In these two figures, linear relationships between temperature and the two DO

543 thresholds ( $DO_{lim}$  and  $DO_{crit}$ ) have been included, to enable advice for temperatures  
544 between the two test temperatures used in the present experiment (5 and 12 °C). These  
545 linear relationships are not necessarily correct representations of the relationships between  
546 temperature and  $DO_{crit}/DO_{lim}$ , but it can be argued that they are reasonable as guidelines  
547 for aquaculture: The increase in SMR and MMR is close to linear within temperature  
548 ranges above  $T_{min}$  and below  $T_{opt}$  in marine teleosts (e.g., Claireaux et al. 2000; Claireaux  
549 and Lagardère 1999; Lefrancois and Claireaux 2003; Hvas et al. 2018). A temperature  
550 range of 5 - 12 °C is likely within this segment of the thermal niche for 15 - 60 g lumpfish  
551 (Hvas et al. 2018; Nytrø et al. 2014). A close correlation between SMR/MMR and  
552  $DO_{crit}/DO_{lim}$  was found across temperatures and fish sizes in the present study (not shown).

553 Appetite has been found to be a sensitive indicator of hypoxia, and is possibly the first  
554 detectable effect of environmental hypoxia (Remen et al. 2012; Jørgensen et al. 2017;  
555 review by Jutfelt 2021). Appetite was not measured in the present study, but reductions are  
556 expected to occur at DO levels higher than levels inducing increased plasma cortisol  
557 (Remen et al. 2012; Jørgensen et al. 2017). In the present experiment, plasma cortisol was  
558 increased to moderate levels (35-50 ng ml<sup>-1</sup>) within the middle third of the hypoxic zone  
559 (represented as stars in Fig. 5B), suggesting that appetite is first reduced at DO higher than  
560 this, i.e., within the upper third of the hypoxic zone.

561 Within the middle third of the hypoxic zone, a further reduction in appetite and growth  
562 is expected, combined with increased levels of plasma cortisol, based on results presented  
563 by Jørgensen et al. (2017). In the lower third of the hypoxic zone, increasing levels of  
564 hypoxic stress, towards levels observed at  $\sim DO_{crit}$  is expected ( $\sim 180$  ng ml<sup>-1</sup>; Hvas and

565 Oppedal 2019). Below this level, survival is time-limited (reviewed by Claireaux and  
566 Chabot 2016).

567 In summary, it is suggested that the temperature- and size dependent boundaries of the  
568 zone of environmental hypoxia ( $DO_{lim}$  and  $DO_{crit}$ ) can be used as guidelines to determine  
569 suitable oxygen levels, as well as effects of hypoxia severity for lumpfish. The zone of  
570 environmental hypoxia can be divided into three, in which the onset of reduced appetite is  
571 expected within the upper third, the onset of hypoxic stress is expected within the middle  
572 third, and ceased growth and severe hypoxic stress is expected in the lower third. Below  
573  $DO_{crit}$ , survival is compromised.

574 Repeated or chronic stress is known to suppress immune responses of teleosts (reviewed  
575 by Tort 2011) and has been found to alter ion balance and reduce growth in lumpfish  
576 (Hanssen 2016). Drops in DO within the upper third can therefore be considered  
577 acceptable, yet suboptimal with respect to lice grazing efficiency, while drops in DO within  
578 the lower two thirds increasingly reduces performance, welfare and health as a function of  
579 hypoxia severity, duration and frequency.

580

#### 581 **4.6 Conclusions and relevance for the aquaculture industry**

582 The present study has provided lumpfish SMR, MMR and AS for temperatures and sizes  
583 relevant for lumpfish production and use in sea cages. This is relevant for dimensioning  
584 and design of lumpfish production systems, as well as oxygenation systems in lumpfish  
585 transport or in Atlantic salmon sea cages. Temperature and fish size significantly  
586 influenced these variables, as well as the boundaries of the zone of environmental hypoxia  
587 ( $DO_{lim}$  and  $DO_{crit}$ ). For 15 g fish,  $DO_{lim}$  (the upper boundary) was 55 and 147%  $O_2$  at 5 °C

588 and 12 °C, and for 60 g fish,  $DO_{lim}$  was 53 and 89%  $O_2$  at the same temperatures.  
589 Reductions in DO to levels within the hypoxic zone may occur in salmon net pens, in  
590 particular if used in combination with lice skirts (Stien et al. 2012; Bui et al. 2020). Based  
591 on present and previous findings, the zone of environmental hypoxia was divided into three  
592 to provide guidelines with respect to hypoxia severity: Drops within the upper third of this  
593 zone induce negative effects on appetite, while lower DO levels induce hypoxic stress,  
594 which increases with hypoxia severity, as DO approaches the  $DO_{crit}$  (Fig. 5). Hypoxia  
595 severity, frequency and duration will determine to what degree DO drops within the  
596 hypoxic zone is acceptable with regard to fish performance, health and welfare.

597 Present results suggest that short- term increases in DO up to 194%  $O_2$  can be used  
598 without negative effects, if oxygen demand is temporarily increased, such as during  
599 crowding, transfer or transport at high temperatures.

600

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607

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615 review & editing.

616

617 **Conflict of interest**

618 There is no conflict of interest in relation to this study.

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626 **References**

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778

779 **Figure legends**

780

781 Fig. 1 A – C. The effect of temperature (5 and 12°C) and fish size (15 and 60 g) on average  
782 ( $\pm$  95% CI) A) SMR, B) MMR) and C) the aerobic scope (AS) of lumpfish. Different letters  
783 denote statistically significant differences between group means (Factorial ANOVA for  
784 SMR/MMR and non-overlapping 95% CI for AS).

785

786 Fig. 2A – D. Measured maximum metabolic rates (MMR, mg O<sub>2</sub> kg<sup>-1</sup> min<sup>-1</sup>) in individual  
787 lumpfish subjected to different levels of O<sub>2</sub> (%), grouped by size and temperature in A –  
788 D. Group codes = Size (g): Temperature (°C). Broken line regressions (broken lines) were  
789 fitted to the data, and black, filled arrows represent statistically significant DO breakpoint  
790 estimates (i.e., DO<sub>lim</sub>). Mean normoxic SMR is illustrated by grey, horizontal lines, and the  
791 breakpoint DO where MMR is reduced to the level of SMR (i.e., DO<sub>crit</sub>) is represented by  
792 open, black arrows. Dark grey, horizontal lines on the arrows represent standard errors.

793

794 Fig. 3A – B. Incipient limiting DO levels (DO<sub>lim</sub>) and critical DO levels (DO<sub>crit</sub>) determined  
795 for 15 and 60 g lumpfish at temperatures of 5 and 12 °C. Values represent means  $\pm$  95%  
796 confidence intervals, and different letters denote statistically significant differences, based  
797 on non-overlapping 95% CI.

798

799 Fig. 4A – F. Effects of abrupt and short-term changes in DO (% O<sub>2</sub>) on lumpfish plasma  
800 A) cortisol and B) lactate concentrations, C) plasma pH and D) osmolality, and on liver E)  
801 catalase activities (CAT) and F) lipid peroxidation rates (LPO). DO was changed from air

802 saturation (98 % O<sub>2</sub>) to either hypoxic (47 and 63% O<sub>2</sub>) or hyperoxic (148 or 194% O<sub>2</sub>)  
803 DO levels for 1 – 1.5 h prior to blood and liver sampling. Values are means ± SEM (n =  
804 10), and different letters denote statistically significant differences in group means. N.S. =  
805 not significant

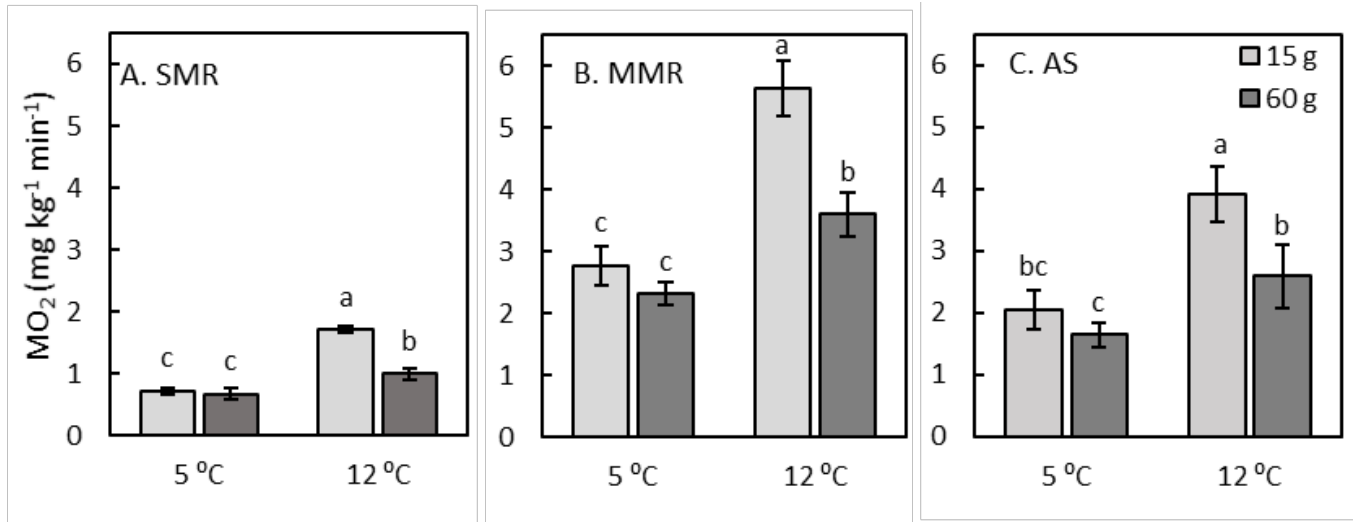
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807 Fig. 5A – B. Effect of temperature on the boundaries of the zone of environmental hypoxia  
808 for lumpfish of two sizes: 15 g (A) and 60 g (B), based on present results. The limit between  
809 green and yellow represents DO<sub>lim</sub> and the limit between orange and red represents DO<sub>crit</sub>.  
810 Based on present results, combined with results from Jørgensen et al. (2017) and Hvas and  
811 Oppedal (2019), the gradual impact of increasing hypoxia severity is proposed, where  
812 performance is gradually reduced towards zero within the upper two thirds of the hypoxic  
813 zone (yellow/light orange), and where hypoxic stress gradually increases from mild to  
814 severe within the lower two thirds of this zone (light orange / orange). Stars represents DO  
815 levels where elevated plasma cortisol levels were observed in the present experiment.

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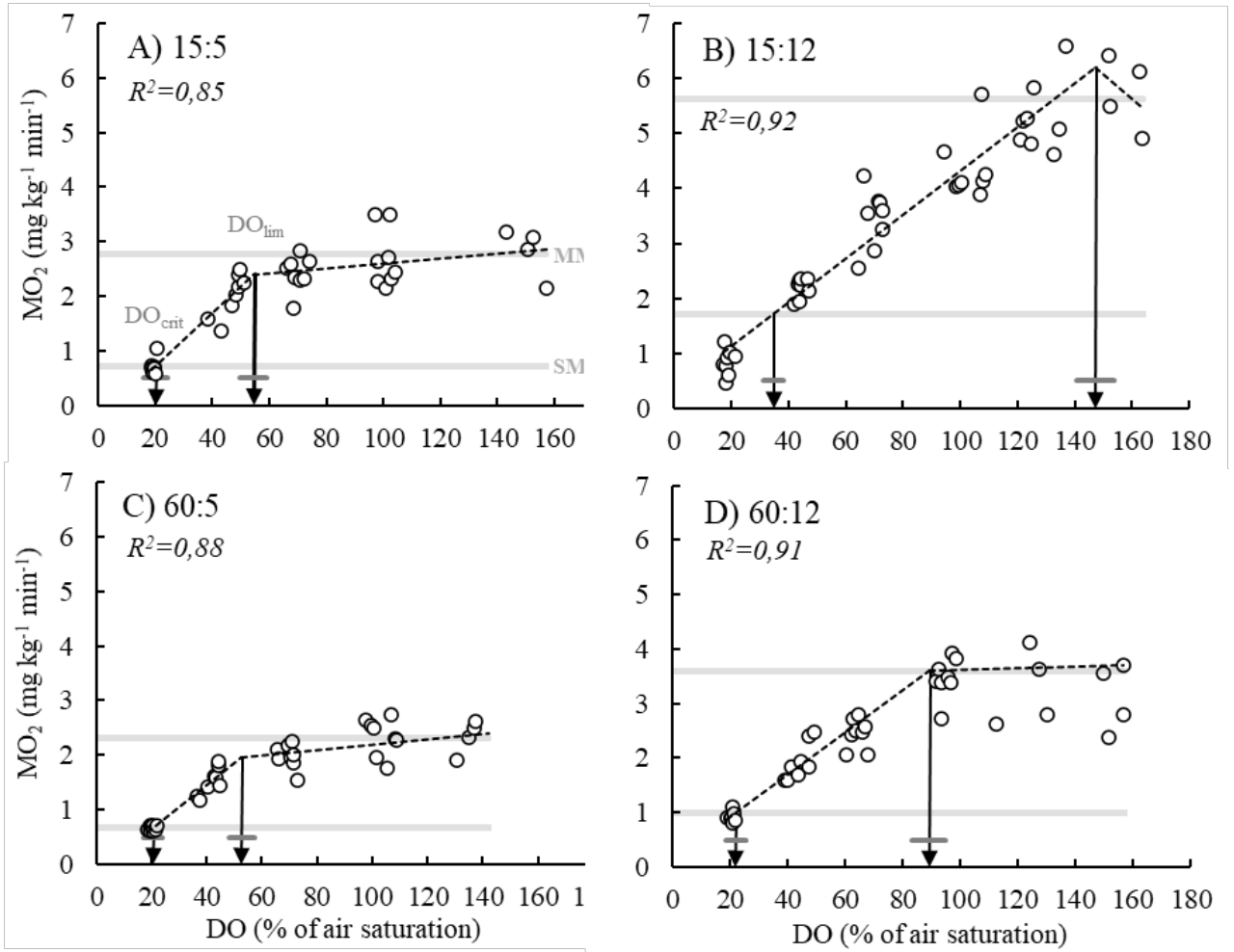


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820 Fig. 1 A-C. Remen et al.

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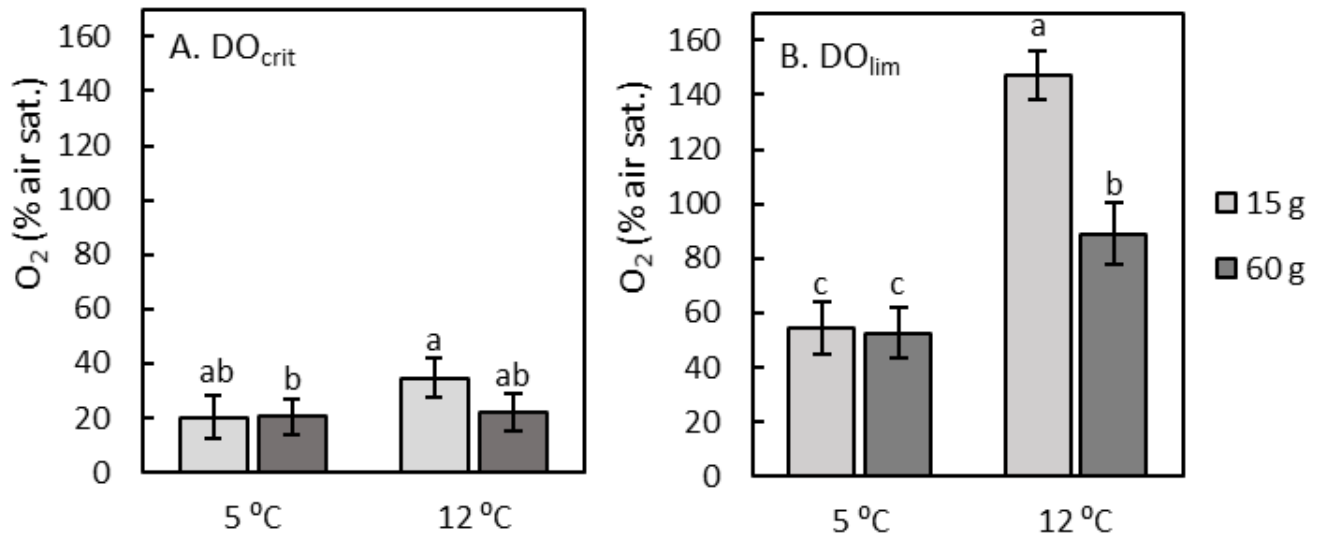


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823 Fig. 2A-D. Remen et al.

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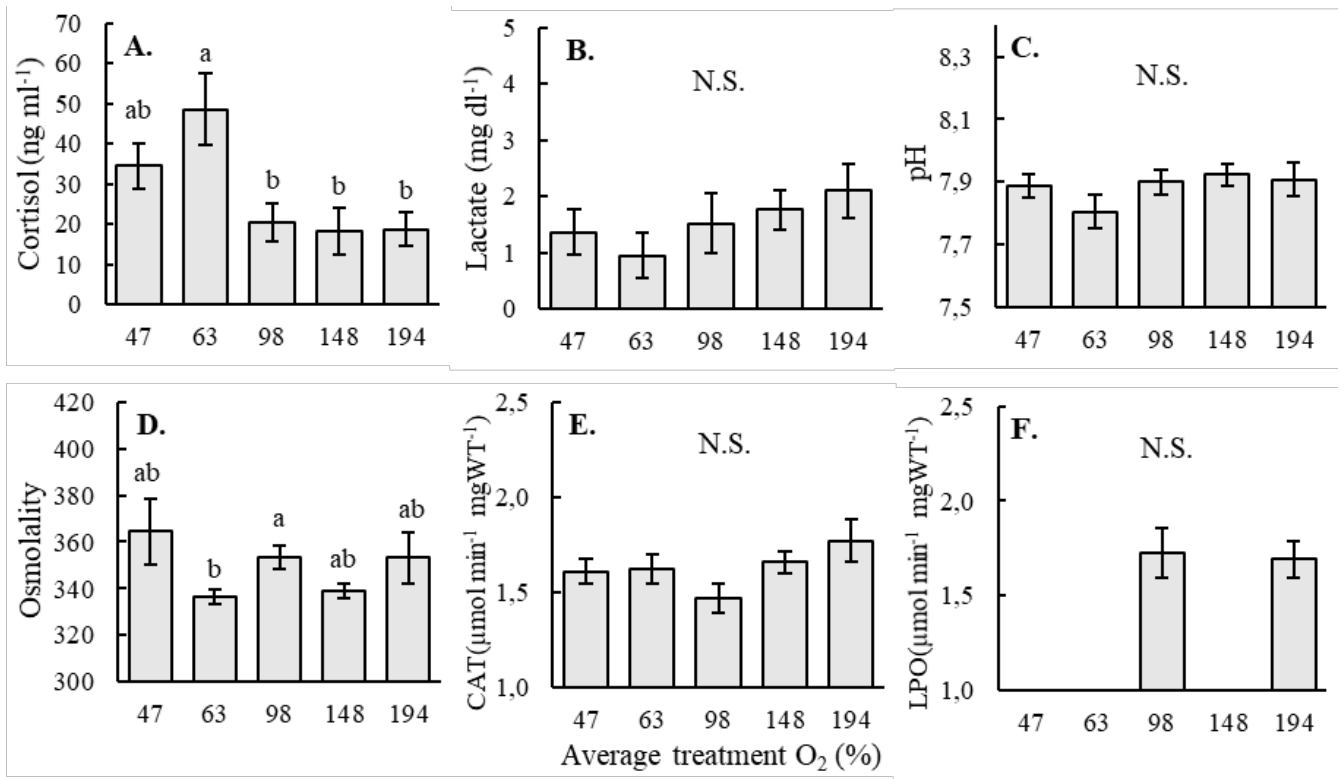
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827 Fig. 3A-B. Remen et al.

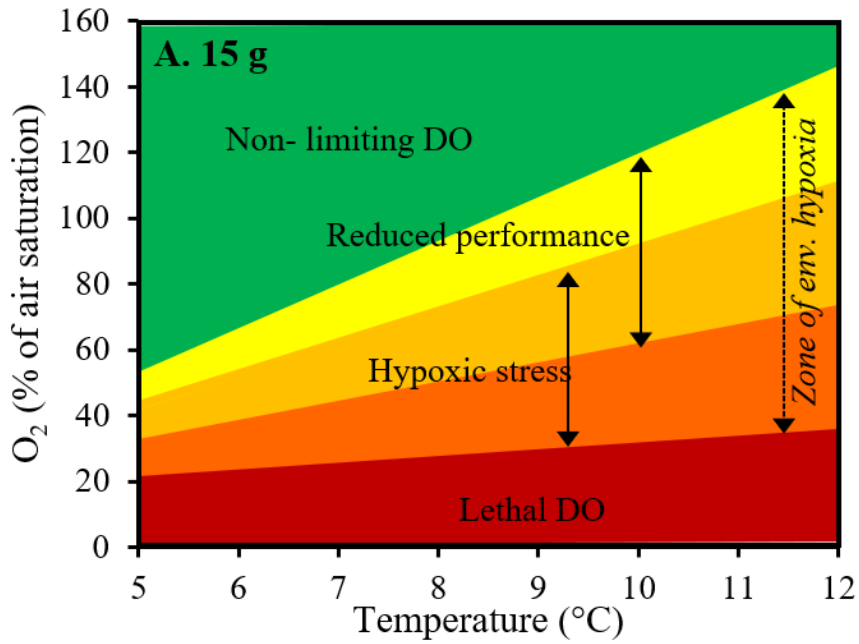
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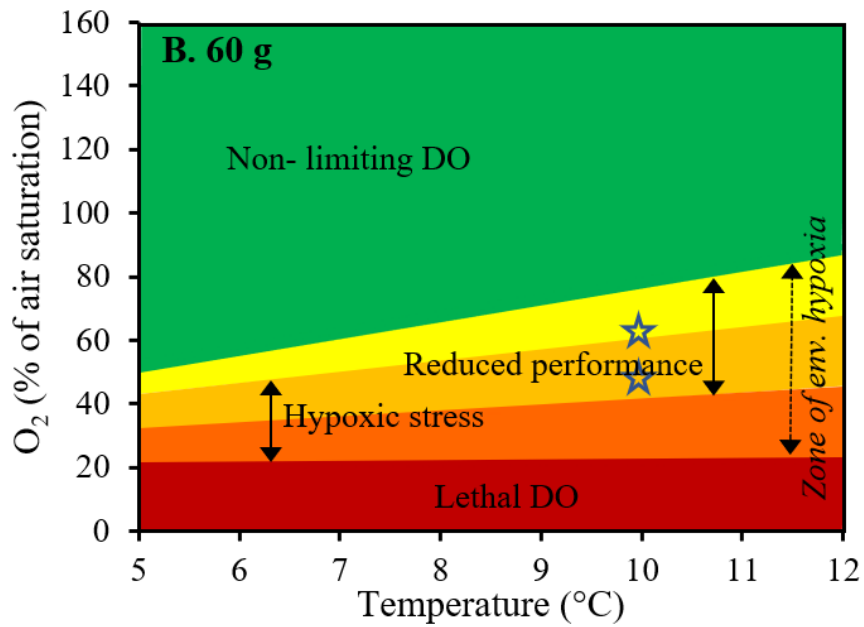
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831 Fig. 4A-F. Remen et al.

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835 Fig. 5A-B. Remen et al.

836

837 Table 1. Average ( $\pm$  SD) fish weights (g) and lengths (cm, tale fin basis) per group  
 838 (combination of temperature, fish size and DO) in SMR and MMR measurements, mean  
 839 ( $\pm$  SD) temperature ( $^{\circ}$ C), DO (% of air saturation) and number of valid ( $R^2 > 0,9$ )  
 840 measurements (N).

Group	Parameter	Desired DO (% O <sub>2</sub> )	W (g)	L (cm)	T ( $^{\circ}$ C)	Measured DO (% O <sub>2</sub> )	N
15:5	SMR	100	15.1 $\pm$ 0.8	5.9 $\pm$ 0.3	5.1 $\pm$ 0.0	104 $\pm$ 2	8
	MMR	20	15.6 $\pm$ 0.2	5.9 $\pm$ 0.2	5.1 $\pm$ 0.1	19 $\pm$ 1	8
	MMR	45	14.9 $\pm$ 0.3	6.0 $\pm$ 0.2	5.1 $\pm$ 0.1	47 $\pm$ 4	8
	MMR	70	14.9 $\pm$ 0.2	5.7 $\pm$ 0.2	5.2 $\pm$ 0.1	70 $\pm$ 3	8
	MMR	100	15.0 $\pm$ 0.2	5.9 $\pm$ 0.3	5.0 $\pm$ 0.1	101 $\pm$ 3	8
	MMR	140	14.8 $\pm$ 0.2	5.9 $\pm$ 0.2	5.1 $\pm$ 0.1	151 $\pm$ 6	4
15:12	SMR	100	15.0 $\pm$ 1.0	6.0 $\pm$ 0.3	12.5 $\pm$ 0.0	108 $\pm$ 1	8
	MMR	20	14.2 $\pm$ 1.8	6.0 $\pm$ 0.2	12.6 $\pm$ 0.1	19 $\pm$ 1	8
	MMR	45	15.1 $\pm$ 0.9	6.0 $\pm$ 0.3	12.6 $\pm$ 0.1	44 $\pm$ 2	8
	MMR	70	14.3 $\pm$ 0.9	6.0 $\pm$ 0.3	12.5 $\pm$ 0.1	70 $\pm$ 3	8
	MMR	100	14.3 $\pm$ 1.1	6.0 $\pm$ 0.2	12.5 $\pm$ 0.1	103 $\pm$ 5	8
	MMR	120	14.2 $\pm$ 1.0	6.3 $\pm$ 0.2	12.6 $\pm$ 0.1	123 $\pm$ 2	4
	MMR	140	14.6 $\pm$ 0.9	6.1 $\pm$ 0.2	12.5 $\pm$ 0.1	145 $\pm$ 14	8
60:5	SMR	100	60.0 $\pm$ 4.6	9.6 $\pm$ 0.3	5.1 $\pm$ 0.0	98 $\pm$ 3	8
	MMR	20	61.8 $\pm$ 3.7	9.8 $\pm$ 0.3	5.2 $\pm$ 0.0	20 $\pm$ 1	8
	MMR	45	63.9 $\pm$ 6.4	9.8 $\pm$ 0.3	5.2 $\pm$ 0.0	42 $\pm$ 3	8
	MMR	70	60.8 $\pm$ 5.2	9.6 $\pm$ 0.3	5.2 $\pm$ 0.0	70 $\pm$ 3	8
	MMR	100	60.2 $\pm$ 5.6	9.5 $\pm$ 0.4	5.1 $\pm$ 0.0	104 $\pm$ 4	8
	MMR	140	54.5 $\pm$ 1.3	9.3 $\pm$ 0.2	5.2 $\pm$ 0.0	138 $\pm$ 4	8
60:12	SMR	100	62.0 $\pm$ 3.9	9.9 $\pm$ 0.4	12.2 $\pm$ 0.0	105 $\pm$ 1	4
	MMR	20	59.6 $\pm$ 6.9	9.5 $\pm$ 0.5	12.0 $\pm$ 0.2	22 $\pm$ 1	8
	MMR	45	59.7 $\pm$ 6.8	9.9 $\pm$ 0.5	12.0 $\pm$ 0.1	46 $\pm$ 4	8
	MMR	70	61.9 $\pm$ 8.7	9.6 $\pm$ 0.5	12.0 $\pm$ 0.1	67 $\pm$ 2	8
	MMR	100	49.4 $\pm$ 6.2	9.1 $\pm$ 0.5	11.9 $\pm$ 0.1	98 $\pm$ 2	8
	MMR	140	61.2 $\pm$ 5.9	9.3 $\pm$ 0.5	12.0 $\pm$ 0.1	143 $\pm$ 17	8

841

842 Table 2. Average ( $\pm$  SD) measured DO (% of air saturation) during acute change in DO in  
 843 all replicate tanks, exposure time (min), number of individuals sampled for blood and liver  
 844 (N), and average ( $\pm$ SD) weights and lengths of fish sampled per tank replicate.

<b>Desired DO (% O<sub>2</sub>)</b>	<b>Replicate</b>	<b>Measured DO (% O<sub>2</sub>)</b>	<b>Exposure time (min)</b>	<b>N</b>	<b>W (g)</b>	<b>L cm</b>
45	1	47 $\pm$ 3	57	5	64 $\pm$ 9	9.8 $\pm$ 0.4
	2	48 $\pm$ 2	60	5	61 $\pm$ 14	9.6 $\pm$ 0.7
65	1	64 $\pm$ 0	52	5	65 $\pm$ 7	9.7 $\pm$ 0.2
	2	63 $\pm$ 0	66	5	59 $\pm$ 15	9.3 $\pm$ 0.6
100	1	98 $\pm$ 0	60	5	60 $\pm$ 9	9.8 $\pm$ 0.7
	2	98 $\pm$ 0	60	5	58 $\pm$ 10	9.9 $\pm$ 0.8
150	1	149 $\pm$ 3	49	5	64 $\pm$ 9	10.0 $\pm$ 0.4
	2	148 $\pm$ 3	58	5	65 $\pm$ 4	10.0 $\pm$ 0.5
200	1	195 $\pm$ 5	62	5	51 $\pm$ 12	9.2 $\pm$ 0.3
	2	194 $\pm$ 5	66	5	52 $\pm$ 9	9.2 $\pm$ 1.2

845