

Stocking density limits for post-smolt Atlantic salmon (*Salmo salar* L.) emphasis on production performance and welfare

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1 **Abstract**

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3 For the development of commercial scale semi-closed sea systems for farming post-smolt
4 Atlantic salmon (*Salmo salar*), further knowledge is required on the interaction between fish
5 density, farming conditions and fish welfare. In this experiment post-smolts (115.0 g \pm 13.6)
6 were stocked at 5 different densities (25, 50, 75, 100 and 125 kg m⁻³), and kept at these
7 densities for 8 weeks. All treatments received an equal specific flow rate of 0.6 L kg fish⁻¹
8 min⁻¹ of flow-through seawater (fully oxygenated, salinity 34 ‰ and temp. 9.3°C) and water
9 oxygen (O₂), pH, carbon dioxide (CO₂) and total ammonia nitrogen (TAN) levels were
10 monitored in the outlet and kept within recommended limits. Over the 8 week period, specific
11 growth rate (SGR %) was significantly reduced in stocking densities of 50 kg m⁻³ and above.
12 Increasing density from 100 kg m⁻³ to 125 kg m⁻³ lead to a 42 % decrease in SGR. Between
13 50 kg m⁻³ and 125 kg m⁻³ there was a correlation between reduced feed intake and increased
14 stocking density and there was a linear increase in feed conversion ratio (FCR) with stocking
15 density (25 kg m⁻³ to 125 kg m⁻³). At the end of the 8 week period primary and secondary
16 stress responses such as elevated plasma levels of cortisol, sodium, pCO₂ and decreased
17 plasma pH were observed in the highest density treatment compared to other treatments. In
18 combination with the reduced SGR in the highest density treatments these results indicate an
19 allostatic overload *i.e.* the environment has exceeded the adaptive ability of the fish with
20 chronic adverse effects on fish welfare. Stocking densities of 100 kg m⁻³ or more also
21 increased pelvic fin damage and the prevalence of cataracts was higher in the 125 kg m⁻³
22 treatment. In conclusion, our results suggest that at this temperature and fish size it is feasible
23 to rear Atlantic salmon post-smolts in densities up to 75 kg m⁻³ without compromising
24 performance and welfare.

25

1 **1. Introduction**

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3 Today the majority of post-smolt rearing in Norway takes place in open sea cages. However,
4 new and alternative technologies are emerging, making it possible to move part of the post-
5 smolt phase on land in closed recirculating aquaculture systems (RAS) or to large semi-closed
6 containment systems (S-CCS) in sea (Rosten, et al., 2011; Thorarensen, et al., 2011). The
7 overall production cost in S-CCS is likely to be higher than in open sea cages (Colt, et al.,
8 2008) as a consequence of higher initial investments and possible need for oxygenation and
9 water pumping. In this context, increased stocking density has been highlighted as an
10 important factor that can contribute towards reducing overall production costs, provided that
11 fish welfare and performance are not compromised. Several studies have been done on the
12 subject of stocking density and its effects on fish (Ellis, et al., 2002; Hosfeld, et al., 2009;
13 Kjartansson, et al., 1988). The majority of studies suggest that increased stocking density has
14 a negative effect on fish welfare (Fagerlund, et al., 1981; Holm, et al., 1990; Schreck, et al.,
15 1985; Trzebiatowski, et al., 1981). Reductions in growth and increased feed conversion ratio
16 (FCR) as well as increased incidences of fin erosion are amongst the most commonly reported
17 effects, although there is some disagreement as to the basic cause of these effects (Ellis, et al.,
18 2002).

19 The current Norwegian regulation for the production of Atlantic salmon in open sea
20 cages set an upper limit of 25 kg m⁻³ (Anon, 2004). However, with a high water exchange
21 rate ensuring that vital water quality parameters, i.e. O₂, CO₂ and total ammonia nitrogen
22 (TAN), are within acceptable limits it has been shown that it is possible to operate with
23 stocking densities exceeding the current regulations (Hosfeld, et al., 2009). Hosfeld et al.
24 (2009) found no negative effects on gill Na⁺, K⁺, -ATPase (NKA), plasma ion levels, plasma
25 glucose, growth and condition in Atlantic salmon post-smolts after exposing them as pre-

1 smolts to densities up to 86 kg m⁻³ for 100 days in fresh water. These results are in line with
2 Kjartansson et al. (1988), who detected no negative effects on stress responses and growth in
3 large Atlantic salmon reared at densities from 30 to 125 kg m⁻³ in land-based systems.
4 Although the findings of Kjartansson et al. (1988) and Hosfeld et al. (2009) suggest that
5 smolts in freshwater and adult salmon in sea water can be farmed at relatively high densities,
6 corresponding results on post-smolts are lacking. Hence, the introduction of new technology
7 demands development of new production protocols, including new knowledge on the effect of
8 increased stocking density on the post-smolt stage.

9 Welfare is a complex and currently debated topic and a stress response does not
10 necessarily entail poor welfare but a physiological adaptation to a changing environment. In
11 fact, it has been suggested that the relationship between stress and welfare is not inversely
12 related (i.e. increased stress leads to decreased welfare) but rather to follow an allostasis
13 concept where too little or too much stress impairs welfare (McEwen, et al., 2003). In teleosts,
14 elevated plasma cortisol levels commonly occur shortly after exposure to a stressor and are
15 considered a primary response. Circulating cortisol is further involved in activating secondary
16 responses like increased blood glucose, osmoregulatory and haematological changes which in
17 turn allow the fish to react and compensate for the stressful stimuli (Barton, et al., 1991;
18 Wendelaar Bonga, 1997; Wright, et al., 1989). However, long-term or repeated stress can lead
19 to an allostatic overload of these adaptive mechanisms with chronic effects on the organism
20 (Korte, et al., 2007; Schreck, 2010; Sterling, 2012). Stocking density, type of enclosure, water
21 quality and handling may not only induce stress responses, but are also suggested as causes of
22 fin and bodily damage in farmed fish, representing a clear welfare issue that must be
23 addressed (Broom, 1991; Ellis, et al., 2002).

24 Earlier production cost models suggests that a yearly production of 80 kg m⁻³ in a S-
25 CCS currently is still more expensive than today`s open sea cage production (Henriksen et al.,

1 2013). However, the development of new technology and by using S-CCS for strategic parts
2 of the life cycle, like the post-smolt stage, will likely reduce the cost. Calculations by Iversen
3 et al. (2013) also show that an increase of stocking density from the regulated limit (25 kg m^{-3})
4 3) to 80 kg m^{-3} will significantly reduce the coastal area used. Hence, there are several drivers
5 for increasing stocking density and it is therefore highly relevant to establish safe stocking
6 limits for post-smolts in S-CCS. Therefore in the present study, five stocking densities
7 ranging from 25 kg m^{-3} to 125 kg m^{-3} were maintained throughout an 8 week period. This
8 density range is also within the limits of what has previously been proven viable for other
9 Atlantic salmon life stages (Hosfeld, et al., 2009; Kjartansson, et al., 1988). Welfare
10 implications of stocking density were assessed by examining the overall stress response
11 considering primary (cortisol), secondary (physiological) and tertiary (growth) responses as
12 well as external morphological indicators.

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1 **2. Materials and methods**

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3 *2.1. Fish stock and rearing conditions*

4 The fish used in this study were out of season smolts produced by Lerøy Vest,
5 Flateråker, in Western Norway. First feeding started in early February 2012 under constant
6 light and in heated water (12-14°C). Between early May and early August the fish were
7 maintained indoors in a green 7m rearing tank (volume: 70 m³) at constant light and water
8 temperature (12°C). All fish were fed *ad lib* a commercial dry diet (EWOS, Bergen, Norway).
9 A photoperiod regime known to stimulate parr-smolt transition was initiated in the beginning
10 of August (Handeland, et al., 2001). This treatment included a decrease in day-length from
11 LD24:0 to LD12:12 for 5 weeks followed by another 4 weeks on LD24:0. On October 8th, all
12 fish showed normal morphological and physiological signs of smolting, including silvery
13 scales, dark fin margins, low condition and high gill NKA activity (McCormick, 1993).

14

15 *2.2 Experimental design*

16 All experimental procedures were approved by the Norwegian Animal Research
17 Authority (reference no. 4692). The study was carried out at the Industrial Laboratory
18 (ILAB), Bergen Norway, between the 10th of October and 20th of December 2012. On
19 October 10th 3750 smolts (weight = 115.0 g ±13.6, length = 22.2 cm ±1.4) were transported
20 from the hatchery (Flateråker) to ILAB and distributed randomly among ten 1 m² square
21 fiberglass tanks (500 L) with stocking density as the experimental factor, 25, 50, 75, 100 and
22 125 kg fish m⁻³. Each treatment was conducted in duplicate tanks. In the period from the 16th
23 to the 18th of October, the fresh water (treated with SiO₂) in each tank was gradually replaced
24 with deep seawater (-105 m); i.e. from 0 to 17 ‰ on 16th of October, from 17 to 25 ‰ on 17th
25 of October and from 25 ‰ to full strength seawater (34 ‰) on the 18th of October. Following

1 exposure to seawater, the fish were reared under a simulated natural light regime (60°25`N).
2 The experimental period started on the 24th of October lasting till the 20th of December. In all
3 groups, specific water flow was kept at 0.6 L kg fish⁻¹ min⁻¹ and temperature at 9.3°C (± 0.3).
4 Water velocity in each tank was kept stable and equal by adjusting the angle on the inlet water
5 pipe. Both temperature and oxygen saturation were measured once daily at 10:00-12:00 AM
6 (YSI 550A, Yellow springs, OH, USA) in the outlet water of every tank, and pH (Seven Easy
7 pH meter, Mettler-Toledo AG, Schwerzenbach, Germany) was measured every week (Table
8 1). The oxygen level in the outlet water was kept higher than 80 % saturation by oxygenating
9 the water in the header tanks. Every second week water samples were collected from the
10 outlets of each tank in sealable airtight glass bottles in order to monitor CO₂ (Fivelstad, et al.,
11 2003) and in acid-washed tubes for TAN measurements. The carbon dioxide concentrations
12 were calculated based on the percentage of carbon dioxide in the total carbonate concentration
13 (Gebauer, et al., 1992). Before TAN was analysed pH was reduced below 2 in each sample
14 using sulphuric acid (H₂SO₄), TAN concentrations were analyzed according to ‘Norwegian
15 Standard 2005, NS-EN ISO 11732’ using a Seal autoanalyser (Omni process AB, Solna,
16 Sweden). The measured water quality parameters in all treatments were within the
17 recommendations for post-smolts in sea water systems (Thorarensen, et al., 2011). All tanks
18 were checked twice daily and dead fish were removed immediately and weighed; however,
19 the mortality throughout the experiment was negligible and not related to experimental
20 treatment (Table 2). The fish in all treatments were fed a commercial freshwater dry diet
21 (Optiline 3 mm, Skretting, Norway) in 10 % excess to table (Skretting) with an automatic
22 feeder daily between 09:00-10:00 and 15:00-16.00 throughout the study. A freshwater feed
23 was used to reduce the sinking rate of the pellets, hence increasing the time it was available to
24 the fish, thus minimizing any density dependent effects on feed availability.

25

1 2.3 Performance analysis

2 To assess stocking density dependent effects on growth and condition, a sub-group of
3 30 randomly selected fish from each treatment were individually tagged (11 October, PIT
4 tags, Trovan Ltd.), weight and length were measured during tagging and at the end of the
5 experiment after 8 weeks. The growth was calculated as specific growth rate (SGR), where W_1
6 and W_2 are weights at days T_1 (start of experiment) and T_2 (after 8 weeks), according to the
7 equation:

$$8 \quad \text{SGR} = (\ln W_2 - \ln W_1) * 100 / (T_2 - T_1).$$

9 Fultons condition factor (CF), where W is weight and L is length, was calculated based on the
10 formula:

$$11 \quad \text{CF} = 100W * L^{-3}$$

12 Bulk weight measurements of the total biomass in each tank were recorded at the start of the
13 experiment, middle (4 weeks) and at the end (8 weeks). At week 4 the actual biomass gain
14 was recorded and removed to maintain the original treatment density. To minimize
15 disturbance in tanks during the experiment, the biomass gain at week 2 and 6 was estimated
16 from the mean weight of the sampled fish ($n=12$) and removed. The density range in each
17 treatment is given in table 2, however treatments are termed after their original and adjusted
18 stocking density i.e. 25, 50, 75, 100 and 125 kg m⁻³. Bulk weights were also used to assess
19 feed intake and FCR. Fish were fasted 24 hours prior to tagging and bulk biomass
20 measurements and anaesthetized with MS-222 (200 mg/kg, Sigma-Aldrich, St Louis, MO,
21 USA). From week 4 to 8 the feed intake was monitored by daily collection of waste feed in
22 each tank. Uneaten pellets were flushed out within 15 minutes, and filtered from the outlet
23 water using an automatic collection system. The waste feed was stored in -20°C until the end
24 of the experiment, and was then dried (24 hours, 70°C) and weighed. Due to issues with the
25 collection system in the start of the experiment feed intake could only be recorded between

1 week 4-8. Relative feed intake (RFI, % of body weight per day) was calculated using the
2 formula:

$$3 \quad \text{RFI}\% = 100 * [C / ((B_1 + B_2)/2)] / (T_2 - T_1)$$

4
5 Where C is feed consumption (dry weight; g) and B₁ and B₂ the actual biomass (g) at day T₁
6 and T₂ (Aas, et al., 2006). Feed conversion ratio (FCR) from week 4 to 8 was calculated for
7 each tank as:

$$8 \quad \text{FCR} = (\text{kg feed consumed}) / (\text{kg final biomass} - \text{initial biomass} + \text{removed biomass} + \text{dead}$$

9 fish)

10

11 *2.4 Blood and gill tissue sampling protocol*

12 Blood and gill tissue were collected from each density treatment after 2, 4, 6 and 8
13 weeks, all fish were fasted 24 h prior to sampling. Twelve fish from each treatment were
14 quickly netted and anesthetized in 200mg/l MS-222. Individual fish were weighed and their
15 length measured. Subsequently, blood was then sampled with a heparinised syringe from the
16 caudal blood vessels. One drop of blood was analysed immediately using an ISTAT analyser
17 (Abbot Norge AS, Norway). The remaining blood was centrifuged (10 min at 4°C and 4000
18 rpm) and plasma was stored at -80°C for further analysis. Gill tissue, sampled from the
19 second gill arch, was immediately immersed in ice-cold SEI, then frozen at -80°C. Gill NKA
20 was analyzed according to the procedure of McCormick (1993).

21

22 *2.5 Blood chemistry*

23 Analytical cassettes (EC8+) were used with the ISTAT analyser to measure blood
24 levels of haematocrit (Hct), haemoglobin (Hb), glucose, sodium (Na⁺), bicarbonate (HCO₃⁻),
25 blood pH and partial pressure of carbon dioxide (pCO₂). Both blood pCO₂ (Boutilier et al.,

1 1984) and pH (Heisler, 1984) values were adjusted according to the temperature difference
2 between 37°C and the temperature of the fish. Values for HCO_3^- were calculated according to
3 the Henderson Hasselbach equation (Boutilier et al., 1984) where the solubility of CO_2 and
4 the apparent P_k were adjusted according to temperature. When used for diagnostics in fish
5 some deviations between the ISTAT and conventional laboratory values have been found
6 (Cooke, et al., 2008; DiMaggio, et al., 2010; Harrenstien, et al., 2005; Harter, et al., 2014)
7 however it has been declared a useful tool for onsite analysis by Harrenstien, et al. (2005) and
8 Cooke, et al. (2008), especially when the main objective is not to obtain absolute values but to
9 compare relative differences between treatments. Therefore identical handling and sampling
10 of fish was prioritized, to allow for comparison between treatments (Dimberg, 1988; Railo, et
11 al., 1985).

12 Plasma cortisol levels were measured with a validated direct enzyme immunoassay
13 (EIA) as outlined by Carey, et al. (1998). Briefly, 96-well microtiter plates were coated with
14 rabbit anti-cortisol, polyclonal antibody (Cat# 20-CR50, Fitzgerald Ind. Int'l, North Acton,
15 MA, USA; diluted 1:30000) for 3 hours at 37°C. To each well 2.5 μl cortisol standard (Cat #
16 400364, Cayman Chemical Company, Ann Arbor, MI, USA) or sample along with 100 μl of
17 cortisol–horseradish peroxidase conjugate (Cat. # 65-IC08, Fitzgerald Ind. Int'l; diluted
18 1:6000) was added, before overnight incubation. Color development using 200 μl /well
19 3,3',5,5'-tetramethylbenzidine (TMB, Cat # 53-00-02, KPL inc., Gaithersburg, MA, USA)
20 was monitored every 10 min at 650 nm by a temperature-controlled plate reader (Sunrise
21 BasicTM, software: MagellanTM V6.5, Tecan Group Ltd, Männedorf, Switzerland). When
22 desired optic density was obtained (70 to 110 min) the reaction was terminated with 0.5 M
23 HCl and absorbance was measured at 450 nm. Maximum binding ($B_0=150\mu\text{l}$ EIA +100 μl
24 cortisol–horseradish peroxidase conjugate) and non-specific binding (NSB=150 μl EIA-

1 100µl cortisol–horseradish peroxidase conjugate) were determined. All standards were run in
2 triplicate and samples in duplicate.

3

4 *2.6 External welfare indicator analysis*

5 At the final sampling point after 8 weeks of stocking density treatment, an external
6 welfare analysis was performed on 10 fish from each tank (Hoyle, et al., 2007). Each fish was
7 examined for the presence of fin erosions (pectoral, caudal, pelvic, dorsal and anal fins),
8 cataracts, skin lesions and operculum shortening as described in (Kolarevic, et al., 2013).
9 Briefly, each fish was scored an integer for each indicator, from 0 (no lesions) to 5 (severe
10 lesions), except for operculum, cataract, and skin lesions score (0-2 score range). All fish
11 were examined by the same operator, whom had no previous knowledge of the experimental
12 treatments that the fish had been exposed to.

13

14 *2.7 Statistics*

15 All data sets were tested for normality using Kolmogorov-Smirnov test. The Hartley
16 F-max test was used to test for homogeneity of variances. A two-way factorial ANOVA was
17 used to study the effect of stocking density and treatment time on physiological parameters.
18 Significant ANOVA's, $P < 0.05$, were followed by a Student-Newman-Keuls multiple
19 comparison test. Due to unintentional disturbance in one of the replicate 25 kg m⁻³ treatment
20 tanks during sampling at week 8 it was decided to remove cortisol data from that tank from
21 the statistical analysis, other physiological parameters were tested (Student t-test) and no tank
22 effects among replicate groups were found. A one-way ANOVA followed by a Student-
23 Newman-Keuls multiple comparison test was used to compare growth rate (SGR) of tagged
24 individuals between stocking density treatments and welfare score data after 8 weeks. Prior to
25 statistical evaluation, the welfare score data was recalculated to proportions of the maximal

1 attainable score (of 2 or 5), and arcsine transformed. The relationship between stocking
2 density SGR, FI and FCR was demonstrated by multiple regression analysis, using 95% as the
3 critical level for significance. Statistical analyses were performed using STATISTICA
4 (version 12) and all data are given as means \pm SEM.

5

1 **3. Results**

2

3 *3.1 Feed intake, feed efficiency and growth*

4 There was no difference in mean weight among treatments at the start of the
5 experiment, after 8 weeks the mean weight was significantly lower in the 100 and 125 kg m⁻³
6 treatments compared to lower stocking densities (Table 2, $P < 0.05$). A negative linear
7 relationship between specific growth rate (SGR) and increased stocking density was observed
8 between 25 kg m⁻³ and 100 kg m⁻³ (adjusted R²: 0.92, $P < 0.001$), and between 100 kg m⁻³ and
9 125 kg m⁻³ (adjusted R²:0.83, $P < 0.05$). Each incremental increase in stocking density from
10 25 kg m⁻³ to 75 kg m⁻³ had a negative effect on SGR with a reduction of 9% between 25 kg m⁻³
11 ³ and 50 kg m⁻³ and 15% between 50 kg m⁻³ and 75 kg m⁻³ (ANOVA, $P < 0.05$). No
12 significant difference in SGR was detected between the 75 kg m⁻³ and 100 kg m⁻³ treatments,
13 however there was a 42% reduction in SGR between the 100 kg m⁻³ and 125 kg m⁻³ treatment
14 ($P < 0.001$). Condition factor was reduced in the intermediate (50, 75 and 100 kg m⁻³)
15 treatments compared to the lowest stocking density (25 kg m⁻³, $P < 0.05$), in the highest
16 stocking density fish had a lower condition factor than all other treatments ($P < 0.05$). There
17 was a positive linear relationship between increased stocking density and feed conversion
18 ratio (FCR 25-125; adjusted R²:0.57, $P < 0.05$) indicating that increasing stocking density has
19 a negative effect on feed utilization. The relative feed intake (RFI) was lower in both the
20 lowest (25 kg m⁻³) and the highest (125 kg m⁻³) stocking densities, however a significant
21 correlation was only detected when comparing RFI 50-125 (adjusted R²: 0.65, $P < 0.05$) and
22 not RFI 25-100 (adjusted R²:0.56, $P = 0.051$).

23

24

1 3.2 Gill NKA activity and plasma sodium, Na⁺

2 Gill ATPase activity levels were similar between all treatment groups, ranging from
3 13.8 – 15.2 $\mu\text{mol ADP mg protein}^{-1}\text{h}^{-1}$ throughout the study (Fig. 1A). Na⁺ was affected by
4 time and stocking density, with an increase in Na⁺ in all treatments the first two weeks of the
5 experiment ($P < 0.05$, Fig. 1B). At the last sample point (week 8) plasma Na⁺ was
6 significantly higher in the 125 kg m⁻³ group, contrary to other groups in which values
7 remained stable ($P < 0.05$, Fig. 1B).

8

9 3.3 Plasma cortisol and blood glucose

10 Plasma cortisol levels were significantly affected by time and treatment ($P < 0.05$).
11 After 4 weeks post-smolts kept at the intermediate stocking density (75 kg m⁻³) had
12 significantly elevated cortisol levels compared to other treatments ($P < 0.05$), levels were
13 decreased at the 6 week sample point but still higher than all other treatments ($P < 0.05$, Fig.
14 2A). By the end of the experiment cortisol levels returned to basal levels in the 75 kg m⁻³
15 treatment. Fish in the 50 kg m⁻³ treatment had significantly higher cortisol levels than fish in
16 the 100 kg m⁻³ treatment at week 4 ($P < 0.05$). After 8 weeks post-smolts kept in the highest
17 stocking density (125 kg m⁻³) had significantly elevated plasma cortisol ($P < 0.05$) compared
18 to all other treatments. At this time point the mean cortisol concentration in the lowest
19 stocking density (25 kg m⁻³) was $4.6 \pm 3.6 \text{ ng mL}^{-1}$ and $33.6 \pm 10.4 \text{ ng mL}^{-1}$ in the 125 kg m⁻³
20 treatment ($P < 0.05$, Fig. 2A). Plasma glucose was affected by stocking density and time ($P <$
21 0.05 , Fig. 2B). The 125 kg m⁻³ treatment was significantly reduced compared to 25 kg m⁻³
22 treatment after 2 and 4 weeks and was lower than 50 kg m⁻³ at 6 weeks ($P < 0.05$). At week 8
23 there was no significant difference in plasma glucose levels between treatments, however
24 plasma glucose levels were significantly higher week 8 compared to week 6 in the highest
25 stocking density ($P < 0.005$).

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3.4 Blood pCO_2 , pH and bicarbonate (HCO_3^-)

The pCO_2 in the blood increased with time in all treatments ($P < 0.05$, Fig. 3A). The general trend was an increase in plasma pCO_2 the first 4 weeks, followed by a period of stabilization between week 4 and 6. After 8 weeks, pCO_2 levels had increased by 2.6-fold in the 125 kg m^{-3} treatment, and were significantly higher compared to fish in the other treatments ($P < 0.05$, Fig. 3A).

There were no observed differences in blood pH between the five treatments the first 6 weeks of the experiment. At the end of the experiment the blood pH was significantly reduced in fish in the 100 kg m^{-3} treatment compared to fish in the 25 kg m^{-3} treatment ($P < 0.05$). Fish in highest stocking density (125 kg m^{-3}) had a lower blood pH than all other treatments ($P < 0.05$, Fig. 3B). No significant differences in blood HCO_3^- , HCT and Hb were evident between treatments at the end of the experiment (results not shown).

3.4 External welfare indicators

Fin damage such as erosion, splitting and malformations and fin ray damage were the most commonly observed signs of poor external welfare. Pectoral fin condition was adversely affected in densities of 100 kg m^{-3} and above ($P < 0.05$, Fig 4A). No significant external welfare effects were observed on other fins (pelvic, dorsal, anal and caudal). A higher prevalence of cataracts was observed in the highest density (125 kg m^{-3}) compared to treatment densities of 25 kg m^{-3} -75 kg m^{-3} ($P < 0.05$, Fig. 4B). Stocking density was not observed to affect skin or operculum condition.

1 **4. Discussion**

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3 In the present study reduced body weight, negative effects on external welfare and
4 changes in physiology were only observed in the two highest stocking densities, 100 and 125
5 kg m⁻³, suggesting that the reduced growth observed in these treatments is directly related to
6 stocking density. Increased competition between fish in the cohort and swimming speed at
7 feeding times has been observed at high fish densities (Kebus, et al., 1992) and it has earlier
8 been concluded that depressed growth can potentially be related to a reduction in access to
9 food through competition or reduced visibility (Holm, et al., 1990; Refstie, 1977; Refstie, et
10 al., 1976). In this study there was a linear increase in FCR with increased stocking density
11 supporting that fish are spending more energy finding feed as density increased. As no effects
12 on physiology and external welfare were observed in the 50 and 75 kg m⁻³ treatment, the
13 reduced growth in these treatments might be related to the restricted tank depth i.e. the time
14 feed is available in the tank. In a commercial setting the effect on growth may not have been
15 as apparent as the tank depth is greater giving fish more time to find feed even if visibility is
16 reduced due to increased stocking density. In support of this Hosfeld, et al. (2009) found no
17 effects on growth in smolts stocked in densities up to 86 kg m⁻³ in freshwater land based
18 systems for 100 days. The 42% reduction in growth between the 100 kg m⁻³ and 125 kg m⁻³
19 treatment together with the negative effects on feed utilization, feed intake, physiology and
20 welfare suggest a direct relation between high stocking densities and tertiary (chronic) stress
21 responses. In contrast, Kjartansson, et al. (1988) found no negative effects on growth in adult
22 Atlantic salmon (~1.75 kg) reared in land based facilities in densities up to 100-125 kg m⁻³.
23 Negative effects have been reported at considerably lower densities for Atlantic salmon in
24 open sea cages, in which most post-smolts in the size interval 0.1-1 kg are produced today
25 (Oppedal, et al., 2011; Turnbull, et al., 2005). According to Oppedal, et al. (2011) densities

1 above 26.5 kg m⁻³ decreased growth rate, feed intake and feed utilization in adult salmon (~1
2 kg) in sea cages. However, large fluctuations in environmental factors such as temperature
3 and O₂ within the sea cage can drive crowding. Therefore, Atlantic salmon are in fact
4 commonly experiencing a much higher actual fish density than indicated by the stocked
5 density (Oppedal, et al., 2011). In semi-closed sea systems oxygen can be added and water
6 can be pumped in from below fluctuating surface layers (Rosten, 2011). Hence, it is
7 reasonable to expect that rearing conditions are more similar to land-based tanks, where it is
8 possible to produce a stable and homogenous tank environment (Davidson, et al., 2004). Fish
9 may therefore distribute more evenly than in cages, allowing for operations at higher stocking
10 densities. However, results from this study highlight the need for effective feeding solutions
11 and monitoring when operating with high stocking densities in commercial scale closed
12 containment systems.

13 To maintain optimal water quality in all treatments a biomass specific water flow of
14 0.6 L kg fish⁻¹ min⁻¹ was used and this causes water retention time to decrease with increased
15 density. In a large scale system this could lead to a high water velocity in the tank and drag
16 near the outlet that could have negative effects on production performance (Solstorm, et al.,
17 2015). In this experiment the inlet pipe was adjusted to create an equal water velocity in all
18 tanks and with only 500 L of water the drag force from the tank outlet is expected to be
19 negligible. Hence, it is unlikely that the effects observed in the higher density treatments are
20 related to hydraulic retention time.

21 The reduced growth observed in the intermediate stocking densities, 50 and 75 kg m⁻³,
22 may be explained by the reduced availability of feed with increasing density, caused by the
23 tank properties in this experiment. However, complex social interactions that increase with
24 density may also be contributing. It has earlier been found that the frequency of aggressive
25 acts and the complexity of the interactions increase with density in salmonids (Cole, et al.,

1 1980; Keeley, 2000; Li, et al., 1977). Measures were taken to sample each treatment exactly
2 the same, however the handling every second week may have been perceived more stressful
3 as stocking density increased, despite the intensity of stress being the same (Pottinger, et al.,
4 1992). Established social hierarchies may have been disrupted leading to increased aggression
5 after sampling, this may have been stronger at the highest densities. The elevated cortisol
6 levels in the intermediate fish density treatment (75 kg m^{-3}), with a peak response at 4 weeks
7 may be a reflection of such complex interactions. Elevated cortisol levels due to social
8 interactions has earlier been reported in teleosts (Fox, et al., 1997; Gilmour, et al., 2005). By
9 the end of the experiment plasma cortisol levels return to basal values (Barton, et al., 1991) in
10 the 75 kg m^{-3} treatment, in combination with the lack of sustained secondary responses. This
11 suggests that the increase in cortisol was an adaptive allostatic response to maintain internal
12 stability. The significant cortisol increase in the highest density treatment (125 kg m^{-3}) after 8
13 weeks may indicate an acute response, to an accumulating allostatic load in which fish were
14 able to compensate for earlier in the experiment. Besides an increase in cortisol secondary
15 responses like increased blood glucose, Na^+ , $p\text{CO}_2$ and decreased blood pH were also
16 observed after 8 weeks in the highest stocking density. Increased blood CO_2 is also caused by
17 increased activity (Stevens, et al., 1967; Wood, et al., 1977) further suggesting that
18 competition/aggression in relation to high density may be taking place. Overall, the present
19 results indicate an allostatic overload and a situation in which fish are no longer able to cope
20 with increased stress in the highest stocking density.

21 The ion transporting enzyme Na^+ , K^+ , -ATPase (NKA) present in the basolateral
22 membrane of the branchial epithelium is associated with the excretion of ions in a
23 hyperosmotic environment (Marshall, et al., 1998). In the present study, the sharp increase in
24 gill NKA activity followed by stabilization at a higher level in all treatments is consistent with
25 the seawater acclimation process known to occur in salmonids shortly after transfer to

1 seawater (Berge, et al., 1995; Handeland, et al., 1998; Madsen, et al., 1989). The lack of
2 difference in NKA activity between treatments in the first period of the experiment suggests
3 that stocking density does not affect this seawater acclimation process. The drastic increase in
4 Na⁺ plasma levels in the 125 kg m⁻³ treatment at the end of the experiment, despite no
5 differences in gill NKA activity, suggest that fish are unable to adjust gill NKA activity to
6 regulate Na⁺ levels. The ion-regulatory functions of NKA are energy dependent (Marshall, et
7 al., 1998; Sinha, et al., 2015), and the reduced feed intake and glucose levels suggest that the
8 energy reserves needed to elicit such a response may be prioritized in other physiological
9 processes amongst fish in the highest stocking density. Stress can also impact the ion-
10 regulating function of the epidermal tissue in gills, skin and intestine through an increase of
11 paracellular permeability which could explain the influx of Na⁺ (Segner, et al., 2012).
12 Increased blood glucose in response to an acute stressor is also a typical secondary response
13 reported in fish, with the function of dissipating energy in order to react to a threat (Barton, et
14 al., 1991). In this study plasma glucose was reduced in the highest stocking density during the
15 first 6 weeks, this may be related to a reduced feed intake in this treatment, however although
16 on the low side all treatments are within the normal range reported for salmonids (Arnesen, et
17 al., 1993; Miller Iii, et al., 1983). Though an overall lower blood glucose in the highest
18 stocking density, there was a significant increase from week 6 to week 8 indicating that
19 energy reserves are being mobilized in order to cope with a stressful stimuli. Generally, the
20 responses observed in this study imply that there is a time period in which fish can cope with
21 high stocking densities, but if this window is surpassed wide-spread physiological changes
22 result.

23 Cataracts, fin, skin and opercular damage represent injuries to live tissue and are often
24 found in farmed salmonids (Ellis, et al., 2008; Kolarevic, et al., 2014; Turnbull, et al., 2005).
25 Damaged epithelia on the skin and fin bases can lead to osmotic disturbances and represent

1 invasion routes for pathogens and therefore increase the risk for disease (Stien, et al., 2013).
2 Hence, these are important indicators of welfare and being externally visible they are
3 relatively easy to study. In this study, stocking densities of 100 kg m⁻³ or above induced
4 pectoral fin damage. The increased plasma Na⁺ levels observed after eight weeks in the
5 highest stocking density (125 kg m⁻³) may be a consequence of damaged skin epithelia around
6 the fin bases causing a reduced barrier function and influx of ions. Fin damage as a result of
7 increased stocking density has earlier been reported for several species (e.g. Ellis, et al., 2008;
8 North, et al., 2006), the main causes being aggressive behaviour, like biting and chasing, and
9 mechanical abrasion (Turnbull, et al., 1998). Cataracts, opaqueness of the eye lens, may result
10 in impaired vision and even blindness in farmed fish, further causing reduced feed intake and
11 growth. In the present study cataract prevalence was increased in the highest stocking density.
12 A similar observation was also found in adult Atlantic salmon by Oppedal, et al. (2011) where
13 the number of cataracts increased when the fish were crowded for extended periods in sea
14 cages, it has earlier been reported that high stocking density can increase cataract rates in
15 tilapia (Cruz, et al., 1989) and cod (Björnsson, 2004) as a consequence of mechanical
16 abrasion of the cornea (Ubels, et al., 1987). Overall, the effects on physiology and growth in
17 the highest stocking density in combination with the visual signs of social interactions,
18 damaged fins and cataracts, suggest that reduced welfare in this study may be related to
19 aggression.

20 The present study was conducted at a temperature regime corresponding to the mean
21 water temperature in the geographical area in Norway where it is currently of most interest to
22 develop semi-closed sea systems. Effects of stocking density may be more adverse at higher
23 temperatures due to the interacting effects of increased excretion (CO₂ and NH₃) reducing the
24 water quality, thus further studies are needed to understand optimal post-smolt densities at
25 different temperatures. Density effects will also depend on post-smolt size since smaller fish

1 have a higher mass excretion rate (Terjesen, 2008). Hence, density guidelines in this paper
2 should be applied with consideration to the prevailing environmental and biological factors.

3

4

5 **5. Conclusions**

6 In conclusion, this study suggests that densities of 100 kg m⁻³ and above have a direct
7 negative effect on growth. Increased FCR, plasma cortisol and secondary physiological stress
8 responses were observed in the highest stocking density (125 kg m⁻³) after 8 weeks.
9 Furthermore, stocking densities of 100 kg m⁻³ and above had a negative effect on external
10 welfare parameters such as fin condition and prevalence of cataracts. Our data suggests that it
11 is feasible to rear Atlantic salmon post-smolts in densities up to 75 kg m⁻³ in semi-closed sea
12 systems without compromising performance and welfare. Further studies in large scale
13 systems should take these findings as a reference to verify density limits for commercial
14 rearing of post-smolt salmon.

15

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- 11

1 **Figure legends**

2

3 Fig. 1. Gill NKA (A) and Blood sodium (Na⁺) (B) in post-smolt Atlantic salmon after 0, 2, 4,
4 6 and 8 weeks of exposure to stocking densities of 25, 50, 75, 100 and 125 kg m⁻³. All values
5 are given as mean ± SEM (n=12). Different letters denote significant differences (*P* < 0.05)
6 between density treatments at the below time points. NS= not significant.

7

8 Fig. 2. Plasma cortisol levels (A) and blood glucose (B) after 0, 2, 4, 6 and 8 weeks of
9 exposure to five different density treatments (25, 50, 75, 100 and 125 kg m⁻³) for 8 weeks. All
10 values are given as mean ± SEM (n=6-12). Different letters denote significant difference (*P* <
11 0.05) between treatments at the below time points. NS= not significant.

12

13 Fig. 3. Blood partial pressure of CO₂ (A), blood pH (B) after 0, 2, 4, 6 and 8 weeks of
14 exposure to stocking densities of 25, 50, 75, 100 and 125 kg m⁻³. All values are given as mean
15 ± SEM (n=12). Different letters denote significant difference (*P* < 0.05) between treatments at
16 the below time points. NS= not significant.

17

18 Fig. 4. Pectoral and pelvic fin condition (A) and cataract prevalence (B) in post-smolt Atlantic
19 salmon after 8 weeks of exposure to stocking densities of 25, 50, 75, 100 and 125 kg m⁻³.
20 Each data point is the tank mean ± SEM (n=2) and 10 fish per tank were scored. Scores are 0-
21 2 for cataract and 0-5 for fins, higher value indicates severer damage. Different letters denote
22 a significant difference between treatments (*P* < 0.05) per indicator. NS= not significant.

23

24

1 Table 1. Water quality at 5 different stocking densities in full strength sea water (34‰)
 2 displayed as averages (\pm standard error) over the 8 week experimental period (n=2 tanks). O₂
 3 (Oxygen), TAN (total ammonia nitrogen) and CO₂ (Carbon dioxide) level were measured in
 4 outlet of each tank and are displayed as % saturation for O₂, CO₂ and TAN are in mg L⁻¹.
 5

Parameter	25 kg m⁻³	50 kg m⁻³	75 kg m⁻³	100 kg m⁻³	125 kg m⁻³
Temperature (°C)	9.2 \pm 0.01	9.2 \pm 0.01	9.2 \pm 0.01	9.2 \pm 0.01	9.2 \pm 0.01
O ₂ (%)	91.8 \pm 0.9	86.4 \pm 1.1	90.1 \pm 0.8	87.6 \pm 1.1	86.7 \pm 0.1
pH	7.58 \pm 0.05	7.48 \pm 0.04	7.53 \pm 0.05	7.47 \pm 0.06	7.48 \pm 0.06
CO ₂ (mg L ⁻¹)	3.6 \pm 0.4	4.5 \pm 0.4	4.3 \pm 0.5	4.7 \pm 0.6	4.6 \pm 0.6
TAN (mg L ⁻¹)	0.38 \pm 0.07	0.42 \pm 0.06	0.34 \pm 0.05	0.39 \pm 0.05	0.41 \pm 0.06

6
 7
 8

1 Table 2. Post-smolt Atlantic salmon performance at different stocking densities.

2

Parameter	25 kg m ⁻³	50 kg m ⁻³	75 kg m ⁻³	100 kg m ⁻³	125 kg m ⁻³
Density range (kg m ⁻³)	25-35	50-62	75-94	100-123	125-142
Mortality (count)	1	2	2	1	5
Initial weight start (g)	111.1±1.8	118.1±2.6	119.0±3.0	114.4±2.0	111.2±2.5
Final weight (week 8)	217.4±5.8 ^a	217.9±7.7 ^a	202.4±8.5 ^a	181.1±5.3 ^b	147.4±5.5 ^c
SGR, 0-8 weeks	0.94±0.02 ^a	0.85±0.03 ^b	0.72±0.04 ^c	0.65±0.03 ^c	0.38±0.03 ^d
Condition factor	1.13±0.06 ^a	1.08±0.01 ^b	1.06±0.01 ^b	1.05±0.01 ^b	1.01±0.01 ^c
FCR, 4-8 weeks	0.87±0.06	1.12±0.00	1.06±0.00	1.01±0.02	1.63±0.01
RFI, 4-8 weeks	0.44±0.02	0.84±0.01	0.80±0.02	0.75±0.02	0.58±0.08

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4 Mean weights, condition factor and specific growth rate (SGR; % bw day⁻¹) are based on
 5 individual fish (n=30) significant differences between treatment densities are denoted with
 6 different letters ($P < 0.05$). Feed conversion ratio (FCR) and relative feed intake (RFI; % bw
 7 day⁻¹) are measured on a tank level (n=2) values are given as means ± SEM.

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