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# Ultrasonic measurement system for gas.

Experimental and theoretical  
characterization using piezoelectric  
elements at radial mode vibration in air

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Master Thesis in Acoustics

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June 2019



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*“A goal without a plan is just a wish.”*

Antoine de Saint-Exupéry

# Acknowledgements

The current thesis is part of a long-term project by the acoustics group at the University of Bergen, under the supervision of prof. Per Lunde and co-supervisor Magne Vestrheim. The objective of the project is to, with high accuracy, describe transmit-receive ultrasound measurement systems in air using finite element modelling.

I would like to thank my supervisors for guidance and support through weekly meetings, for always having their doors open when extra discussions were needed and for the invaluable assistance and guidance in co-writing a paper for the 42nd Scandinavian Symposium on Physical Acoustic 2019 at Geilo, Norway. The paper and this work would not have been possible without your input and encouraging feedback.

A special thanks goes to Espen Storheim, Andreas Hagen and Magnus Esdal Reicht for helpful advice, tips, tricks and assistance with the simulations, experimental setup and in general when life has seemed hard. Your support has been very much appreciated, both in the exciting moments and the not so exciting part of this journey.

The people at the Acoustics group at the University of Bergen have also been a big support with lunches and discussions, both scientific and social. The help from the in-house workshop is also much appreciated and their quick response to facilitate changes in the experimental setup requested by the current author.

Villy and Elena also deserve thanks for encouragement and numerous laughs in the hallways and for always showing up with a smile. You make the day brighter with your presence.

Finally, I would like to thank my family, for their patience throughout these past few years, and my friends, Tordis and Marta, for invaluable tea breaks and recharging lunches.

Renate Grindheim  
Bergen, 2019





# Summary

High accuracy modelling of ultrasound transmit-receive measurement systems are useful for many applications and with Finite Element (FE) modelling a 3D representation of the system is possible.

A model for an ultrasound transmit-receive measurement system has been developed by the Acoustics group at the University of Bergen, consisting of several blocks representing different modules in the system. Each block is assumed linear in behaviour and the blocks are connected through nodes.

The transmission line model is used to make equivalent circuits representing the cables and electronics used in the system model, Khimunins baffled piston diffraction correction is used for calculating diffraction effects and Cramers model for speed of sound, along with Howell and Morfeys dispersion correction, is used to estimate the speed of sound used to calculate the slowly varying phase of the system model.

The objective of this thesis is to investigate the effect of some of the parameters included in the system model, perform measurements and simulations of the transfer function for the transmitted signal for different separation distances of the transmitter and receiver and compare results with prior work done using the same system model and experimental setup.

Discussion and experimental plots are made, with respect to the use of different parameters, such as input voltage, accuracy in alignment of the transmit-receiver pair and measured and separation distances for simulated transfer functions. Measurements and simulated data were also compared to prior work.



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## Chapter 1

# Introduction

### 1.1 Background and motivation

The use of ultrasound measurement systems are numerous in both science and industry, ranging from marine, medical and gas measurement applications. In many of these applications, such as fiscal flow measurement for custody transfer (e.g. sales and allocation) of natural gas [1–3], energy and quality measurement of gas [2, 4, 5] and sound velocity and absorption measurements [6–9], an ultrasonic transmit-receive system is used. Ultrasonic acoustic measurements can be accurate down to micrometer level [10] and this high accuracy combined with price and the convenience of non-invasive clamp-on installation makes it a compelling alternative to other measurement flow meters, such as the turbine, orifice plate and gamma densitometer [11, 12]. There are several different methods used for different ultrasonic gas flow meters, including transit time method, Doppler method, correlation method, noise method and the beam deflection method [13]. The transit time method, which measures the difference in time of flight caused by the fluid velocity in the medium between the transmit-receive pair, is most widely used in the industry and offers high accuracy [14].

An ultrasound measurement system often consists of signal generation, transmitting electronics, transmitting transducer, the propagation medium, receiving transducer, receiving electronics and termination [15–22], which can be referred to as different modules [41]. A theoretical model of such an ultrasound measurement system, which describes the measurement system, is desirable for numerous reasons. It can give a better understanding and analysis of the measured data and it allows each parameter in the system to be investigated in a controlled manner. Accurate simulations are of great value for design and optimization of measurement systems for use in industry. Theoretical research is also cost and time efficient, compared to experimental work. Such a theoretical model, describing a ultrasonic measurement system, will be referred to as a system model. For a linear system each module included in the system model can be modelled separately or combined to make a full system model [15]. This allows for investigation of the signal propagation though the full system model or only through parts of the system. In particular the transmitting and receiving transducers including the propagation medium between them are of interest. The transmitting and receiving transducers will be referred to as the transmit-receive pair in this work.

A frequency range of up to 300 kHz may be of interest in many ultrasonic measurement systems due to absorption increasing with increasing frequency [41]. In order to compare the simulated system model to experimental measurements of the transmit-receive system high accuracy is required of the measured quantities. These quantities include temperature, humidity, pressure, separation distance and

the alignment of the transmitter and receiver pair, as well as accurate knowledge of the instruments used in the system, experimentally.

## 1.2 Previous work

A high precision method for modelling the transmit-receive ultrasonic measurement system consisting of a transmitting transducer and a receiving transducer, as well as the transmitting and receiving electronics and cables, is sought after and various methods and approaches have been used. This includes theoretical representation of the system models using one-dimensional (1-D), two-dimensional (2-D) and three-dimensional (3-D) modelling tools to describe piezoelectric transducers vibrating in thickness extension (TE) mode and/or radial (R) mode vibration.

A model using transmission line theory and Thevenin equivalent circuits to model the different modules in the transmit-receive system was presented by Papadakis in 1977 [23]. In 1984 Hayward et al. presented a 1-D model based on plane wave in TE mode using z-transform [24, 25]. The modelling software tool FLOSIM, a 1-D Mason model for single acoustic beam and uniform flow, was presented by Lygre et al. [17] in 1987. Later, FLOSIM and TRANSCAD was used as a 1-D Mason type transmission line model [18, 19].

In 1989 an analysis of ultrasound NDT probes using Mason 1-D model was presented, which included effects of both the electrical load and diffraction was developed to predict probe performance [26]. This is just one of numerous articles on this subject. An electromechanical modelling using Mason 1-D model and reciprocity formulation was incorporated into an ultrasonic transducer measurement model [27]. In this paper it was shown that the electrical components could be examined in the same manner as the mechanical components and the output impedance of the receiver in the system was shown to have a significant effect on the measured output.

In 1999 a model for lossless 1-D multilayer ultrasound transducers were presented [30], and many other publications have been made on this topic. This model was designed for time-domain analysis. A reciprocal ultrasound multi-layer transducer 1-D modelling was presented for transducers operating in TE mode vibration two years later [29]. An electro acoustic measurement (EAM) model for ultrasonic non-destructive evaluation (NDE) measurements was developed in 2002, which measures all the components of an ultrasonic measurements system and then by combining them allows for measurements of the full system [20, 21].

A 1-D multilayer transducer modelling principle combined with FIELD II software was presented in 2010 [31]. In this paper a FIELD II simulation software, which predicts the pressure in front of transducers of any given geometry, is used to predict the impulse response of ultrasound transducers. In the paper the results show an error of 11.2% to 36.2% with a 2 dB decrease in amplitude.

Then, in 2018, Sanabria et al. presented a paper on the calculation of air-coupled ultrasound (ACU) transducers based on single-plane measurements [28]. In the paper it is stated that the reradiation model used "clearly outperforms the baffles piston models." and its can be applicable for calibration and manufacturing of ultrasound transducers and accurate source functions for NDE inverse problems. The model holds for 2-D (rectangular) and 3-D (circular and square) planar transducers in the frequency range 50-230 kHz.



### 1.2.1 Previous work at UiB

There has been several works done at UiB on piezoelectric elements vibration in air including six master theses [32–37] and a phd thesis [38]. The work on ultrasound transmit-receive pair vibrating in gas was initiated by [38] and [32].

In [32] determination of material constants for piezoelectric materials were investigated and in [38] the diffraction effects of non-uniformly vibrating sources were investigated through FE simulations, which allows for a 3-D representation of the transducers. The FE simulations were done using a finite element tool for modelling piezoelectric transducers (FEMP), developed by Jan Kocbach at the University of Bergen (UiB) during his masters and phd theses in association with the Christian Michelsen Research (CMR), cf. [39, 40].

A modified three-transducer reciprocity calibration method was developed in [33] and implemented for an ultrasound transmit-receive system in gas, which included correction factors for absorption in air, diffraction effects and transmitting and receiving electronics. In collaboration with this, [35] developed and implemented a FE based linear system model for the same system. The system model included different modules describing signal generation, transmitting electronics, transmitting transducer, medium, receiving transducer, receiving electronics and termination, allowing for analysis of single modules or combinations of several modules. Transmission line modelling was introduced to model the cables and connected hardware. Comparisons were made for the magnitude of the experimental measurements and simulations of the system model.

The work was further improved by [34] to include phase response of the transmit-receive system and diffraction effects caused by having a non-uniformly vibrating transducer. However, measurement uncertainties were not included for the phase. Uncertainty in the measurement of the separation distance between the transmitter and receiver caused deviations between the simulated and measured slowly varying phase and investigation of the noise showed significant levels of electrical crosstalk, which was reduced by the use of Faraday shields around the transmitting and receiving transducer.

In [36] a three transducer reciprocity calibration method was used to calibrate two piezoelectric disks for both magnitude and phase for the piezoelectric disks. The experimental results for both magnitude and phase were compared to FE simulations using FEMP. Lasers were installed to achieve high accuracy in the measurements of the separation distance between the disks and measurement uncertainties were developed for the system model. The two distances investigated were 0.5 m and 0.85 m.

The measurement scripts were rewritten in [37] to improve the runtime of experimental measurements and to facilitate measurements at shorter separation distances between the transmitter and the receiver. A method to reduce crosstalk was presented and the experimental results were compared to FE simulations using both (FEMP) and (COMSOL) for magnitude and phase. The distances investigated were 0.5 m, 0.4 m, 0.3 m and 0.2 m.

## 1.3 Objectives

The first objective of the present work is to measure the transfer function of the transmit-receive system at hand and compare with prior work, cf. [36, 37]. One motivation for this is to ensure that the renovation of the lab in 2017 did not change the experimental setup inherited by [37]. Another motivation for this is to compare

[36] and [37], since the latter introduced new methods for experimental measurement acquisition utilizing PYTHON scripts instead of the previously used MATLAB scripts developed by [33–36, 38] and it yields information as to the repeatability of the experimental results obtained over several years.

An in depth documentation of the measurement and post processing scripts is done in order to fully understand the measurements and measurement procedure done by [37]. This documentation enables comparison with current and prior work and leads to easy use and understanding for the current author and future works.

The use of different input voltage and different degrees of accuracy in alignment of the transmitting and receiving disk is investigated due to differences seen in prior work. The influence of these parameters are measured and discussed.

Different parameters were also observed in prior simulations [36, 37], both concerning material data and the dimensions of the piezoelectric disk being simulated. These are simulated for the different material data sets and for the different dimensions in order to quantify the effect the different parameters have on the simulation.

Lastly the transfer function of the transmit-receive pair is investigated for different separation distance. The distances used in the current work are 50 cm, 30 cm, 20 cm and 15 cm.

## 1.4 Thesis outline

A description of the system model and the theory used in the current thesis is given in **Chapter 2**. This includes the system model utilized, changes in the system model from previous work, transfer functions used in the thesis and the finite element theory used for simulations of the acoustical measurement presented in **Chapter 3**. The acoustical measurement system is presented in **Chapter 3**. Methods for measurements are also presented along with an overview of the scripts used for acoustic and electrical measurements. A theoretical overview of the simulation tool FEMP (Finite Element Modelling of Piezoelectric transducers) is briefly presented in **Chapter 4**. Results from experimental measurements and theoretical calculations are presented in **Chapter 5** for the electrical measurements and the measured transfer functions. Discussion of the results from **Chapter 5** are presented in **Chapter 6**. Conclusion and suggestions for further work are presented in **Chapter 7**. The PYTHON source codes used for data acquisition are included in **Appendix A**. The MATLAB source code used for post-processing the acquired data are included in **Appendix B**. Files used for simulation and post-processing the simulations are included in **Appendix C**. Plots of sent and received waveforms for four different separation distances given at five frequencies are included in **Appendix D**. The paper submitted for the proceedings of the 41st Scandinavian Symposium on Physical Acoustics is included in **Appendix E**.

## Chapter 2

# Theory

In the current chapter the theory used in the current work is presented. An overview of the transmit-receive pair and the system model is first presented in Section 2.1. In Section 2.2 the system model describing the transmit-receive system is presented and in Section 2.3 the Fourier transform used to transform the recorded time-domain voltages to the frequency domain is presented. As the simulation used is based on spherical reciprocity the transmitting and receiving properties of piezoelectric transducers are presented in Section 2.4. The transmission line model used to calculate the effect of the transmitting and receiving cables and electronics is presented in Section 2.5. This includes the transmitting electronics transfer function  $H_{0m1}^{VV}$ , the open-circuit transfer function  $H_{5open5'}^{VV}$  and the receiving electronics transfer function  $H_{5'6}^{VV}$ . The equations for electrical impedance is presented in Section 3.1.1. In Section 2.7 the sound speed model used in the current work is presented and in Section 2.9.1 the diffraction correction used in the present work is presented. In Section 2.10 and Section 2.11 the theory for the measured and the simulated transfer function  $H_{15open}^{VV}$  is presented. Lastly, in Section 2.12 the finite element model equations used to simulate the admittance and far-field pressure used to calculate  $H_{15open}^{VV}$  is presented.

### 2.1 Transmit-receive pair

The transmit-receive pair are mounted in air, parallel to one another with the distance,  $d$  defined as the distance between the two facing surfaces of the transmitter,  $T_x$ , and receiver,  $R_x$ , cf. Fig. 2.1. The polarization,  $P$ , of the disks are marked on the front surface of each disk, with the polarization direction going from the front to the back of the disk. The disks used are two cylindrical Pz27 piezoelectric ceramic disks with a nominal diameter  $D$  of 20.0 mm and thickness  $T = 2.0$  mm, yielding a diameter to thickness ratio  $D/T = 10$ .

### 2.2 System model

The system model consists of two cylindrical piezoelectric ceramic disks in radial mode vibration in air, where the transmitting disk,  $T_x$ , is coupled to the transmitting electronics (signal generator, oscilloscope and cables), and the receiving disk,  $R_x$ , is coupled to the receiving electronics (amplifier, filter, cables and oscilloscope). The two disks are mounted parallel (with respect to the  $xy$ -plane at a separation distance  $d$  along the  $z$ -axis, cf. Fig. 2.1. The system model illustrated in Fig. 2.2 shows the different components included in the measurement setup as linear blocks where transfer functions relate the signal going from one block to another in the frequency domain.

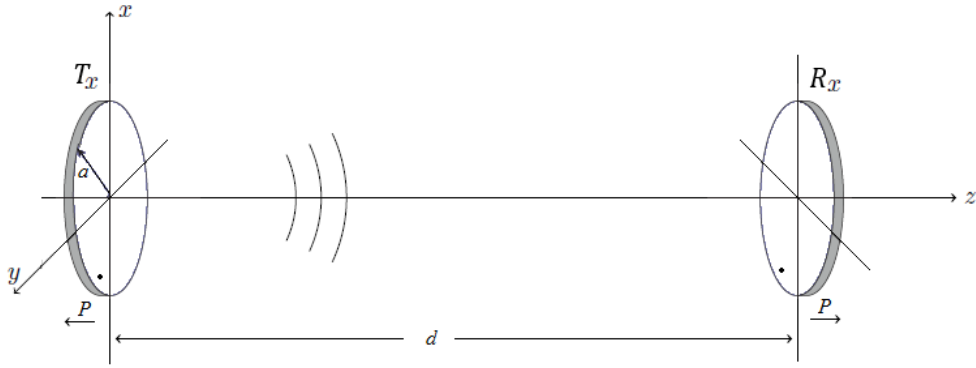


FIGURE 2.1: Illustration of the transmitting and receiving disk with coordinate system, separation distance,  $d$ , and polarization,  $P$ , indicated.

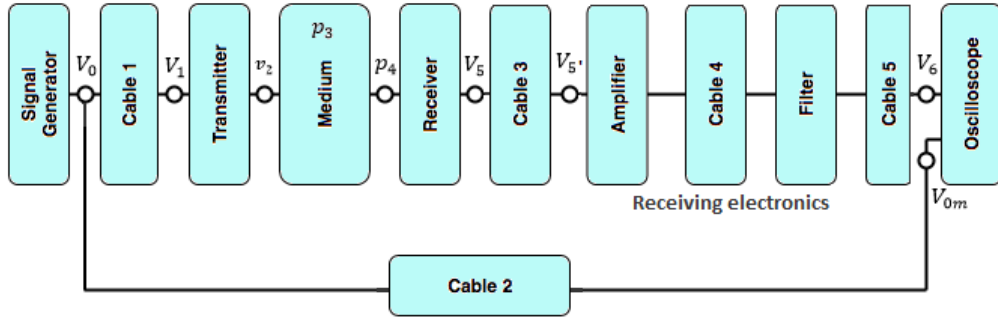


FIGURE 2.2: Block diagram representation of system model used in the current work.

The voltage signals  $V_6(t)$  and  $V_{0m}(t)$  measured at the oscilloscope are Fourier transformed as described in [37], giving the respective spectral components  $V_6(f)$  and  $V_{0m}(f)$ , where  $t$  is the time and  $f$  is the frequency. For these spectral components a monochromatic time dependency  $e^{i\omega t}$  is assumed and suppressed. At angular frequency  $\omega = 2\pi f$ ,  $V_0(f)$  is the output voltage spectral component generated by the signal generator and  $V_{0m}(f)$  is the output voltage spectral component measured in Channel 1 on the oscilloscope.

Each circle in Figure 2.2 represents a node, and the variables presented are as follows:

- $V_0(f)$  is the output voltage spectral component at node 0, generated by the signal generator.
- $V_{0m}(f)$  is the output voltage spectral component at node 0m, measured at Channel 1 on the oscilloscope.
- $V_1(f)$  is the input voltage spectral component at the terminals of  $T_x$  at node 1.
- $v_2(f)$  is the spectral component of the particle velocity vector at the center of the front surface of  $T_x$  at node 2.
- $p_3$  is the on-axis free-field pressure in the medium at node 3, defined in [33].

- $p_4$  is the on-axis spectral component of the free-field pressure at the front surface of  $R_x$ , at node 4.
- $V_5(f)$  is the output voltage spectral component from  $R_x$  at node 5.
- $V_{5'}(f)$  is the output voltage spectral component at node 5'.
- $V_6(f)$  is the input voltage spectral component measured and terminated in Channel 2 on the oscilloscope at node 6.

### 2.2.1 Changes from previous works

The system model presented in this chapter is inherited from [33–37] but with some changes to how the receiving electronics is represented and experimentally measured. In [37] a method for measuring the transfer function of the receiving electronics was introduced, whereas the previous had included transmission line model for a mathematical description of all the receiving cables. In the current work the method for handling the receiving electronics has been adopted and modified slightly from [37] by increasing the frequency resolution of the measurement and adopting the experimental values of the amplifiers impedance as found by [34]. The system model numeration has been kept as used by [33–36] for continuity and to facilitate comparison between different works.

### 2.2.2 Transfer function representation

The transmit-receive measurement setup used in the present work consists of two piezoelectric disks in radial mode vibration in air, where the transmitting disk,  $T_x$ , is coupled to transmitting electronics (signal generator, oscilloscope and cables) and the receiving disk,  $R_x$  is coupled to receiving electronics (amplifier, filter, cables and oscilloscope). A system model based on this setup was first introduced by [33, 35] cf. [41] and further developed by [34, 36, 37] and this is a continuation of their work. A brief overview of the system model and theory used in the current work will be given in the following.

A transfer function relates the different blocks in a system model through their respective input and output signal, under the assumption that each block in the system displays linear behaviour, cf. Section 2.3 in [15]. It is assumed that each of the blocks in Fig. 2.2 display linear behaviour and thus the effect of moving from one block to another can be expressed through transfer functions. These are multiplied in order to move between one or several blocks. The transfer function representation for the system model is then given as Eq. (2.1) in [34]:

$$H_{06}^{VV} \equiv \frac{V_6}{V_0} = \frac{V_1}{V_0} \cdot \frac{v_2}{V_1} \cdot \frac{p_3}{v_2} \cdot \frac{\langle p_4 \rangle}{p_3} \cdot \frac{V_5}{\langle p_4 \rangle} \cdot \frac{V_6}{V_5}. \quad (2.1)$$

This can be written as a voltage-to-voltage transfer function of the complete signal chain as defined in Eq. (2.1) in [34] with the receiving electronics treated as in Eq. (2.64) in [37], i.e.

$$H_{0m6}^{VV}(f) \equiv \frac{V_6(f)}{V_{0m}(f)} = \frac{V_1(f)}{V_{0m}(f)} \cdot \frac{V_{5open}(f)}{V_1(f)} \cdot \frac{V_{5'}(f)}{V_{5open}(f)} \cdot \frac{V_6(f)}{V_{5'}(f)}. \quad (2.2)$$

The various transfer functions used in Eq. (2.1) are described in the following. The open-circuit voltage-to-voltage transfer function describing the sound propagation from  $T_x$  to  $R_x$  at lossless conditions in the air medium is defined as Eq. (2.20) in [35]

$$H_{15open}^{VV}(f) \equiv \frac{V_{5open}(f)}{V_1(f)}, \quad (2.3)$$

where  $V_{5open}$  is the open-circuit voltage at  $R_x$ .

The transfer function relating the measured voltage at Channel 1 on the oscilloscope to the voltage at the terminals of  $T_x$ ,  $H_{0m1}^{VV}$ , is defined as Eq. (2.5) in [36]:

$$H_{0m1}^{VV}(f) \equiv \frac{V_1(f)}{V_{0m}(f)}. \quad (2.4)$$

The transfer functions  $H_{5open5'}^{VV}$ , describing the influence of the electrical load from cable 3 and the receiving electronics on the output voltage from  $R_x$ , and  $H_{5'6}^{VV}$ , describing the receiving electronics including cables 4 and 5, are defined as [37]:

$$H_{5open5'}^{VV}(f) \equiv \frac{V_{5'}(f)}{V_{5open}(f)} \quad (2.5)$$

and  $H_{5'6}^{VV}$  is the transfer function relating the input voltage at the receiving electronics to the recorded voltage at the oscilloscope,  $V_6$ ,

$$H_{5'6}^{VV}(f) \equiv \frac{V_6(f)}{V_{5'}(f)}. \quad (2.6)$$

$H_{0m1}^{VV}$  and  $H_{5open5'}^{VV}$  are estimated using transmission line models as proposed by [34], and  $H_{5'6}^{VV}$  is found by measuring the transfer function of only the receiving electronics including cables 4 and 5, by coupling the signal generator to an attenuator and then directly into the receiving electronics, bypassing the transmitting and receiving transducer completely as in [37].

## 2.3 Fourier transform

When a signal is transformed from the time domain to the frequency domain a Fourier transform is used. Fourier transform (FT), and inverse Fourier transform (IFT) is used when going from frequency domain to time domain. For an arbitrary signal in the time domain,  $V(t)$ , the equivalent frequency domain signal is given by FT as

$$V(f) = FT\{V(t)\} = \int_{-\infty}^{\infty} V(t)e^{-i2\pi ft} dt \quad (2.7)$$

$$V(t) = IFT\{V(f)\} = \int_{-\infty}^{\infty} V(f)e^{i2\pi ft} df \quad (2.8)$$

The result of classical Fourier transform is a list of both positive and negative frequencies where the negative values of frequency are the conjugates of the corresponding positive frequency value. The negative values are discarded for real applications and in order to avoid computing the negative values all together the Goertzel algorithm, which computes single frequency DFT (Discrete Fourier Transform), was

chosen by [37] in Appendix B.3.3 for the Fourier transformation of the measurement done at the laboratory, excluding the noise measurement. For accurate noise measurement the full FT is computed in Appendix B.3.4.

## 2.4 Transmitting and receiving properties of piezoelectric transducers

### 2.4.1 Transmitting voltage response

The transmitting voltage response is defined in Section 5.4 in [15] as

$$S_V(f, d_0) = \frac{p_3(d_0)}{V_1(f)}, \quad (2.9)$$

where  $p_3(d_0)$  is the far-field pressure extrapolated to the distance  $d_0$ .

For the case of a lossless medium the extrapolated far-field spherical pressure at  $d_0$  is

$$p(d_0) = \frac{z}{p_4(d_0)} p(z) e^{-ik(d-d_0)}. \quad (2.10)$$

For a given input voltage at the receiver, the far-field axial pressure at a given distance,  $d$ , can be calculated as

$$p_{ax}(d) = p(d_0) \frac{d_0}{d} p(z) e^{-ik(d-d_0)}. \quad (2.11)$$

### 2.4.2 Receiving voltage sensitivity

The free-field open-circuit receiving voltage sensitivity,  $M_V$ , is defined in Eq. (6.44) in [15] as

$$M_V \equiv \frac{V}{p} = \frac{V_{5open}}{p_4(0, d)} = |M_V| e^{i\theta_{M_V}}, \quad (2.12)$$

where  $|M_V|$  and  $\theta_{M_V}$  are the magnitude and phase of the receiving voltage sensitivity, respectively.

### 2.4.3 Spherical reciprocity factor

The lossless complex spherical reciprocity factor,  $J$ , is defined by Eq. 51 in [42] or Eq. 1 in [43] as

$$J(\rho, f, c) \equiv \frac{M_V}{S_I} = \frac{M_V}{S_V Z_T} = \frac{2d_0 \lambda}{i\rho c} e^{ikd_0}. \quad (2.13)$$

When spherical reciprocity is assumed the transmitting voltage sensitivity,  $S_V^{Tx}$ , is equal to the receiving voltage sensitivity,  $S_V^{Rx}$ , and Eq. 2.3 can be written as

$$H_{15}^{VV} = J_{sph}^{Rx} Z_T (S_V)^2 V_1 \frac{d_0}{d} e^{-ik(d-d_0)}. \quad (2.14)$$

## 2.5 Transmission line model

In the lossless transfer function  $H_{15open}^{VV}$  the effect of transmitting and receiving cables and electronics is corrected for. It has been shown that the transmitting, and especially the receiving electronics, affect the measured transfer function [33–37].

In order to account for cables in the system model the transmission line model, defined in [44, 45], is used by [34–37] to represent the effect of the coaxial cables and electronic equipment in the experimental setup. The method uses distributed elements to model the coaxial cables as ideal and uniform transmission lines. The method will be briefly repeated for continuity.

A coaxial cable number  $i$  is modelled as a voltage divider with impedances  $Z_{ai}$  and  $Z_{bi}$  that terminates in an impedance,  $Z_L$ , cf. Fig. 2.3.

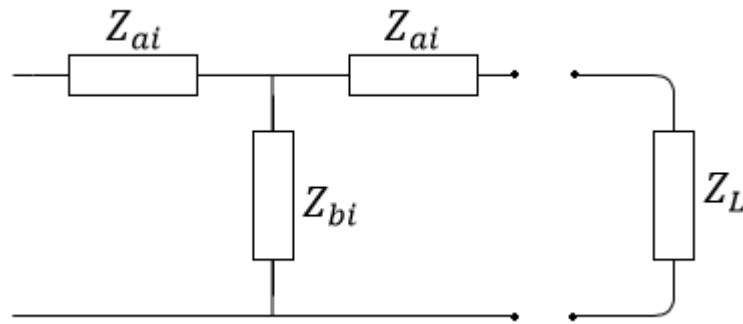


FIGURE 2.3: Equivalent circuit for a cable represented as a lossless transmission line, terminated in the load,  $Z_L$ .

As in Eq. (5.66) in [45] the transmission line impedances,  $Z_{ai}$  and  $Z_{bi}$ , are given as

$$Z_{ai} = iZ_0 \tan\left(k_{em} \frac{l_i}{2}\right) \quad (2.15)$$

and

$$Z_{bi} = \frac{Z_{0i}}{i \sin(k_{em,i} l_i)}, \quad (2.16)$$

where  $Z_0$  is the characteristic impedance of cable number  $i$ ,  $k_{em,i}$  is the electromagnetic wave number and  $l_i$  is the length of the cable number  $i$ . The electromagnetic wave number is given as

$$k_{em,i} = \frac{\omega}{c_{em,i}} = \omega \sqrt{L_i C_i}, \quad (2.17)$$

where  $c_{em,i}$  is the electrical propagation speed in cable number  $i$ . For a lossless transmission line the characteristic impedance of the cable is given as

$$Z_0 = \sqrt{\frac{L_i}{C_i}}, \quad (2.18)$$

where  $L_i$  is the inductance and  $C_i$  is the capacitance of cable number  $i$  per meter.



### 2.5.1 Transmitting electronics and cables

Fig. 2.4 shows the transmitting electronics, cable 1 and cable 2 expressed using the transmission line model. The transfer function  $H_{0m1}^{VV}$  describing the effect of the transmitting electronics on the transmitting transducer is given as Eq. (2.4), and can be further expanded to

$$H_{0m1}^{VV} = \frac{V_1/V_0}{V_{0m}/V_0}. \quad (2.19)$$

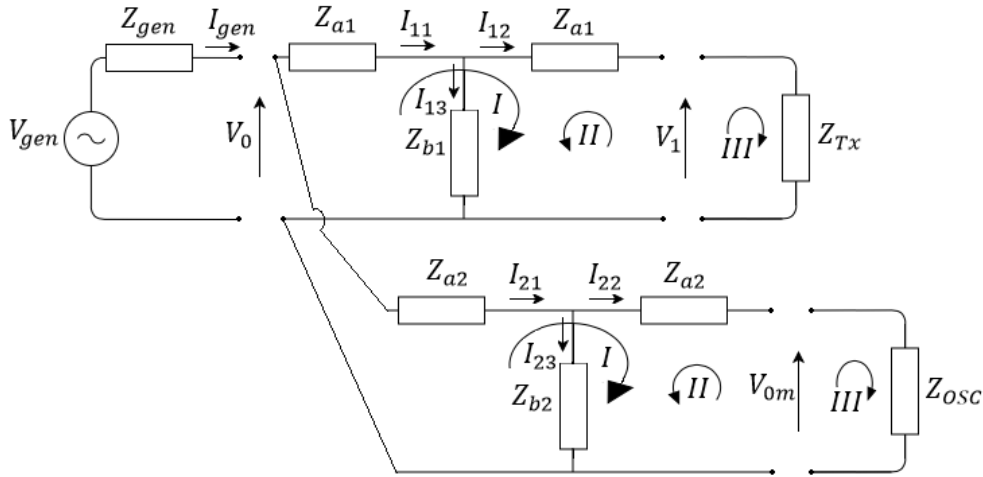


FIGURE 2.4: Equivalent circuit for the transmitting electronics and cable 1 and cable 2 represented as a lossless transmission line.

The nominator and denominator of Eq. (2.19) can then be calculated separately by dividing the circuit in Fig. 2.4 into two separate circuits. Fig. 2.5 represents the cable 1 from the signal generator terminating at the transmitting disk,  $T_x$  and Fig. 2.6, represents the signal generator and cable 2 into the oscilloscope.

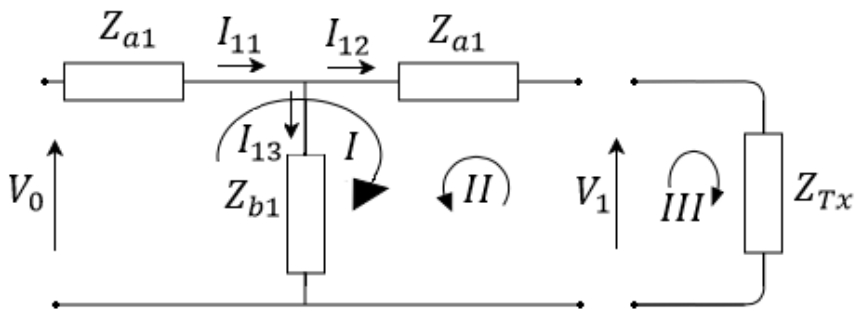


FIGURE 2.5: Equivalent circuit for cable 1 represented as a lossless transmission line, terminated in  $T_x$ .

Kirchoff's first rule gives the relation of the three currents as

$$I_{11} = I_{12} + I_{13}. \quad (2.20)$$

Using Kirchoff's second rule, as indicated by the three arrows in Fig. 2.5, gives three equations,

$$V_0 - I_{11}Z_{a1} - I_{13}Z_{a1} - V_1 = 0, \quad (2.21)$$

$$V_1 + I_{13}Z_{a1} - I_{12}Z_{b1} = 0 \quad (2.22)$$

and

$$V_1 - I_{13}Z_{Tx} = 0. \quad (2.23)$$

By substitution and algebra Eq. (2.20)-(2.23) gives, as in [34–37]

$$\frac{V_1}{V_0} = \frac{Z_{b1}Z_{Tx}}{Z_{a1}^2 + Z_{a1}Z_{Tx} + 2Z_{a1}Z_{b1} + Z_{b1}Z_{Tx}}. \quad (2.24)$$

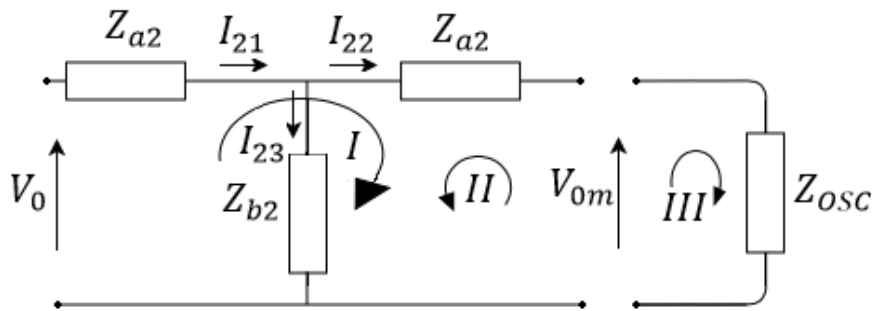


FIGURE 2.6: Equivalen circuit for cable 2 represented as a lossless transmission line, terminated in the oscilloscope.

As the circuit in Fig. 2.6 is equivalent to the circuit in Fig. 2.5 the same approach has been performed, using Kirchoff's first and second rule, as in [34–37], giving

$$\frac{V_{0m}}{V_0} = \frac{Z_{b2}Z_{osc}}{Z_{a2}Z_{b2} + (Z_{a2} + Z_{osc})(Z_{a2} + Z_{b2})}. \quad (2.25)$$

## 2.5.2 Receiving electronics and cables

The transfer function  $H_{5open5'}^{VV}$  describing the influence of the electrical load from cable 3 and the receiving electronics on the output open-circuit voltage from  $R_x$  can be expressed by the transmission line model, cf. Fig. 2.7. The definition of  $H_{5open5'}^{VV}$  is given as Eq. (2.5).

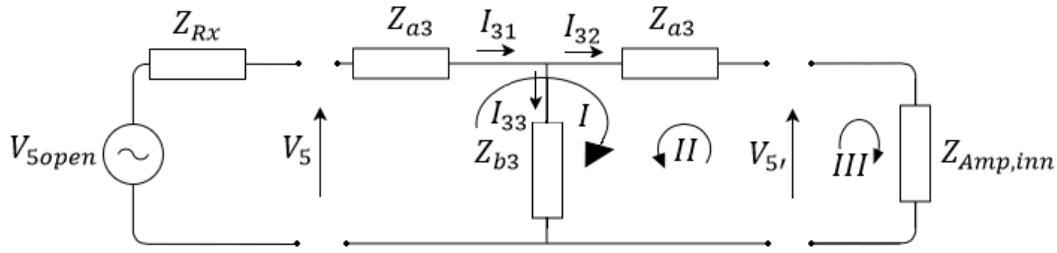


FIGURE 2.7: Equivalen circuit for cable 3 represented as a lossless transmission line, terminated in the amplifier.

Kirchoff's first rule gives the relation of the three currents as

$$I_{31} = I_{32} + I_{33} \quad (2.26)$$

Using Kirchoff's second rule, as indicated by the three arrows in Fig. 2.7, gives three equations,

$$V_{5open} - I_{31}Z_{Tx} - I_{31}Z_{a3} - I_{33}Z_{a3} - V_{5'} = 0, \quad (2.27)$$

$$V_{5'} + I_{33}Z_{a3} - I_{32}Z_{b3} = 0 \quad (2.28)$$

and

$$V_{5'} - I_{33}Z_{Amp,inn} = 0. \quad (2.29)$$

By substitution and algebra Eq. (2.26)-(2.29) gives

$$H_{5open5'}^{VV} \equiv \frac{V_{5'}}{V_{5open}} = \frac{Z_{Amp,inn}Z_{b3}}{Z_{Rx}(Z_{Amp,inn} + Z_{a3} + Z_{b3}) + Z_{a3}(Z_{Amp,inn} + Z_{a3} + 2Z_{b3}) + Z_{Amp,inn}Z_{b3}} \quad (2.30)$$

The transmission line model has previously been used to determine the transfer function  $H_{5'6open}^{VV}$  and  $H_{5'6open}^{VV}$  [34–36]. However, in [37] measurements were done directly on the receiving electronics to obtain a full experimental transfer function of the receiving electronics,  $H_{56}^{VV}$ . This method of experimentally measure the effect of the amplifier and filter is used in the current work, but the equations for calculating the effect of the amplifier and filter with cables is presented here for continuity, as this method was used in [36] and comparisons will be made with both [36] and [37].

The transfer function  $H_{5'6}^{VV}$  describing the influence of the electrical load from cable 4 and the amplifier and filter terminated in the oscilloscope, as used in [36], can be expressed by the transmission line model, cf. Fig. 2.8.

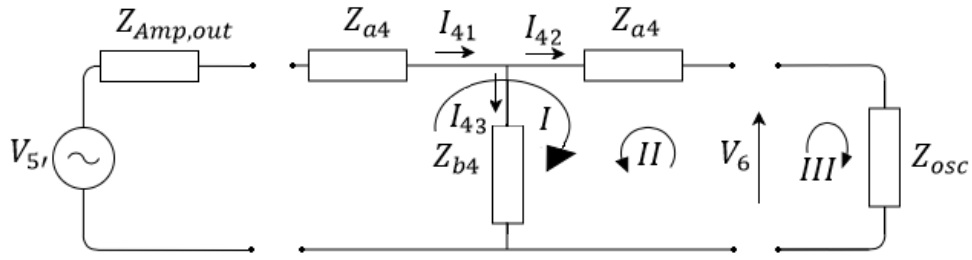


FIGURE 2.8: Equivalen circuit for the electrical load from cable 4 and the amplifier and filter represented as a lossless transmission line, terminated in the oscilloscope.

As the circuit in Fig. 2.5 is equivalent to the circuit in Fig. 2.8 the same approach has been performed, giving

$$H_{5/6}^{VV} \equiv \frac{V_6}{V_5} = \frac{Z_{Amp,out} Z_{b4}}{Z_{Amp,out} (Z_{Osc} + Z_{a4} + Z_{b4}) + Z_{a4} (Z_{Osc} + Z_{a4} + 2Z_{b4}) + Z_{Osc} Z_{b4}}. \quad (2.31)$$

## 2.6 Electrical impedance

The electrical impedance of a component is defined as the effective resistance of that component to alternating current, due to the combined effects of resistance and reactance in the component. This is defined above Eq. (1.12.4) in [46] as

$$Z = |Z| e^{i\phi z} = \frac{V}{I} \quad (2.32)$$

The impedance,  $Z$ , can be expressed by a real part; the resistance,  $R$ , and an imaginary part; the reactance,  $X$ , as defined in Eq. (1.12.4) in [46] as

$$Z = R + iX \quad (2.33)$$

The admittance,  $Y$ , is inversely related to the impedance and can be expressed by a real part; the conductance,  $G$ , and an imaginary part; the susceptance,  $B$ .

$$Y = \frac{1}{Z} = G + iB. \quad (2.34)$$

## 2.7 Sound speed model

When calculating the slowly varying phase the plane wave component,  $kd = \omega d/c$ , is calculated and as this is dependent on the speed of sound an accurate model for speed of sound is needed.

A model for sound speed was presented by Wong [47], with the maximum uncertainty estimated to be 200 ppm and the predictions are presented to be applicable for temperatures ranging from  $0^\circ - 30^\circ$  Celcius. However, unexplained discrepancies were found at relative humidity below approximately 20%. The model has been criticised for the assumptions of ideal gas being used, as it builds on work by Wong and Embleton [48], and the way the model calculates the plane-wave speed of sound [49].

The sound speed model presented by Wong and Embleton was extended by Cramer, who removed the assumption of ideal gas and calculates the speed of sound as a function of temperature given in Kelvin,  $T_K$ , atmospheric pressure,  $p$ , humidity and  $\text{CO}_2$  concentration, presented in Eq. 8 in [50] as

$$c_0^2 = \gamma \frac{RT_K}{M} \left( 1 + \frac{2pB}{RT_K} \right), \quad (2.35)$$

where  $c_0$  is the speed of sound value at zero frequency,  $\gamma = \frac{C_p}{C_v}$  is the specific heat ratio and  $C_p$  and  $C_v$  are the specific heat at constant pressure and constant volume, respectively. The uncertainty in this calculation of the sound speed is estimated to be in the region of 300 ppm and does not take into calculation any corrections for dispersion due to vibrational relaxation effects of nitrogen,  $\text{N}_2$ , or oxygen,  $\text{O}_2$ , which are frequency dependant.

An approximate formula for calculating the sound speed,  $c_0$ , for any combination of the environmental parameters is given in Eq. (15) in [50] and is presented here on matrix form:

$$c_0 = [1 \quad x_\omega \quad p \quad x_c] \begin{bmatrix} a_0 & a_1 & a_2 \\ a_3 & a_4 & a_5 \\ a_6 & a_7 & a_8 \\ a_9 & a_{10} & a_{11} \end{bmatrix} \begin{bmatrix} 1 \\ t \\ t^2 \end{bmatrix} + [x_\omega^2 \quad p^2 \quad x_c^2 \quad x_\omega p x_c] \begin{bmatrix} a_{12} \\ a_{13} \\ a_{14} \\ a_{15} \end{bmatrix}, \quad (2.36)$$

where  $t$  is the temperature in Celcius,  $p$  is the ambient pressure in Pascals,  $x_\omega$  is the water vapour mole fraction,  $x_c$  is the carbon dioxide mole fraction and the accompanying coefficients are presented in Table 2.1.

TABLE 2.1: Coefficients of Eq. (2.36).

Coefficient	Value	Unit
$a_0$	331.5024	$ms^{-1}$
$a_1$	0.603 055	$ms^{-1} \text{ } ^\circ\text{C}^{-1}$
$a_2$	-0.000 528	$ms^{-1} \text{ } ^\circ\text{C}^{-2}$
$a_3$	51.471 935	$ms^{-1}$
$a_4$	0.149 587 4	$ms^{-1} \text{ } ^\circ\text{C}^{-1}$
$a_5$	-0.000 782	$ms^{-1} \text{ } ^\circ\text{C}^{-2}$
$a_6$	$-1.82 \cdot 10^{-7}$	$ms^{-1} Pa^{-1}$
$a_7$	$3.73 \cdot 10^{-8}$	$ms^{-1} \text{ } ^\circ\text{C}^{-1} Pa^{-1}$
$a_8$	$-2.93 \cdot 10^{-10}$	$ms^{-1} \text{ } ^\circ\text{C}^{-2} Pa^{-1}$
$a_9$	-85.209 31	$ms^{-1}$
$a_{10}$	-0.228 525	$ms^{-1} \text{ } ^\circ\text{C}^{-1}$
$a_{11}$	$5.91 \cdot 10^{-5}$	$ms^{-1} \text{ } ^\circ\text{C}^{-2}$
$a_{12}$	-2.835 149	$ms^{-1}$
$a_{13}$	$-2.15 \cdot 10^{-13}$	$ms^{-1} Pa^{-2}$
$a_{14}$	29.179 762	$ms^{-1}$
$a_{15}$	0.000 486	$ms^{-1} Pa^{-1}$

O'Donnell et al. showed that a system which satisfies conditions of linearity and causality and exhibits attenuation must also exhibit dispersion [51, 52]. For linear acoustical systems relations for linking the attenuation and dispersion are e.g. the

Kramer-Kronig relations, cf. Eq. (1)-(4) in [52]. An approximation to this relation, commonly used for acoustical systems was presented by Morfey and Howell as Eq. (2) in [53]. Cramer therefore applied a correction for dispersion as proposed by Morfey and Howell in Eq. (14) in [50] on the form

$$\frac{1}{c_0} - \frac{1}{c} = \frac{\alpha_{vN}}{2\pi f_{rN}} + \frac{\alpha_{vO}}{2\pi f_{rO}}, \quad (2.37)$$

where  $c = c(f)$  is the estimated sound speed at any given frequency and  $\alpha_{vN}$  and  $\alpha_{vO}$  are the plane-wave attenuation coefficient due to vibrational relaxation of nitrogen and oxygen, respectively, and  $f_{rN}$  and  $f_{rO}$  are the relaxation frequencies for nitrogen and oxygen, respectively. The attenuation coefficients and relaxation coefficients are calculated according to ANSI S1.26 [54], as proposed by Cramer [50]. Thus, including dispersion in Cramer's sound speed model.

## 2.8 Attenuation in air

For a pure-tone sound propagating through the atmosphere the pressure amplitude decreases exponentially as [54]

$$p_t = p_i e^{-0.1151\alpha d}, \quad (2.38)$$

where  $d$  is the propagation distance measured in meters,  $p_t$  is the sound pressure amplitude that decreases exponentially and  $p_i$  is sound pressure amplitude that decreases with the decay formula for plain sound waves in free space and  $\alpha$  is the pure-tone sound attenuation coefficient measured in decibels per meter. Both the sound pressure amplitudes,  $p_t$  and  $p_i$  are measured in pascals. The effect of the attenuation in air,  $C_\alpha$ , is found by dividing the two, giving

$$C_\alpha = \frac{p_i}{p_t} = e^{0.1151\alpha d}, \quad (2.39)$$

The correction for the attenuation in air,  $C_\alpha$ , accounts for attenuation due to classical absorption of sound in air,  $\alpha_{cl}$ , rotational motion of the air molecules,  $\alpha_{cl}$ , vibrations of oxygen molecule,  $\alpha_{vib,O}$  and vibration of nitrogen molecules,  $\alpha_{vib,N}$ . These are calculated according to [54] and the total attenuation correction in dB can be expressed as

$$C_\alpha = e^{0.1151d\alpha} = e^{0.1151d(\alpha_{cl} + \alpha_{rot} + \alpha_{vib,O} + \alpha_{vib,N})} \quad (2.40)$$

Figure 8.6.2 in [46] shows the contributions of the different absorption coefficients included in  $\alpha$ .

## 2.9 Diffraction correction

Diffraction effects due to the finite size of the transmitter and receiver are important to account for in ultrasound transmit-receive systems as this affects both the magnitude and the phase of the signal being transmitted and received. A transmitter of a finite extent will produce a spherical wave front which differs from that of a plane wave, and this is called the diffraction effect and is defined in Sec. 1 in [55] as

$$H_{dif} \equiv \frac{p}{p^{plane}}. \quad (2.41)$$

### 2.9.1 Baffled Piston Diffraction Correction

The Baffled Piston Diffraction Correction,  $C_{dif}$  is given by

$$C_{dif} = \frac{d_{z_{ff}}}{d} \frac{H_{dif}(z_{ff})}{H_{dif}(z)} \quad (2.42)$$

where the deviation of the average pressure of a baffled piston source from a plane wave pressure as defined by Khimunin [56, 57] is used to define the baffled piston diffraction correction,  $H_{dif}^{BPDC}$ , as Eq. (2.43) and  $z_{ff}$  is in the far-field so no near-field effects are present.

$$H_{dif}^{BPDC} = \frac{\langle p_4 \rangle}{p_4^{plane}} = 1 - \frac{4}{\pi} \int_0^{\frac{\pi}{2}} e^{-ik(\sqrt{z^2 + (2a \cos(\theta))^2} - z)} \sin^2(\theta) d\theta \quad (2.43)$$

## 2.10 Measured lossless transfer function, $H_{15open}^{VV}$

By multiplying the transfer function for the transmit-receive pair with the correction for attenuation in air,  $C_\alpha$ , from Section 2.8 and correction for diffraction effects,  $C_{dif}$ , from Section 2.9.1 the transfer function is made loss-less and the finite extent of the transmitter is accounted for. Thereby the loss-free voltage-to-voltage transfer function describing the sound propagation from the transmitting disk to the receiving disk is defined by Eq. (2.44).

From Eq. (2.2)-(2.6) in Section 2.2.2 and by using the corrections for attenuation,  $C_\alpha$ , and diffraction,  $C_{dif}$ , the measured lossless open-circuit transfer function  $H_{15open}^{VV}(f)$  is obtained as

$$H_{15open}^{VV} = \frac{H_{0m6}^{VV}}{H_{0m1}^{VV} H_{5open5'}^{VV} H_{5'6}^{VV}} C_\alpha C_{dif}. \quad (2.44)$$

### 2.10.1 Slowly varying phase of $H_{15open}^{VV}$

A transfer function can be expressed as consisting of a magnitude and an accompanying phase. For the lossless open-circuit transfer function  $H_{15open}^{VV}$  this is expressed as

$$H_{15open}^{VV}(f) = |H_{15open}^{VV}(f)| e^{i\theta_{15open}}, \quad (2.45)$$

where  $|H_{15open}^{VV}(f)|$  is the magnitude and  $\theta_{15open}$  the phase of this transfer function. In order to observe the small changes in the phase over a large frequency range, the plane wave component is removed from the total phase. This is done by dividing the transfer function with the equivalent plane wave pressure. The plane wave phase component is given as  $e^{-ikd}$ , when a time dependency  $e^{i\omega t}$  is assumed, cf. Eq. (5.13) in [15], yielding

$$e^{i\theta_{15open}^{slow}} = \frac{e^{i\theta_{15open}}}{e^{-ikd}}. \quad (2.46)$$

The slowly varying phase is then given by

$$\theta_{15open}^{slow} = \theta_{15open} + kd, \quad (2.47)$$

where  $k = \omega/c$  is the wave number and  $c$  is the speed of sound in air calculated according to Section 2.7.

## 2.11 Simulated transfer function $H_{15open}^{VV}$

The simulated lossless open-circuit transfer function  $H_{15open}^{VV}$  is calculated by assuming spherical reciprocity and using the simulated far-field axial pressure  $p_{ff} = p_4(z_{ff})$ , where  $z_{ff} = 1000$  m is used, extrapolating it to the desired distance,  $d$ . Identical transducers are assumed for the simulations and losses in the medium are not included nor diffraction effects caused by the distance,  $d$ , being in the near-field of the transducers. The simulated lossless open-circuit transfer function is then given as Eq. (2.52) in [36]

$$H_{15open}^{VV}(f) = \frac{Z_T p_4^2(z_{ff}) 2z_{ff}^2}{i\rho d f} e^{ik(2z_{ff}-d)}. \quad (2.48)$$

## 2.12 Finite Element Modelling

A finite element (FE) software, FEMP5.1, for modelling piezoelectric transducers, sound field and electronics developed by Jan Kochbach [40] at UiB in association with Christian Michelsen Research (CMR) and is used to simulate the transmit-receive pair radiating into a fluid/vacuum medium. A full description of the software can be found in [40]. Only brief overview of the method is presented here.

For a piezoceramic disk in an infinite fluid medium the FE equations given as Eq. (3.215) in [40] rewritten to  $H$ -form are

$$-\omega^2 \begin{bmatrix} M_{uu} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -M_{\psi\psi} \end{bmatrix} \begin{Bmatrix} \hat{u} \\ \hat{V} \\ \hat{\psi} \end{Bmatrix} + i\omega \begin{bmatrix} 0 & 0 & C_{u\psi} \\ 0 & 0 & 0 \\ C_{\psi u} & 0 & 0 \end{bmatrix} \begin{Bmatrix} \hat{u} \\ \hat{V} \\ \hat{\psi} \end{Bmatrix} + \begin{bmatrix} H_{uu} & H_{u\phi} & 0 \\ H_{\phi u} & H_{\phi\phi} & 0 \\ 0 & 0 & -K_{\psi\psi} \end{bmatrix} \begin{Bmatrix} \hat{u} \\ \hat{V} \\ \hat{\psi} \end{Bmatrix} = \begin{Bmatrix} 0 \\ -I/i\omega \\ 0 \end{Bmatrix}, \quad (2.49)$$

where the variables are defined in Table 2.2, as done in [33].

The global fluid velocity potential vector  $\{\hat{\psi}\}$  is then expressed from Eq. 2.49 as Eq. (3.217) in [40] as

$$\{\hat{\psi}\} = i\omega(-[K_{\psi\psi}] + \omega^2[M_{\psi\psi}])^{-1}[C_{\psi u}]\hat{u}. \quad (2.50)$$

The relationship between the velocity potential  $\psi$  and the acoustic pressure in the fluid, when a time dependency of  $e^{i\omega t}$  is assumed, is given as Eq. (3.220) in [40] as

$$p = -i\omega\rho_f\psi. \quad (2.51)$$

For a piezoelectric disk the electrical admittance is given as Eq. (3.214) in [40]

$$Y = i\omega\{[H_{u\phi}]^T[D]^{-1}\}^{-1}\{H_{u\phi}\} - H_{\phi\phi}, \quad (2.52)$$

where  $[D]$  is expressed as Eq. (3.219) in [40]

$$[D] = i\omega[H_{uu}] - \omega^2[M_{uu}] + \omega^2[C_{u\psi}](-[K_{\psi\psi}] + \omega^2[M_{\psi\psi}])^{-1}[C_{\psi u}]. \quad (2.53)$$



TABLE 2.2: Definition of variables presented in Eq. 2.49 and reference to the equation number they are defined at in [40].

Variable	Definition	Eq. number in [40]
$\omega$	Angular frequency	-
$[M_{uu}]$	Global mass matrix	(3.51)
$[M_{\psi\psi}]$	Global fluid mass matrix	(3.129)
$[C_{u\psi}]$	Global fluid/structure coupling matrix	(3.139)
$[C_{\psi u}]$	Global fluid/structure coupling matrix	(3.139)
$[H_{uu}]$	Global stiffness matrix <sup>1</sup>	(3.189)
$[H_{u\phi}]$	Global piezoelectric stiffness matrix	(3.191)
$[H_{\phi u}]$	Global piezoelectric stiffness matrix	(3.191)
$[H_{\phi\phi}]$	Global dielectric stiffness matrix	(3.192)
$[K_{\psi\psi}]$	Global fluid stiffness matrix	(3.132)
$\{\hat{Q}\}$	Global charge vector	(3.76)
$\{\hat{u}\}$	Global displacement vector	(3.47)
$\{\hat{V}\}$	Electric potential between the electrodes of the disk	(3.189)
$\{\hat{\psi}\}$	Global fluid velocity potential vector	(3.119)

The electrical admittance,  $Y$ , is used through the use of Eq. 2.34 to compare the measured impedance of the piezoelectric disks to simulation and the acoustic pressure in the fluid,  $p$ , is used in Eq. (2.48) to simulate the far-field pressure  $p_4(z_{ff})$ , as in [36].



## Chapter 3

# Experimental setup and method

In this chapter the experimental setup used for experimental measurements and the method used to obtain the experimentalism measurement results are presented. In Section 3.1 the electrical measurement setup used for acquiring the electrical impedance and the transfer function of the receiving electronics is presented and in Section 3.2 the acoustic measurement setup is presented. In Section 3.3 the equipment and cables used in both the electrical and acoustical setups are presented. The method for aligning the disks and determining the separation distance between them is presented in Section 3.4. An overview of the methods used to minimize and calculate noise in the measurement system is presented in Section 3.5. In Section 3.6 an overview of the flow charts for the measurement scripts used in data acquisition is presented. Lastly, a description of the post-process routine used for the acquired data is given in Section 3.7.

The experimental setup has been largely kept as used by [34, 36, 37] with only minor changes such as the lengths of cables 4 and 5, and the different instruments having been moved due to a renovation at the laboratory in 2017. Also, a metal rod has been installed at the roof of the acoustic cage a few cm in front of the receiving disk, cf. Fig. 3.9.

### 3.1 Electrical measurement setup

In this section the electrical setups used in the present work will be presented. In Section 3.1.1 the electrical setup for measuring the impedance of the piezoelectric disk, used to calculate  $H_{0m1}^{VV}$  and  $H_{5open5'}^{VV}$ , is presented and in Section 3.1.2 the electrical setup for measuring the receiving electronics,  $H_{5'6}^{VV}$ , is presented.

#### 3.1.1 Electrical impedance measurement setup

The impedance analyser HP 4192A [67] is used for impedance measurement, cf. Fig. 3.1. As the terminals of the cables in the system model are connected to the disks through a lightly twisted pair cable the impedance is measured from the point where the cables in the system model connect with the cables soldered onto the piezoelectric disks, cf. Fig. 3.2. The impedance analyzer is calibrated for the doc connected to the input channels as instructed in [67].

#### 3.1.2 Receiving electronics measurement setup

In order to measure the effect on the transfer function caused by the receiving electronics the acoustic part of the setup in Fig. 3.6 and Fig. 3.7 is bypassed by coupling the oscilloscope directly to the receiving electronics i.e. the amplifier and filter. The filter has a maximum input voltage of 4.4 V peak and in order to stay well within

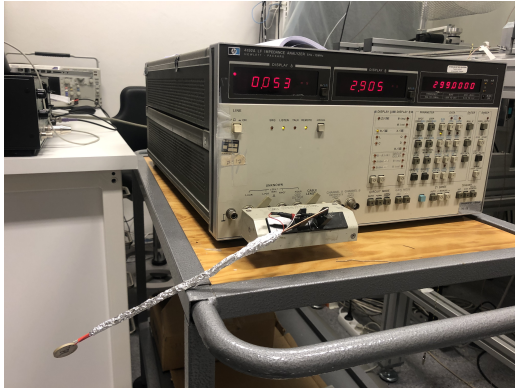


FIGURE 3.1: Impedance analyzer measuring the impedance of a piezoelectric disk connected at the terminals of the lightly twisted pair cable.

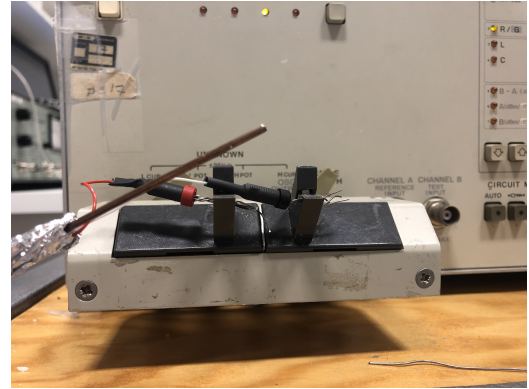


FIGURE 3.2: Close up of impedance analyzer measuring the impedance of a piezoelectric disk connected at the terminals of the lightly twisted pair cable.

this range no higher voltage than 1 V peak-to-peak was inserted to the receiver in this work.

When the signal moves through the medium between the transmitter and receiver it experiences an attenuation of about 60 dB. Since this attenuation is not present when the acoustic part of the setup is bypassed and because the signal generator has a minimum limit of 10 mV, an attenuator is introduced between the signal generator and the oscilloscope. Since the input voltage,  $V_{0m}$  is measured at the oscilloscope the effect of the attenuator is accounted for automatically when measuring the transfer function as according to Section 2.2.2. The settings of the signal generator, amplifier and filter are thus kept identical as for an acoustic measurement and the effect of them can be measured without overpowering the filter.

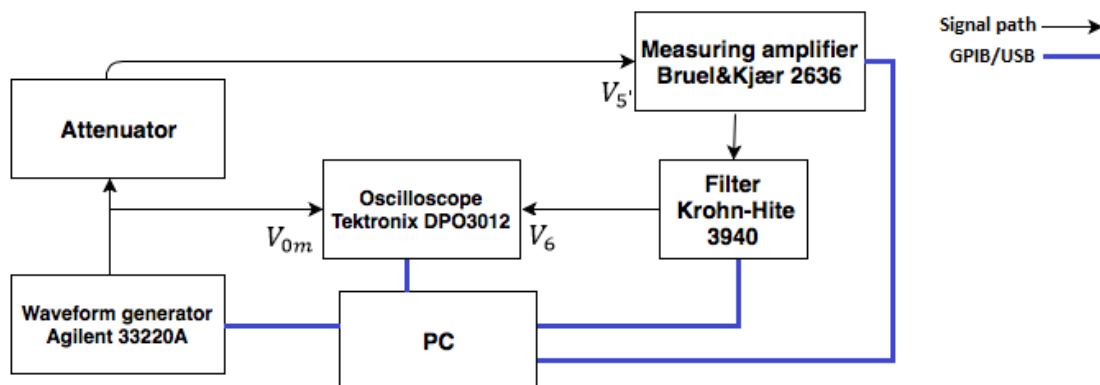


FIGURE 3.3: Illustration of the electrical setup in the laboratory.

A decade resistor is used as a voltage divider, cf. Fig. 3.4, coupled with  $4.91 \text{ k}\Omega$  in parallel with  $50 \Omega$ . For an input voltage of 10 mV peak-to-peak the output voltage after the voltage divider, called an attenuator in this work, is 0.1 mV peak-to-peak. This attenuates the signal by 60 dB.

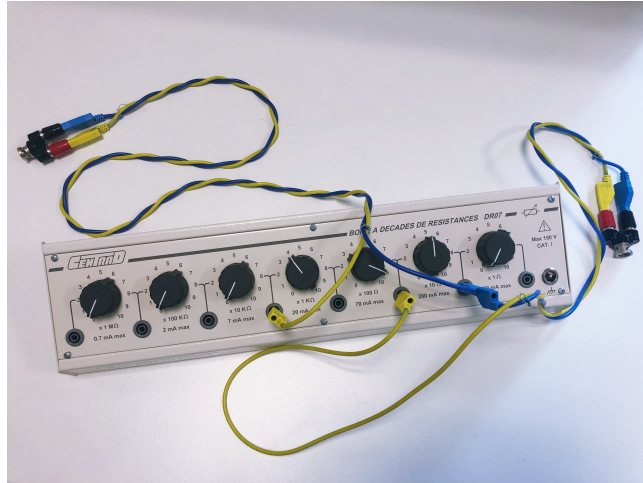


FIGURE 3.4: Attenuator/voltage divider borrowed from another laboratory.

## 3.2 Acoustic measurement setup

The experimental setup consists of two piezoelectric elements mounted opposite each other in a large cage. The transmitting element,  $T_x$ , is connected to a signal generator and an oscilloscope. The receiving element,  $R_x$ , is connected to an amplifier, a filter and the terminated in the oscilloscope, see Fig. 3.5.

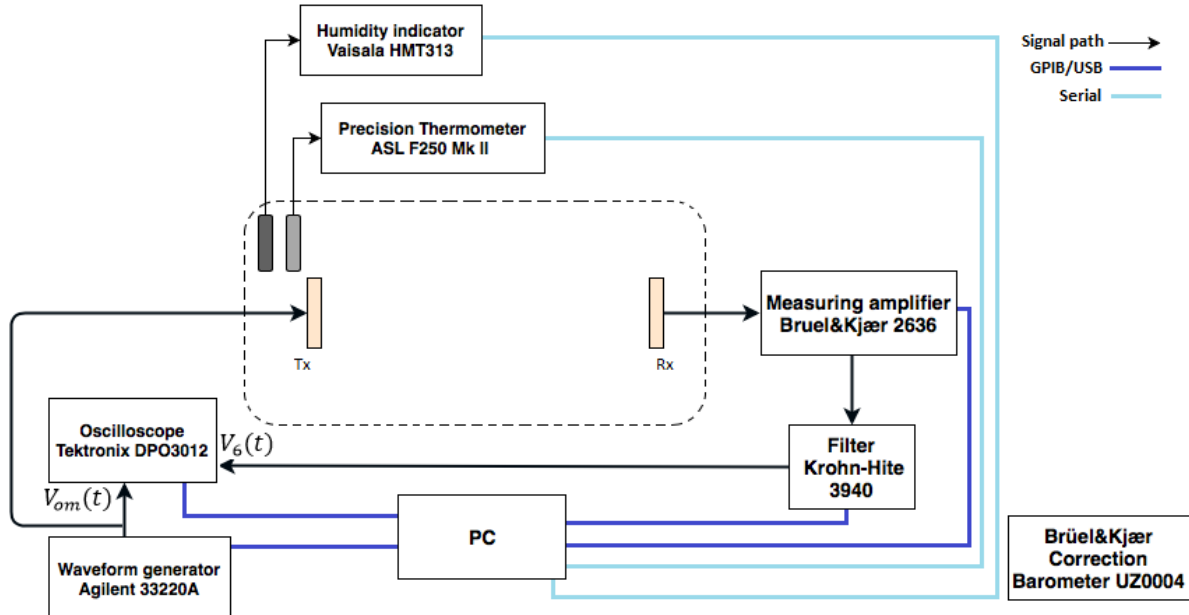


FIGURE 3.5: Illustration of the acoustical setup in the laboratory, inspired by [34].

Due to possible interference between the electronics and the high accuracy measurements the electronics were later moved into the acoustic cage for convenience

and to shorten cables. These two steps are referred to as Setup I and Setup II and will be explained in detail.

The two piezoelectric disks are mounted in air on positioning stages (PI M-531.DG [58] and PI M-535.22 [59], Physik Instrumente GmbH&Co., Germany) and the transmitting disk is also connected to a rotation stage (PI M-037.PD [60], Physik Instrumente GmbH&Co., Germany). A laser is mounted on a rod allowing it to be suspended up between the two disks in order to measure alignment and accurately determine the distance,  $d$ , between the disks. The stages are then used to position the disks at a desired distance,  $d$ .

An Agilent 33220A function generator is used to generate the input signal at desired voltage over the frequency range 30-300 kHz. The signal is then monitored through a Tektronix DPO3012 digital oscilloscope before it is sent to  $T_x$ .  $R_x$  is connected to a Brüel & Kjær 2636 amplifier and a Krohn-Hite 3940A digital filter before the signal terminates in the Tektronix DPO3012 digital oscilloscope.

### 3.2.1 Measurement Setup I

The setup inherited by [37] was moved due to a renovation of the laboratory facilities. The setup could no longer stay as it had before the renovation moved the acoustic cage and the desk, where the electronics had previously been placed. Due to this the electronics was placed on aluminium shelves in the acoustic cage, cf. Fig. 3.6.

For Setup I the filter was set to a high pass filter with a cut-off frequency of 2 kHz and the signal generator had an input voltage of 0.1 V peak-to-peak for the frequency ranges 80-120 kHz and 240-260 kHz, respectively. For all other frequencies in the measurement range the input voltage was 10 V peak-to-peak.

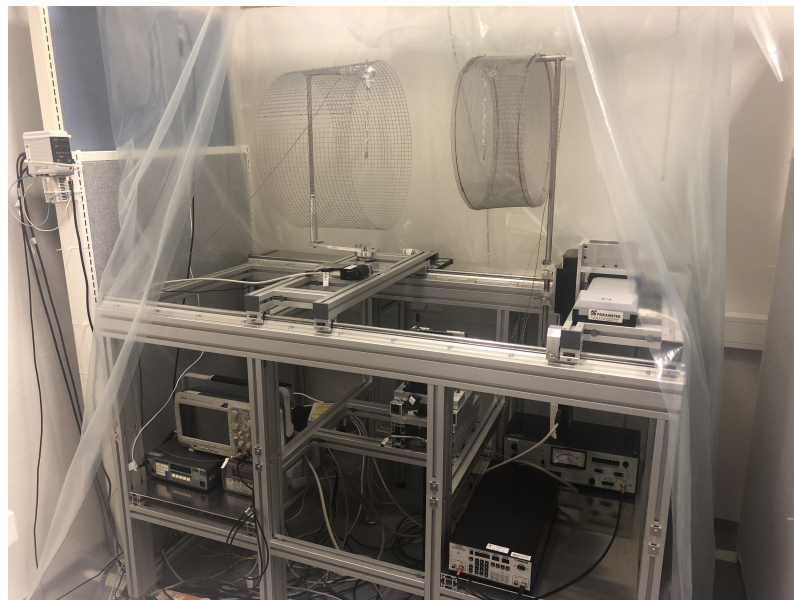


FIGURE 3.6: Experimental setup I at the acoustics laboratory, with equipment inside the cage.

### 3.2.2 Measurement Setup II

The equipment was in early 2019 moved away from the cage in order to reduce the impact from the equipment on the experimental measurements in form of noise, vibrations in the cage and a rise in temperature caused by the electrical equipment generating heat. The rise in temperature was especially a problem when the plastic covering the frame was left down during measurements. Therefore measurements done before the equipment was moved were conducted with the plastic not fully covering the cage, thus airflow may not have been as constant as for the later measurements done in Setup II.

After the electronics was moved from the acoustic cage, going from Setup I to Setup II, changes were also done to the filter in order to minimize the signal to noise ratio (SNR), by increasing the high pass filter setting from 2 kHz to 4 kHz. The signal generator settings were altered to an input voltage of 1 V peak-to-peak for the frequency range 90-117 kHz and 0.1 V peak-to-peak for the frequency range 240-260 kHz. For all other frequencies in the measurement range the input voltage was 10 V peak-to-peak.

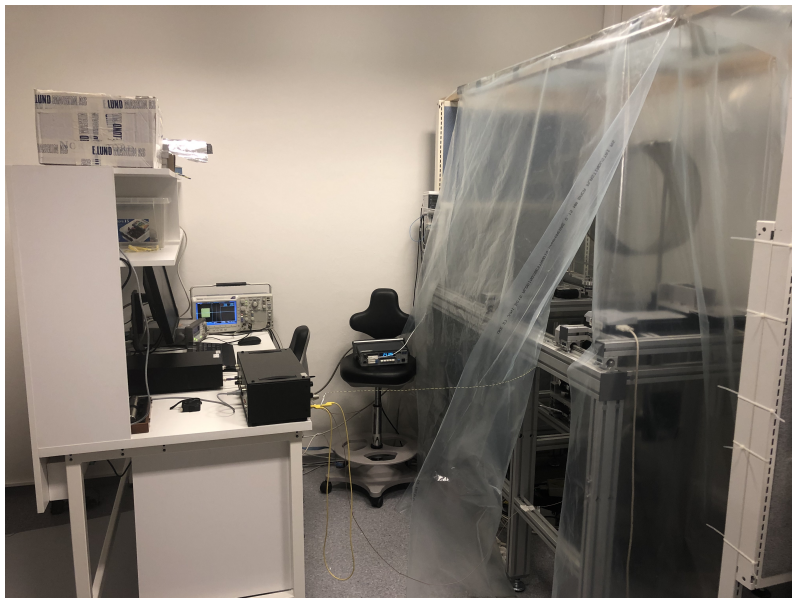


FIGURE 3.7: Experimental setup II at the acoustics laboratory, with equipment outside the cage and plastic fully covering the acoustic setup.



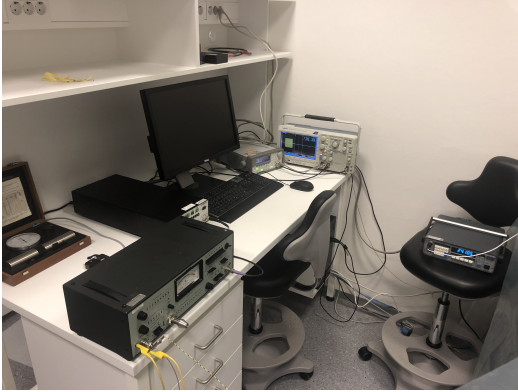


FIGURE 3.8: The electrical part of the experimental setup II.

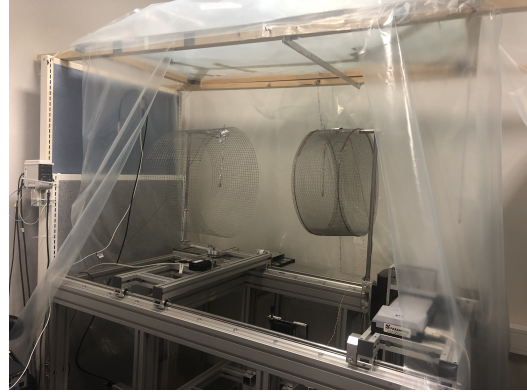


FIGURE 3.9: The acoustical part of the experimental setup II.

### 3.3 Equipment and cables

In this section a description of the equipment and cables used in the electrical and acoustical setup will be given. A list of all the different equipment and the cables used to connect them in the electrical and acoustical setups is presented in Table 3.1 and Table 3.3, respectively, and the piezoelectric disks used, along with their dimensions, is listed in Table 3.2.

Equipment	Spesification	GPIB adress	Serial number
Signal generator	Agilent 33220A [61]	10	MY44023589
Oscilloscope	Tektronix DPO3012 [62]	USBO	195539
Amplifier	Brüel & Kjær 2636 [63]		1615638
Filter	Krohn-Hite 3940 [64]	21	AM2626
Thermometer	ASL-F250 [65]	3	1365026993
Hygdrometer	Vaisala HMT313 [66]	4	F4850018
Barometer	Brüel & Kjær UZ0004	–	1918465
Impedance Analyzer	HP 4192A [67]	17	23423

TABLE 3.1: List of equipment used in the electrical and acoustical experimental setup and their corresponding GPIB address.

#### 3.3.1 Signal generator

A signal generator, of type Agilent 33220A [61], is used to generate a sinusoidal electric burst of a predetermined voltage. In the current work the voltages used are 10 V, 1 V, 0.1 V and 0.01 V peak-to-peak and it is stated in the results when which voltage is used.

The generated signal is transmitted to  $T_x$  and to an oscilloscope using coaxial cables, of type RG-178 B/U and RG-58, with a characteristic impedance of 50  $\Omega$ . The output channel of the signal generator has an output impedance of ?  $\Omega$ , but the parallel capacitance is not given by manufacturer.

Burst length is determined by  $0.8 * \text{propagation time}$ , where propagation time is given as  $d/c$ . The distances used in the current work are  $d = 50$  cm,  $d = 30$  cm,  $d = 20$



cm and  $d = 15$  cm and the sound speed,  $c$ , is found using Cramers method, described in Chapter 2.

### 3.3.2 Oscilloscope

An oscilloscope, of type Tektronix DPO3012 [62], is used to measure the generated signal from the signal generator that is being sent to  $T_x$ , and the received signal from the filter. The acquisition is triggered by the trigger on the waveform generator and the oscilloscope works as a digitizer for the analogue signals  $V_{om}$ , generated by the signal generator, and  $V_6$ , received from the filter.

The oscilloscope has an input impedance of  $1 \text{ M}\Omega$  in parallel with  $11.5 \text{ pF}$ . The signal bursts that are recorded are averaged over 128 bursts in order to reduce random noise in the system.

### 3.3.3 Amplifier

A voltage amplifier, of type Brüel & Kjær 2636 [63], is used to amplify the received signal from  $R_x$  by 60 dB.  $R_x$  is connected to the amplifier through a RG-178 B/U coaxial cable with a characteristic impedance of  $50 \Omega$  and is connected to the input of the amplifier, which has an input impedance of  $1 \text{ M}\Omega$  parallel with  $90 \text{ pF}$ .

Experimental values for the input impedance of the amplifier were found in [34] and [36] and are used in the present work. The values found in [34] and [36] for the input of the amplifier is within the uncertainties given by manufacturer for the amplifier. The values are presented in Table 3.2.

TABLE 3.2: List of input impedance of the amplifier, both experimental values and data sheet values given by manufacturer.

Variable	Manufacture value	Experimental value [34] and [36]
Amplifier input resistance, R	$1 \text{ M}\Omega$	$0.95 \text{ M}\Omega$
Amplifier input capacitance, C	$90 \text{ pF}$	$96 \text{ pF}$

The amplifier has a maximum amplification of 100 dB in  $10 \text{ dB} \pm 0.05 \text{ dB}$  steps. In the current work an amplification of 40 dB is used on the input and another 20 dB on the output, resulting in a total amplification of 60 dB.

Between the input and output of the amplifier there are connections for an external filter. In the current work this is not used, since it is deemed beneficial to amplify the signal before filtering, so as to best filter out more noise. However this method is more likely to clip the signal since all the amplification is performed before filtering. The noise is also amplified along with the signal before filtering so a high enough high pass filter setting is desired, and this has been monitored in the current work in order to avoid filtering that filters away the signal along with the noise.

### 3.3.4 Filter

The filter used in the current set-up is a Krohn-Hite 3940 filter which is connected from the front output of the amplifier to the front input CH1 on the filter. The output from CH2 on the filter is terminated in CH2 on the oscilloscope. In [37] and the present work the filter was used as a static high pass filter of type Butterworth with a cut-off frequency  $f_c = 4 \text{ kHz}$ .

It is important to notice that the filter has a max input voltage of 4.4 V peak. In the current work a voltage of no higher than 1 V peak-to-peak, corresponding to 0.5 V peak, has been used in the present work.

### 3.3.5 Impedance analyzer

An impedance analyzer, HP 4192A [67], is used to measure the impedance of the piezoelectric disks used in the experimental setup. The impedance analyser is turned on for 1 hour before any measurements are performed in order for the instrument to warm up and a zero offset adjustment is performed to account for the effect of the docking station used to connect the terminals of the piezoelectric disk to the impedance analyzer. The measurements are done using 1 V RMS.

The impedance analyzer is connected to the PC via a GPIB-to-USB adaptor and the script used for acquiring data from the impedance analyzer is rechecks the settings before every data acquisition, as a safety assurance for errors or malfunctions in the analyzer. This increases the total runtime of the program, but since the total runtime is less than half an hour this is kept as programmed by [37].

### 3.3.6 Piezoelectric elements

The piezoelectric disks used in the current work are disks number 7 and 13, cf. [36]. In order to not disturb the soldering on the disk or the disk themselves as little as possible, the measurements of the thickness and diameter of the disks performed in [36] is used in the current work and are listed in Table 3.3. The disks are handled with care and only touched very gently with clean hands when necessary for alignment correction. Since it is indicated that reciprocity holds only for identical transducers [68], and the simulations which the measurements are compared to assumes spherical reciprocity, it is important that the disks are as equal and uniform as possible. They are therefore from the same batch purchased from Meggit Ferroperm [69] and only the disks that were the most similar were chosen by Andersen [36].

TABLE 3.3: List of piezoelectric disks used in the experimental set-up and their dimensions.

Disk number	Diameter, $D$ , [mm]	Thickness, $T$ , [mm]
7	$20.20 \pm 0.05$	$2.0410 \pm 0.0010$ [36]
13	$20.24 \pm 0.04$	$2.0288 \pm 0.0008$ [36]

### 3.3.7 Cables

There are five cables used in the experimental setup listed in Table 3.4. The last two are included in the measurement of the transfer function  $H_{5'6}^{VV}$  and they are measured experimentally. The effect from cables 1, 2 and 3 are calculated using the transmission line model from Section 2.5. The specifications for the cables are listed in Table 3.5.

### 3.3.8 Motorized stages

Three motorized stages from Physik Instrumente are included in the experimental set-up in order to place the discs at desired distance and with desired accuracy of

TABLE 3.4: List of cables used in the experimental setup and their specifications.

Cable	From/To	Length [m]	Cable type
1	Waveform generator/transmitting disk	2.970	RG-178 B/U
2	Waveform generator/oscilloscope	0.304	RG-58
3	Receiving disk/amplifier	2.975	RG-178 B/U
4	Amplifier/filter	0.475	RG-58
5	Filter/oscilloscope	1.470	RG-58

TABLE 3.5: Specifications for coaxial cables.

Cable type	Impedance, $Z$	Inductance, $L$	Capacitance, $C$
RG-58	$50 \Omega$	250 [nH/m]	100 [pF/m]
RG-178 B/U	$50 \pm 2 \Omega$	–	93 [pF/m] (at 1 kHz)

alignment. Two of the stages are connected to the transmitting disk,  $T_x$ . One rotational stage to move the disk in  $y$ -direction and one translational stage to move the disk in  $z$ -direction. The last stage is connected to the receiving disk,  $R_x$ , and allows it to be moved in  $x$ -direction. These are high accuracy stages and they have an  $0.1 \mu\text{m}$  incremental motion and a  $1 \mu\text{m}$  full-travel accuracy. So the uncertainty related to the stages will be no more than  $1 \mu\text{m}$ . There is also an uncertainty caused by the laser, which can be from  $-6$  to  $+10 \mu\text{m}$ .

TABLE 3.6: List of equipment used in the experimental setup and their corresponding GPIB address.

Positioning stage	Specification	Serial number
Linear position stage ( $x$ -axis)	PI M-535.22 [59]	109040312
Linear position stage ( $y$ -axis)	PI M-531.DG [58]	–
Linear position stage ( $z$ -axis)	PI miCos LS270 [70]	414000926
Rotation stage	PI M-037.PD [60]	109040312
2 axea Motion Controller	SMC Hydra TT [70]	1404-0153
Motion Controller Card	PI MS77E-C-84341 [71]	0095103296

The software PIMicroMove is used to control the stages from the PC. When a connection is made in the software with the stages they need to be adjusted to a reference distance for the program to know their position to a high accuracy. Since the stages may have different target references for this position calibration, each stage with its respective target reference is listed in Table 3.7. When moving the stages in PIMicroMove a left and right option is available for each stage and the direction this represents for each stage is also listed in Table 3.7.

TABLE 3.7: List of motorized stages in the experimental setup, their respective target reference and movement direction.

Stage name in PI MicroMove	Target reference	Left/right
1 Hydra/Pullox [70]	pos.limit	forwards/backwards
1 PDM-037 [60]	on target	angle, clockwise/counter clockwise
2 DG.M-531 [58]	ref.switch	right/left
3 M-535.22 [59]	pos.limit	up/down

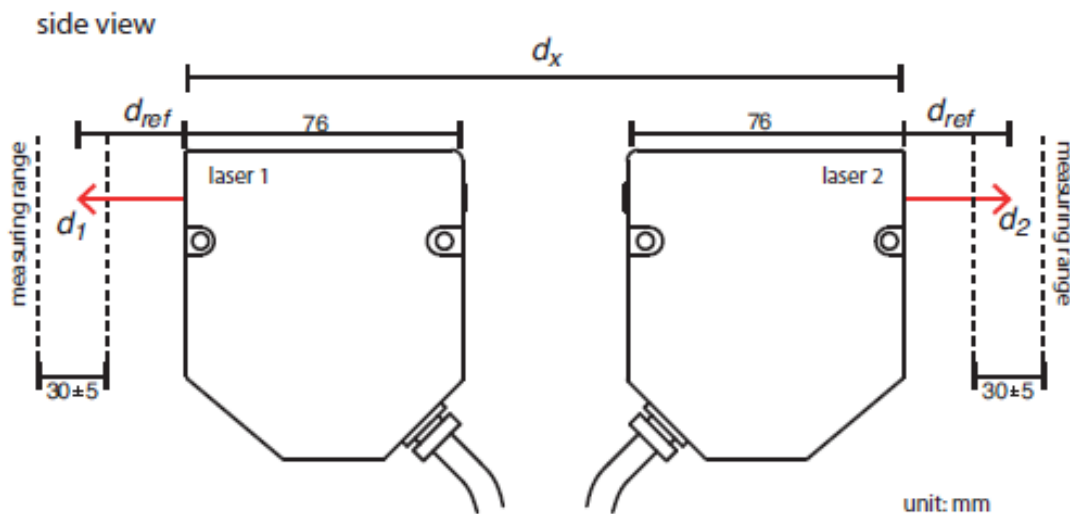
### 3.3.9 Laser

In order to accurately determine the separation distance between the transmitter and receive pair in the experimental setup a pair of lasers were installed by [36]. The lasers are mounted on a rod which allows them to be lowered down during measurements. A controller with display is connected to the lasers and a computer software, LK-Navigator, is installed in order to acquire distance values from the lasers. The equipment is listed in Table 3.8 and a schematic of how the lasers are mounted, by [36], is presented in Fig. 3.10. The transmitting and receiving disks are moved into the measuring range of the lasers, alignment is adjusted for and then the lasers are placed in the center of both disks so that the relative distance the transmitter needs to be moved can be calculated from the current position of the disks.

TABLE 3.8: List of equipment used in the experimental setup and their corresponding GPIB adress.

Laser sensor	Spesification	Serial number
Sensor head	KEYENCE LK-G32 [72]	2041141
Sensor head	KEYENCE LK-G32 [72]	2041143
Controller with display	KEYENCE LK-G3001PV [72]	1741187
Computer Software LK-Navigator	KEYENCE LK-H1W [72]	–

FIGURE 3.10: Laser schematics, cf. [36].



### 3.4 Positioning of the discs

In order to get a good transmit-receiver measurement it is necessary for the disks to be aligned with each other and that the separation distance between them is highly accurate. The disks are aligned when the transmitter and the receiver are mounted flush in the  $xy$ -plane, as shown in Figure 3.11. This is done using a high precision Keyence laser, installed by [36] and high precision translation stages from Physik Instrumente, cf. [73]. The separation distance between the disks is measured from the center of the front of one disk to the center of the front of the other disk, cf. Fig. 2.1.

The disks are polarized and the polarization direction is marked by a small dot on the positive side, called the front of the disk, so that the polarization direction goes from the front of the disk to the back of the disk. When the disks were soldered onto the partially twisted pair cables in the laboratory, precautions were made to not change the polarization or the material characteristics of the disk. However, some alterations are observed in the material constants of the disks. This is explained in depth in [36].

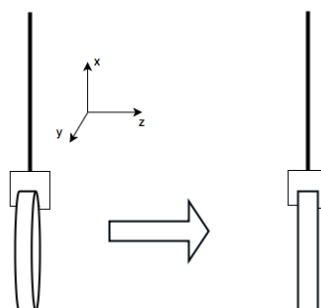


FIGURE 3.11: Aligning of a piezoelectric disc.

### 3.4.1 Horizontal and lateral alignment

Firstly the disks are placed facing each other, the dots marking the polarization are placed towards the other disk, to avoid a  $180^\circ$  shift in phase and an x marking the center of each disk is used to place the disks in lateral and horizontal alignment with each other. The laser is then used to check alignment at the edges of the disks in  $x$ - and  $y$ -direction by moving the laser to the farthest positive and farthest negative value of both  $x$  and  $y$ , checking that the disks "disappear from the laser sensor at the same location. This process is repeated after rotational alignment is achieved to avoid error caused by rotational misalignment.

### 3.4.2 Rotational alignment

When the disks are both centred on the  $z$ -axis and aligned vertically and horizontally, the rotational alignment is investigated. For rotation about the  $y$ -axis this is done by moving the laser to the top and the bottom of  $T_x$ , noting the distance from the laser to the disk in both positions and subtracting them to find the difference. This is referred to as uncertainty in the alignment caused by rotation about the  $y$ -axis. If alignment is not satisfactory the disk is moved by a light touch at the bottom of the disk, continuously monitoring the position on the laser display until the desired deviation about the  $y$ -axis is acquired. This procedure is then repeated for  $R_x$ .

For rotation about the  $x$ -axis the laser is placed on one of the furthest horizontal sides of the disk. The uncertainty in alignment is found by measuring at the two horizontal sides of the disk and subtracting the two distances to find the difference. For  $T_x$  corrections are now made by using the rotation stage mentioned in Section 3.1.5. For  $R_x$  a light touch or a screw at the top of the mounting rod allows for the rod and the disk to be rotated and is used to correct its positioning.

The process is repeated several times, to check that corrections in one direction did not affect the deviation or uncertainty in alignment in another direction, until satisfactory alignment is achieved.

### 3.4.3 Separation distance

The separation distance,  $d$ , measured from the center of the front of  $T_x$  to the center of the front of  $R_x$  is presented by [36] as

$$d = d_{rel} + d_{xe} + d_1 + d_2, \quad (3.1)$$

with an associated combined standard uncertainty given as

$$uc(d) = \sqrt{u^2(d_{rel}) + u^2(d_{xe}) + u^2(d_1) + u^2(d_2)}. \quad (3.2)$$

The distance  $d_{rel}$  is the relative distance the translation stage needs to move in order to position the disks at a separation distance  $d$  from each other, given as

$$d_{rel} = d - d_{xe} - d_1 - d_2, \quad (3.3)$$

All the distances in Eq. (3.1), (3.2) and (3.3) are listed in Table 3.4 and illustrated in Fig. 3.10.

TABLE 3.9: List of values used for calculating separation distance  $d$ .

	Value	Description
$d_{ref}$ [cm]	30	
$d_1$ [cm]	acquired from laser after alignment	Distance from front of laser to front of $T_x$ disk.
$d_2$ [cm]	acquired from laser after alignment	Distance from front of laser to front of $R_x$ disk.
$d_x$ [cm]	182.5692	Distance between the two laser fronts
$\alpha_{Al}$	$22.5 \cdot 10^{-6}$	Thermal expansion coefficient for aluminium
$T_{cal}$ [ $^{\circ}$ C]	$\approx 24$	Calibrated temperature measurement
$T_{lab}$ [ $^{\circ}$ C]	acquired from thermometer	Measured temperature in the lab at start of measurement

A positioning stage in  $z$ -direction is used to position the front of the transmitting disk at the separation distance  $z = d$  and the front face of the receiver is placed at  $z = 0$ .

#### 3.4.4 Uncertainty in transducer separation distance

The combined standard uncertainty for the separation distance,  $d$ , as claimed in Section 7.1.1 in [36], is  $10 \mu\text{m}$  at a coverage factor  $k = 1$ .

( $50.0000 \pm 0.0040$ ) cm This is then the uncertainty at the center of the disks. It is also nessecary to keep in mind the uncertainty in alignment. The uncertainty in the separation distance of an arbitrary point on the disks will then be the total uncertainty of the separation distance  $d$ , combined with the alignment uncertainty.

### 3.5 Noise

In previous work the white noise has been reduced by averaging the measured signals over 128 bursts [33–37]. The coherent electrical noise caused by the transducers in the experimental setup being unshielded piezoelectric disks has been reduced by the use of Faraday cages [34, 36, 37] and the grounding of them [34, 36]. Shielding of the cables soldered onto the disks and leading up to the coaxial cables was introduced in [37] to further reduce the coherent electrical noise. Since the recorded signal contains both the acoustic and the electrical signal a method was developed in [37] to record the coherent electrical noise in order to later subtract it from the recorded signal without affecting the acoustic signal.

#### 3.5.1 Ground

In an experimental measurement setup there can be noise present due to the system having multiple grounds or earths. The electrical instruments in the setup are grounded to earth through earth in the power cable. However, the transmitter and receiver works as antennas for electrical current, since they are unshielded, and subsequently has a different ground from the sending and receiving electronics, which are all connected to the same ground; earth. To remedy this the Faraday cage of the receiving disk is grounded to the input of the amplifier rendering the entire setup to have the same electrical voltage potential for the entire measurement system. The receiving disk is in contact with the Faraday cage at the banana plug connection through a small metal piece touching both the cable from the transmitting disk and the shielding around the cable which is in firm contact with the Faraday cage.

### 3.5.2 Coherent noise

Coherent electrical noise can be removed by measuring the coherent noise when there is no acoustic signal present and subtracting the recorded coherent noise from the total acoustic measurement where both the acoustic signal and the coherent electrical noise is present. This method was done by [36] [p.88 section 6.3.2] using an acrylic plate of approximately 2-5 mm thickness and by [37] using a POM plate. The method used by the latter has been used and improved upon in the current work. In order to isolate the coherent electrical noise the plate is mounted between the transmitter and the receiver during an acoustic measurement. Thereby no acoustic signal should be recorded and the resulted measured signal is only the coherent electrical signal.

An approximately 86 x 90 cm Polyoxymethylen (POM) plate is paced between the two piezoelectric discs during the noise measurement to block out the acoustic signal and thereby recording the electromagnetic signal which we can then subtract from the acoustic measurements done directly before or after the noise measurement. This method was developed by [37], but a similar approach was used by [36] utilizing a 2-5 mm plexyglass plate, and has been documented and further developed by the current author. The plate was purchased by the in house work shop from Astrup.

The POM plate has a high density compared to air it works as a wall for the acoustic signal, but the thickness of it makes it invisible to the electrical signal, which has a much higher wavelength. By placing the screen between  $T_x$  and  $R_x$  during an acoustic measurement only the coherent electrical noise, created by the transmitter, is recorded and can later be subtracted from an acoustical measurement.

Since this noise measurement is highly dependent on the environmental parameters, such as temperature, pressure and humidity, a noise measurement is done as close to an acoustic measurement as possible. It is also highly dependent on the separation distance,  $d$ , between the disks, so if the disks are moved closer or further apart a new noise measurement is needed for the corresponding acoustic measurement.

### 3.5.3 Signal to noise ratio, SNR

The signal to noise ratio (SNR) is defined as the ratio between the measured signal and the measured noise, and is defined in Eq. (6.36) [74] as

$$SNR = 20 \log_{10} \left( \frac{V_{rms}^{rec}}{V_{rms}^{noise}} \right), \quad (3.4)$$

where

$$V_{rms}^{noise} = \sqrt{\frac{1}{N} \sum_{i=1}^N (V_i - \bar{V})^2} \quad (3.5)$$

is RMS value of the recorded noise signal and

$$V_{rms}^{rec} = \frac{V_{rec}^{pp}}{2\sqrt{2}} \quad (3.6)$$

is the RMS value of the recorded signal. The SNR is important as it speaks to the strength of the signal compared to the noise present in the measurement system.



### 3.6 Data acquisition, pylabctrl

All the scripts for data acquisition were written in Python by [37] and has been modified by current author, cf. Appendix A. They consist of a script for each instrument used at the lab, four scripts for the different type of measurements you want to perform and spec scripts that communicate between these. See figure 3.12. There is also a pycache folder created by Python in each of the folders in pylabctrl and these are generated by Python and should be left as they are. Changes are to be done in the scripts directly.

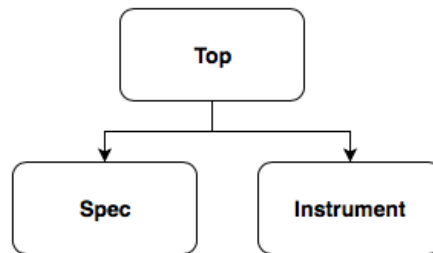


FIGURE 3.12: The general flow chart of a pylabctrl measurement program.

Each of the scripts are run directly from the terminal by changing the directory to the folder where the scripts are stored using the cd-command and then run directly in the terminal using python. The command "python acoustic.py" is written directly in the terminal when the acoustic measurement is run and equivalently for the noise, impedance or receiver measurements.

#### 3.6.1 Acoustic measurements

The script acoustic.py measures the output voltage from the signal generator as it is recorded by the oscilloscope at Channel 1, and the received voltage coming from the filter as it is terminated in the oscilloscope at Channel 2. In order to minimize the time an acoustic measurement takes the temperature acquisition is reduced to every 5 seconds in stead of one acquisition for every frequency measurement. The temperature measurements therefore need to be interpolated in the post-processing routine.

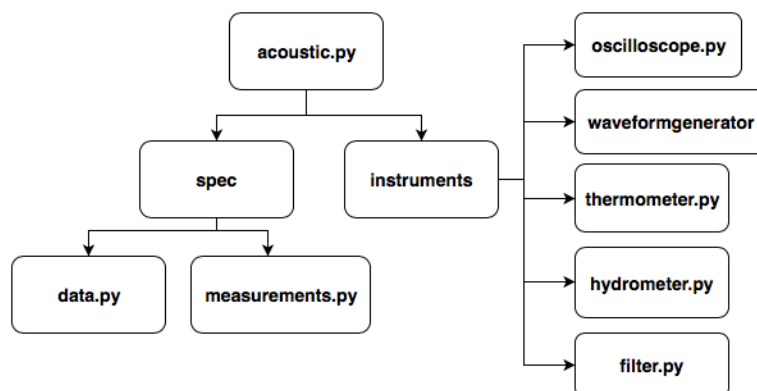


FIGURE 3.13: The general flow chart of an acoustic measurement.

The script `acoustic.py` has been modified from [37] to include the option of a gliding band pass filter, but it was not deemed necessary as the settings described in 3.3.4 yielded good result. It is however kept in for possible future use and as an example of how to implement equipment to the Python measurement scripts.

Parameters needed for an acoustic measurement are the separation distance,  $d$ , and the current humidity at the lab, acquired manually by a barometer. These should always be checked before an acoustic measurement is done.

### 3.6.2 Noise measurements

The script `noise.py` measures the coherent electrical noise when the POM screen is placed between  $T_x$  and  $R_x$ , in order to block any acoustical signal. As stated in [37] electrical signal has a much faster propagation time compared to acoustic signals, so propagation time is not applied and reflections are not compensated for because any reflections would also be present in the full acoustic measurement, so the removal of them by recording them in the noise measurement will only improve the final post-processed signal. Acoustic measurements should always have an accompanying noise measurement performed on the same day and at the same separation distance since both the environment and the separation distance influence the recorded electrical noise and therefore should be as identical as for the acoustic measurement as possible.

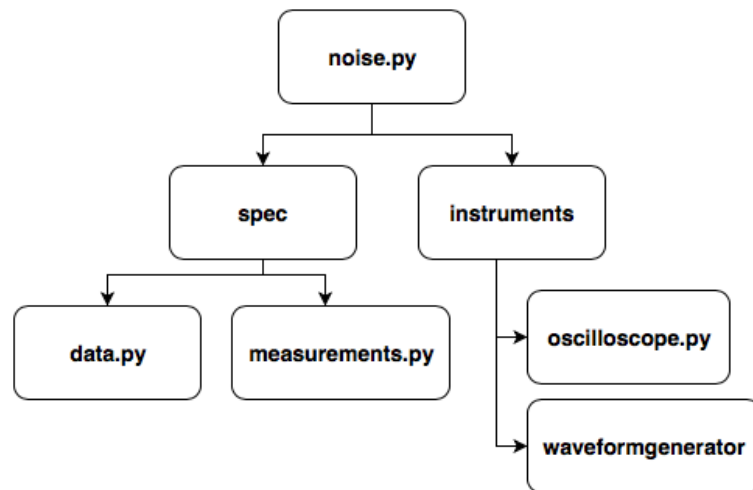


FIGURE 3.14: The general flow chart of a noise measurement.

### 3.6.3 Admittance measurements

The script `admittance.py` measures the impedance measured by the impedance analyser over a given frequency specified and easily changeable in the script. The impedance analyser is calibrated according to Figure 3-30 in [67] and connected to the PC using a GPIB connection.

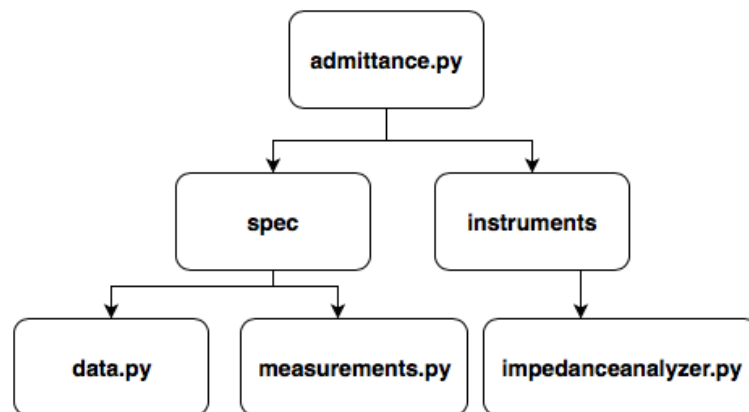


FIGURE 3.15: The general flow chart of an admittance measurement.

### 3.6.4 Receiver measurements

The script receiver.py measures effect of the receiving electrical signal in the measurement setup. Because the filter has a maximum input voltage of 4.4 V peak an attenuator with an attenuation factor of 60 dB is introduced when measuring the receiving electronics, as explained in Section 3.1.2.

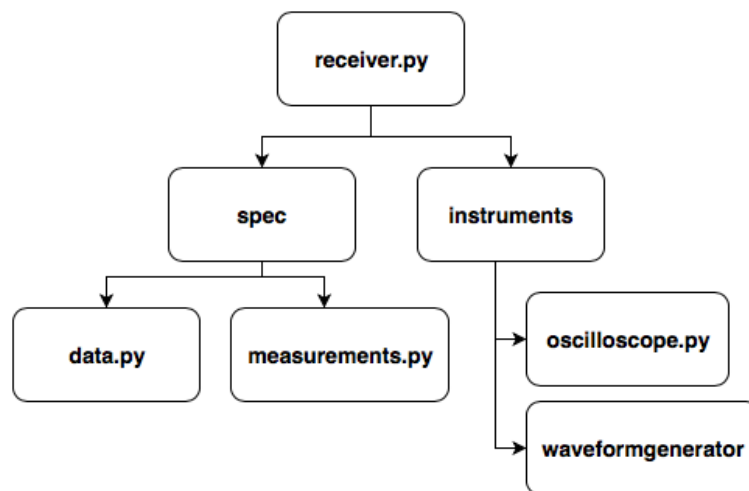


FIGURE 3.16: The general flow chart of a receiver measurement.

## 3.7 Post-processing

The signal waveforms,  $V_{0m}(t)$  and  $V_6(t)$ , recorded at the oscilloscope are presented in Appendix D for various frequencies at different distances. When post-processing the measured data the waveforms are first applied a Fast Fourier Transform-window (FFT-window) and then a Discrete Fourier Transform (DFT) is performed before they are divided to obtain the measured voltage-to-voltage transfer function,  $H_{0m6}VV$ , cf. Section 2.2.2. In order to avoid spectral leakage and apply the window at the desired steady-state part of the signal several steps are required.

### 3.7.1 FFT-window

In order to obtain a steady-state part of the signal the upper and lower bounds of the FFT-window have to be carefully chosen. The lower bound of the FFT-window is placed at the estimated time-of-arrival for the plane wave component of the propagating wave yielding a propagation time given as

$$p_t = d/c, \quad (3.7)$$

where  $d$  is the separation distance between the center of the two disks and  $c$  is the speed of sound calculated according to Section 2.7. By placing the windows lower bound here the phase of the plane wave is not included in the FFT-window and only the slowly varying phase remains, cf. Fig. 3.17.

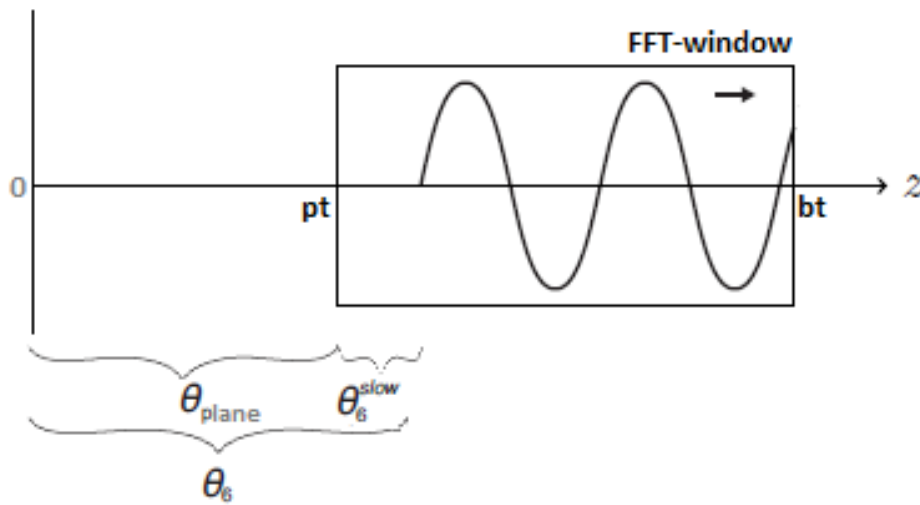


FIGURE 3.17: Illustration of a received waveform signal travelling from left to right in a FFT-window with the total phase,  $\theta_6$ , plane wave phase,  $\theta_{plane}$ , and the slowly varying phase,  $\theta_6^{slow}$  indicated.

The upper bound of the FFT-window is given as the burst length of the signal. The burst length is chosen so that it does not include unwanted signals, such as ringing or delays from the prior burst, but still contain as large amount of the given signal as possible. The burst length is chosen as

$$b_t = 0.8 \cdot p_t = 0.8 \cdot d/c. \quad (3.8)$$

### 3.7.2 Discrete Fourier transform

When adding a window to a signal spectral leakage can be caused by the signal not being cut at a zero value may occur. In order to avoid this the window needs to contain a whole number of periods of the signal [75]. This is done by cutting the signal to an integer number of periods and reduces spectral leakage at the cost of some frequency resolution. Firstly, in order to obtain an integer number of periods, an integer number of periods is transmitted. Secondly, the received signal is cut in both ends so that it starts and ends in points crossing zero, cf. Appendix B.3.5.

For the DFT a MATLAB [76] Goertzel algorithm is used, which has default Fourier "bins" or frequency intervals with a center frequency given as

$$f_c = k \frac{f_s}{N}, \quad (3.9)$$

where  $k$  is the bin number,  $f_s$  is the signal sampling frequency and  $N$  is the signal sampling length.

As adding zeroes to the end of a signal does not affect the DFT, zeroes are added to change the center frequency of the bin to the frequency of the signal, cf. Appendix B.3.6, before the signal is Fourier transformed.

Lastly the transfer function of the measured system is found by dividing the two Fourier transformed waveforms.



## Chapter 4

# FE simulation

Simulations are an important part in the present work and finite element simulations are used to model the transmit-receive pair. A brief overview of the software tool will be presented in Section 4.1. In Section 4.2 the two sets of material parameters investigated in the current work are presented. In Section 4.3 the simulation parameters of three different simulations performed in the current work are presented.

### 4.1 FEMP 5.3

A finite element software tool for piezoelectric transducers (FEMP) was developed by [40] in cooperation with UiB and CMR and has since been further developed by researchers and students at CMR and UiB. In the current work FEMP 5.3 written for MATLAB [76] is used to simulate the transmit-receive pair without the transmitting or receiving electronics.

Three different files are needed to run a simulation; an input file, a structure file and a material data file. The input file defines the simulation parameters for the simulation and ends with a **.inn** extension. The structure file defines the input parameters, areas, points and boundary conditions for a transducer structure being simulated and can be modified in **read\_inn\_project.m** and **init\_const\_project.m**. Lastly, the material parameter file defines the material parameters of the piezoceramic disks, the medium and any front or backing layer used in the simulation and ends in an **.mat** extension.

The simulation problems in FEMP are defined in the  $rz$ -plane, where  $r$  is in the direction of the radius of the piezoelectric disk and  $z$  is along the acoustic axis. As computational time is dependent on the size and complexity of the problem symmetry along the acoustic axis is assumed along with spherical reciprocity for the transmitting and receiving disk, allowing for only the transmitter to be simulated. This reduces the 3-D solution to a 2-D problem with only one transducer needing to be simulated.

As finite element methods depend on dividing the problem into finite elements the simulation problem is divided into two domains. The first domain is a region of finite elements consisting of the piezoelectric disk and the fluid loading, which is solved using 8 node isoparametric elements. The second domain is a region of infinite elements, which are solved using 12<sup>th</sup> order conjugate Astley-Leis infinite elements.

## 4.2 Material parameters

For the simulations to be comparable to measurements it is important that the material data which are used in simulations are accurate to the material constants that are measured in the experimental measurements. For a piezoelectric element the mechanical terms of the material constants are denoted  $c^E$  and make up the elasticity matrix, Fig. 4.1, the coupling terms,  $e$ , are collected in the coupling matrix, Fig. 4.2 and lastly the dielectric terms are collected in the relative permittivity matrix, Fig. 4.3.

$$\begin{pmatrix} c_{11}^E & c_{12}^E & c_{13}^E & 0 & 0 & 0 \\ c_{12}^E & c_{11}^E & c_{13}^E & 0 & 0 & 0 \\ c_{13}^E & c_{13}^E & c_{33}^E & 0 & 0 & 0 \\ 0 & 0 & 0 & c_{44}^E & 0 & 0 \\ 0 & 0 & 0 & 0 & c_{44}^E & 0 \\ 0 & 0 & 0 & 0 & 0 & c_{66}^E \end{pmatrix}$$

FIGURE 4.1: Elasticity matrix.

$$\begin{pmatrix} 0 & 0 & e_{31} \\ 0 & 0 & e_{31} \\ 0 & 0 & e_{33} \\ 0 & e_{15} & 0 \\ e_{15} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

FIGURE 4.2: Coupling matrix.

$$\begin{pmatrix} \epsilon_{11}^S/\epsilon_0 & 0 & 0 \\ 0 & \epsilon_{11}^S/\epsilon_0 & 0 \\ 0 & 0 & \epsilon_{33}^S/\epsilon_0 \end{pmatrix}$$

FIGURE 4.3: Relative permittivity matrix.

The disks used in the current work were purchased from Meggit Ferroperm A/S and a list of material characteristics were provided. It was found that the provided material constants were not sufficiently accurate and adjustments were needed. This is described in [77], page 78, but will be briefly repeated for continuity. A new set of material constants was calculated for a circular Pz27 disk with D/T (20 x 1 mm) = 20 by Lohne [78] in 2005 and later modified by Knappskog for disks with D/T (20 x 2) = 10. They both used methods described by Sherrit [79, 80] and the sensitivity analysis provided in [81] to adjust the electrical measurements of the disks in air. The material data was further adjusted by Aanes in 2014 for the specific disks used in [77]. All three sets of material data are presented in Table 4.1.

## 4.3 Simulation parameters

The simulation parameters used in the FE simulation are of high interest. The number of elements,  $N_\lambda$ , and the total number of finite and infinite elements in the simulation,  $f_{mesh}$ , will increase the accuracy, in terms of resolution, of the simulation if one or both is increased. However, this can greatly affect the computation time of the problem. The accuracy of the simulation can also be improved by increasing the domain of the infinite elements,  $R_{inf}$ , but this will not influence the resolution of the simulation since the number of infinite elements will increase correspondingly.



TABLE 4.1: List of material data used in the simulations.

Material constant	Ferroperm	Lohne/ Knappskog	Lohne/ Knappskog/Aanes
$c_{11}^E$ [ $10^{10} N/m^2$ ]	14.7	11.875(1+i/95.75)	12.025(1+i/96)
$c_{12}^E$ [ $10^{10} N/m^2$ ]	140.5	7.430(1+i/71.24)	7.62(1+i/70)
$c_{13}^E$ [ $10^{10} N/m^2$ ]	9.37	7.425(1+i/120.19)	7.42(1+i/120)
$c_{33}^E$ [ $10^{10} N/m^2$ ]	11.3	11.205(1+i/177.99)	11.005(1+i/190)
$c_{44}^E$ [ $10^{10} N/m^2$ ]	2.3	2.110(1+i/75)	2.11(1+i/75)
$c_{66}^E$ [ $10^{10} N/m^2$ ]	—	2.22250(1+i/225.342)	2.16(1+i/315)
$e_{31}$ [ $C/m^2$ ]	-3.09	-5.4(1-i/166)	-5.4(1-i/166)
$e_{33}$ [ $C/m^2$ ]	16.0	16.0389(1-i/323.77)	17.0(1-i/324)
$e_{15}$ [ $C/m^2$ ]	11.64	11.20(1-i/200)	11.20(1-i/200)
$\epsilon_{11}^S$ [ $C/m^2$ ]	10.005	8.110436208(1-i/50)	8.11044(1-i/50)
$\epsilon_{33}^S$ [ $C/m^2$ ]	8.0927	8.14585296(1-i/86.28)	8.14585(1-i/130)
$\rho$ [ $kg/m^3$ ]	7700	7700	7700

In previous work there has been different simulation parameters used and in order to compare prior simulations to the simulations in the present work, an investigation into the effect of these parameters is done. The parameters investigated are in particular two different sets of material data and the effect of small changes in the radius and thickness of the piezoelectric disks. Three different simulations are performed in order to investigate these effect of different parameters on the simulated transfer function, cf. Table 4.2.

In the present work the simulated far-field axial pressure,  $p_{ff} = p_4(z_{ff})$  is calculated at a distance  $z_{ff} = 1000m$ . This particular distance has been used in [2], and results in [38] showed that this was sufficiently in the far-field and does not introduce significant numerical errors due to the large distance. It was shown that there is a  $1/z$  behaviour for the magnitude, and that the slowly varying phase tends to a constant value.

TABLE 4.2: List of parameters used in the different simulations.

Parameter	Simulation I	Simulation II	Simulation III
Material data	Lohne/ Knappskog	Lohne/ Knappskog/Aanes	Lohne/ Knappskog/Aanes
Disk radius, $r$ [mm]	10.10	10.01	10
Disk thickness, $T$ [mm]	2.041	2.041	2
Far-field distance $z_{ff}$ [m]	1000	1000	1000
Frequency range [Hz]	1-300 $\cdot 10^3$	0-300 $\cdot 10^3$	(1-300) $\cdot 10^3$
Medium density (air), $\rho$ [ $kg/m^3$ ]	1.205	1.205	1.18817
No. of elements per wavelength, $N_\lambda$	7	7	10
$R_{inf}$	30 $\cdot 10^{-3}$	30 $\cdot 10^{-3}$	30 $\cdot 10^{-3}$
Order of infinite elements	12	12	12

Apart from Section 5.7, where the three simulations are compared, only Simulation I is used. This means that for all comparisons between measurements and simulations in the current work it is Simulation I that is used.

## Chapter 5

# Results

In this chapter results obtained from measurements and simulations are presented. In Section 5.1 the measured electrical impedance of the disks are presented and compared to simulation. In Section 5.3 the corrections performed on the experimental measurements in order to compare them to simulations are presented. This includes the transmitting electronics transfer function,  $H_{0m1}^{VV}$ , the open circuit transfer function,  $H_{5open5}^{VV}$ , the measured receiving electronics transfer function,  $H_{56}^{VV}$ , attenuation and diffraction effects as well as corrections done to the temperature measurements acquired during an acoustic measurement. In Section 5.4 the effect of different input voltage in the acoustical measurements is investigated. In Section 5.5 the effect of accuracy in the alignment of the piezoelectric disks is investigated. In Section 5.6 results from the present work is compared to prior work. In Section 5.7 the effect of different parameters in the simulations are investigated. In Section 5.8 measurements compared with simulations are presented for four different distances;  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm. In Section 5.9 plots devoted to the investigation of the dip found at  $R_1$  are presented. Lastly, in Section 5.10 the uncertainties in the measurements are presented.

### 5.1 Electrical impedance measurements and simulations

In this section the results of the electrical impedance measurements are presented. The measured impedance for the disks used in the present work are presented and compared to simulations. The experimental impedance measurements are used for calculating the transmitting electronics transfer function,  $H_{0m1}^{VV}$  and the open circuit transfer function,  $H_{5open5}^{VV}$ , as described in Section 2.5.1. The simulated impedance is used for calculating the simulated transfer function  $H_{15open}^{VV}$ , as described in Section 2.11.

In Fig. 5.1 the measured impedances of the disks used in the present work are presented for 0-300 kHz and compared to FE-simulation. The simulated disk has the same dimensions as disk 7. The measurements have been performed as given in Section 3.1.1, with an 1 V RMS used for the impedance measurements in order to avoid non-linear behaviour in the disks. Both a) the magnitude,  $|Z|$ , and b) the phase,  $\phi_Z$ , are presented.

In the impedance plot of the disks, cf. Fig. 5.1, it is seen that the disks used in the current work have the first radial mode,  $R_1$ , at 90-120 kHz and the second radial mode,  $R_2$ , at 240-260 kHz.

There is a good agreement between the measured and the simulated disk impedances with the largest deviation found at the peaks associated with  $R_1$  and  $R_2$ , when comparing disk 7 to the simulation. For the first dip there is a upwards frequency shift

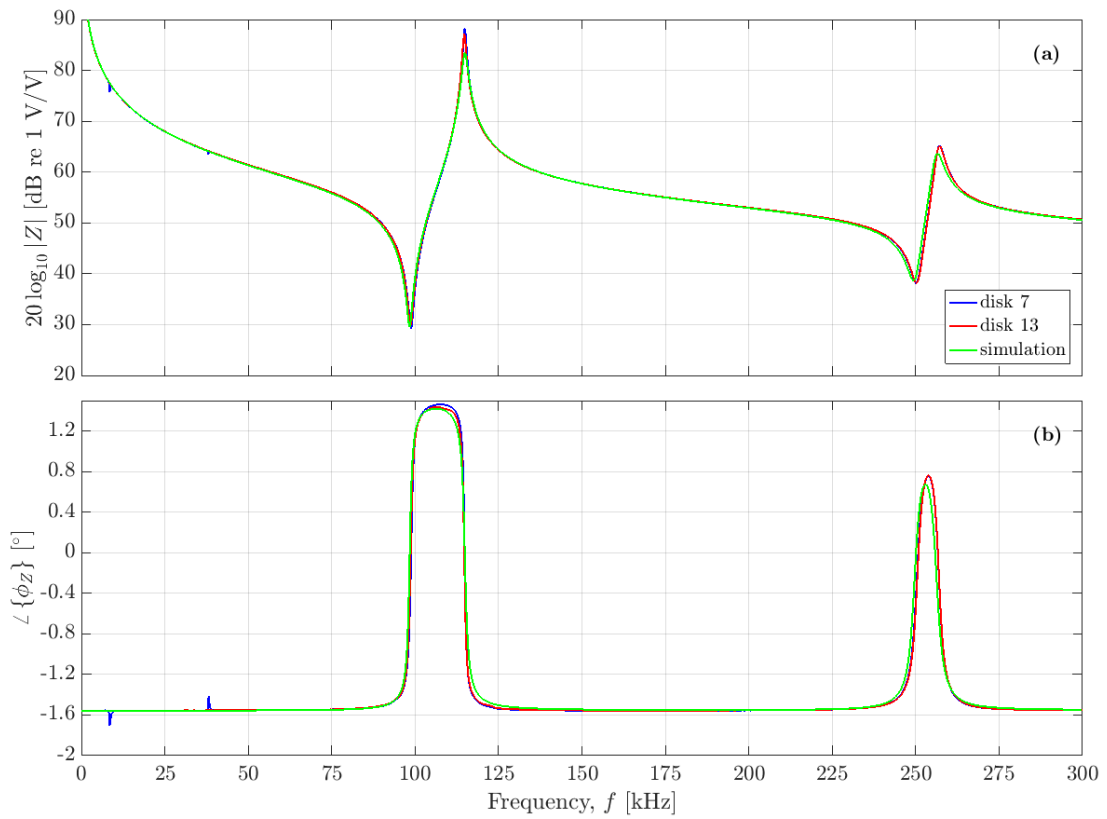


FIGURE 5.1: Impedance measurements with a) the magnitude and b) the phase measured for disk 7 and 13 compared to simulated impedance.

of 0.32 kHz for disk 7 compared to the simulated disk and a decrease in magnitude of 0.23 dB.

For the first peak the difference in magnitude between the two disks is 0.85 dB and the largest difference is again between disk 7 and the simulation, with a 4.68 dB increase is observed for disk 7 compared to simulation. The frequency is identical for the two measured disks and higher by 0.2 kHz for the simulation.

The second dip, associated with  $R_2$ , shows a lower magnitude of 0.39 dB for disk 7 compared to simulation and a higher frequency of 0.9 kHz for disk 13 compared to simulation.

For the second peak, associated with  $R_2$ , disk 7 has a higher magnitude of 1.59 dB compared to simulations and a higher frequency of 0.6 kHz.

The conductance and susceptance for disk 7, disk 13 and simulations is presented in Fig. 5.2 and Fig. 5.3, respectively. The spikes seen in the impedance of disk 7 in Fig. 5.1 are more prominent in the conductance in Fig. 5.2. However, these spikes in  $T_x$  do not noticeably affect the measured output of the system at hand. This was investigated by using the impedance measured for disk 13 in place of disk 7 when measuring the effect of the transmitting electronics and no visible changes were observed in the magnitude or slowly varying phase of the transfer function  $H_{0m1} VV$ .

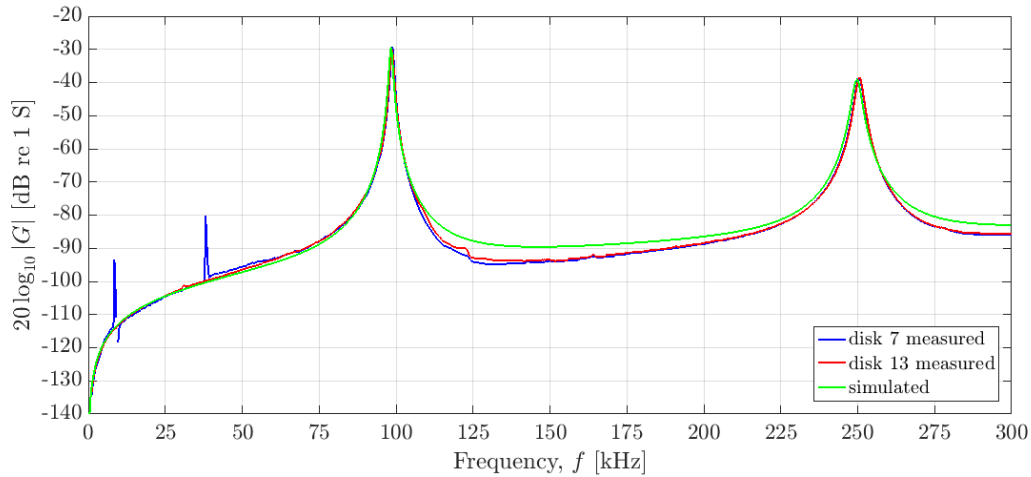


FIGURE 5.2: Conductance measured for disk 7 and 13 compared to simulated impedance.

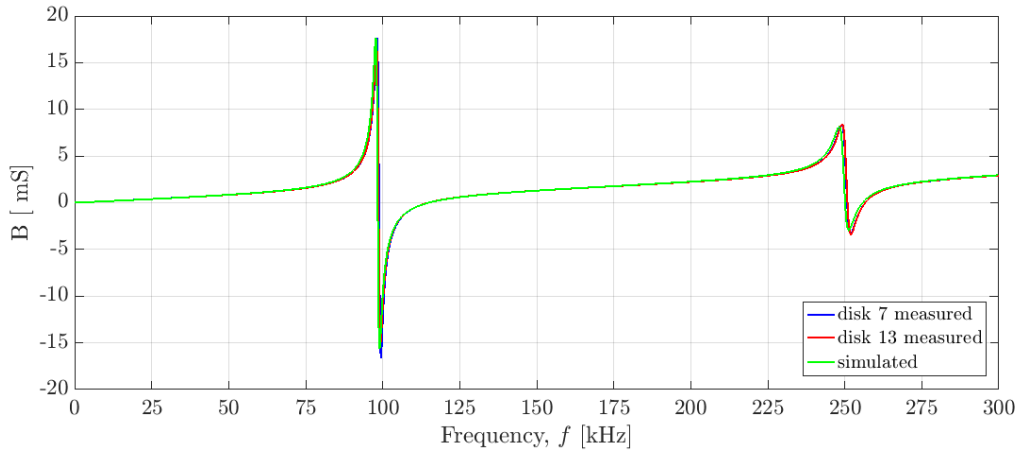


FIGURE 5.3: Susceptance measured for disk 7 and 13 compared to simulated impedance.

## 5.2 Measured noise

Investigations has been made into the noise recorded along with the acoustic measurements, cf. Section 3.5. The white noise is reduced by averaging over 128 bursts, as seen in Fig. 5.4 compared to Fig. 5.5.

The electrical coherent noise is reduced by the use of Faraday cage, shielding of the cables with aluminium foil, twisting the cables and by utilizing a noise reduction method (NRM) introduced in [37]. To see the effect of the NRM the envelope of the transfer function in question is calculated, as this allows for the amplitude of the signal to be more clearly seen. The envelope of the transfer function  $H_{0m6}^{VV}$  is calculated using the envelope function in MATLAB, which utilizes a Hilbert transformation for calculating the amplitude of the signal, given as

$$\text{env}(H_{0m6}^{VV}) = |H_{0m6}^{VV} + iH(H_{0m6}^{VV})|, \quad (5.1)$$

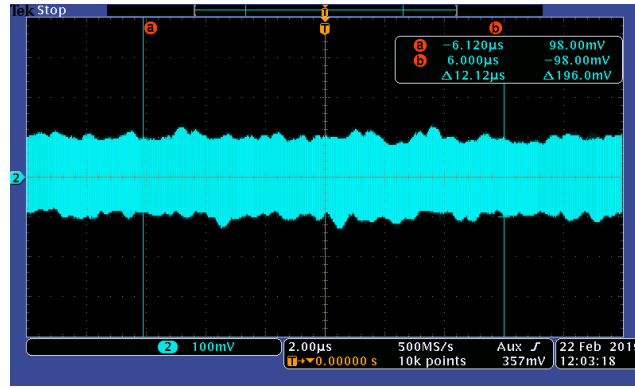


FIGURE 5.4: Recorded noise at the oscilloscope from the acoustic measurement Setup I without averaging.

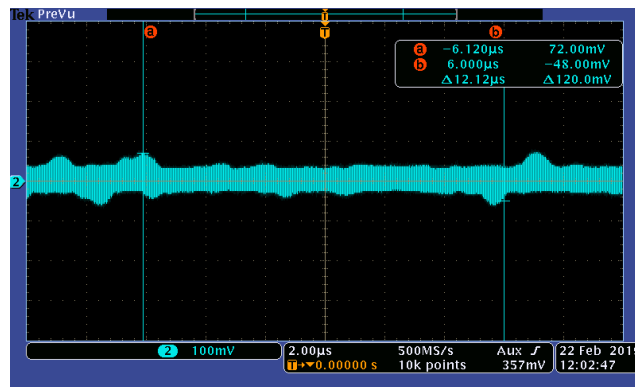


FIGURE 5.5: Recorded noise at the oscilloscope from the acoustic measurement Setup I with averaging over 128 bursts.

where  $H()$  is the Hilbert transform. The calculated envelopes of  $H_{0m6}^{VV}$  with and without noise reduction is presented in Fig. 5.6 for measurements performed at  $d = 50$  cm and in Fig. 5.7 for measurements performed at  $d = 15$  cm.

From Fig. 5.6 it is seen that the NRM method is most effective for the frequency range above 240 kHz. This includes the  $R_2$  mode. For measurements performed at a shorter distance, cf. Fig. 5.7 the method appears to be less effective, however some coherent noise appears to be removed from the  $H_{0m6}^{VV}$  with the NRM.

### 5.3 Corrections performed on measurements

In this section the corrections performed on the experimental measurements, in order to be able to compare them to simulations, are presented. Since none of the transmitting or receiving electronics or cables are simulated the effect of these will have to be removed before comparisons between simulations and measurements can be made. In Section 5.3.1 the transmitting electronics transfer function,  $H_{0m1}^{VV}$ , calculated using the measured impedance of the transmitting disk is presented. In Section 5.3.2 the open circuit transfer function,  $H_{5open5}^{VV}$ , calculated using the measured impedance of the receiving disk is presented. In Section 5.3.3 the measured receiving electronics transfer function,  $H_{56}^{VV}$ , is presented. There is also no attenuation or diffraction effect calculated in the simulations, so the effect of these are found and presented in Section 5.3.4 and Section 5.3.5, respectively. Lastly, some corrections have been made to

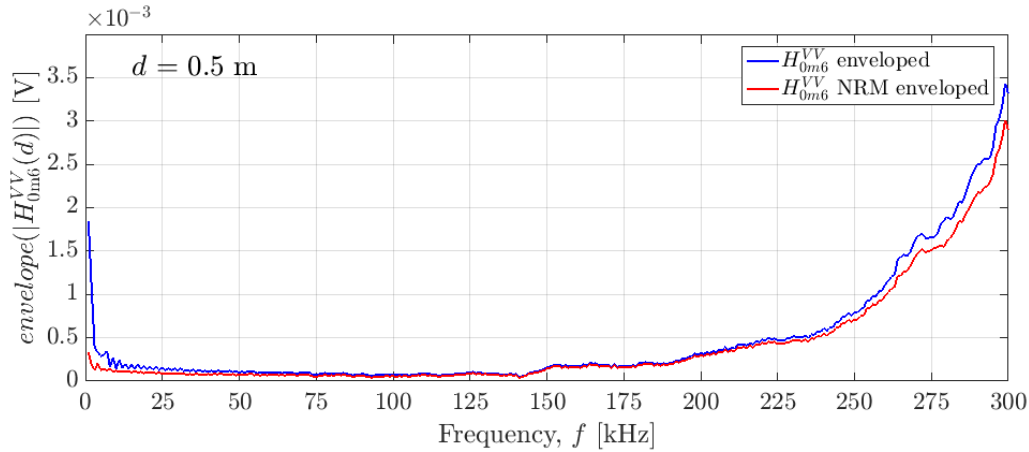


FIGURE 5.6: Envelope of  $H_{0m6}^{VV}$  with and without noise reduction for measurements performed at  $d = 50$  cm.

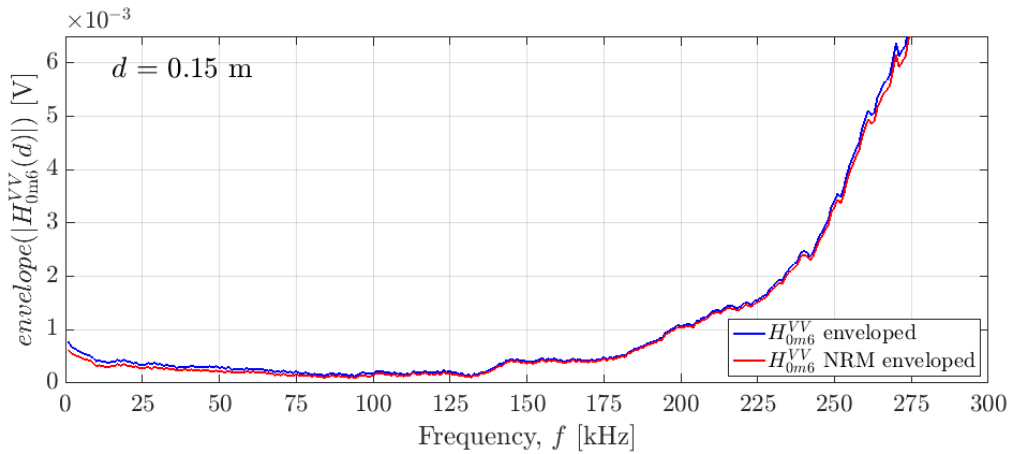


FIGURE 5.7: Envelope of  $H_{0m6}^{VV}$  with and without noise reduction for measurements performed at  $d = 15$  cm.

the temperature measurements acquired during the acoustic measurements. These are explained and presented in Section 5.3.6.

### 5.3.1 Transmitting electronics transfer function, $H_{0m1}^{VV}$

The transfer function for the transmitting electronics,  $H_{0m1}^{VV}$ , is presented in Fig. 5.8 for both magnitude and the slowly varying phase. Since the length of the cable has been measured differently in previous work  $H_{0m1}^{VV}$  is calculated for three different cable lengths. The first measurement is using the cable length measured from the start of the plugs on each end of the cable, yielding a length of 2.970 m. The second measurement is using the cable length measured from the end of the plugs on each end of the cable, yielding a length of 3.044 m. The third measurement is using the cable length measured from the start of the plugs on each end on the cable and including the t-plug used to connect the cable to the oscilloscope, yielding a length of 3.072 m.

For both the magnitude, in Fig. 5.8a), and the slowly varying phase, in Fig. 5.8b), no change is observed for the differences in cable lengths. This is expected, since the effect of the cable on the transfer function is negligible on cm level. The largest influence on  $H_{0m1}^{VV}$  is the impedance of the transmitting disk.

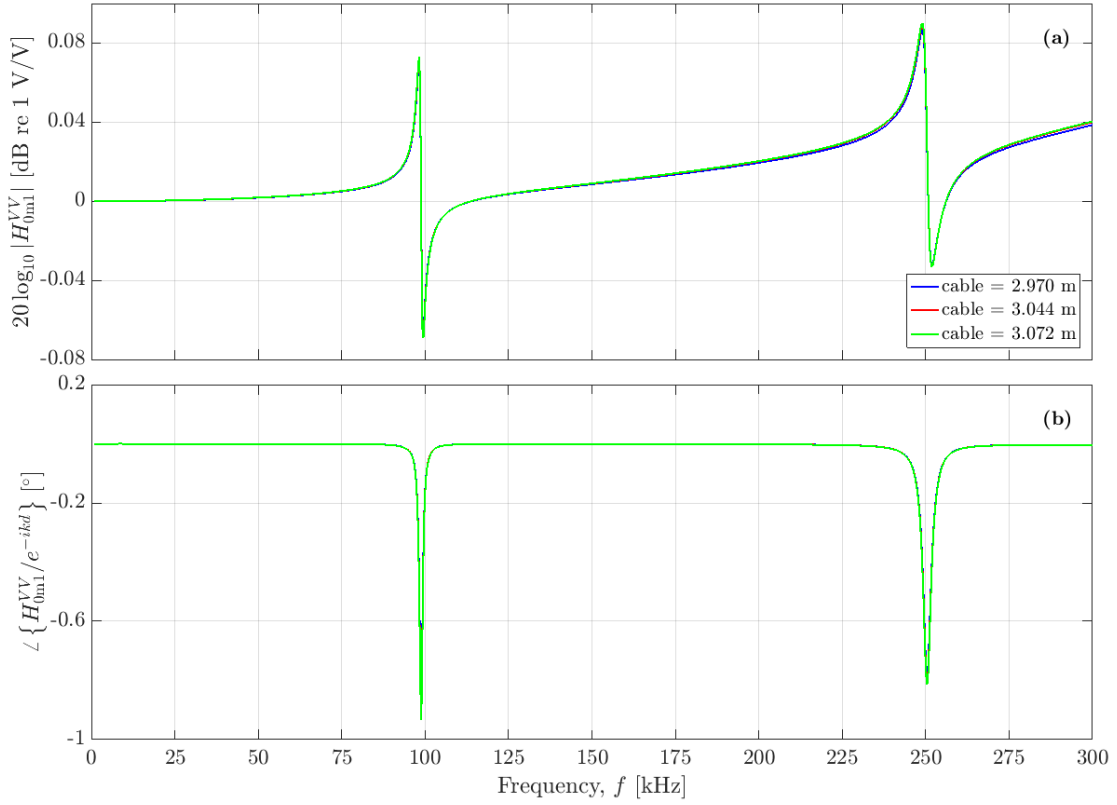


FIGURE 5.8: Transmitting electronics transfer function  $H_{0m1}^{VV}$  for a) the magnitude and b) the slowly varying phase, for different cable lengths calculated using transmission line model.

The magnitude of  $H_{5open5'}^{VV}$  in Fig. 5.9a) has a peak of 0.0732 dB at 98.27 kHz and a dip of -0.06912 dB at 99.47 kHz. Another peak of 0.08979 dB is found at 248.8 kHz and a dip of -0.03242 dB at 252.1 kHz.

For the slowly varying phase of  $H_{5open5'}^{VV}$  in Fig. 5.9b) a dip is found of  $-0.9332^\circ$  at 98.87 kHz and a last dip of  $-0.806^\circ$  is found at 250.6 kHz.

### 5.3.2 Open circuit transfer function, $H_{5open5'}^{VV}$

The open circuit transfer function,  $H_{5open5'}^{VV}$ , is presented in Fig. 5.9 for a separation distance  $d = 0.5$  m, for both magnitude and the slowly varying phase. As in the case of  $H_{0m1}^{VV}$  the length of the cable has been measured differently in previous work. Therefore,  $H_{5open5'}^{VV}$  is calculated for three different cable lengths. The first measurement is using the cable length measured from the start of the plugs on each end of the cable, yielding a length of 2.974 m. The second measurement is using the cable length measured from the end of the plugs on each end of the cable, yielding a length of 3.048 m.

For both the magnitude, in Fig. 5.9a), and the slowly varying phase, in Fig. 5.9b), no change is observed for the differences in cable lengths. This is expected, since the effect of the cable on the transfer function is negligible on cm level. The largest influence on  $H_{5open5'}^{VV}$  is the impedance of the receiving disk.



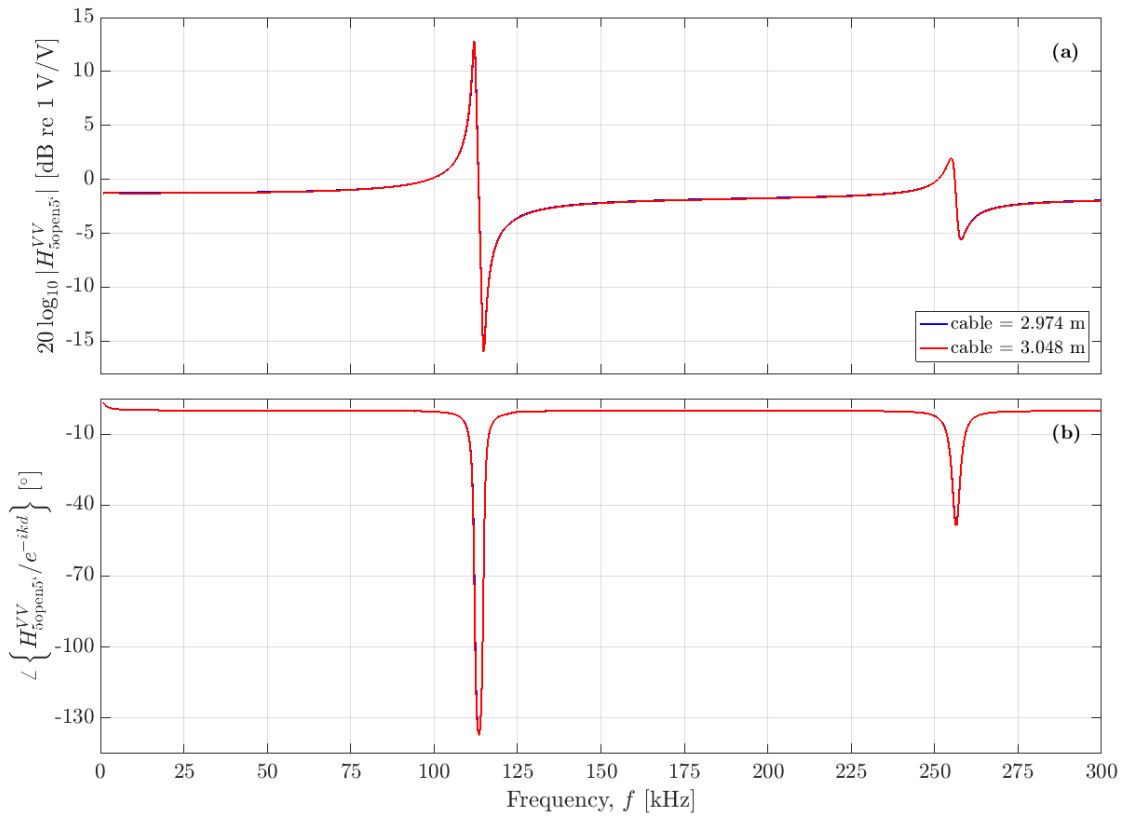


FIGURE 5.9: Open circuit transfer function  $H_{5open5'}^{VV}$  for a) the magnitude and b) the slowly varying phase, for different cable lengths calculated using transmission line model.

The magnitude of  $H_{5open5'}^{VV}$  in Fig. 5.9a) has a peak of 12.8 dB at 112 kHz and a dip of -15.77 dB at 115 kHz. Another peak of 1.961 dB is found at 255.1 kHz and a dip of -5.609 dB at 258.1 kHz.

For the slowly varying phase of  $H_{5open5'}^{VV}$  in Fig. 5.9b) a dip is found of  $-137.1^\circ$  at 113.5 kHz and a last dip of  $-48.65^\circ$  is found at 256.3 kHz.

### 5.3.3 Receiving electronics transfer function, $H_{5'6}^{VV}$

The transfer function for the receiving electronics,  $H_{5'6}^{VV}$ , is presented in Fig. 5.8 for both magnitude and the slowly varying phase.

The magnitude of  $H_{5'6}^{VV}$  in Fig. 5.10a) has a value of -6.99 dB at 1 kHz and a peak of 61.03 dB at 7.585 kHz. For the rest of the frequency range, up to 300 kHz, there are small fluctuations going from 60.9 dB to 60.4 dB. This is presented separately in Fig. 5.11.

For the slowly varying phase of  $H_{5'6}^{VV}$  in Fig. 5.10b) a dip is found of  $-165.6^\circ$  at 3.694 kHz and a peak of  $178.4^\circ$  is found at 3.993 kHz. The phase continues to decrease after this peak and has a value of  $-212.6^\circ$  at 300 kHz.

The magnitude of  $H_{5'6}^{VV}$  plotted from 30 kHz in Fig. 5.11a) shows a variation that varies from 60.82 dB at the maximum for 219.8 kHz and a minimum of 60.45 dB for 300 kHz.

The slowly varying phase of  $H_{5'6}^{VV}$  plotted from 30 kHz in Fig. 5.11b) shows a decrease in phase from  $-0.1218^\circ$  at 30 kHz to  $-212.6^\circ$  at 300 kHz.

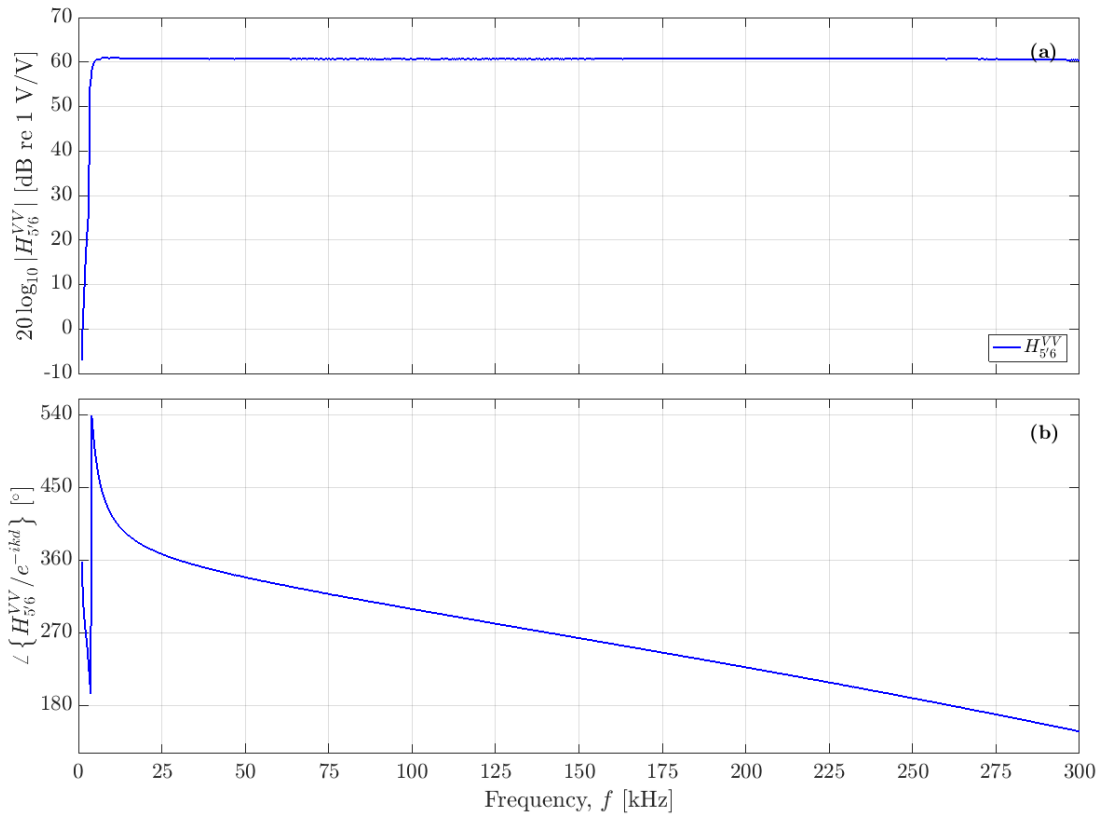


FIGURE 5.10: Receiving electronics transfer function  $H_{5'6}^{VV}$  for a) the magnitude and b) the slowly varying phase measured with attenuator 4.

### 5.3.4 Correction for attenuation in air

Fig. 5.12 shows the correction for attenuation,  $C_\alpha$  for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

In Fig. 5.12  $C_\alpha$  increases with increasing separation distance, as is expected since larger separation distance increases the distance the sound propagates through the medium and therefore increases the attenuation caused by the medium. No abnormalities or discrepancies are observed in the behaviour of the attenuation for the different separation distances and apart from the expected increase in attenuation, no difference is observed at the 112 kHz area.

For the measurement performed at  $d = 50$  cm in Fig. 5.12 the attenuation correction  $C_\alpha$  is increasing with increasing frequency, from 0.0003146 dB at 1 kHz to 0.8923 dB at 300 kHz. For the measurement performed at  $d = 30$  cm  $C_\alpha$  is increasing with increasing frequency, from 0.0003984 dB at 1.599 kHz to 0.5266 dB at 300 kHz. For the measurement performed at  $d = 20$  cm  $C_\alpha$  is increasing with increasing frequency, from 0.00004819 dB at 2.197 kHz to 0.35 dB at 300 kHz. For the measurement performed at  $d = 15$  cm  $C_\alpha$  is increasing with increasing frequency, from 0.0006618 dB at 3.095 kHz to 0.263 dB at 300 kHz.

The maximum value for  $C_\alpha$  for a measurement performed at  $d = 15$  cm is found to be 0.263 dB at 300 kHz. This is considerably lower than for a measurement performed at  $d = 50$  cm, which is expected when the propagation distance is shorter. No phase is calculated in the model used for attenuation correction in this work, and

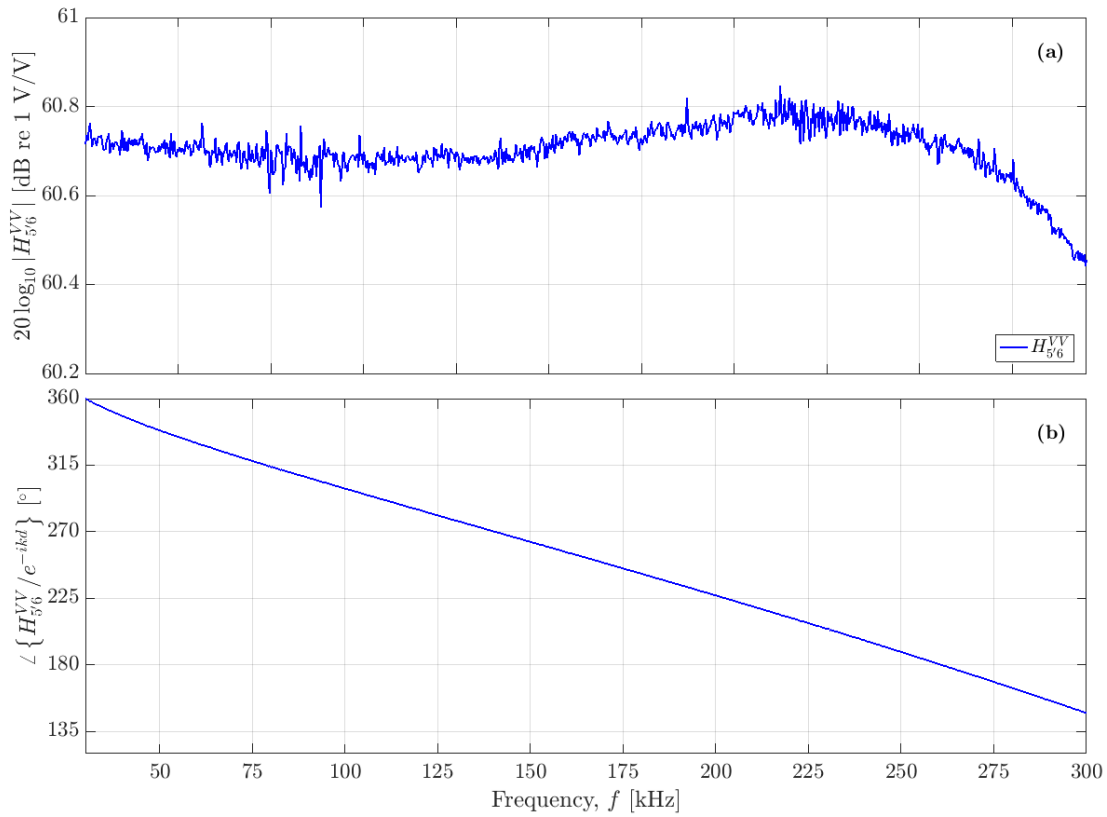


FIGURE 5.11: Transfer function  $H_{5'6}^{VV}$  for a) the magnitude and b) the slowly varying phase measured with attenuator plotted from 30 kHz.

the plot of the phase is only kept in to illustrate that the phase of  $C_\alpha$  in no way affects the slowly varying phase of  $H_{15open}^{VV}$ .

### 5.3.5 Correction for diffraction effects

Fig. 5.13 shows the baffled piston diffraction correction,  $C_{dif}^{BPD}$  for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

In Fig. 5.13 the diffraction correction,  $C_{dif}$ , is seen to increase in both magnitude and phase for increasing frequency. For the measurement performed at  $d = 50$  the magnitude increases from 0.001746 dB at 1 kHz to 0.8563 dB at 300 kHz. The phase for the measurement performed at  $d = 50$  increases from  $0.001815^\circ$  at 1 kHz to  $0.5304^\circ$  at 300 kHz. For the measurement performed at  $d = 30$  the magnitude increases from 0.004888 dB at 1.599 kHz to 2.346 dB at 300 kHz. The phase for the measurement performed at  $d = 30$  increases from  $0.004829^\circ$  at 1.599 kHz to  $0.8389^\circ$  at 300 kHz. For the measurement performed at  $d = 20$  the magnitude increases from 0.01112 dB at 2.197 kHz to 5.026 dB at 300 kHz. The phase for the measurement performed at  $d = 20$  increases from  $0.009943^\circ$  at 2.197 kHz to  $1.114^\circ$  at 300 kHz. For the measurement performed at  $d = 15$  the magnitude increases from 0.02022 dB at 3 kHz to 7.804 dB at 300 kHz. The phase for the measurement performed at  $d = 15$  increases from  $1.068^\circ$  at 3.095 kHz to  $1.214^\circ$  at 300 kHz.

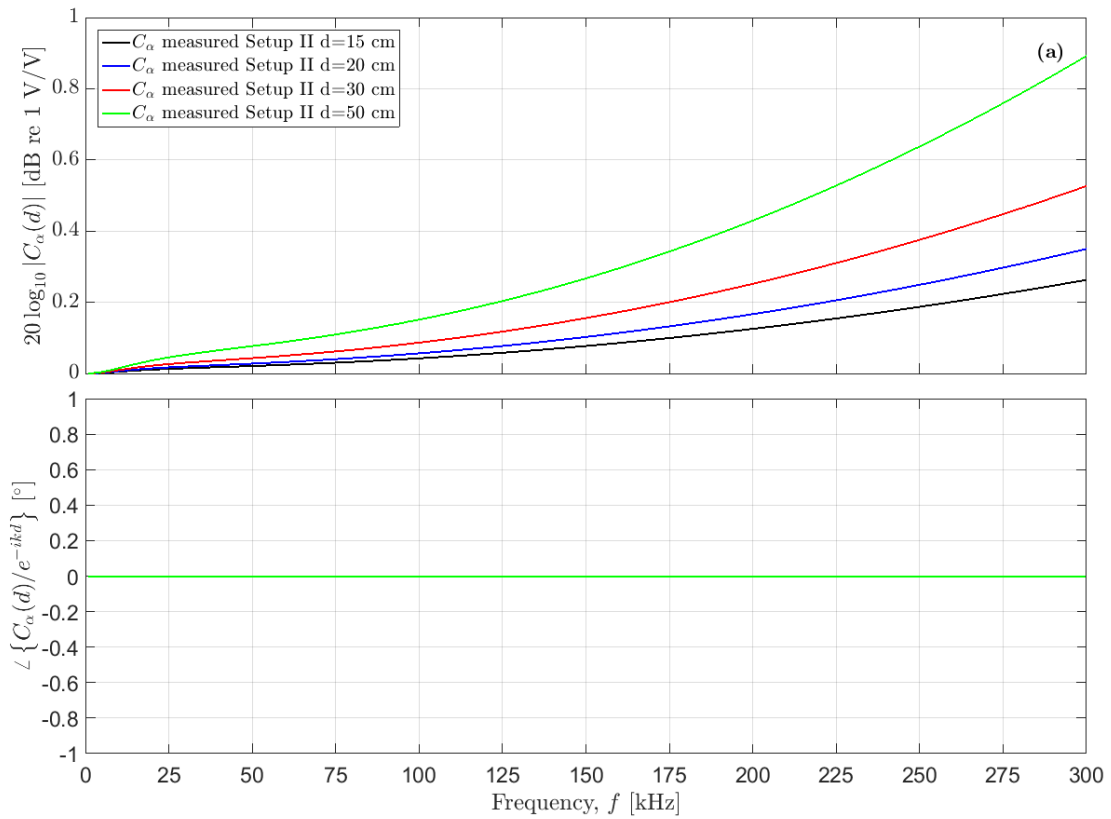


FIGURE 5.12: Measured transfer function correction  $C_{alpha}$  for a) the magnitude and b) the phase,  $\theta_{alpha}$ , using Setup II for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

### 5.3.6 Corrections made to temperature measurements

The temperature measurement is only done every 5 seconds in order to greatly reduce the time of a measurement series, cf. Fig. 5.14. This results in fewer temperature measurements than the rest of the variables measured so an interpolation of the temperature is done to remedy this, cf. Fig. 5.15.

#### Error in temperature measurements

When measuring at the lab after setup II had been introduced the thermometer started showing error message E-1 before resuming normal measurements again. This indicated a bad connection causing an open circuit, but no such problem was discovered and the measurement software was reprogrammed to ignore error messages from the thermometer. Subsequently each temperature measurement recorded after this code was implemented has been checked to make sure no bad temperature measurements are used. An example showing the worst case, Fig. 5.15, of the temperature measurements recorded after the thermometer started producing the error E-1 shows that the effect is negligible and the measurements are used for calculating the sound speed.

The plateaus in Fig. 5.16 are believed to be where the thermometer displayed the error message and the software was programmed to return no value, thus letting the

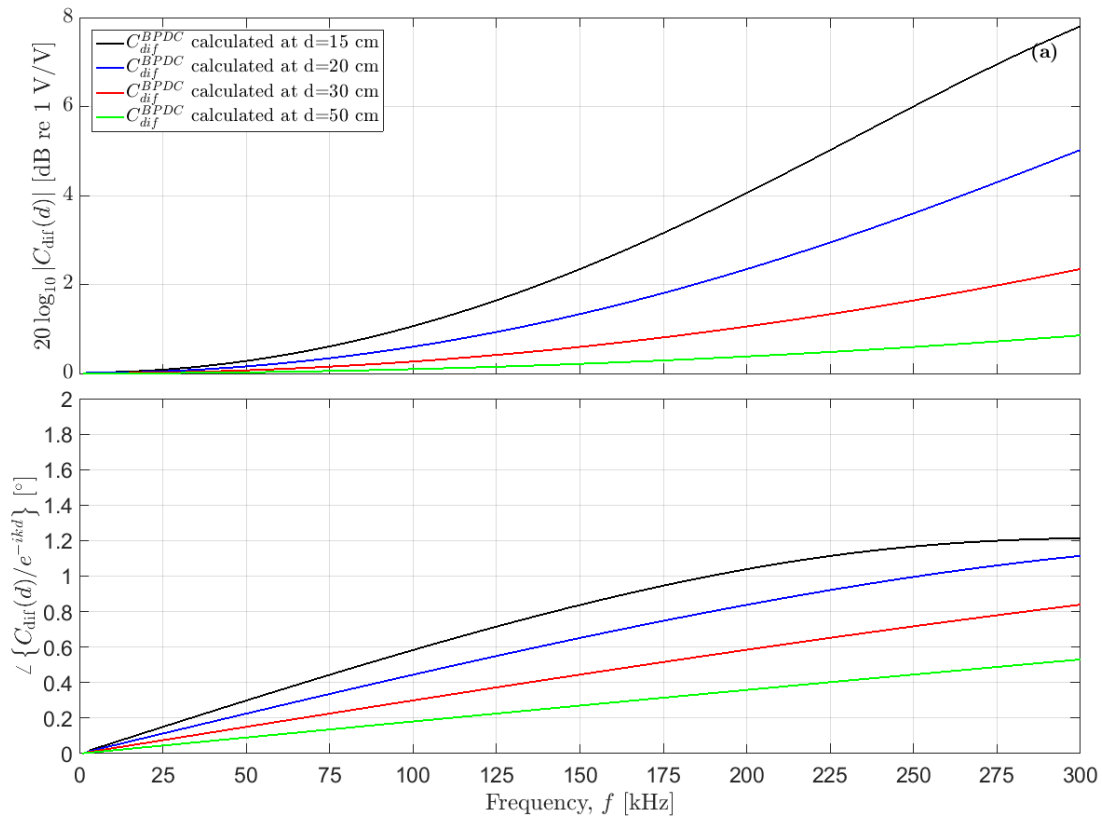


FIGURE 5.13: Measured transfer function correction  $C_{dif}^{BPDC}$  for a) the magnitude and b) the phase,  $\theta_{dif}$ , using Setup II for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

interpolation step cover over the missing measurement. This is deemed an acceptable solution and the results look similar to results obtained before the thermometer started showing the error message, Fig. 5.15.

## 5.4 The influence of input voltage

In order to achieve a good signal to noise ratio (SNR) it is of interest to have a high input voltage. However, too high input voltage causes non linear behaviour in the disk at  $R_1$  and  $R_2$ , cf. [36], and the system model is based on linear components. On the other hand too low input voltage may lead to the signal being drowned in noise. Therefore measurements are done with different input voltages and are presented in Figure 5.17.

It is clear from Fig. 5.17 that an input voltage of 0.01 V makes it impossible to distinguish the signal from the noise, so this is removed from the plot, cf. Fig. 5.18.

For the  $R_1$  peak in Fig. 5.18a) an increase of 5.98 dB is seen in the first peak for the measurements with 0.1 V and 1 V, compared to the measurement with 10 V. At the second peak associated with  $R_1$  the three measurements are inseparable. The 0.1 V measurement is heavily fluctuating below 93.48 kHz and above 117.1 kHz.

For the  $R_2$  peak an increase of 1.1 dB is seen in the first peak for the measurement with 0.1 V compared to the measurement with 1 V and 5.87 dB compared to the measurement with 10 V. At the second peak associated with  $R_2$  increase of 1.22 dB is seen for the measurement with 0.1 V compared to the measurement with 1 V and

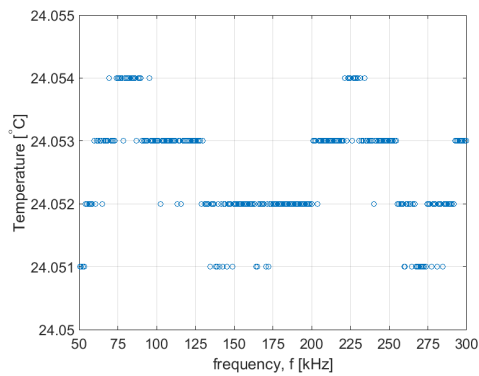


FIGURE 5.14: Temperature measurement at 5 second intervals for one acoustic measurement.

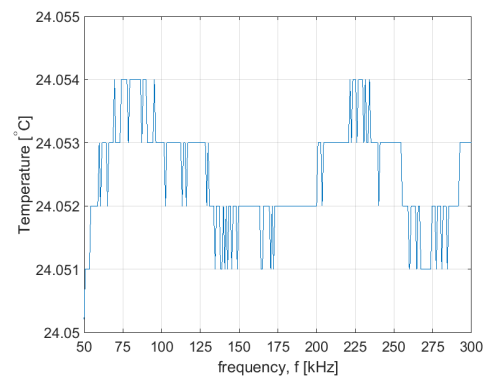


FIGURE 5.15: Interpolated temperature measurement for one acoustic measurement.

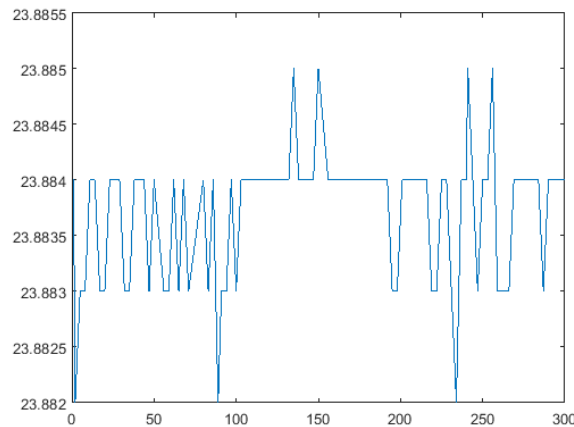


FIGURE 5.16: Temperature measurement recorded with lab setup II after the thermometer started displaying error message E-1.

3.08 dB compared to the measurement with 10 V. The measurement with 0.1 V is fluctuation for the entire peak of  $R_2$ , likely due to too low input voltage, causing a too low SNR.

For the slowly varying phase in Fig. 5.18b) the difference in input voltage greatly affects the ranges of the phase. The measurements using 1 V and 10 V are fairly agreeing up to 129 kHz.

## 5.5 The influence of accuracy in alignment

In [36] the tolerated uncertainty in alignment of the disks in the  $xy$ -plane was given as 10  $\mu\text{m}$  or less, whereas [37] allowed for uncertainties up to 100  $\mu\text{m}$ . Therefore the effect of different alignment uncertainty is investigated by aligning the disks to different degrees of accuracy and observing how this affects the transfer function,  $H_{0m6}^{VV}$ . The accuracies chosen were 500  $\mu\text{m}$ , 50  $\mu\text{m}$  and 10  $\mu\text{m}$ . The results are shown in Fig. 5.19.

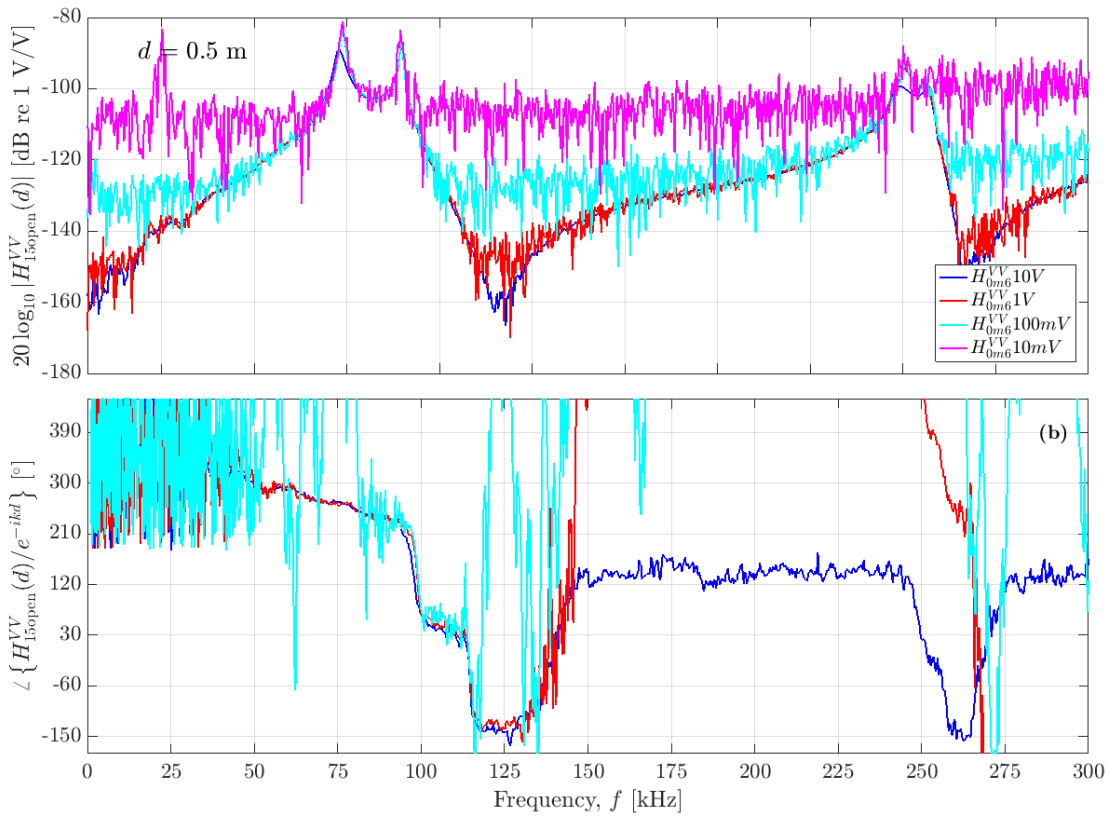


FIGURE 5.17: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$  for different input voltages.

For the first two peaks, associated with the fundamental radial mode  $R_1$ , there is little to no change in the magnitude of the transfer function  $H_{0m6}^{VV}$ , for all three accuracies. At the second pair of peaks, associated with the second radial mode  $R_2$ , there is no noticeable change in the magnitude for alignment to the degree of  $50 \mu\text{m}$  and  $10 \mu\text{m}$ . However, the measurement with  $500 \mu\text{m}$  uncertainty in the alignment shows a decrease in magnitude of almost 5 dB compared to the measurements with  $50 \mu\text{m}$  and  $10 \mu\text{m}$  misalignment.

## 5.6 Comparing transfer function with prior work

In the present section comparisons are made between the measurements and simulation of the present work and prior work. In Section 5.6.1 the measured open-circuit transfer function  $H_{15open}^{VV}$  compared to prior work is presented and in Section 5.6.2 the simulated open-circuit transfer function  $H_{15open}^{VV}$  compared to prior work is presented.

### 5.6.1 Comparing experimental measurements with prior work

Measurements of the open-circuit transfer function  $H_{15open}^{VV}$  as compared to prior work [36, 37] is shown in Fig. 5.20 for both the magnitude and the slowly varying phase.

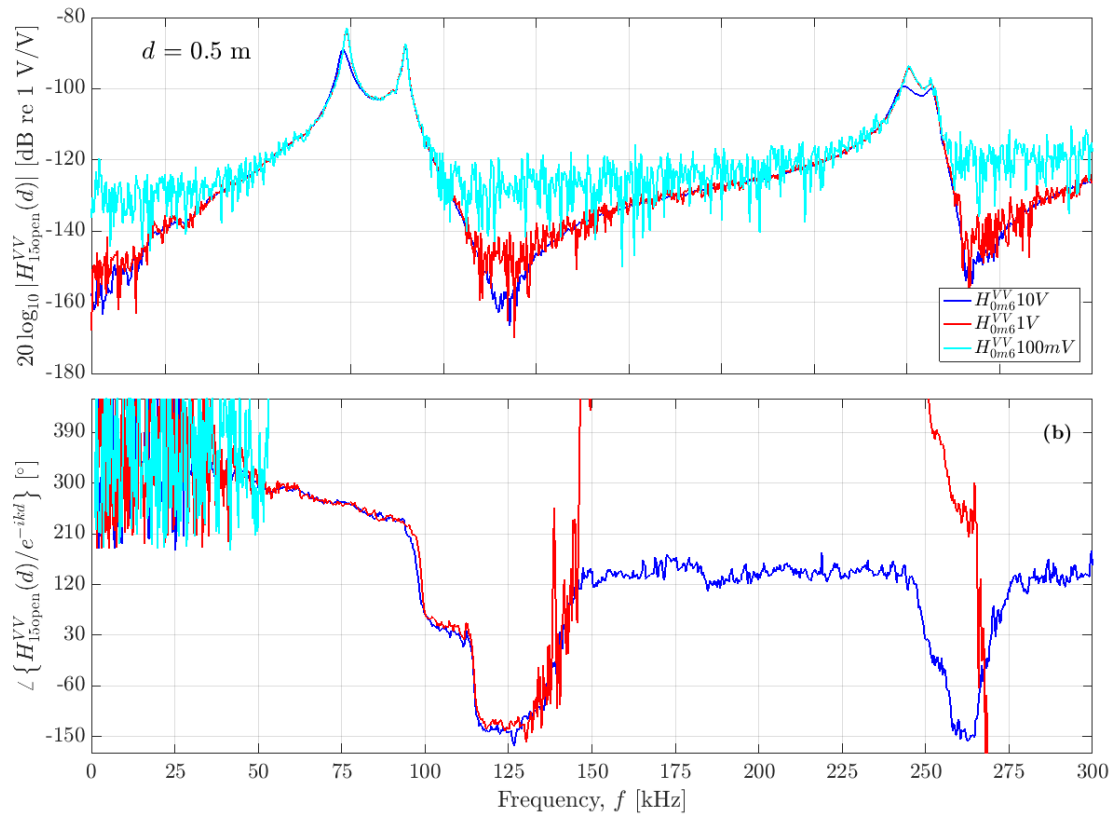


FIGURE 5.18: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for different input voltages.

It should be noted that the receiving disk used by Hagen [37] and in the present work are not the same as used by Andersen [36], and the calculation of the receiving electronics transfer function,  $H_{5'6}^{VV}$ , differ slightly as the filter is coupled in series after the amplifier in the present work and [37], whereas [36] coupled the filter between the input and output sections of the amplifier. The signal generator voltage settings used for measurements vary for the different frequencies, cf. [41]. At  $R_1$  the voltage settings used are 0.1 V for the present work and 1 V for [36] and [37]. For  $R_2$  the voltage settings used are 1 V in the present work and [36] and 0.1 V in [37]. For all other frequencies the voltage setting is 10 V in the current work, [36] and [37]. The frequency steps used in the present work, [36] and [37], are 300 Hz, 500 Hz and 1 kHz, respectively.

In the frequency range 30 - 80 kHz the measurements in the present work reveal a clear undulation pattern, which is also present in the measurements presented in [33, 35–37, 41]. In [38] these are shown to be due to side radiation from  $T_x$ .

For the magnitude of  $H_{15open}^{VV}$  in Fig. 5.20 a) at the first peak associated with  $R_1$ , the deviations of the present work are about 3.18 dB and 6.85 dB relative to [36] and [37], respectively. For the second peak associated with  $R_1$  the deviations of the present work are 2.6 dB and 2.13 dB, relative to [36] and [37].

With respect to the frequencies for the first peak associated with  $R_1$ , the corresponding deviations of the present work relative to [36] and [37] are about 1 kHz and 1.27 kHz, respectively. For the second peak associated with  $R_1$ , the corresponding deviations of the present work relative to [36] and [37] are about 0.7 kHz and 0.1



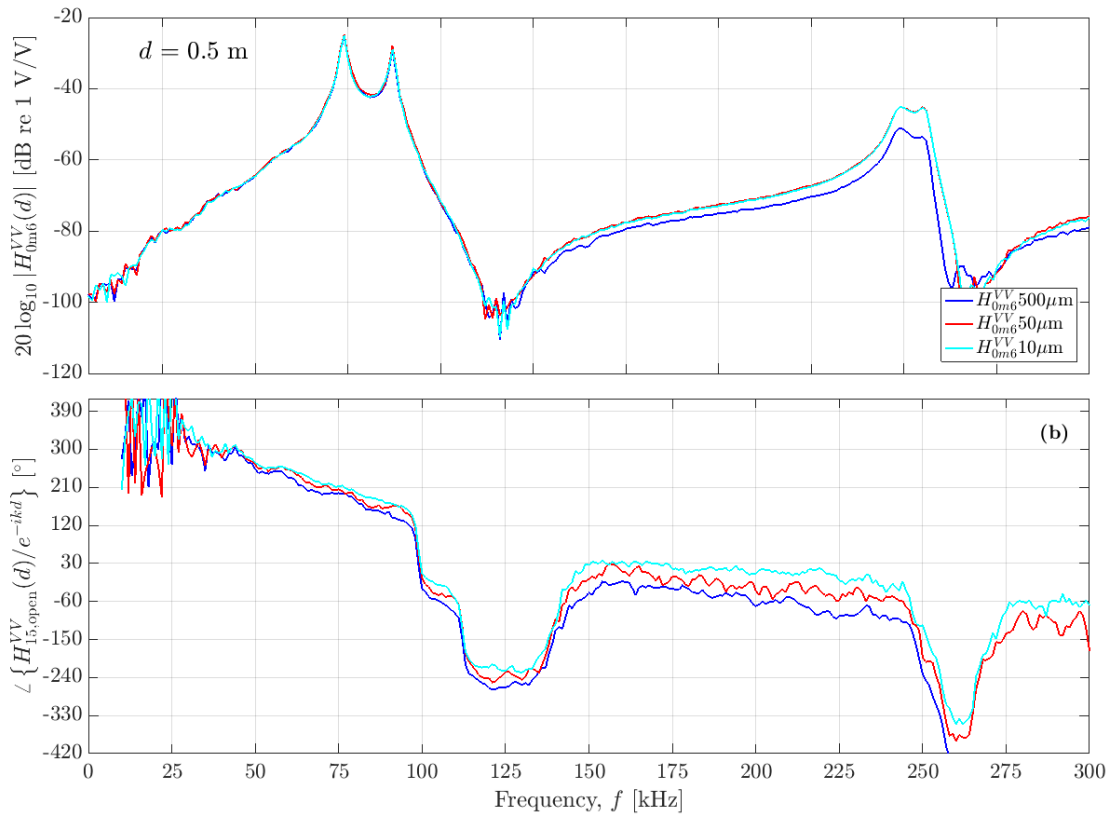


FIGURE 5.19: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for different accuracies in alignment.

kHz, respectively.

Similarly, for  $R_2$ , the corresponding deviations of the present work at the first peak are 6 dB and 0.6 dB, relative to [36] and [37]. At the second peak associated with  $R_2$ , the deviations of the present work relative to [36] and [37] are 3.81 dB and 0.3 dB, respectively. Small oscillations are observed in the measurement from [36] in the frequency range of  $R_2$ , i.e. 248-257 kHz.

In the frequency range 175-235 kHz deviations of the present work relative to [36] and [37] are about 1.3 kHz and 0.5 kHz, respectively.

The oscillations in the magnitude of [37] around 240 kHz is likely due to the switch from 10 V input voltage to 0.1 V input voltage for the  $R_2$  frequency range. This effect is investigated further in Section 5.8 for the plots of Setup I compared to Setup II.

For the slowly varying phase in Fig. 5.20 b) undulations are observed in the present work and measurements from [36] for the frequency range 50-95 kHz. In this frequency range [36] is up to  $13.4^\circ$  higher and [37] is up to  $19.8^\circ$  lower than the present work.

Both the present work and [36] show a small, sharp dip at 111.6 kHz. A similar effect is observed at 112 kHz for [37].

The present work has a peak at 119.8 kHz. [36] has a dip at 129 kHz which is  $110.7^\circ$  lower and [37] has a peak at 129 kHz which is  $12.1^\circ$  higher than the present work.

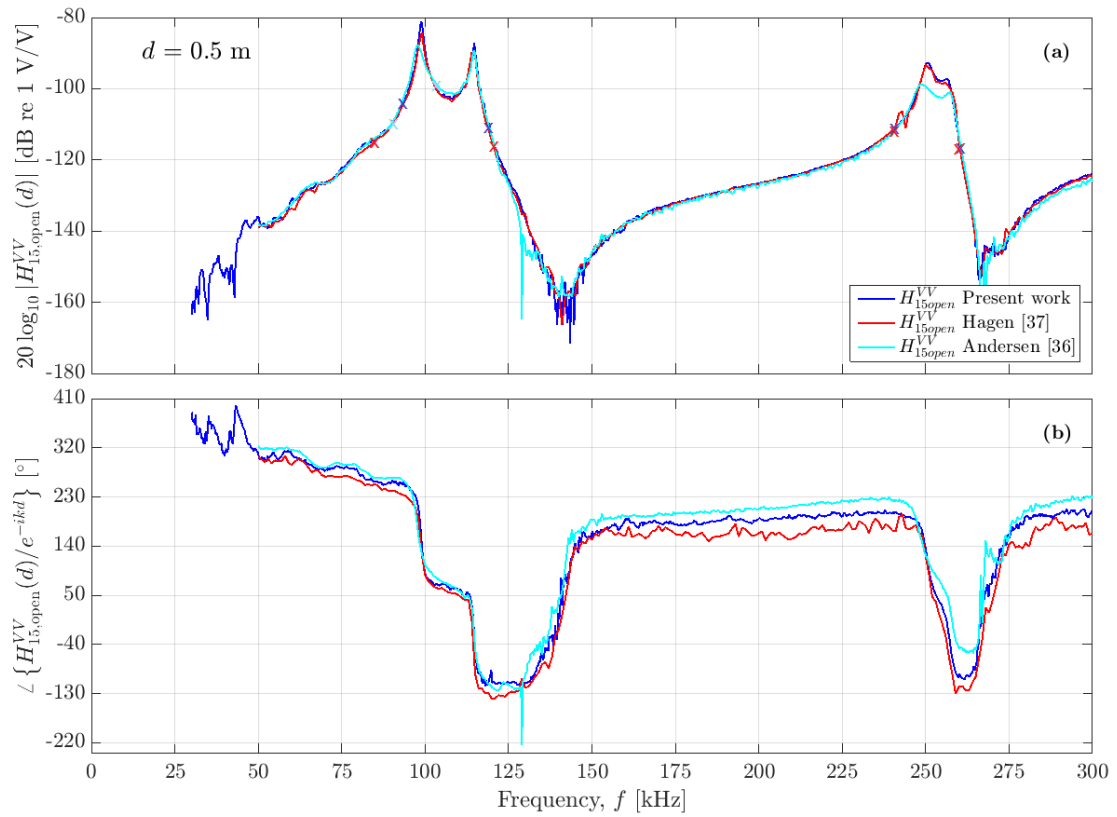


FIGURE 5.20: Measurements of transferfunction  $H_{15open}^{VV}$  for a) magnitude and b) slowly varying phase compared with previous work.

For the frequency range 150-240 kHz [36] is up to  $25^\circ$  higher and [37] is up to  $35.9^\circ$  lower than the present work.

In the dip at the frequency range 250-275 kHz [36] is  $51.95^\circ$  higher and [37] is  $19.5^\circ$  lower than the present work.

## 5.6.2 Comparing simulations with prior work

Fig. 5.21 shows simulations of the magnitude and the slowly varying phase of the transfer function  $H_{15open}^{VV}$ , as compared to prior work [36, 37], calculated using two different finite element software tools: FEMP [40] and COMSOL [82].

The same material parameters, piezoelectric disk dimensions, software (FEMP) and finite element mesh, are used for simulations in the current work and by Andersen [36]. Therefore these curves are identical, cf. Fig. 5.21. The simulations done in COMSOL and FEMP by Hagen [37] differ from the current work and Andersen [36]. There is a lower magnitude found in the present work and [36] compared to [37] for the first and second peak associated with  $R_1$ , of about 0.3 dB and 0.7 dB, respectively. For the two peaks associated with  $R_2$  the deviations are about 0.6 dB and 1 dB, respectively. There is a lower frequency for the two peaks associated with  $R_1$  for the present work and [36] as compared to [37], and the differences are about 2 and 3 kHz, respectively. For the two peaks associated with  $R_2$ , the differences are about 3 and 5 kHz, respectively.

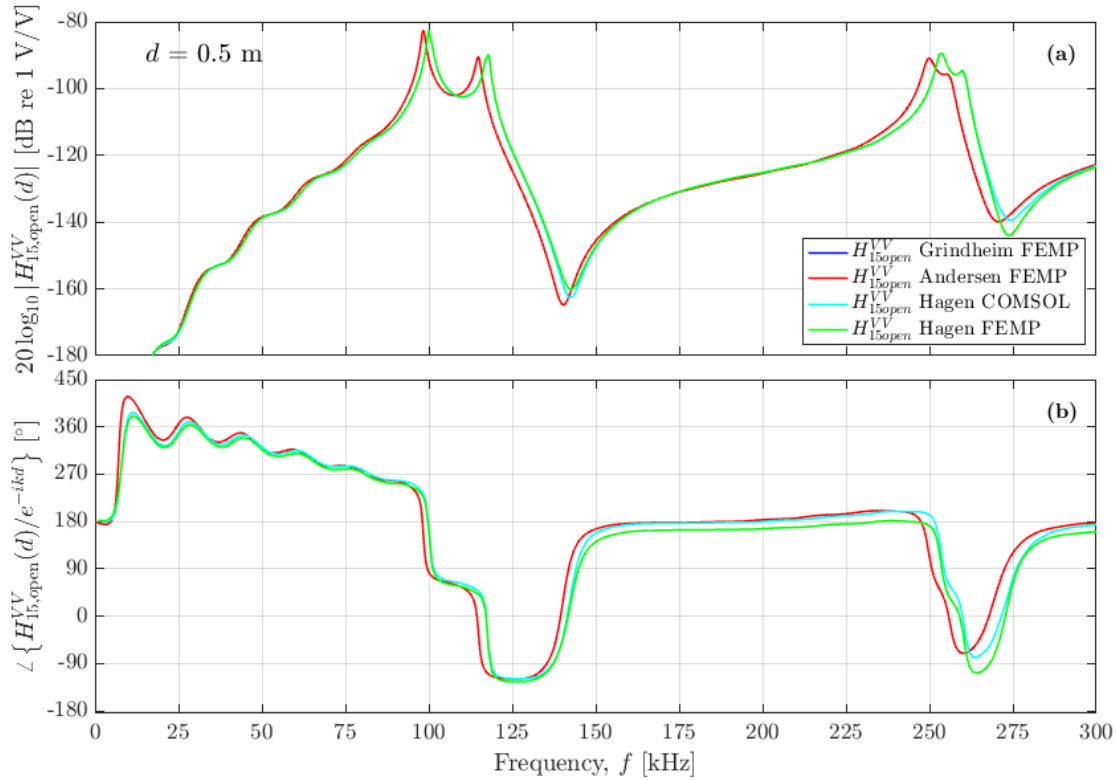


FIGURE 5.21: Simulated transferfunction  $H_{15open}^{VV}$  for a) magnitude and b) slowly varying phase compared with previous work. The  $x$  denotes change in input voltage.

## 5.7 Simulation with different parameters

In order to further investigate the deviations observed in the simulations in Fig. 5.21 three different simulations are performed. The first simulation, Simulation I, is identical to Andersen [36], for the second simulation, Simulation II, only the material parameters are changed to match the set used by Hagen [37] and for the third simulation, Simulation III, the dimensions of the simulated disk were changed. All parameters for the three simulations are listed in Section 4.1.

For the magnitude in Fig. 5.22 a) compared to Simulation I at the two peaks associated with  $R_1$ , Simulation II is 0.7 kHz and 1.7 kHz higher in frequency and 0.54 dB and 2.03 dB higher in magnitude, respectively. Simulation III is 1.7 kHz and 2.8 kHz higher in frequency and 0.49 dB and 1.92 dB higher in magnitude, for the two peaks respectively.

In the dip after  $R_1$  Simulation II is 0.1 dB lower than Simulation I and 0.3 kHz higher in frequency. Simulation III is 1 dB lower than Simulation I and 1.3 kHz higher in frequency for the dip.

In the range 150-225 kHz Simulation I is lowest in magnitude with Simulation II 0.5 dB higher and Simulation III 0.9 dB higher.

For the two peaks associated with  $R_1$ , Simulation II compared to Simulation I is 1.1 kHz and 1.8 kHz higher in frequency and 2.6 dB and 1.78 dB higher in magnitude, respectively. Simulation III is 3.2 kHz and 3.7 kHz higher in frequency and 2.87 dB and 2.08 dB higher in magnitude, for the two peaks respectively.

In the dip after  $R_2$  Simulation II is 0.5 dB lower than Simulation I and 0.6 kHz higher in frequency. Simulation III is 0.9 dB lower than Simulation I and 3.2 kHz

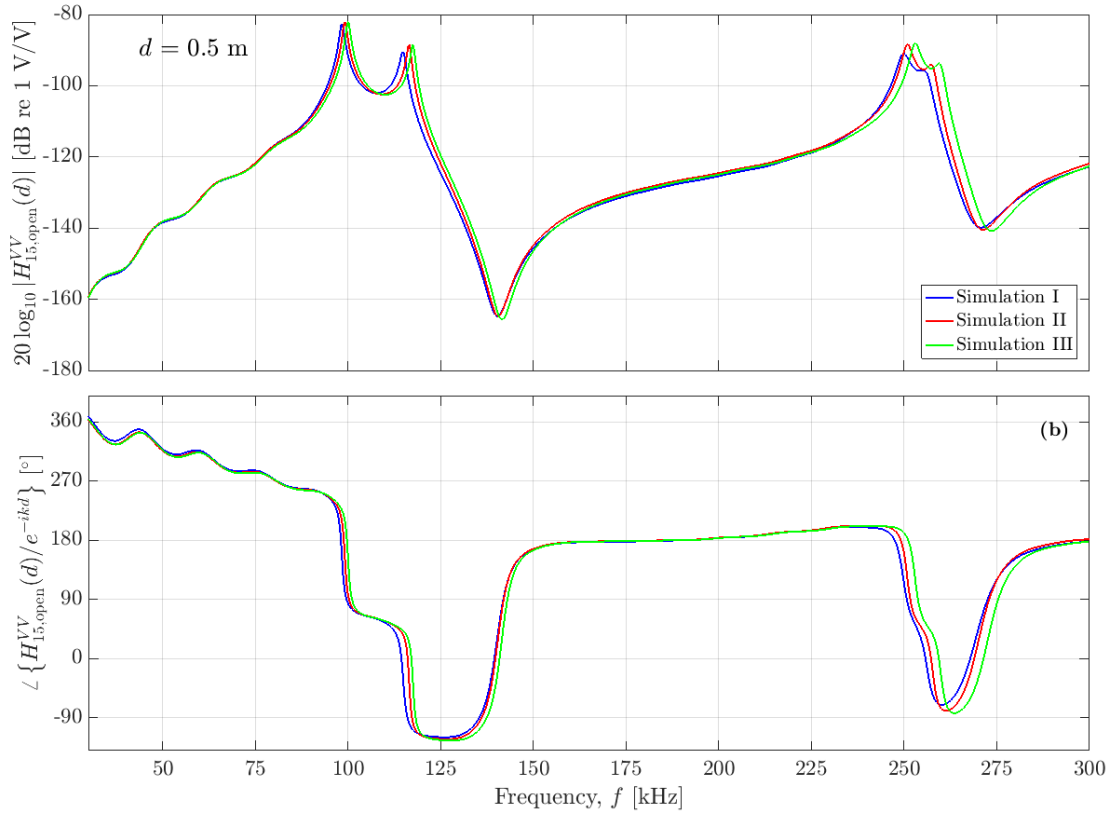


FIGURE 5.22: Simulated transferfunction  $H_{15open}^{VV}$  for magnitude a) and slowly varying phase b) for three different simulations using different material data and disk dimensions.

higher in frequency for the dip.

For the slowly varying phase in Fig. 5.22 b) an increase  $360^\circ$  is observed in Simulation III compared to Simulation I and II. The only change from Simulation II to Simulation III is a slight alteration in the dimensions of the simulated disk, from  $10.01 \times 2.041 \text{ mm}^2$  to  $10.00 \times 2.00 \text{ mm}^2$  and a slight change in the density of the air medium, from  $12.05$  to  $1.18818 \text{ kg/m}^3$ .

Simulation I is  $0.9 \text{ kHz}$  lower and  $30.3^\circ$  higher for the first undulation below  $50 \text{ kHz}$ , compared to Simulation II and III. For the area  $95\text{--}150 \text{ kHz}$  Simulation II is  $8.0 \text{ kHz}$  higher and Simulation III is  $1.8 \text{ kHz}$  higher than Simulation I. A larger shift in frequency is seen at  $240\text{--}280 \text{ kHz}$ , where the largest deviation is between Simulation I and II of  $3.7 \text{ kHz}$ . For the last dip in the slowly varying phase compared with Simulation I, Simulation II is  $1.6 \text{ kHz}$  higher and  $9.22^\circ$  lower and Simulation III is  $3.6 \text{ kHz}$  higher and  $12.41^\circ$  lower.

## 5.8 Comparing simulations with measurements

In this section the measured transfer functions  $H_{0m6}^{VV}$  and  $H_{15open}^{VV}$  measured using Setup I and Setup II are presented for four different separation distances;  $d = 50 \text{ cm}$ ,  $d = 30 \text{ cm}$ ,  $d = 20 \text{ cm}$  and  $d = 15 \text{ cm}$ . The measured transfer function  $H_{15open}^{VV}$  from Setup II, with and without diffraction correction, will also be presented and compared to the simulated transfer function for the different separation distances.

The recorded pulse form for different distances are presented in Appendix D and shows the pulses before any post-processing is performed.

### 5.8.1 Transfer function at 50 cm

The measured transfer function  $H_{0m6}^{VV}$  at separation distance  $d = 50$  cm is presented in Fig. 5.23 for measurements preformed using Setup I and Setup II.

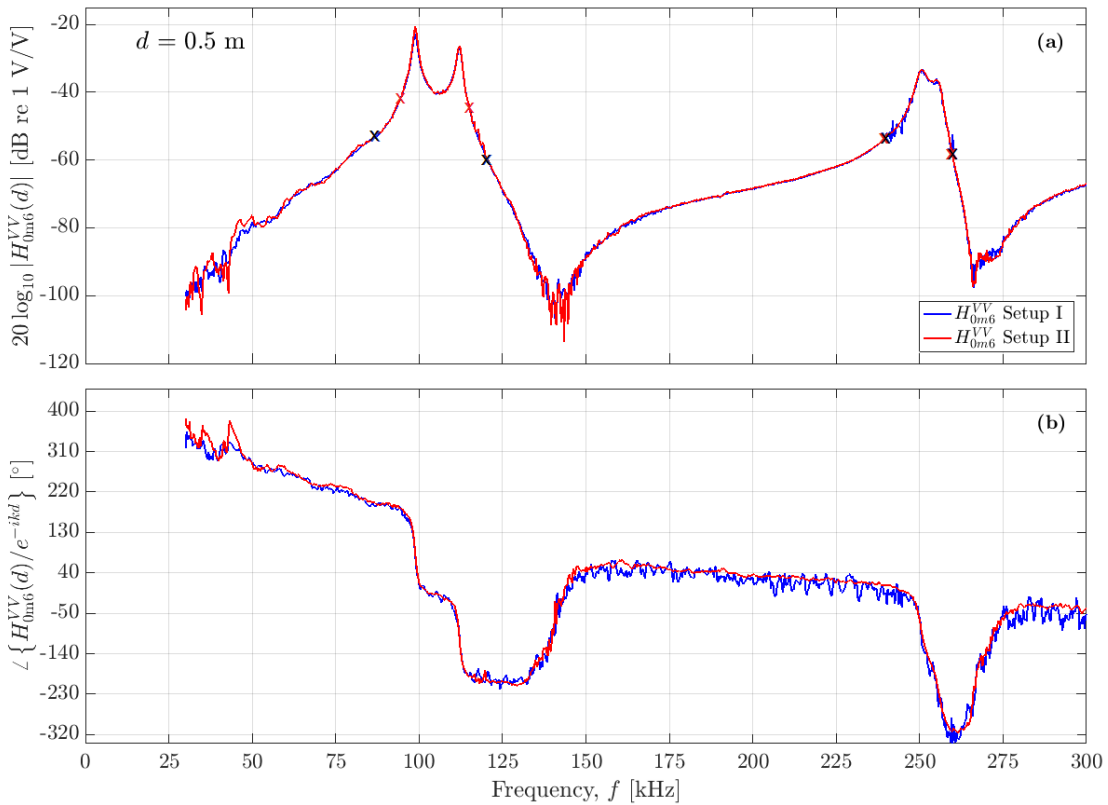


FIGURE 5.23: Measured transfer function  $H_{0m6}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{0m6}^{slow}$ , for separation distance 50 cm using Setup I and Setup II.

The measurements presented in Fig. 5.23 were performed 6 months apart and as the equipment was moved during that time the plot speaks to the reproducibility of the measurements.

For the magnitude in Fig. 5.23 a) the undulations, at 30-85 kHz, are more clearly in the measurement done using Setup II. There is also a slight increase in magnitude by 1.8 dB for the first peak associated with  $R_1$  and 0.75 for the second peak associated with  $R_1$ . At  $R_2$  there is no difference observed between the magnitude of the two measurements.

In the range of 130-150 kHz noise is seen on the plot. A similarity is observed in this area for both measurements, but a shift of up to 2.3 kHz in frequency and a decrease in magnitude of up to 7 dB is observed in Setup II compared to Setup I. The same effect is observed in the noisy signal in the 260-280 kHz area, where a shift of up to 0.3 kHz in frequency and a decrease in magnitude of up to 0.5 dB is observed in Setup II compared to Setup I. This may point to this being coherent noise or a part of the signal, as it is relatively consistent in the two measurements.

For the slowly varying phase there are clear oscillations in the measurement using Setup II. It is believed that this is caused by the equipment being placed in the acoustic cage which the disks are mounted on, causing vibrations throughout the entire measurement.

The undulations observed in the range 30-85 kHz are more distinct for the measurement done using Setup II.

In the range of 150-240 kHz, where the phase is more stable, an increase of up to  $10^\circ$  is observed in the measurement done using Setup II compared to that of Setup I. This effect of lower value in the slowly varying phase in this area was postulated in [37] to be due to inaccuracy in alignment of the transmit-receiver pair, Fig. 5.19 support this and Setup I was performed with an inaccuracy of about  $40 \mu\text{m}$  whereas for Setup II only inaccuracies below  $10 \mu\text{m}$  were permitted. This all supports the claim that the difference in the slowly varying phase, apart from the oscillations in Setup I, are due to different degree of accuracy in alignment.

For the dip in the slowly varying phase in Fig. 5.23 b) around 260 kHz an increase of about  $30^\circ$  is observed in Setup II compared to Setup I and after the dip an increase of  $20^\circ - 30^\circ$  is observed.

### Comparison of transfer function $H_{15open}^{VV}$

The measurements presented in Fig. 5.23 are now used to find the transfer function  $H_{15open}^{VV}$  at separation distance  $d = 50 \text{ cm}$  for measurements performed using Setup I and Setup II, using Eq. 2.44. They are presented in Fig. 5.24.

The undulations observed in Fig. 5.23 a) are still clear in Fig. 5.24 a). The overall magnitude has decreased by about 60 dB and the difference in magnitude of the two measurements for the two peaks associated with  $R_1$  are now lower. For the first peak the increase of magnitude in the measurement performed using Setup II compared to that of Setup I is 2 dB, and for the second peak no difference is observed.

At 112 kHz a small but noticeable dip in the magnitude occurs, which is not seen in  $H_{0m6}^{VV}$ .

For the slowly varying phase an increase of  $10^\circ - 20^\circ$  is observed in Setup II compared to Setup I in the range 150-240 kHz. This is again believed to be due to higher accuracy in alignment in Setup II compared to Setup I, as discussed for Fig. 5.23 b).

Due to the quick oscillations in the Setup I measurements accurate comparisons are difficult. With this in mind, an increase of about  $25^\circ - 40^\circ$  is observed for the second dip around 250-260 kHz and an increase of  $10^\circ - 30^\circ$  is observed in the frequency range 260-300 kHz, for Setup II compared to Setup I.

### Comparison with simulation

In Fig. 5.25 the measured transfer function  $H_{15open}^{VV}$  from Setup II is presented, with and without diffraction correction,  $C_{dif}$ , and compared to a simulated transfer function, for the distance  $d = 50 \text{ cm}$ .

In Fig. 5.25 the transfer function  $H_{15open}^{VV}$  with and without diffraction correction are indistinguishable from one another in magnitude for frequencies below 150 kHz. For the first and second peak associated with  $R_1$  they are higher in magnitude compared to the simulation with 1.7 dB and 3 dB, for the first and second peak respectively.

For the range 150-225 the simulation is higher than  $H_{15open}^{VV}$  without diffraction correction by about 1 dB, for  $H_{15open}^{VV}$  with correction closer to the simulation.

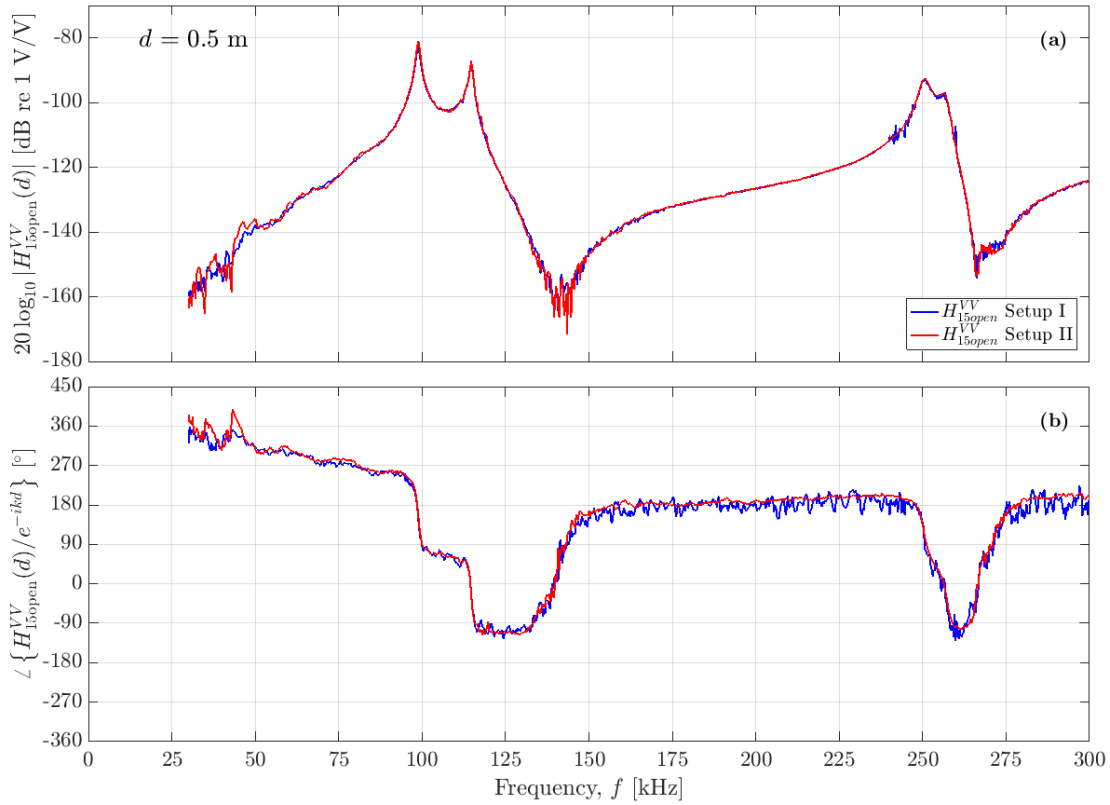


FIGURE 5.24: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for separation distance 50 cm using Setup I and Setup II.

For the first and second peak associated with  $R_2$   $H_{15open}^{VV}$  without diffraction correction is 1.7 dB and 1.38 dB lower than the simulation, respectively, and  $H_{15open}^{VV}$  with diffraction correction is 0.7 dB higher than that without.

For the dip after  $R_2$  a decrease of 13.3 dB is observed for  $H_{15open}^{VV}$  without diffraction correction compared to simulation.

For the slowly varying phase undulations are observed in both simulated and measured  $H_{15open}^{VV}$  for the frequency range 50-90 kHz. For the frequency range 100-150 kHz  $H_{15open}^{VV}$  with diffraction correction is  $25^\circ$  higher than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is closer to the simulation.

In the frequency range 150-240 kHz  $H_{15open}^{VV}$  with diffraction correction is  $30^\circ$  higher than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is closer to the simulation.

In the dip at the frequency range 260-275 kHz  $H_{15open}^{VV}$  with diffraction correction is  $10^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is  $40^\circ$  lower than the simulation.

For the frequency range above 275 kHz  $H_{15open}^{VV}$  with diffraction correction is  $45^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is  $25^\circ$  lower than the simulation.

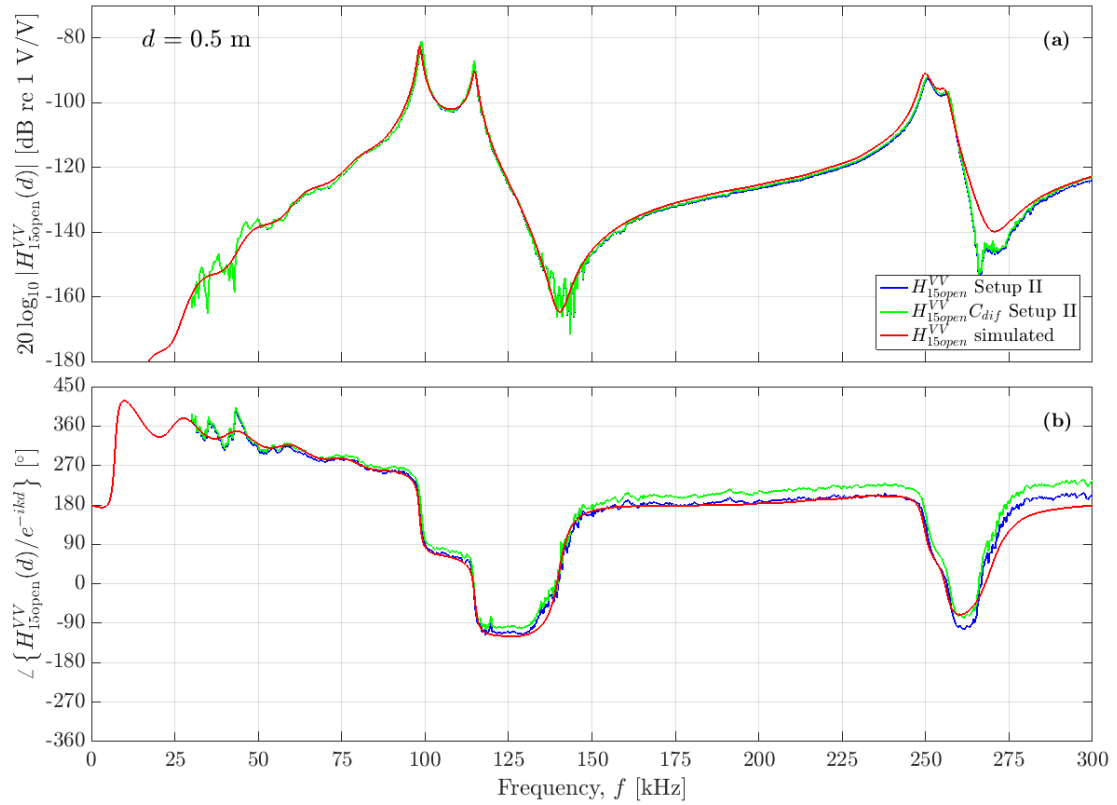


FIGURE 5.25: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$  for separation distance 50 cm using Setup II with and without diffraction correction, compared to simulation.

### 5.8.2 Transfer function at 30 cm

The measured transfer function  $H_{0m6}^{VV}$  at separation distance  $d = 30$  cm is presented in Fig. 5.26 for measurements performed using Setup I and Setup II.

As in the previous section, the measurements in Fig. 5.26 were performed 6 months apart and the equipment was moved between the measurements.

For the magnitude in Fig. 5.26 a) the undulations, at 30-85 kHz, are more clearly in the measurement done using Setup II, as for the case of  $d = 50$ . There is a slight increase in magnitude by 0.97 dB for the first peak associated with  $R_1$  and 0.98 dB for the second peak associated with  $R_1$ . At  $R_2$  oscillations in Setup I makes comparison difficult but an estimate of no more than 0.5 dB increase in magnitude of Setup II compared to that of Setup I is found.

A dip is observed in the Setup II measurement at 198.8 kHz of about 8 dB. A similar, but smaller effect is observed in Setup I at 224.9 kHz.

For the slowly varying phase in Fig. 5.26 b) the range in degrees is much larger than that of  $d = 50$  cm, cf. Fig. 5.23 b). There are clear oscillations in the measurement using Setup II. As mentioned before, it is believed that this is caused by the equipment being placed in the acoustic cage which the disks are mounted on, causing vibrations throughout the entire measurement.

An overall larger discrepancy is observed in the comparison with the slowly varying phase from Setup I and that of Setup II. This may point to an increased need for accuracy in alignment for smaller separation distances. For the frequency



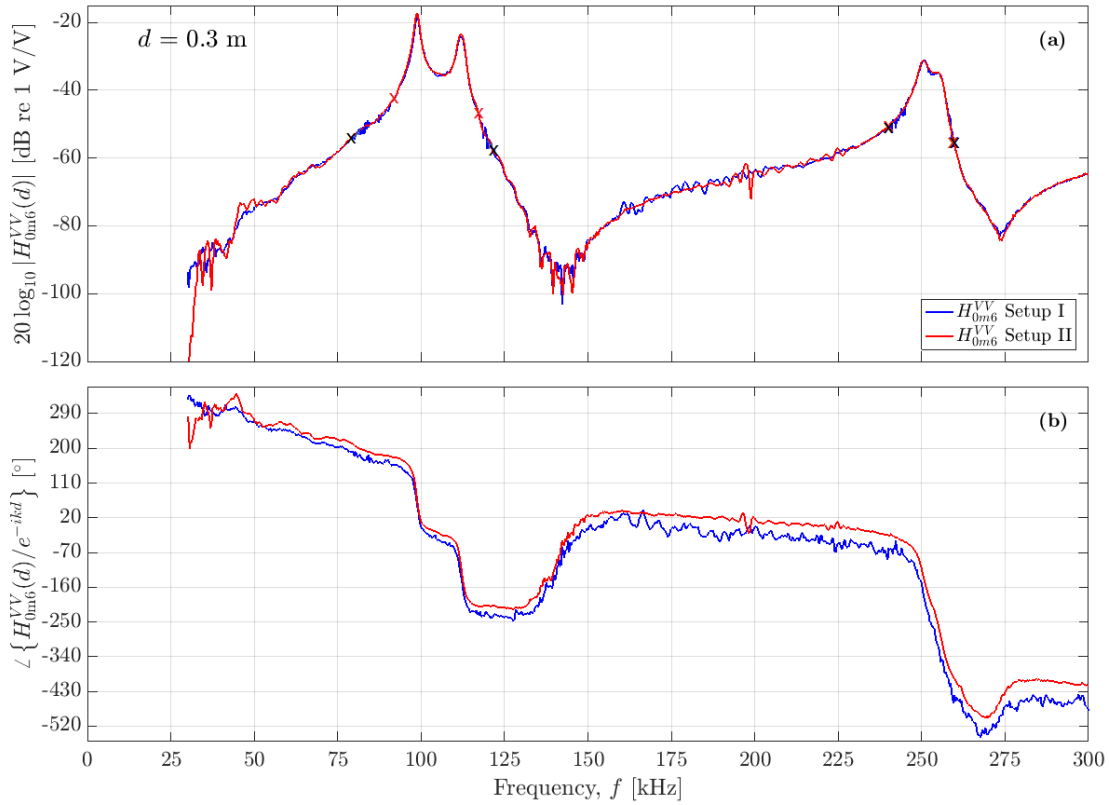


FIGURE 5.26: Measured transfer function  $H_{0m6}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{0m6}^{slow}$ , for separation distance 30 cm using Setup I and Setup II.

range 50-95 kHz an increase of about  $20^\circ$  in Setup II compared to Setup I is observed. The same difference is for the frequency range 100-110 kHz and for the dip around 125 kHz.

In the range of 150-240 kHz, where the phase is more stable, an increase of  $20^\circ$ - $40^\circ$  is observed in the measurement done using Setup II compared to that of Setup I. This effect of lower value in the slowly varying phase in this area is as discussed in the previous section most likely due to the different degree of accuracy in alignment in Setup I and Setup II.

For the dip in the slowly varying phase at 268-269 kHz an upwards shift in frequency of 0.9 kHz and an increase of about  $55^\circ$  is observed in Setup II compared to Setup I.

### Comparison of transfer function $H_{15open}^{VV}$

The measurements presented in Fig. 5.26 are now used to find the transfer function  $H_{15open}^{VV}$  at separation distance  $d = 30$  cm for measurements performed using Setup I and Setup II, using Eq. 2.44, and they are presented in Fig. 5.27.

The undulations observed in the magnitude in Fig. 5.26 a) are still clear in Fig. 5.27 a). The overall magnitude has decreased by about 60 dB and the difference in magnitude for the two peaks associated with  $R_1$  are now lower. For the first peak the increase of magnitude in the measurement performed using Setup II compared to that of Setup I is 0.97 dB, and for the second peak no difference is observed.

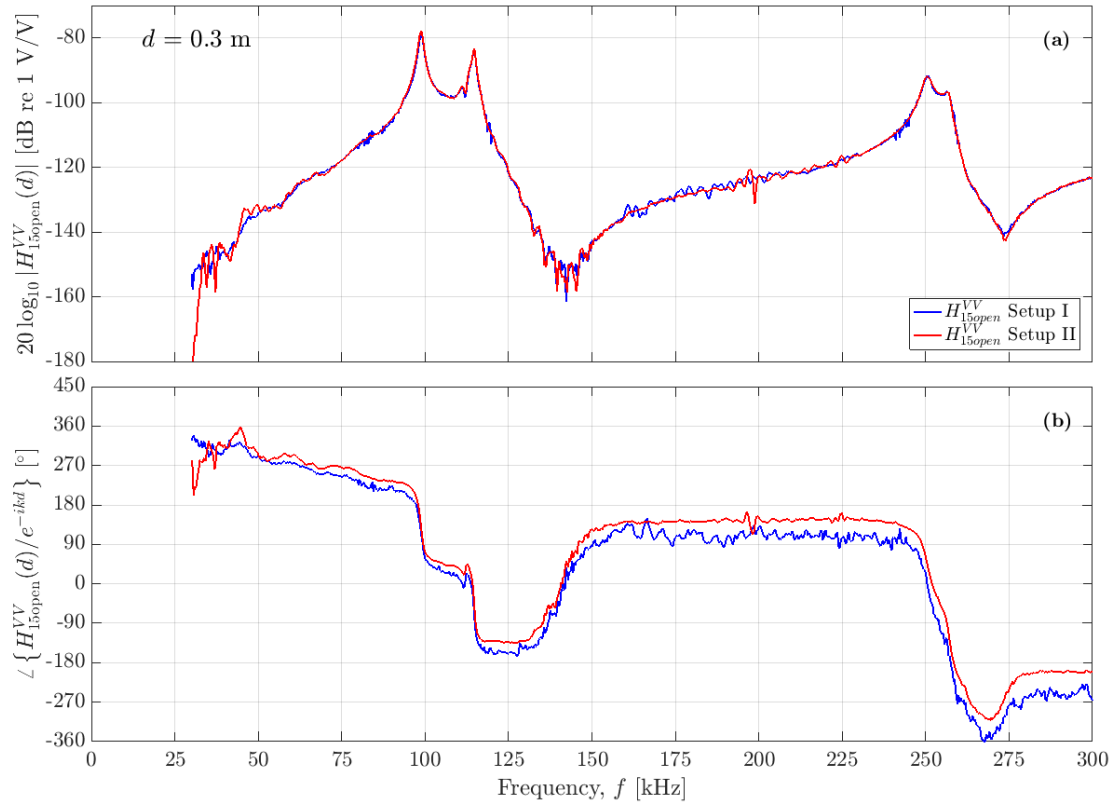


FIGURE 5.27: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$  for separation distance 30 cm using Setup I and Setup II.

At 112 kHz a dip in the magnitude occurs, which is not seen in  $H_{0m6}^{VV}$ . This is the same effect seen in Fig. 5.23 a) for a separation distance of 50 cm, but the dip is now larger and more visible.

For the first peak associated with  $R_2$  an increase of magnitude in the measurement performed using Setup II compared to that of Setup I of 0.4 dB is observed, and for the second peak no difference is observed.

The dip after  $R_2$  has an upward shift in frequency of 0.6 kHz and an decrease in magnitude of 1.4 dB for Setup II compared to Setup I.

For the slowly varying phase an increase of  $20^\circ$ -  $80^\circ$  is observed in Setup II compared to Setup I in the range 150-240 kHz. This is again believed to be due to higher accuracy in alignment in Setup II compared to Setup I, as discussed in the previous section.

A slight shift in frequency is observed for the last peak, with a higher frequency of 0.6 kHz for Setup II compared to Setup I and an increase in magnitude of 44.4 dB. After the peak the slowly varying phase of Setup II is approximately  $50^\circ$ -  $70^\circ$  higher than that of Setup I.

### Comparison with simulation

In Fig. 5.28 the measured transfer function  $H_{15open}^{VV}$  from Setup II is presented, with and without diffraction correction,  $C_{dif}$ , and compared to a simulated transfer function, for the distance  $d = 30$  cm.

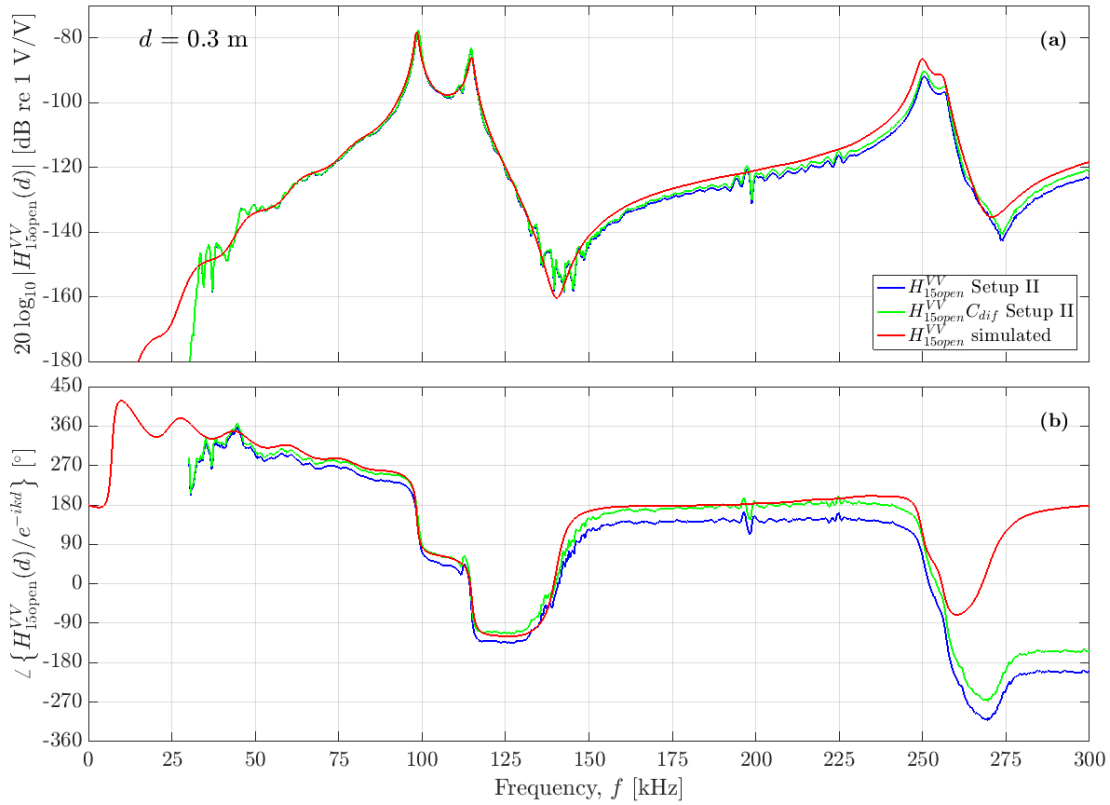


FIGURE 5.28: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for separation distance 30 cm using Setup II with and without diffraction correction, compared to simulation.

In Fig. 5.28 the transfer function  $H_{15open}^{VV}$  with and without diffraction correction are indistinguishable from one another in magnitude for frequencies below 150 kHz. For the first and second peak associated with  $R_1$  they are higher in magnitude compared to the simulation with 0.61 dB and 3.13 dB, for the the first and second peak respectively.

For the range 150-225 the simulation is higher in magnitude than  $H_{15open}^{VV}$  without diffraction correction by about 2 dB, with  $H_{15open}^{VV}$  with correction closer to the simulation.

For the first and second peak associated with  $R_2$ ,  $H_{15open}^{VV}$  without diffraction correction is 5.45 dB and 5.22 dB lower than the simulation, respectively, and  $H_{15open}^{VV}$  with diffraction correction is 2 dB higher than that without.

For the dip after  $R_2$  an upward shift in frequency of 4.1 kHz and a decrease of 3.5 dB is observed for  $H_{15open}^{VV}$  without diffraction correction compared to simulation.  $H_{15open}^{VV}$  with diffraction correction is 2 dB above that without.

For the slowly varying phase in Fig. 5.28 b)  $H_{15open}^{VV}$  without diffraction correction is now closest to the simulation, unlike for the case with  $d = 50$  cm where  $H_{15open}^{VV}$  without diffraction correction was closest. In Fig. 5.28 undulations are observed in both simulated and measured  $H_{15open}^{VV}$  for the frequency range 50-90 kHz.

For the frequency range 150-240 kHz  $H_{15open}^{VV}$  without diffraction correction is about  $40^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is

about  $10^\circ$  lower than the simulation.

A clear peak is observed at 224.9 kHz, and this matches a peak in the magnitude. The cause of this is unclear, but as the effect is present in both measurements in Fig. 5.27 it may be reasonable to think that it is caused by a resonance or a reflection in the acoustic setup that is present at a separation distance of 30 cm.

In the dip at the frequency range 250-275 kHz  $H_{15open}^{VV}$  with diffraction correction is 10 kHz higher and  $197^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is 9.8 kHz higher and  $239.52^\circ$  lower than the simulation.

For the frequency range above 275 kHz  $H_{15open}^{VV}$  with diffraction correction is about  $150^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is  $160^\circ$  -  $170^\circ$  lower than the simulation.

### 5.8.3 Transfer function at 20 cm

The measured transfer function  $H_{0m6}^{VV}$  at separation distance  $d = 20$  cm is presented in Fig. 5.29 for measurements performed using Setup I and Setup II.

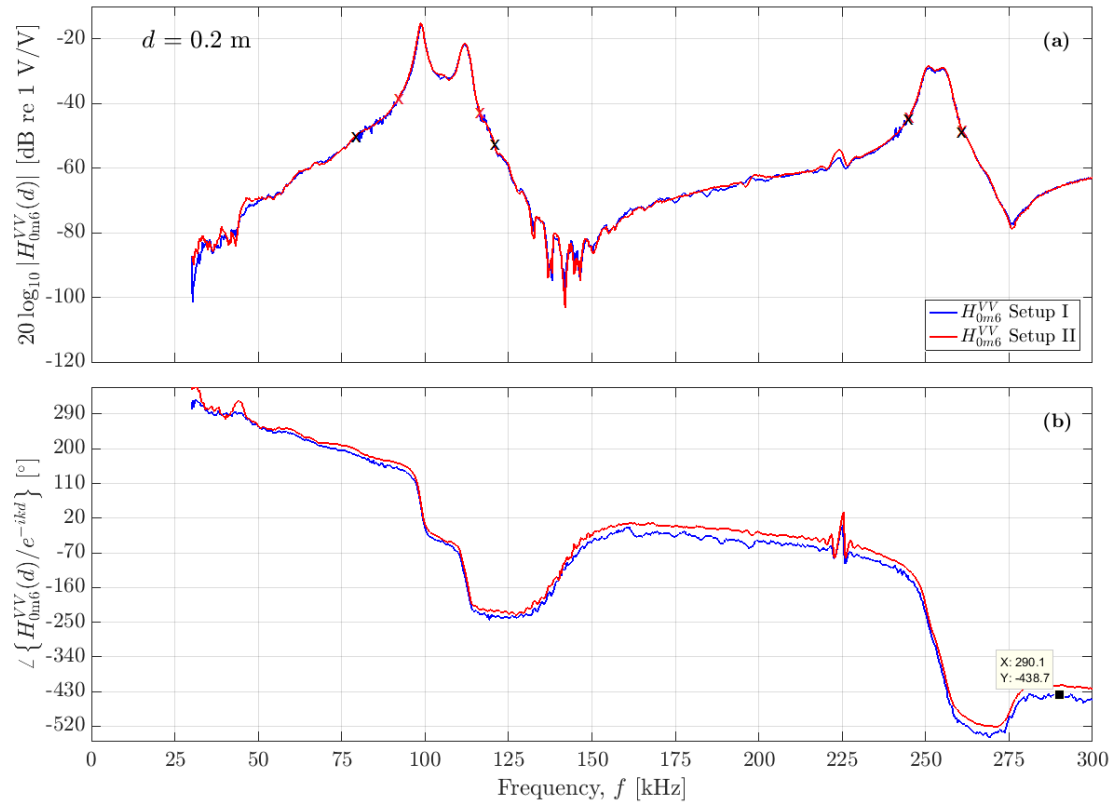


FIGURE 5.29: Measured transfer function  $H_{0m6}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{0m6}^{slow}$ , for separation distance 20 cm using Setup I and Setup II.

As in the two previous section, the measurements in Fig. 5.29 were performed 6 months apart and the equipment was moved between the measurements.

For the magnitude in Fig. 5.29 a) the undulations, at 30-85 kHz, are more clearly in the measurement done using Setup II, as for the case of  $d = 50$  and  $d = 30$ .

There is a slight increase in magnitude of 0.4 dB for the first peak associated with  $R_1$  and 0.5 for the second peak associated with  $R_1$ . At  $R_2$  oscillations in Setup I makes

comparison difficult but an estimate of no more than 1 dB increase in magnitude of Setup II compared to that of Setup I is found.

The noisy area around 130-150 kHz line up very good for the frequencies with the highest difference at 0.3 kHz and a dip in the magnitude of 2 dB lower for Setup II compared to Setup I. This may indicate that the noise is coherent noise or not noise at all, but part of the signal measured at  $d = 20$  cm. Since the time between the two measurements is as long as it is and the setups are not identical, this is a very interesting find and should be investigated further.

A peak is observed at 224 kHz for both measurements, with a 2 dB larger peak for Setup II compared to Setup I. The cause of this peak is unclear and may be due to reflections or resonances present at the separation distance  $d = 20$  cm.

For the last dip in the magnitude a decrease of about 1.3 dB and an upward shift in frequency of 1 kHz is observed in Setup II compared to Setup I.

For the slowly varying phase in Fig. 5.29 b) the range in degrees is much larger than that of  $d = 50$  cm, cf. Fig. 5.23 b), as for the case of  $d = 30$  cm. There are clear oscillations in the measurement using Setup I, probably caused by the equipment being placed in the measurement cage.

An overall larger discrepancy is observed in the comparison with the slowly varying phase from Setup I and that of Setup II. This may point to an increased need for accuracy in alignment for smaller separation distances, as for the case of  $d = 30$  cm. For the frequency range 50-95 kHz an increase of about  $15^\circ$  in Setup II compared to Setup I is observed. The same difference is for the frequency range 100-110 kHz and for the dip around 125 kHz.

In the range of 150-220 kHz, where the phase is more stable, an increase of  $20^\circ$ - $40^\circ$  is observed in the measurement done using Setup II compared to that of Setup I. This effect of lower value in the slowly varying phase in this area is as discussed in the previous section most likely due to the different degree of accuracy in alignment in Setup I and Setup II.

For the peak in the slowly varying phase at 225.2 kHz for Setup I an upwards shift in frequency of 0.3 kHz and an increase of about  $35^\circ$  is observed in Setup II compared to Setup I.

At the last dip in the slowly varying phase in the frequency range 260-280 kHz an increase of about  $15^\circ$  in Setup II compared to Setup I is observed and an increase of about  $35^\circ$  is observed after the dip.

### Comparison of transfer function $H_{15open}^{VV}$

The measurements presented in Fig. 5.29 are now used to find the transfer function  $H_{15open}^{VV}$  at separation distance  $d = 20$  cm for measurements performed using Setup I and Setup II, using Eq. 2.44. They are presented in Fig. 5.30.

The undulations in the magnitude observed in Fig. 5.29 a) are still clear in Fig. 5.30 a). The overall magnitude has decreased by about 60 dB and the difference in magnitude for the two peaks associated with  $R_1$  are now lower. For the first peak the increase of magnitude in the measurement performed using Setup II compared to that of Setup I is 0.5 dB, and for the second peak 0.3 dB.

At 112 kHz a dip in the magnitude occurs, which is not seen in  $H_{0m6}^{VV}$ . This is the same effect seen in Fig. 5.23 and 5.26 for a separation distance of 50 cm and 30 cm, but the dip is now larger and more visible.

For the two peaks associated with  $R_2$  an increase of magnitude in the measurement performed using Setup II compared to that of Setup I of 1 dB is observed.

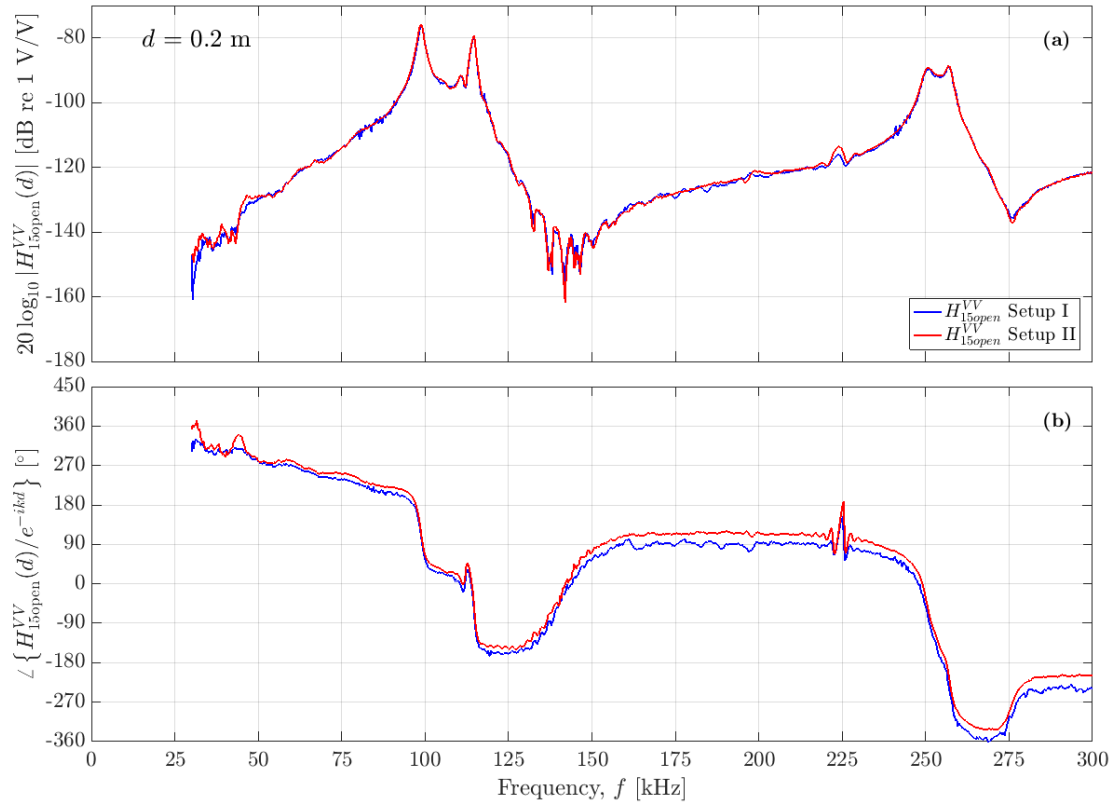


FIGURE 5.30: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for separation distance 20 cm using Setup I and Setup II.

The dip after  $R_2$  has an upward shift in frequency of 1 kHz and an decrease in magnitude of 1.3 dB for Setup II compared to Setup I.

For the slowly varying phase in Fig. 5.30 b) an increase of  $20^\circ$  -  $50^\circ$  is observed in Setup II compared to Setup I in the range 150-220 kHz. This is again believed to be due to higher accuracy in alignment in Setup II compared to Setup I, as discussed in the previous section.

A slight shift in frequency is observed for the last dip around 270 kHz, with an increase of  $20^\circ$  for Setup II compared to Setup I. After the peak the slowly varying phase of Setup II is approximately  $20^\circ$  -  $30^\circ$  higher than that of Setup I.

### Comparison with simulation

In Fig. 5.31 the measured transfer function  $H_{15open}^{VV}$  from Setup II is presented, with and without diffraction correction,  $C_{dif}$ , and compared to a simulated transfer function, for the distance  $d = 20$  cm.

For the magnitude in Fig. 5.31 a) for the first and second peak associated with  $R_1$  an increase in the magnitude of  $H_{15open}^{VV}$  without diffraction correction compared to the simulation of 0.91 dB and 2.19 dB, for the first and second peak respectively.  $H_{15open}^{VV}$  with diffraction correction is 1.2 dB and 0.5 dB above  $H_{15open}^{VV}$  without diffraction correction for the two peaks, respectively.

In the frequency range of 130-150 kHz the dip in the measured signal is 2 kHz higher than the simulation and 5 dB lower in magnitude.

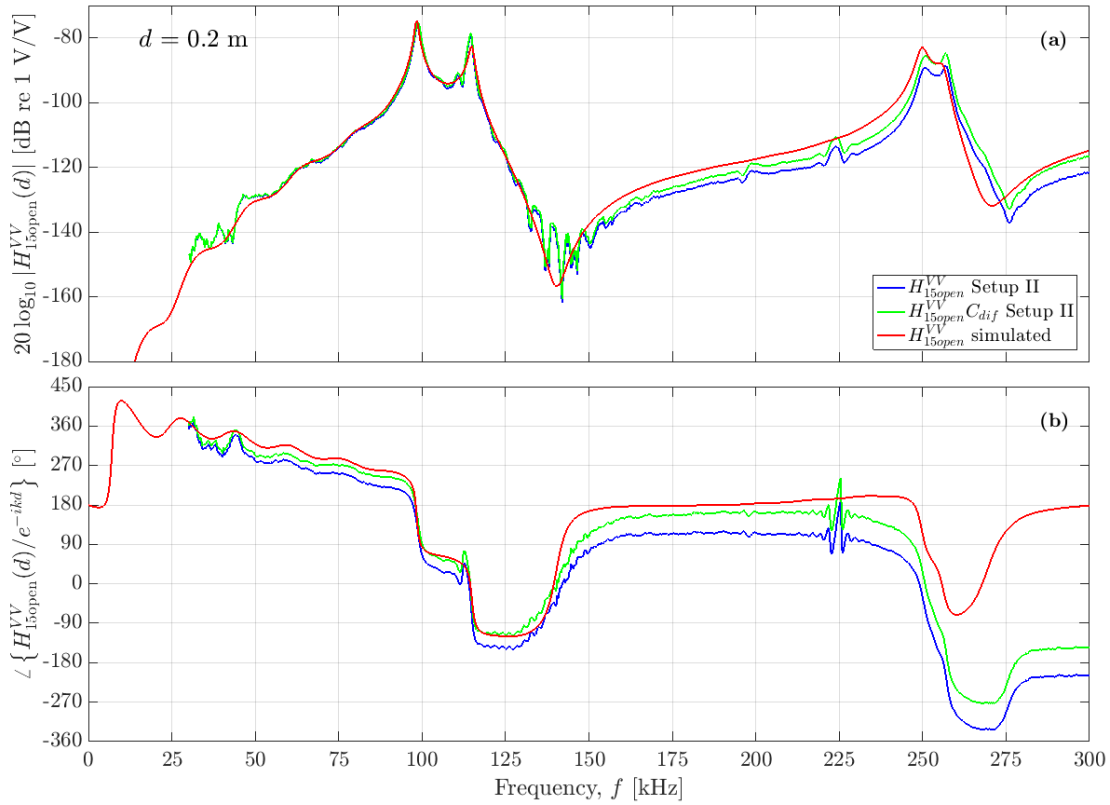


FIGURE 5.31: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for separation distance 20 cm using Setup II with and without diffraction correction, compared to simulation.

For the frequency range 150-220 the simulation is higher than  $H_{15open}^{VV}$  without diffraction correction by about 6 dB, with  $H_{15open}^{VV}$  with correction 4 dB below the simulation.

For the first and second peak associated with  $R_2$ ,  $H_{15open}^{VV}$  without diffraction correction is 6.22 dB and 0.75 dB lower than the simulation, respectively.  $H_{15open}^{VV}$  with diffraction correction is 4.3 dB and 4 dB higher than that without for the two peaks, respectively.

For the dip after  $R_2$  an upward shift in frequency of 6.1 kHz and a decrease of 5.4 dB is observed for  $H_{15open}^{VV}$  without diffraction correction compared to simulation.  $H_{15open}^{VV}$  with diffraction correction is 4 dB above that without.

For the slowly varying phase in Fig. 5.31 b)  $H_{15open}^{VV}$  without diffraction correction is now closest to the simulation, unlike for the case with  $d = 50$  cm where  $H_{15open}^{VV}$  without diffraction correction was closest. Undulations are observed in both simulated and measured  $H_{15open}^{VV}$  for the frequency range 50-90 kHz.

For the frequency range 150-220 kHz  $H_{15open}^{VV}$  without diffraction correction is about 65° lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is about 20° lower than the simulation.

A clear peak is observed at 225.2 kHz in the measured signals, and this matches a peak in the magnitude. The cause of this is unclear, but as the effect is present in both measurements in Fig. 5.30 it may be reasonable to think that it is caused by a

resonance or a reflection in the acoustic setup that is present at a separation distance of  $d = 20$  cm. A similar effect is observed for the separation distance of 30 cm in Fig. 5.27 b) and 5.28 b) but at 224.9 kHz.

In the dip at the frequency range 250-270 kHz  $H_{15open}^{VV}$  with diffraction correction is 6.9 kHz higher and  $260.84^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is  $200.24^\circ$  lower than the simulation.

#### 5.8.4 Transfer function at 15 cm

The measured transfer function  $H_{0m6}^{VV}$  at separation distance  $d = 15$  cm is presented in Fig. 5.32 for measurements preformed using Setup I and Setup II.

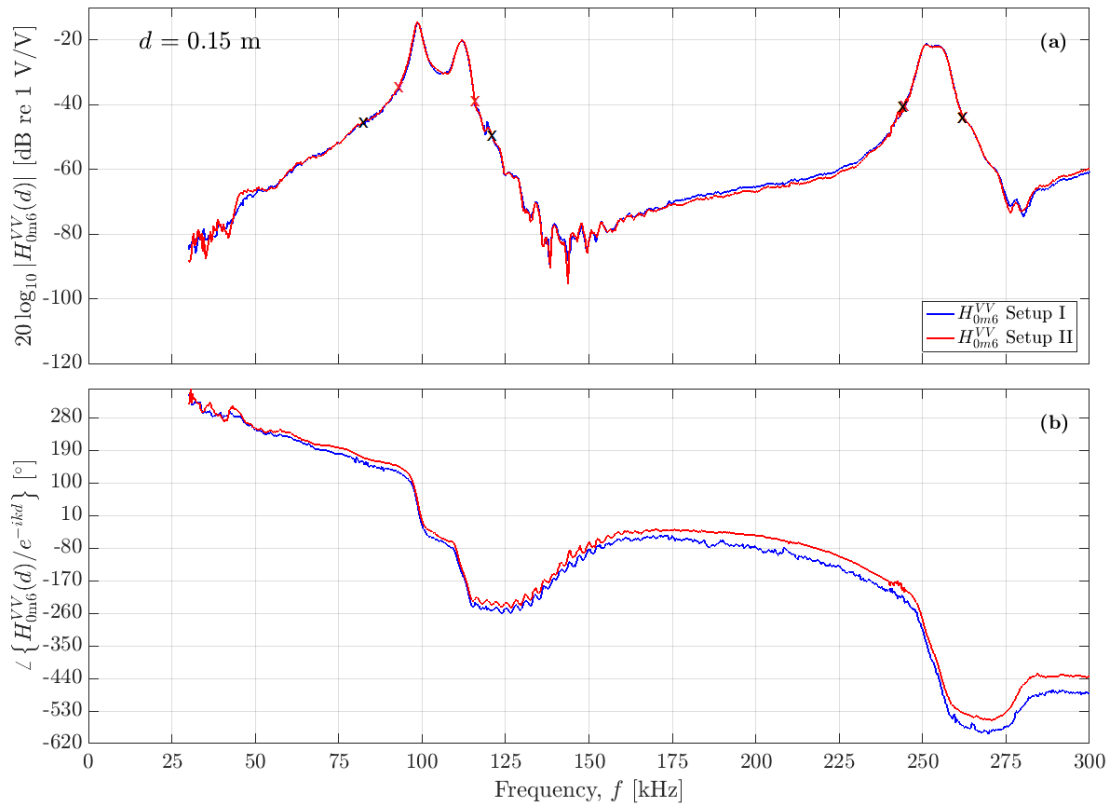


FIGURE 5.32: Measured transfer function  $H_{0m6}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{0m6}^{slow}$ , for separation distance 15 cm using Setup I and Setup II.

As in the three previous section, the measurements in Fig. 5.32 were performed 6 months apart and the equipment was moved between the measurements.

For the magnitude in Fig. 5.32 a) the undulations, at 30-85 kHz, are more clearly in the measurement done using Setup II, as for the case of  $d = 50$  cm,  $d = 30$  cm and  $d = 20$  cm. There is a slight increase in magnitude of 0.2 dB for the first peak associated with  $R_1$  and 0.15 for the second peak associated with  $R_1$ . At  $R_2$  oscillations in Setup I makes comparison difficult but an estimate of no more than 1 dB increase in magnitude of Setup II compared to that of Setup I is found.

The noisy area around 130-150 kHz line up very good for the frequencies. A dip in the magnitude of 7 dB lower for Setup II compared to Setup I is observed. This may indicate, as in the previous section, that this is coherent noise or not noise at



all, but part of the signal measured at  $d = 15$  cm, as for the case of  $d = 20$  cm and tendencies observed in the cases of  $d = 30$  cm and  $d = 50$  cm.

At the two peaks associated with  $R_2$  no more than 0.5 dB difference is found between the two measurements, with the measurement performed using Setup II as the highest of the two in magnitude.

For the last dip in the magnitude a decrease of about 2 dB is observed in Setup II compared to Setup I and after the dip a difference of 2 dB is observed.

For the slowly varying phase in Fig. 5.32 b) the range in degrees is much larger than that of  $d = 50$  cm, cf. Fig. 5.23, as for the case of  $d = 30$  cm and  $d = 20$  cm. There are again clear oscillations in the measurement using Setup I, probably caused by the equipment being placed in the measurement cage.

An overall discrepancy is observed in the comparison with the slowly varying phase from Setup I and that of Setup II. This may point to an increased need for accuracy in alignment for smaller separation distances, as for the case of  $d = 30$  cm and  $d = 20$  cm. For the frequency range 50-95 kHz an increase of about  $10^\circ$ - $30^\circ$  in Setup II compared to Setup I is observed. The same difference is for the frequency range 100-110 kHz and for the dip around 125 kHz.

In the range of 150-250 kHz, where the phase is more stable, an increase of  $20^\circ$ - $50^\circ$  is observed in the measurement done using Setup II compared to that of Setup I. This effect of lower value in the slowly varying phase in this area is as discussed in the previous sections most likely due to the different degree of accuracy in alignment in Setup I and Setup II.

At the last dip in the slowly varying phase in the frequency range 260-280 kHz an increase of about  $40^\circ$  in Setup II compared to Setup I is observed and an increase of about  $40^\circ$  is observed after the dip.

### Comparison of transfer function $H_{15open}^{VV}$

The measurements presented in Fig. 5.32 are now used to find the transfer function  $H_{15open}^{VV}$  at separation distance  $d = 15$  cm for measurements performed using Setup I and Setup II, using Eq. 2.44, and they are presented in Fig. 5.33.

The undulations observed in the magnitude in Fig. 5.32 a) are still clear in Fig. 5.33 a). The overall magnitude has decreased by about 60 dB and the difference in magnitude for the two peaks associated with  $R_1$  are now lower. For the first peak the increase of magnitude in the measurement performed using Setup II compared to that of Setup I is 0.3 dB for both peaks.

At 112 kHz a dip in the magnitude occurs, which is not seen in  $H_{0m6}^{VV}$ . This is the same effect seen in Fig. 5.23 a), 5.26 a) and 5.29 a) for a separation distance of 50 cm, 30 cm and 20 cm, but the dip is now even larger and more visible.

For the two peaks associated with  $R_2$  an increase of magnitude in the measurement performed using Setup II compared to that of Setup I are indistinguishable.

The dip after  $R_2$  has an upward shift of a decrease in magnitude of 2 dB for Setup II compared to Setup I and a difference of 1 dB is observed after the dip.

For the slowly varying phase an increase of  $50^\circ$ - $90^\circ$  is observed in Setup II compared to Setup I in the range 150-220 kHz. This is again believed to be due to higher accuracy in alignment in Setup II compared to Setup I, as discussed in the previous sections.

A peak is observed for the slowly varying phase at 112.9 kHz. Quick oscillations follow after this peak for the frequency range 117-157 kHz, which vary with approximately  $15^\circ$ - $20^\circ$ .

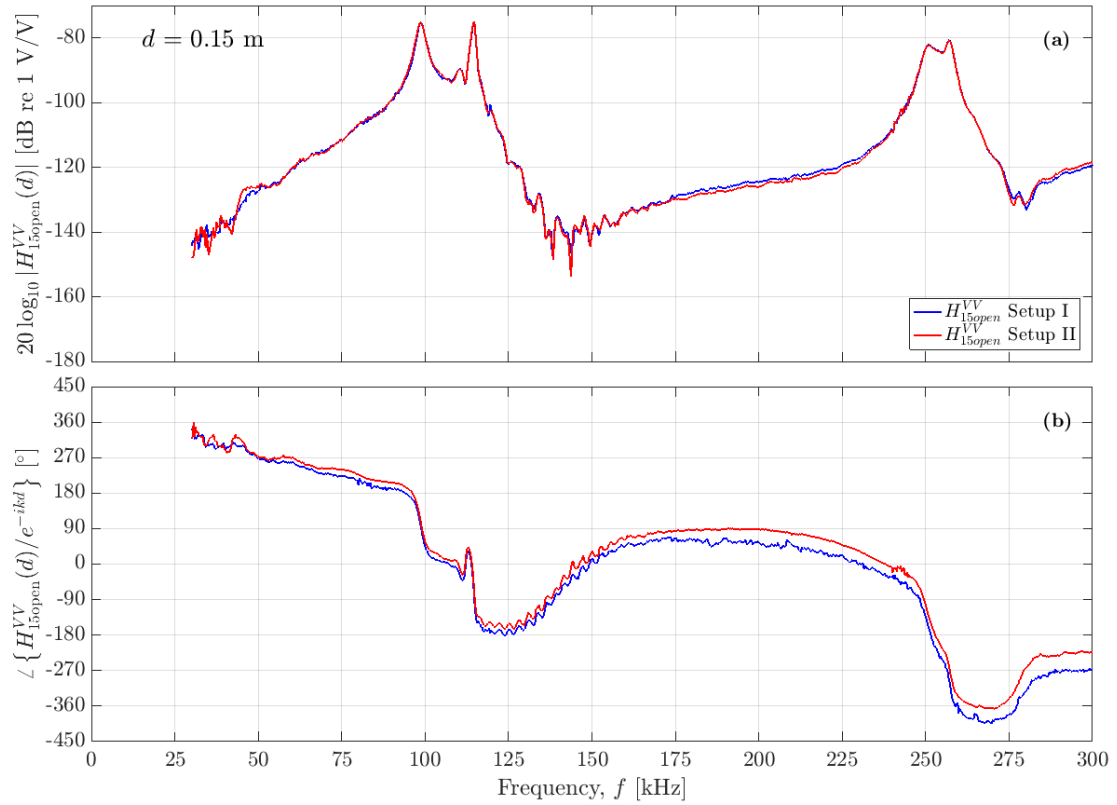


FIGURE 5.33: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$  for separation distance 15 cm using Setup I and Setup II.

A slight shift in frequency is observed for the last dip around 270 kHz, with an increase of  $30^\circ$  for Setup II compared to Setup I. After the peak the slowly varying phase of Setup II is approximately  $45^\circ$  higher than that of Setup I.

### Comparison with simulation

In Fig. 5.34 the measured transfer function  $H_{15open}^{VV}$  from Setup II is presented, with and without diffraction correction,  $C_{dif}$ , and compared to a simulated transfer function, for the distance  $d = 15$  cm.

For the magnitude in Fig. 5.34 a) for the first and second peak associated with  $R_1$  an increase in the magnitude of  $H_{15open}^{VV}$  without diffraction correction compared to the simulation of 3.08 dB and a decrease of 5.11 dB, for the first and second peak respectively.  $H_{15open}^{VV}$  with diffraction correction is 1 dB and 1.5 dB above  $H_{15open}^{VV}$  without diffraction correction for the two peaks, respectively.

In the frequency range of 130-150 kHz the dip in the measured signal is 3.5 kHz higher than the simulation and 0.6 dB higher in magnitude.

For the frequency range 170-225 the simulation is higher than  $H_{15open}^{VV}$  without diffraction correction by about 10 dB, with  $H_{15open}^{VV}$  with correction 7 dB below the simulation.

For the first and second peak associated with  $R_2$ ,  $H_{15open}^{VV}$  without diffraction correction is 1.77 dB lower and 4.78 dB higher than the simulation, respectively, and

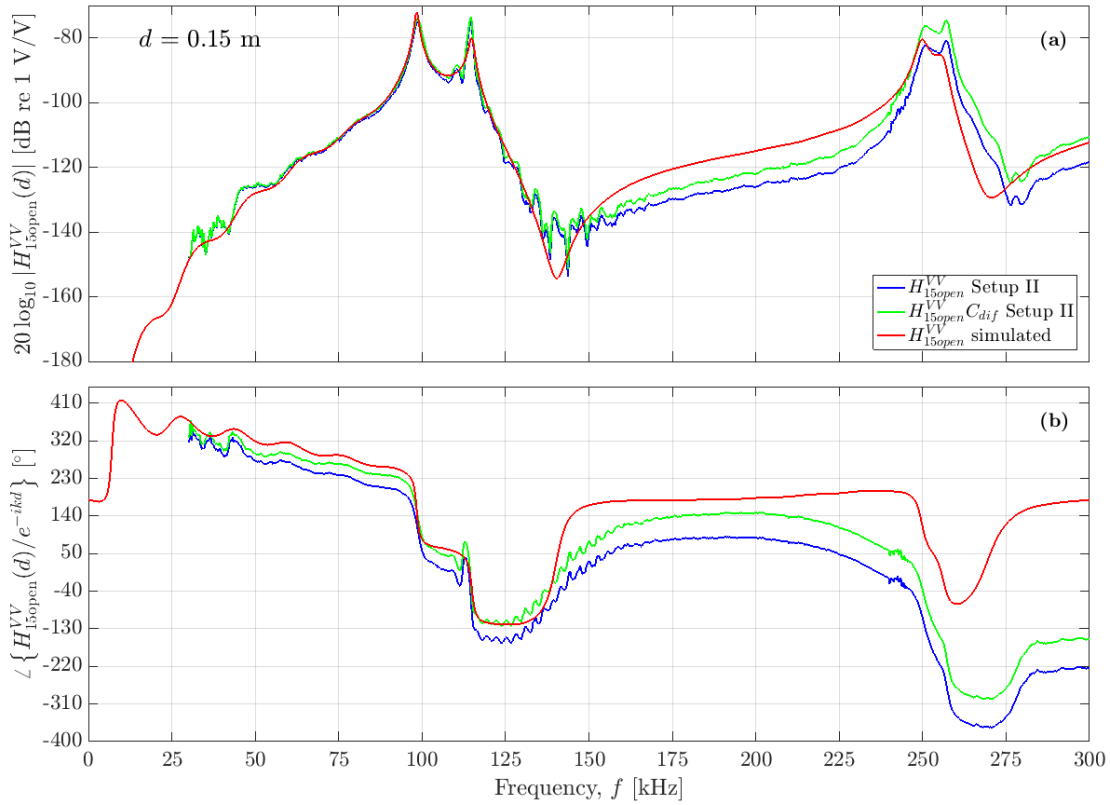


FIGURE 5.34: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , for separation distance 15 cm using Setup II with and without diffraction correction, compared to simulation.

$H_{15open}^{VV}$  with diffraction correction is 4.5 dB higher than that without for the both peaks.

For the dip after  $R_2$  a upward shift in frequency of 6.1 kHz and a decrease of 2.7 dB is observed for  $H_{15open}^{VV}$  without diffraction correction compared to simulation.  $H_{15open}^{VV}$  with diffraction correction is 15 dB above that without. After the last dip  $R_2$   $H_{15open}^{VV}$  without diffraction correction is about 5 dB lower than the simulation and  $R_2$   $H_{15open}^{VV}$  with diffraction correction is about 2 dB higher than the simulation.

For the slowly varying phase in Fig. 5.34 b)  $H_{15open}^{VV}$  without diffraction correction is now closest to the simulation, only  $20^\circ$ -  $30^\circ$  below the simulation, unlike for the case with  $d = 50$  cm where  $H_{15open}^{VV}$  without diffraction correction was closest. Undulations are observed in both simulated and measured  $H_{15open}^{VV}$  for the frequency range 50-90 kHz.

For the frequency range 150-210 kHz  $H_{15open}^{VV}$  without diffraction correction is about  $90^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is about  $40^\circ$  lower than the simulation.

A small peak is observed at 242.5 kHz in the measured signals the effect is not present in both measurements in Fig. 5.33.

In the dip at the frequency range 250-275 kHz  $H_{15open}^{VV}$  with diffraction correction is 7.5 kHz higher and  $224.48^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is  $294.18^\circ$  lower than the simulation. After 285 kHz  $H_{15open}^{VV}$

with diffraction correction is about  $330.3^\circ$  lower than the simulation, and  $H_{15open}^{VV}$  without diffraction correction is  $398.6^\circ$  lower than the simulation

### 5.8.5 Repeatability

In Fig. 5.35 four measurements performed consecutively are presented as the transfer function  $H_{0m6}^{VV}$ , with a) the magnitude,  $|H_{0m6}^{VV}|$ , and b) the phase,  $\angle H_{0m6}^{VV}$ , of the transfer function, describing the full acoustic setup. The measurements are done using Setup II and all use the same noise measurement, as the electrical noise is assumed to be constant within the day the measurements were performed.

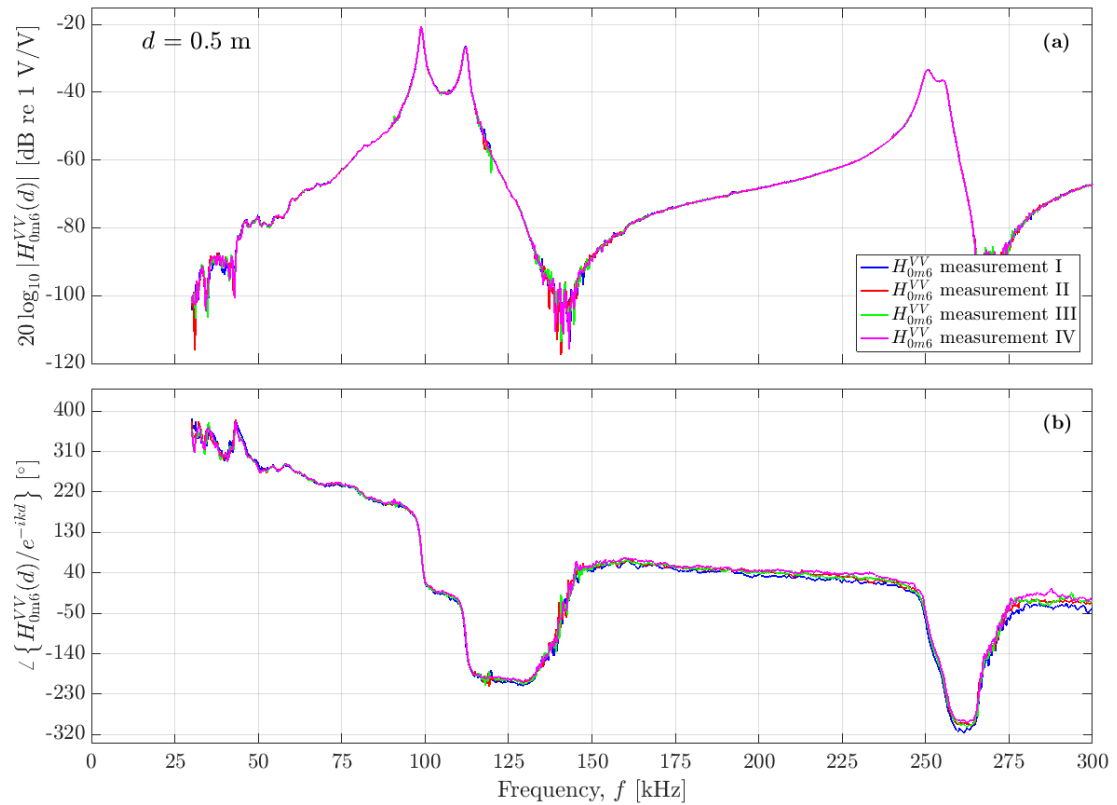


FIGURE 5.35: Transfer function  $H_{0m6}^{VV}$  for a) the magnitude and b) the slowly varying phase, for four different measurements in Setup II.

From Fig. 5.35 a good agreement between the different measurements is seen. For the first two peaks, associated with  $R_1$ , no distinction can be made between the magnitude or the frequency for the four measurements.

For the two peaks associated with  $R_2$  measurements II, III and IV are inseparable in magnitude and frequency. The first measurement however, differs from the other in magnitude only by an increase of 0.3 dB and 0.88 dB for the two peaks respectively.

In the frequency domain 150-240 kHz measurement I is higher than the other measurements by approximately 0.8 dB.

For the phase a deviation is found at 191 kHz where there is a decrease of 21.3 deg in measurement II compared to measurement I. Another deviation in the phase is observed between measurement I and measurement III at the dip associated with  $R_2$ , where measurement III has a decrease of 23.95 deg compared to measurement I. Lastly the largest deviation is found in the frequency range 280-300 kHz where

deviations up to 30 deg can be seen, due to oscillations. In general measurement I and IV are higher than measurement II and III.

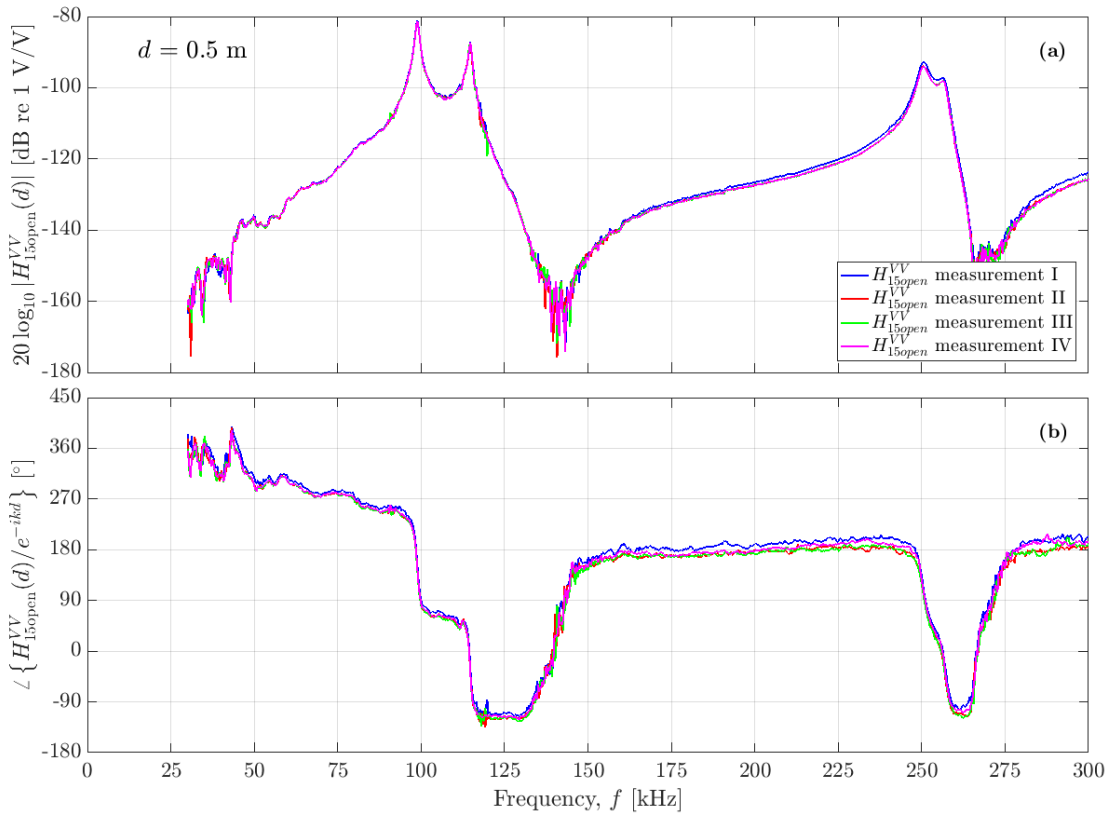


FIGURE 5.36: Transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase, for four different measurements in Setup II.

From Fig. 5.36 a good agreement between the different measurements is seen, as in the case of  $H_{0m6}^{VV}$  in Fig. 5.35. For the first two peaks, associated with  $R_1$ , no distinction can be made between the magnitude or the frequency for the four measurements.

For the two peaks associated with  $R_2$  measurements II, III and IV are inseparable in magnitude and frequency. The first measurement however, differs from the other in magnitude only by an increase of 1.3 dB for the first peak and 0.88 for the second peak.

In the frequency domain 150-240 kHz measurement I is higher than the other measurements by approximately 1.0 dB.

For the slowly varying phase a deviation is found at 191.4 kHz where there is a decrease of 19.8 deg in measurement II compared to measurement I. Another deviation in the phase is observed between measurement I and measurement III at the dip at 250-275 kHz, where measurement III has a decrease of 22.1 deg compared to measurement I. Lastly the largest deviation in phase is found in the frequency range 280-300 kHz where deviations up to 33 deg can be seen, due to oscillations. In general measurement I and IV are higher than measurement II and III.

## 5.9 Investigation of dip at $R_1$ in $H_{15open}^{VV}$

A small dip is observed at 112 kHz for the magnitude of the transfer function  $H_{15open}^{VV}$  measured at a separation distance of  $d = 50$  cm. The same dip is seen at the same frequency, but with a larger effect, for  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm. This increase in the dip for shorter separation distances and the presence of a dip at all leads to further investigation. The effect was noticed in [37], but no explanation as to the cause or the physical effect of the dip has been found as of yet.

In order to investigate this dip at  $R_1$  Eq. 2.44 is divided into parts and presented for the different separation distances,  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

Eq. 2.44 is divided into five parts;  $H_{0m6}^{VV}$ ,  $H_{15open}^{VV}$ ,  $C_{alpha}$ ,  $C_{dif}^{BPDC}$  and the denominator that  $H_{0m6}^{VV}$  is divided by, here called  $H_{div}$ , where  $H_{div} = H_{0m1}^{VV} \cdot H_{5open5'}^{VV} \cdot H_{5'6}^{VV}$ .

Fig. 5.37 shows the magnitude and slowly varying phase of  $H_{0m6}^{VV}$  for all the four separation distances presented in the previous section.

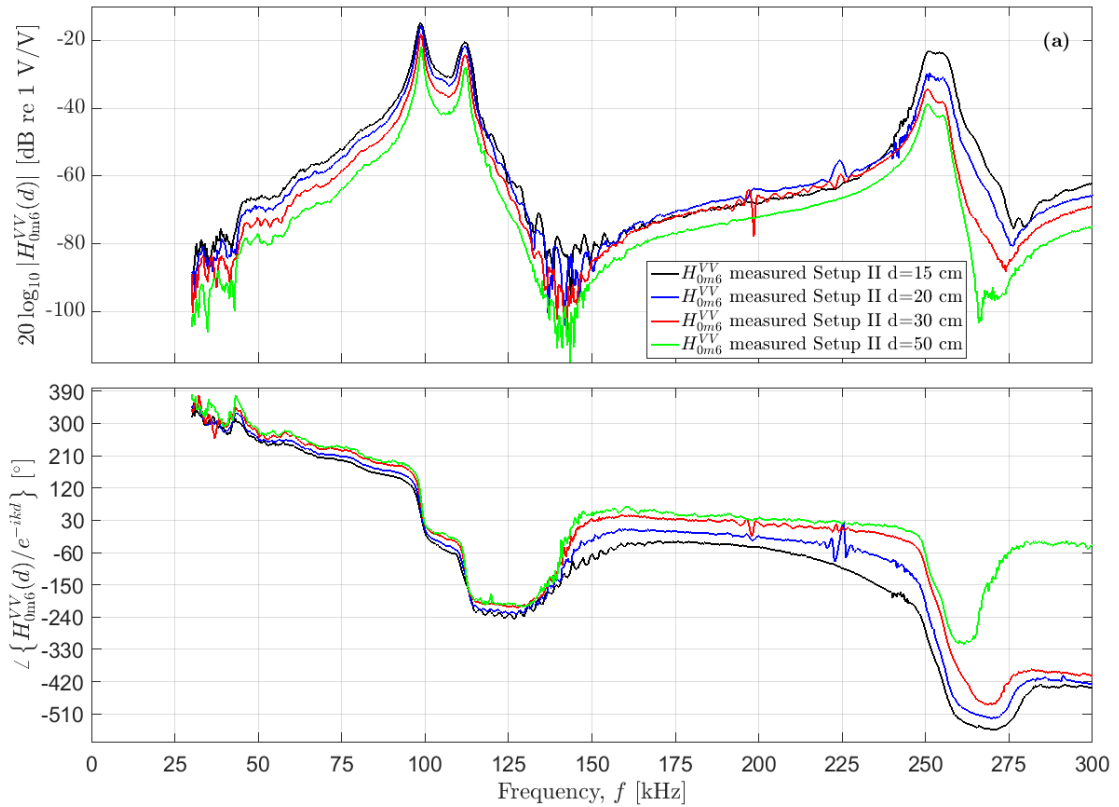


FIGURE 5.37: Measured transfer function  $H_{0m6}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{0m6}^{slow}$ , using Setup II for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

An increase in signal is seen for shorter separation distances, as well as clearer undulations. There is also a change in the shape of  $R_2$ , with the two peaks at a more equal magnitude at  $d = 15$  cm and  $d = 20$  cm than for  $d = 30$  cm and  $d = 50$  cm. In the two latter the first peak associated with  $R_2$  is larger than the first.

Fig. 5.38 shows the magnitude and slowly varying phase of  $H_{0m6}^{VV}$  for all the four separation distances presented in the previous section.

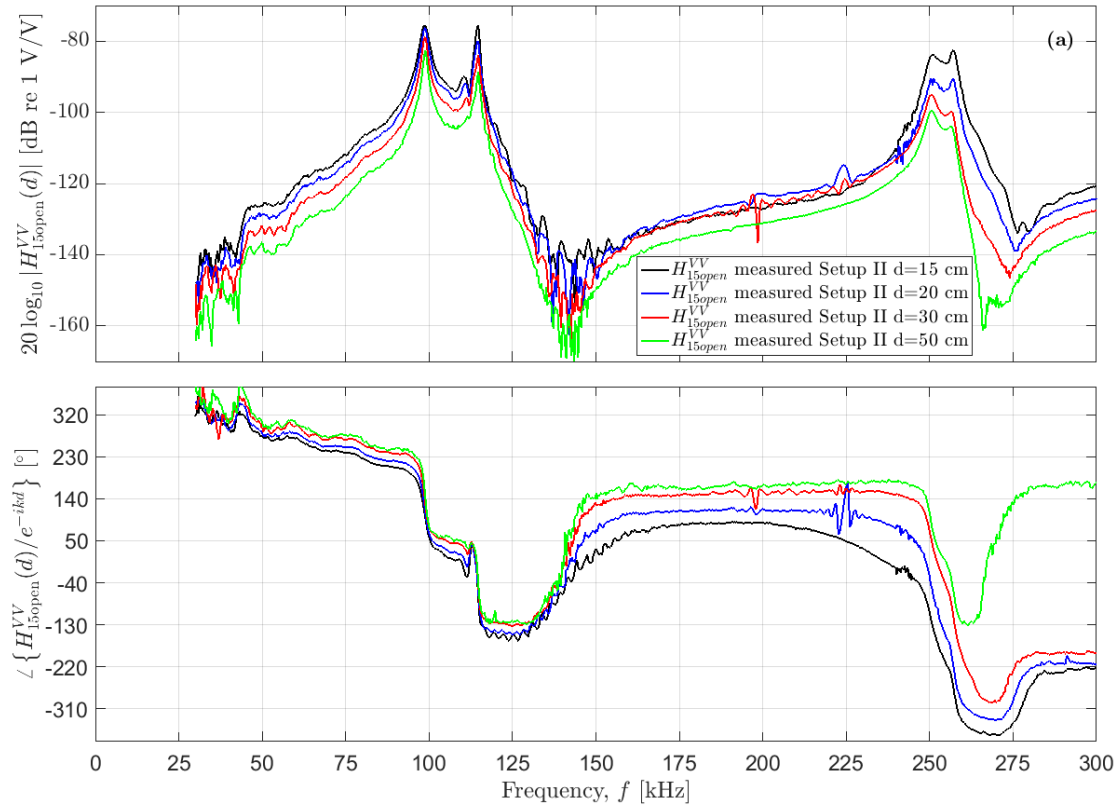


FIGURE 5.38: Measured transfer function  $H_{15open}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{15open}^{slow}$ , using Setup II for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

In Fig. 5.38 the dip in magnitude at  $R_1$ , at 112 kHz, is clearly seen increasing with decreasing separation distance. The same effect in magnitude of the two peaks associated with  $R_2$  is seen as for  $H_{0m6}^{VV}$ , but they are more distinct and easier to separate as two peaks. The four remaining parts of Eq. 2.44 are now presented to investigate the increase in the dip in the magnitude at 112 kHz.

In Fig. 5.12  $C_\alpha$  increases with increasing separation distance, as is expected since larger separation distance increases the distance the sound propagates through the medium and therefore increases the attenuation caused by the medium. No abnormalities or discrepancies are observed in the behaviour of the attenuation for the different separation distances and apart from the expected increase in attenuation, no difference is observed at the 112 kHz area.

In Fig. 5.13  $C_{dif}^{BPDC}$  the baffled piston diffraction correction,  $C_{dif}^{BPDC}$  for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm is showed to decreases with increasing separation distance. This is expected since the deviation of a plane wave from will be larger at shorter distances. As in the case of the attenuation correction, no differences are observed apart from the expected increase due to the shorter distances.

Fig. 5.39 shows the magnitude and slowly varying phase of  $H_{div}^{VV}$  for all the four separation distances presented in the previous section.

In Fig. 5.39 both the magnitude and the phase of  $H_{div}^{VV}$  are identical for all separation distances, as expected. Therefore only one line is visible in the plot.

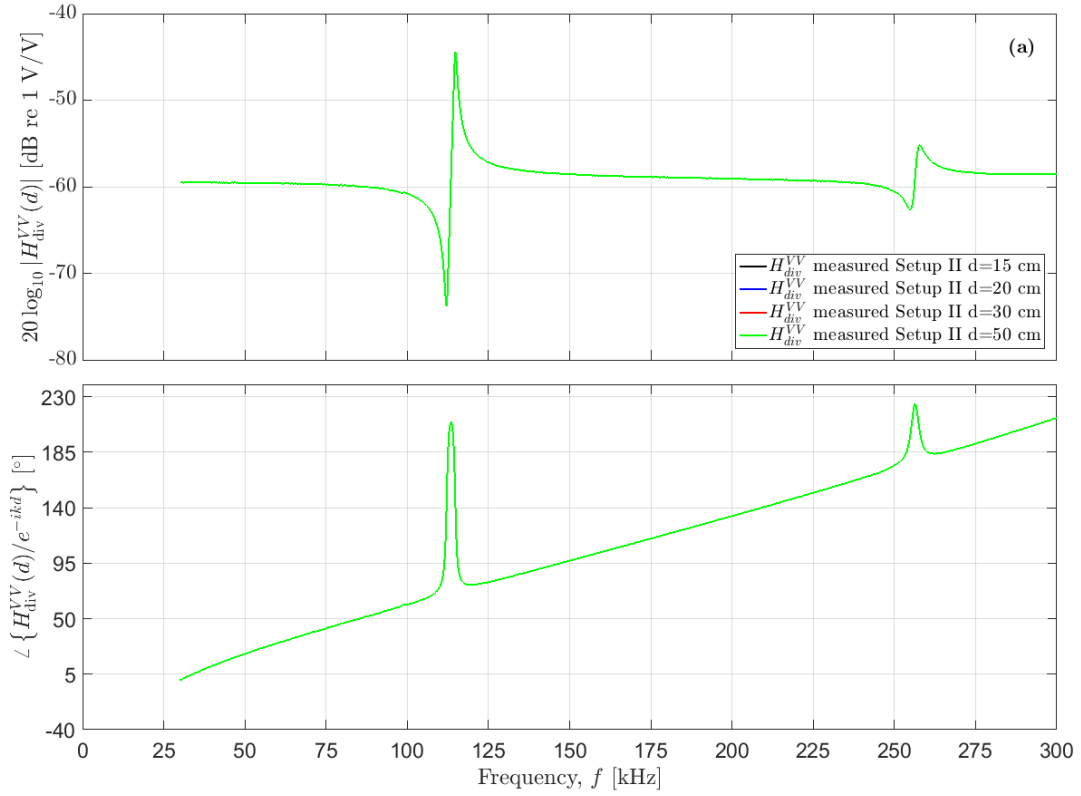


FIGURE 5.39: Measured transfer function  $H_{div}^{VV}$  for a) the magnitude and b) the slowly varying phase,  $\theta_{div}^{slow}$ , using Setup II for separation distances  $d = 50$  cm,  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm.

## 5.10 Measurement uncertainties

In this section the uncertainties relating to the measured quantities in the present work will be presented. This is based on the work in [36] which builds on the Guide to the expression of Uncertainty in Measurement (GUM), but is not fully completed in the present work and will be discussed in Chapter 6.

### 5.10.1 Uncertainty in measured electrical impedance

The uncertainty of the measured electrical impedance of a piezoelectric disk as a B type uncertainty, i.e. evaluated after manufacturer's specification, is given by [67] to be 0.1%. The type B standard uncertainty of the magnitude and phase of the electrical impedance measurements of the disks is then given by

$$u(|Z|) = \frac{|Z| \cdot 0.001}{2\sqrt{3}} \quad (5.2)$$

and

$$u(\angle Z) = \frac{\angle Z \cdot 0.001}{2\sqrt{3}}, \quad (5.3)$$

where dividing by  $\sqrt{3}$  is done to obtain rectangular distribution and the half-width of the distribution is obtained by dividing by 2. The measurement uncertainty of disk 7 and disk 13 is presented in Fig. 5.40.



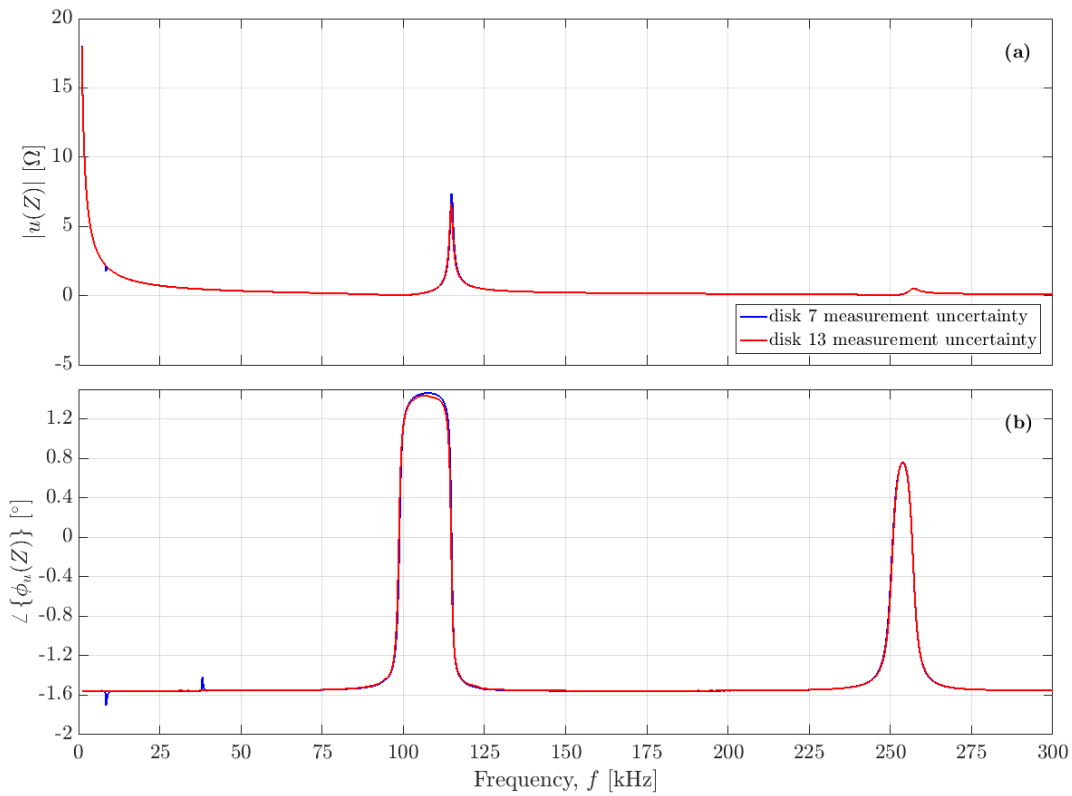


FIGURE 5.40: Uncertainty of measured electrical impedance of piezoelectric disk 7 and 13 for a) the magnitude and b) the phase,  $\theta_{div}^{slow}$ .

In Fig. 5.40 a maximum measurement uncertainty is observed for both disks at 1 kHz of  $17.95 \Omega$ . At 114.7 kHz the measurement uncertainty of disk 7 is  $7.366 \Omega$  where that of disk 13 is  $6.663 \Omega$ . Another peak in the uncertainty of  $0.5212 \Omega$  is found at 257 kHz.

For the measurement uncertainty of the phase a maximum is found at 107 kHz where it is  $1.436^\circ$  for disk 13 and  $1.460^\circ$  for disk 7. For both disks another peak is found at 253.6 kHz of  $0.7607^\circ$ .

### 5.10.2 Uncertainty in the correction for attenuation in air

Since the correction for attenuation in air,  $C_\alpha$ , given by Eq. 2.39 is dependent on both the attenuation coefficient  $\alpha$  and the separation distance,  $d$ , the uncertainty in  $C_\alpha$  is given as

$$u(C_\alpha) = 0.1151 C_\alpha \sqrt{d^2 u^2(\alpha) + \alpha^2 u^2(d)}. \quad (5.4)$$

From Eq. 5.7 and Fig. 5.12 it is seen that the uncertainty will increase for higher frequencies and decrease for shorter separation distances. For a measurement performed at a separation distance of 50 cm at 300 kHz the uncertainty of  $C_\alpha$  is 0.009. For 1 kHz at the same separation distance it is only 0.0006. In comparison, for a measurement performed at a separation distance of 15 cm at 300 kHz the uncertainty of  $C_\alpha$  is 0.000002, due to the low amount of attenuation at such a short separation distance. The measured humidity for this measurement was 19.6%, which may have contributed to such a low value in the attenuation and the attenuation uncertainty.

### 5.10.3 Combined standard uncertainty for $|H_{15open}^{VV}|$

The combined standard uncertainty for the open-circuit transfer function  $|H_{15open}^{VV}|$ , defined in Eq. 2.44, is given as

$$u_c(|H_{15open}^{VV}|) = \beta \left[ \frac{1}{|H_{0m6}^{VV}|^2} \cdot u^2(|H_{0m6}^{VV}|) + \frac{1}{|H_{0m1}^{VV}|^2} \cdot u^2(|H_{0m1}^{VV}|) + \dots \right. \\ \left. \frac{1}{|H_{5open5'}^{VV}|^2} \cdot u^2(|H_{5open5'}^{VV}|) + \frac{1}{|H_{5open5'}^{VV}|^2} \cdot u^2(|H_{5open5'}^{VV}|) + \frac{1}{|H_{5'6}^{VV}|^2} \cdot u^2(|H_{5'6}^{VV}|) \right]^{1/2}, \quad (5.5)$$

where  $\beta$  is defined as the combined uncertainty of all the transfer functions and corrections included in the calculation of  $|H_{15open}^{VV}|$ , yielding

$$\frac{H_{0m6}^{VV}}{H_{0m1}^{VV} H_{5open5'}^{VV} H_{5'6}^{VV}} C_\alpha C_{dif}. \quad (5.6)$$

The relative uncertainty for  $|H_{15open}^{VV}|$  can then be found by

$$u_r(|H_{15open}^{VV}|) = \frac{u_c(|H_{15open}^{VV}|)}{|H_{15open}^{VV}|}. \quad (5.7)$$

## Chapter 6

# Discussion

In this chapter a discussion of the experimental measurements and simulations are presented.

### 6.1 Measurement setup

There are several parameters that influence the acoustical and electrical measurements of the transmit-receive pair. In this work a focus has been on the separation distance and alignment of the disks.

The separation distance,  $z$ , greatly impact the slowly varying phase, more so than the magnitude. Small changes, on  $\mu\text{m}$  level can lead to an error in phase in the  $10^2$  range. Therefore accurate positioning of the center of the disks on the acoustic axis and careful measurements of the position of each disk using laser is paramount for good measurements. Since there are no measurement uncertainty calculated for the slowly varying phase the accuracy or uncertainty of the method is not clear. In addition the measurement uncertainty of the sound speed model used to calculate the slowly varying phase by [50] does not give an overlap with the sound speed model calculated by [53], which does raise some questions as to the validity of the model. This will be further discussed in Section 6.5.

An attempt at finding an acceptable degree of accuracy in alignment of the transmit-receive pair has been done in the current work. A noticeable difference in the slowly varying phase was found for accuracy below  $10 \mu\text{m}$  when compared to accuracies of  $50 \mu\text{m}$ . It is intuitive that higher accuracy would yield even better results, but higher accuracy than  $10 \mu\text{m}$  has not been achieved for all axis in the current work, although not for the lack of trying.

Influence of the receiving electronic and the impedance of the receiving disk was discussed in [27], as mentioned in Chapter 1. In that paper it was shown that the electrical components could be examined in the same way as the mechanical components and that the output impedance of the receiver in the system had a significant effect on the measured output. The same is found in the current work, as the effect of the open-circuit receiving cable connected to the receiving disk,  $H_{5open5'}^{VV}$ , is much greater than effect of the transmitting electronics and cables,  $H_{H0m1'}^{VV}$ , with a maximum of 12.8 dB compared to a maximum of 0.0732 dB. Not to mention that the effect of the receiving  $H_{5/6}^{VV}$  is even greater, with a maximum value of 60.82 dB and a value of about 60 dB for the frequency range 30-300 kHz, which is investigated in the present work. This effect was also seen in the results found in [33–37].

## 6.2 Non-linearity in piezoelectric disks

The system model described in Chapter 2 is assumed to be linear in behaviour. For physical systems this is normally not the case, as most are non-linear in nature. Therefore, investigation into the non-linear behaviour of the piezoelectric disks at hand have been done. It is seen in Fig. 5.18 that for the first peak associated with  $R_1$  and the first peak associated with  $R_2$  the transmitting disk displays non-linear behaviour for the case of an input voltage of 10 V peak-to-peak. Since the propagation of the sound in air causes an attenuation of the signal this is not as prominent in the case for the second peaks associated with  $R_1$  and  $R_2$ , but an input voltage of 1 V and 0.1 V is used for  $R_1$  and  $R_2$ , respectively, in order to avoid non-linear behaviour in the disks. However, as high voltage as possible is desired to get a good SRN. This method could be optimized by looking at input voltages between 1 V and 0.1 V peak-to-peak.

## 6.3 Noise

It is seen from Fig. 5.4 and Fig. 5.5 that averaging the signal over 128 bursts greatly improves the noise floor in the recorded signal. This corresponds to results found in [33?–35] and has therefore not been allocated much study in the present work.

The use of shielding in form of Faraday cages and aluminium foil has been utilized but not been investigated separately. Grounding the receiving disk at the input of the amplifier showed a decrease in the coherent electrical noise, when investigated in the acoustic Setup I, but a plot for this has not been presented in the present work.

From Fig. 5.6 the NRM method is seen to be most effective for the frequency range above 240 kHz, which includes the  $R_2$  mode. This could explain the oscillations observed in  $R_2$  for the measurement by [36] in Fig. 5.20. The oscillations observed there could be due to high coherent noise, which speaks to the efficiency of the NRM introduced in [37]. For measurements performed at a shorter distance, cf. Fig. 5.7 the method appears to be less effective, however some coherent noise appears to be removed from the  $H_{0m6}^{VV}$  with the NRM.

## 6.4 Material parameters

Previously the parameters given by Ferroperm have been tested against the experimental values found by Lohne/Knappskog, cf. [36]. However, in [37] the material set adjusted by Aanes [77] was used. In the current thesis it is shown that these small changes in the material data caused noticeable effects in the magnitude and phase of the simulated transfer function. Previous work [35, 36] have mentioned alternative methods to soldering the piezoelectric disks onto the cables, such as using epoxy or silver lacquer. This could lead to better correlation between measured and simulated transfer function.

In the simulation of different material parameters, Simulation I vs. Simulation II in Fig. 5.22, a higher value is seen both for frequency and magnitude in the second peak associated with  $R_1$  and the two peaks associated with  $R_2$ . When the measurements are compared to simulations in Section 5.8 they are compared to Simulation I. For all the four separation distances investigated in this work a lower value is found at the peaks associated with  $R_2$ , but not for the peaks associated with  $R_1$ . In the case of the second peak associated with  $R_1$  the magnitude is lower than the simulation for all distances. This may suggest that neither the material data set used in Simulation

I (adjusted by Lohne/Knappskog) or the material data set used in Simulation II (adjusted by Lohne/Knappskog/Aanes) are an accurate representation for the physical material couplings found in the piezoelectric elements used in the current work. The most likely reason for this discrepancy in the material data is that the soldering of the piezoelectric disks onto the cables connecting them to the measurement setup have changed the material constants in the disks. This could be solved by using an alternative way of connecting the piezoelectric disks to the measurement setup. This could be done through using glue, epoxy or silver laquer.

## 6.5 Limitations regarding the sound speed model

Cramer mentions unexplained discrepancies in the sound speed for low humidities, when compared to Harris [83], which is an effect discussed in Morfey and Howell [53] arising in the humidity range of 10% – 20% humidity. There it is postulated that the discrepancy at low humidity could be caused by the vibrational relaxation of  $CO_2$  not being included in the model. Cramer also mentions that while there is a better agreement at levels of humidity over 30% there is still an unexplained shift of the curves for higher values of humidity.

For the work in the current thesis this includes the measurements done using Setup II as this was performed in the early spring of 2019 when humidity was not as high as in the fall and winter, when the measurements using Setup I was conducted.

The humidity recorded during acoustic measurements at the lab in the current work has varied from 19% – 43%, so both the discrepancies mentioned by Cramer can be present in the calculation of the speed of sound used to estimate the slowly varying phase.

When calculating the slowly varying phase the sound speed plays an integral part and accurate calculations or measurements of the sound speed is therefore paramount for an accurate calculation of the slowly varying phase. Therefore, an alternative way could be to measure the diffraction effect and by that finding the phase.

## 6.6 Transmit-receive measurements

For the separation distances  $d = 30$  cm,  $d = 20$  cm and  $d = 15$  cm only two measurements are presented in the current thesis, one using Setup I and one using Setup II, as repeatability is presented for the case of  $d = 50$  cm. The results for repeatability were good for  $d = 50$  cm with maximum deviations less than 1 dB for the magnitude and less than  $24^\circ$  for the slowly varying phase for  $H_{0m6}^{VV}$ .

The comparison of Simulation I compared to Simulation II show a good degree of reproduceability for all distances, although only two measurements are presented for each separation distance. Even for the noise in the frequency range 130-150 kHz, a good agreement is seen between the measurements. As the measurements for each separation distance were taken 6 months apart this agreement in the noisy section is not expected and may point to the noise being coherent.

The dip observed at 112 kHz for a separation distance  $d=50$  cm is also observed in [36] and [37] in Figure 5.20. In [36] Andersen proposes that it may stem from the electrical corrections. However, in the present work an experimental approach is used for measuring the effect of the receiving electronics. That is not to say that any such electrical effect could lie in the calculation of the receiving cable with output and input impedance of Rx and the amplifier. Since 112 kHz is in the peak of

the receiving sensitivity this seems plausible that the receiving disk or the receiving electronics and cables could cause the deviation. This effect is not seen in [?] or [34] and in the current work the deviation effect is more prominent for shorter separation distances. An attempt to isolate the factors contributing to this deviation effect has been made by plotting the different transfer functions included in the calculation of  $H_{15open}^{VV}$ , cf. Fig. 5.37-Fig.5.39.

In Andersen it was suggested that the experimental values obtained for the amplifier could cause the effect seen at 112 kHz. In the present work these values have only been used to calculate  $H_{5open5'}^{VV}$ , which is of much less importance to the calculation of  $H_{15open}^{VV}$  compared to  $H_{5'6}^{VV}$ .

## 6.7 Measurement uncertainties

The measurement uncertainty of the measured electrical impedance is quite high in the present work and can be reduced by introducing a type A uncertainty, i.e. an uncertainty obtained by statistical methods. Repeated measurements of the impedance of the receiving disk have been made and show little to no deviation in the measurements and would decrease the combined uncertainty considerably.

In the present work the measurement uncertainty for  $|H_{15open}^{VV}|$  is not fully calculated, but an equation is presented for the combined uncertainty of  $|H_{15open}^{VV}|$  including all the transfer functions and corrections included when calculating  $H_{15open}^{VV}$ . It can however be argued that for shorter separation distances the uncertainty in the correction factor  $C_\alpha$  can be assumed to be zero as it is small enough to be negligible, cf. Section 5.10.2. The opposite is true for the uncertainty of the diffraction correction,  $u(C_{dif})$ , which can be assumed negligible for longer distances, cf. Section 5.3.5, but should be accounted for in distances such as  $d = 15$  cm.

Although full uncertainty measurements for  $|H_{15open}^{VV}|$  has not been calculated for the present work great caution and accuracy has been taken during measurements and the values achieved in [36] should be comparable with the uncertainties for the present work, had they been completed. It is expected that the calculated uncertainty for  $|H_{15open}^{VV}|$  is largest in the noisy area in the frequency range 130-155 kHz and lowest in the frequency ranges 30-90 kHz and 160-225 kHz. For reference the values of  $u_r(|H_{15open}^{VV}|)$  in these frequency ranges are 1 dB, -21 to -29 dB and -25 dB, respectively. A peak in the uncertainty around 114 kHz is expected due to the uncertainty in the impedance of the transmitting disk causing a large uncertainty in  $|H_{5open5'}^{VV}|$  in that area, cf. Fig. 5.40.

## Chapter 7

# Conclusions and further work

### 7.1 Conclusions

In this study the transfer function of an ultrasonic transmit-receive measurement system has been found experimentally and through FE simulations. Comparison have been made with prior measurements and shows deviations with Andersen [36] and Hagen [37], but an overall agreement is found. The largest deviations are found in the second peak associated with  $R_1$ . Comparisons with simulations of prior work show deviations from the present work and [36] compared to [37]. Since the two former had used a different set of material data compared to the former and slightly different dimensions for the simulated disk, the effect of material data and disk dimension on the simulations was investigated through three simulations and showed deviations like the ones observed in Fig. 5.20.

Comparison with prior simulation show deviation from the current work and Andersen [36] and Hagen [37]. The simulations by [37] using FEMP [40] and COMSOL [82] show little deviation, especially at  $R_1$  and  $R_2$ . A higher shift in frequency is observed in [37] compared to the current work and [36]. Both a higher shift in frequency and an increase in magnitude is found in [37] compared to the current work and [36]. Differences in material data show similar effect and causes much of the differences observed.

The source code has been documented and is presented in Appendix A and Appendix B, for data acquisition and post-processing, respectively.

The sensitivity of the rotary alignment about the  $y$ - and  $y$ -axis is investigated. It is found that a rotary misalignment less than  $50 \mu\text{m}$  does not significantly influence the magnitude of  $H_{15open}^{VV}$ . A rotary misalignment of approximately  $500 \mu\text{m}$  significantly reduces the magnitude of  $H_{15open}^{VV}$ , especially for  $R_2$ . A limit of maximum  $10 \mu\text{m}$  is found to give a better agreement with simulations and is the smallest uncertainty in rotary misalignment achieved in the current work.

When decreasing the separation distance,  $d$ , a larger deviation is seen between the measured and simulated transfer function. For the magnitude of  $H_{15open}^{VV}$  this is largely seen in the area above 150 kHz. For the slowly varying phase of  $H_{15open}^{VV}$  the deviations are larger, as expected, since the slowly varying phase is highly dependent on both the accuracy of the separation distance,  $d$ , the calculated sound speed and the alignment of the transmit-receiver pair. For the magnitude a dip is found at 112 kHz, which is more distinct for shorter separation distances. This effect is investigated but no conclusion is drawn as to the cause of the effect. It is also observed in the prior work by [36] and [37] and needs further investigation.

## 7.2 Suggestions for further work

Investigation of alternative methods of measuring the sound speed are suggested for further work. Alternatively, measurement of the diffraction correction experimentally and calculation of the sound speed from measurements. If there is a good control on distance measurements and alignment uncertainties this could yield usable results that may be compared to the current calculated sound speed and calculated slowly varying phase.

It has previously been suggested to find alternative ways of connecting the disks to the cables in the experimental setup, as soldering changes the material constants of the disks. The difference caused in simulation by using the material data supplied by manufacturer, Ferroperm, has been investigated in [36] and in the current work the effect of two different adjusted data sets have been investigated. Both results show that the material data, and small changes in these, have a noticeable change in the simulated transfer function. So, to achieve a better agreement between simulation and measurements alternative methods, such as epoxy or silver laquer as suggested by [34] and [36], could be attempted.

Measurements with microphone along the acoustic axis and in the plane of the receiver would be of great interest. Near field effects observed in simulations in [38] can be investigated experimentally and effects, such as the peaks found at the 225 kHz area for  $d = 20$  cm could be investigated for in the sound pressure to see if it is due to a reflection in the cage.

Uncertainty measurements should also be calculated for smaller distances than what is presented in [36], i.e. 85 cm and 50 cm, as well as uncertainty measurements for the slowly varying phase.



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## Appendix A

# Data acquisition, pylabctrl

The scripts used for data acquisition are written in Python code and collected in a folder named pylabctrl. This folder consists of four main scripts for various measurements, one folder called instruments, containing scripts for all instruments called on in the main measurement scripts, and lastly a folder called spec, containing all the specifications for the different instruments.

### A.1 Measurement scripts

In the present section the main measurement scripts used for data acquisition are presented.

#### A.1.1 admittance.py

```

1 # admittance.py
2 # Performes admittance measurements.
3 #
4 # Variables to check before each run
5 # measurement count : should be the same as for the acoustic measurement
6 #   in
7 #   for matrix dimensions to agree during post-process
8 # frequencies : should be the same as for the acoustic measurement in
9 #   for matrix dimensions to agree during post-process
10 #
11 # Output variables stored to h5-file
12 # Y : impedance structure containing two vectors; r and i for the real and
13 #   imaginary impedance values, respectively.
14 # f : frequency vector
15 #
16 # A. Hagen 2017, modified by R. Grindheim 2019
17 from contextlib import closing
18 from datetime import datetime
19 from numpy import linspace
20 from tables import open_file
21 from progressbar import ProgressBar # progressbar in terminal window
22 pbar = ProgressBar()
23
24 # Import instruments and their specifications
25 from instruments.impedanceanalyzer import ImpedanceAnalyzer
26 from spec.data import frequency_variable, admittance_variable
27 from spec.measurements import measure_admittance
28
29 # Connect to instruments
30 impedance_analyzer = ImpedanceAnalyzer('GPIB0::17::INSTR')
31 print("connected to instruments!")

```

```

32
33 # Measurement parameters
34 measurement_count = 1000
35 frequencies = linspace(start=1e3, stop=3e5, num=measurement_count)
36
37
38 with closing(open_file('D:\\Renate\\datanew\\admittance'
39                       f'{datetime.strftime(datetime.now(), "%d/%m/%y_%H%M%S
40                       ").h5', mode='w')) as file:
41     # Setup output
42     f = frequency_variable(file, expected_rows=measurement_count)
43     Y = admittance_variable(file, expected_rows=measurement_count)
44
45     for frequency in pbar(frequencies):
46         f.append((frequency,))
47         Y.append((measure_admittance(frequency, impedance_analyzer),))

```

### A.1.2 acoustic.py

```

1 # acoustic.py
2 # Performes an acoustic measurement from a transmitter, Tx, to a receiver,
3 # Rx,
4 # over the frequency range specified in the script, as start and stop
5 # frequencies.
6 #
7 # Variables to check before each run
8 # distance : set equal to the distance between Tx and Rx.
9 # p.append : update the current atmospheric pressure at the lab using a
10 # barrometer.
11 #
12 # Output variables stored to h5-file
13 # d : distance between Tx and Rx
14 # f : frequency vector
15 # h : relative humidity vector
16 # l : burst length
17 # p : atmospheric pressure vector
18 # htx : amplitude vector for transmitted signal
19 # ttx : time vector for transmitted signal
20 # hrx : amplitude vector for received signal
21 # trx : time vector for received signal
22 #
23 # A. Hagen 2017, modified by R. Grindheim 2019
24 #
25 # Note: implemetnation of the filter is kept in but commented out in order
26 # to easily
27 # change the script to include a moving bandpass filter if desireable in
28 # the future.
29
30 from contextlib import closing
31 from datetime import datetime
32 from numpy import linspace, newaxis
33 from tables import open_file
34 from progressbar import ProgressBar # progressbar in terminal window
35 pbar = ProgressBar()
36
37 # Import instruments and their specifications
38 from instruments import Oscilloscope, WaveformGenerator, Thermometer,
39 Hygrometer#, Filter
40 from spec.data import setup_variables, frequency_variable,
41 environment_variables, waveform_variables
42 from spec.measurements import measure_waveforms

```



```

36
37 # Connect to instruments
38 oscilloscope = Oscilloscope('USB0::0 x699::0 x0410::C010246::INSTR')
39 waveform_generator = WaveformGenerator('GPIB0::10::INSTR')
40 thermometer = Thermometer('GPIB0::3::INSTR')
41 hygrometer = Hygrometer('ASRL4::INSTR')
42 #filter = Filter('GPIB0::21::INSTR')
43 print("connected to instruments!")
44
45 # Measurement parameters
46 distance = .15
47 propagation_time = distance / 347 # Underestimate
48 burst_length = .8 * propagation_time
49 measurement_count = 1000 # Determines the frequency resolution of the
    measurement
50 sample_count = 10000
51 frequencies = linspace(start=1e3, stop=3e5, num=measurement_count)
52
53 with closing(open_file('D:\\Renate\\datanew\\acoustic'
54                     f'{datetime.strftime(datetime.now(), "%d/%m/%y_%H%M%S"
55                                         )}.h5', mode='w')) as file:
56     # Setup output
57     setup_variables(file, distance=distance, burst_length=burst_length)
58     f = frequency_variable(file, expected_rows=measurement_count)
59     t, p, h, = environment_variables(file, expected_rows=measurement_count)
60
61     ttx, httx, trx, hrx = waveform_variables(file, sample_count=
62     sample_count, expected_rows=measurement_count)
63
64     for frequency in pbar(frequencies):
65         #filter.config(lowpass=frequency/2, highpass=frequency*2, kind='
66         butterworth')
67         try:
68             timestamp, amplitude = measure_waveforms(
69                 frequency=frequency,
70                 burst_length=burst_length,
71                 propagation_time=propagation_time,
72                 voltage=1 if 90e3 < frequency < 117e3 else .1 if 240e3 <
73                 frequency < 260e3 else 10,
74                 oscilloscope=oscilloscope,
75                 waveform_generator=waveform_generator
76             )
77         except ValueError:
78             continue
79
80         # Frequency
81         f.append((frequency,))
82
83         # Waveforms
84         ttx.append(timestamp['tx'][newaxis])
85         httx.append(amplitude['tx'][newaxis])
86         trx.append(timestamp['rx'][newaxis])
87         hrx.append(amplitude['rx'][newaxis])
88
89         # Environmental parameters
90         t.append((thermometer.temperature,))
91         p.append((102500.,))
92         h.append((hygrometer.relative_humidity / 100,))

```

### A.1.3 noise.py

```

1 # noise.py
2 # Performs a noise measurement of the coherent electrical signal, which
  is to
3 # accompany an acoustic measurement from a transmitter, Tx, to a receiver,
  Rx.
4 #
5 # Variables to check before each run
6 # distance : set equal to the distance between Tx and Rx
7 #
8 # Output variables stored to h5-file
9 # d : distance between Tx and Rx
10 # f : frequency vector
11 # l : burst length
12 # htx : amplitude vector for transmitted signal
13 # ttx : time vector for transmitted signal
14 # hrx : amplitude vector for received signal
15 # trx : time vector for received signal
16 #
17 # A. Hagen 2017, modified by R. Grindheim 2019
18 #
19 # Note: implemetnation of the filter is kept in but commented out in order
  to easily
20 #change the script to include a moving bandpass filter if desireable in
  the future.
21
22 from contextlib import closing
23 from datetime import datetime
24 from numpy import linspace, newaxis
25 from tables import open_file
26 from progressbar import ProgressBar # progressbar in terminal window
27 pbar = ProgressBar()
28
29 # Import instruments and their specifications
30 from instruments import Oscilloscope, WaveformGenerator#, Filter
31 from spec.data import setup_variables, frequency_variable,
  waveform_variables
32 from spec.measurements import measure_waveforms
33
34 # Connect to instruments
35 oscilloscope = Oscilloscope('USB0::0x699::0x0410::C010246::INSTR')
36 waveform_generator = WaveformGenerator('GPIB0::10::INSTR')
37 #filter = Filter('GPIB0::21::INSTR')
38
39 # Measurement parameters
40 distance = .15
41 burst_length = 3.2e-3
42 measurement_count = 5001
43 sample_count = 10000
44 frequencies = linspace(start=0, stop=1.25e6, num=measurement_count)
45
46 with closing(open_file('D:\\Renate\\datanew\\noise '
47                      f'{datetime.strptime(datetime.now(), "%d/%m/%y_%H/%M/%S
48                      ").h5', mode='w')) as file:
49     # Setup output
50     setup_variables(file, distance=distance, burst_length=burst_length)
51     f = frequency_variable(file, expected_rows=measurement_count)
52     ttx, htx, trx, hrx = waveform_variables(file, sample_count=
53     sample_count, expected_rows=measurement_count)
54     for frequency in pbar(frequencies):
55         #filter.config(lowpass=frequency/2, highpass=frequency*2, kind='
56         butterworth')

```

```

55     try:
56         timestamp, amplitude = measure_waveforms(
57             frequency=frequency,
58             burst_length=burst_length,
59             propagation_time=0,
60             voltage=1 if 90e3 < frequency < 117e3 else 1 if 240e3 <
61                 frequency < 260e3 else 10,
62             oscilloscope=oscilloscope,
63             waveform_generator=waveform_generator
64         )
65     except ValueError:
66         continue
67
68     # Frequency
69     f.append((frequency,))
70
71     # Waveforms
72     ttx.append(timestamp['tx'][newaxis])
73     htx.append(amplitude['tx'][newaxis])
74     trx.append(timestamp['rx'][newaxis])
75     hrx.append(amplitude['rx'][newaxis])

```

#### A.1.4 receiver.py

```

1 # receiver.py
2 # Performs an electrical measurement of the receiving electronics; the
3 # amplifier
4 # and filter, with an attenuator coupled before the oscilloscope to avoid
5 # overpowering the filter.
6 #
7 # Variables to check before each run
8 # voltage : make sure the input voltage at the filter does not exceed 4.4
9 # V
10 #
11 # Output variables stored to h5-file
12 # f : frequency vector
13 # l : burst length
14 # htx : amplitude vector for transmitted signal
15 # ttx : time vector for transmitted signal
16 # hrx : amplitude vector for received signal
17 # trx : time vector for received signal
18 #
19 # A. Hagen 2017, modified by R. Grindheim 2019
20 #
21 # Note: implemetnation of the filter is kept in but commented out in order
22 # to easily
23 # change the script to include a moving bandpass filter if desireable in
24 # the future.
25
26 from contextlib import closing
27 from datetime import datetime
28 from numpy import linspace, newaxis
29 from tables import open_file
30 from progressbar import ProgressBar # progressbar2
31 pbar = ProgressBar()
32
33 # Import instruments and their specifications
34 from instruments import Oscilloscope, WaveformGenerator#, Filter
35 from spec.data import setup_variables, frequency_variable,
36     waveform_variables
37 from spec.measurements import measure_waveforms

```

```

33
34 # Connect to instruments
35 oscilloscope = Oscilloscope('USB0::0x699::0x0410::C010246::INSTR')
36 waveform_generator = WaveformGenerator('GPIB0::10::INSTR')
37 #filter = Filter('GPIB0::21::INSTR')
38 print("connected to instruments!")
39
40 # Measurement parameters
41 burst_length = 2*1.6e-3
42 measurement_count = 1000
43 sample_count = 10000
44 frequencies = linspace(start=1e3, stop=3e5, num=measurement_count)
45
46 with closing(open_file('D:\\Renate\\datanew\\receiver '
47                      f'{datetime.strptime(datetime.now(), "%d/%m/%y_%H%M%S")}.h5', mode='w')) as file:
48
49     # Setup output
50     setup_variables(file, burst_length=burst_length)
51     f = frequency_variable(file, expected_rows=measurement_count)
52     ttx, htx, trx, hrx = waveform_variables(file, sample_count=
53         sample_count, expected_rows=measurement_count)
54
55     for frequency in pbar(frequencies):
56         #filter.config(lowpass=frequency/2, highpass=frequency*2, kind='
57             butterworth')
58         try:
59             timestamp, amplitude = measure_waveforms(
60                 frequency=frequency,
61                 burst_length=burst_length,
62                 propagation_time=0,
63                 voltage=150e-3,
64                 oscilloscope=oscilloscope,
65                 waveform_generator=waveform_generator
66             )
67         except ValueError:
68             continue
69
70     # Frequency
71     f.append((frequency,))
72
73     # Waveforms
74     ttx.append(timestamp['tx'][newaxis])
75     htx.append(amplitude['tx'][newaxis])
76     trx.append(timestamp['rx'][newaxis])
77     hrx.append(amplitude['rx'][newaxis])

```

## A.2 Instrument scripts

In the present section the instrument scripts used in the main measurements scripts are presented.

### A.2.1 `_init_.py`

```

1 # _init_.py
2 # This script defines how to import the instruments to another script
3 # and imports the syntax for the instrument from base.py.
4 #
5 # A. Hagen 2017, comments added by R. Grindheim 2019
6

```

```

7 from .base import Instrument, Property, SCPIInstrument
8
9 from .oscilloscope import Oscilloscope
10 from .waveformgenerator import WaveformGenerator
11 from .thermometer import Thermometer
12 from .hygrometer import Hygrometer
13 from .filter import Filter

```

## A.2.2 base.py

```

1 # base.py
2 # This script defines how a generic instruments functions and allows for
3 # easier
4 # syntax in measurement scripts.
5 #
6 # A. Hagen 2017, comments added by R. Grindheim 2019
7
8 from functools import lru_cache, partialmethod, partial
9 from pyvisa import ResourceManager
10
11 @lru_cache() # Lazy load
12 def _rm():
13     return ResourceManager()
14
15
16 class Instrument:
17     def __init__(self, resource, set_message='{} {}', query_message='{}?'):
18         :
19         if isinstance(resource, str):
20             resource = _rm().open_resource(resource)
21
22         self.resource = resource
23         self.set_message = set_message
24         self.query_message = query_message
25
26     def __repr__(self):
27         return f'{self.__class__.__name__}({self.resource!r})'
28
29     def _io(self, func, *args, **kwargs):
30         return getattr(self.resource, func)(*args, **kwargs)
31
32     read = partialmethod(_io, 'read')
33     read_raw = partialmethod(_io, 'read_raw')
34     write = partialmethod(_io, 'write')
35     query = partialmethod(_io, 'query')
36     query_values = partialmethod(_io, 'query_values')
37     query_ascii_values = partialmethod(_io, 'query_ascii_values')
38     query_binary_values = partialmethod(_io, 'query_binary_values')
39
40     def __getattr__(self, item):
41         attr = super().__getattr__(item)
42
43         if isinstance(attr, Property):
44             message = self.query_message.format(self.attribute_to_property(
45                 item))
46             query_function = getattr(self, attr.query_function)
47             return attr.parse(query_function(message))
48         else:
49             return attr

```

```

49 def __setattr__(self, item, value):
50     try:
51         attr = super().__getattribute__(item)
52
53         if isinstance(attr, Property):
54             message = self.set_message.format(self.
55                 attribute_to_property(item), attr.format(value))
56             self.write(message)
57             return
58         except AttributeError:
59             pass
60
61         return super().__setattr__(item, value)
62
63 def attribute_to_property(self, attr):
64     return attr
65
66 class Property:
67     _formatters = {}
68
69     def __init__(self, type_=str, query_function='query', formater=str, **
70         kwargs):
71         self.type = type_
72         self.formater = formater
73         self.query_function = query_function
74
75         if (1 if formater is not str else 0) + len(kwargs) > 1:
76             raise ValueError('Incorrect number of formatters specified.')
77
78         for formater, param in kwargs.items():
79             if formater in self._formatters:
80                 setattr(self, formater, param)
81                 self.formater = partial(self._formatters[formater], param)
82             else:
83                 raise ValueError(f'Unknown formater {formater}.')
84
85     def format(self, value):
86         return self.formater(self.type(value))
87
88     def parse(self, value):
89         return self.type(value)
90
91     @classmethod
92     def add_formatter(cls, caster, method):
93         cls._formatters[caster] = method
94
95     def property_formatter(caster):
96         return lambda method: Property.add_formatter(caster, method)
97
98
99     @property_formatter('choices')
100     def choice_formatter(choices, choice):
101         if choice not in choices:
102             raise ValueError(f'Invalid option {choice}..')
103
104         return choice
105
106
107     @property_formatter('quotes')
108     def quoted_formatter(quotes, string):
109         return f'{quotes}{string}{quotes}'

```

```

110
111
112 @propertyformater('prefix')
113 def prefix_formater(prefix, string):
114     return f'{prefix}{string}'
115
116
117 @propertyformater('postfix')
118 def postfix_formater(postfix, string):
119     return f'{string}{postfix}'
120
121
122 class SCPIInstrument(Instrument):
123     namespace_separator = ':'
124
125     def attribute_to_property(self, attr):
126         return f':{attr.replace("_", self.namespace_separator)}'

```

### A.2.3 impedanceanalyzer.py

```

1 # impedanceanalyzer.py
2 # This script is imported to measurement scripts from instruments.
3 # It sets the settings for the impedance analyzer to be used in the
4 # measurement.
5 # A. Hagen 2017, comments added by R. Grindheim 2019
6
7 import re
8 from instruments import Instrument, Property
9
10
11 class HP4192A(Instrument):
12     A = Property(int)
13     C = Property(int)
14     F = Property(int)
15     V = Property(int)
16     OL = Property(float, postfix='EN')
17     FR = Property(float, postfix='EN')
18
19     def __init__(self, resource):
20         super().__init__(resource, set_message='{{{}}')
21         self.resource.read_termination = '\r\n'
22
23
24 class ImpedanceAnalyzer(HP4192A):
25     def measure(self, frequency, voltage):
26         self.V = 1 # Enable averaging
27         self.A = 2 # Set a + ib output
28         self.C = 3 # Select admittance (parallel circuit)
29         self.F = 0 # Output from display A and B
30         self.OL = voltage # Set voltage
31         self.FR = frequency / 1e3 # Set frequency
32         value = self.query('EX')
33
34         number = '[+\-]?\[\d.\]+E[+\-]?\[\d]+\''
35         match = re.match(f'NGFN({number}),NBFN({number})', value)
36
37         if match:
38             return float(match.group(1)) + float(match.group(2))*1j
39         else:

```

```
40         raise ValueError(f'Impedance analyzer returned unreadable
           value {value!r}.')
```

### A.2.4 waveformgenerator.py

```
1 # waveformgenerator.py
2 # This script is imported to measurement scripts from instruments.
3 # It sets the settings for the waveform generator Agilent33220A to be used
4 # in the
5 # measurement.
6 #
7 # A. Hagen 2017, comments added by R. Grindheim 2019
8 from instruments import SCPIInstrument, Property
9
10
11 class Agilent33220A(SCPIInstrument):
12     BURST_INTERNAL_PERIOD = Property(float)
13     BURST_MODE = Property(choices=('TRIGGERED', 'GATED'))
14     BURST_NCYCLES = Property(int)
15     BURST_STATE = Property(choices=('OFF', 'ON'))
16
17     FREQUENCY = Property(int)
18     FUNCTION = Property(choices=('SINUSOID', 'SQUARE', 'RAMP', 'PULSE', '
19     NOISE', 'DC', 'USER'))
20     OUTPUT = Property(choices=('OFF', 'ON'))
21     VOLTAGE = Property(float)
22
23 class WaveformGenerator(Agilent33220A):
24     def __init__(self, *args, **kwargs):
25         super().__init__(*args, **kwargs)
26
27         self.write('*RST')
28         self.FUNCTION = 'SINUSOID'
29
30     def signal(self, frequency, cycles, voltage, period):
31         self.FREQUENCY = frequency
32         self.VOLTAGE = voltage
33
34         self.BURST_STATE = 'ON'
35         self.BURST_MODE = 'TRIGGERED'
36         self.BURST_NCYCLES = cycles
37         self.BURST_INTERNAL_PERIOD = period
38
39         self.OUTPUT = 'ON'
```

### A.2.5 oscilloscope.py

```
1 # oscilloscope.py
2 # This script is imported to measurement scripts from instruments.
3 # It sets the settings for the oscilloscope TektronixDPO3000 to be used in
4 # the
5 # measurement.
6 #
7 # A. Hagen 2017, comments added by R. Grindheim 2019
8 from collections import namedtuple, OrderedDict
9 from time import strftime
```



```

10
11 from numpy import array, arange, logspace, newaxis, absolute, int16
12
13 from . import SCPIInstrument, Property
14
15
16 _channels = ('CH1', 'CH2', 'CH3', 'CH4',
17             'MATH', 'REF1', 'REF2', 'REF3', 'REF4',
18             'D0', 'D1', 'D2', 'D3', 'D4', 'D5', 'D6', 'D7', 'D8', 'D9', '
19             D10', 'D11', 'D12', 'D13', 'D14', 'D15',
20             'DIGITAL', 'AUX')
21
22 _scales = namedtuple('Scales', ('horizontal', 'ch1', 'ch2'))
23
24 class TektronixDPO3000(SCPIInstrument):
25     ACQUIRE_MODE = Property(choices=('SAMPLE', 'PEAKDETECT', 'HIRES', '
26     AVERAGE', 'ENVELOPE'))
27     ACQUIRE_NUMAVG = Property(int)
28     ACQUIRE_STATE = Property(choices=('OFF', 'ON', 'RUN', 'STOP'))
29     ACQUIRE_STOPAFTER = Property(choices=('RUNSTOP', 'SEQUENCE'))
30
31     CH1_COUPLING = Property(choices=('AC', 'DC', 'GND'))
32     CH1_LABEL = Property(quotes='')
33     CH1_POSITION = Property(int)
34     CH1_SCALE = Property(float)
35     CH2_COUPLING = Property(choices=('AC', 'DC', 'GND'))
36     CH2_LABEL = Property(quotes='')
37     CH2_POSITION = Property(int)
38     CH2_SCALE = Property(float)
39
40     CURVE = Property(array, query_function='query_values')
41
42     DATA_SOURCE = Property(choices=_channels)
43     DATA_ENCDG = Property(choices=('ASCII', 'FASTEST', 'RIBINARY', '
44     RPBINARY', 'SRIBINARY', 'SRPBINARY'))
45     DATA_WIDTH = Property(int)
46
47     CURSOR_FUNCTION = Property(choices=('OFF', 'SCREEN', 'WAVEFORM'))
48     CURSOR_VBARS_POSITION1 = Property(float)
49     CURSOR_VBARS_POSITION2 = Property(float)
50     CURSOR_VBARS_UNITS = Property(choices=('SECONDS', 'HERTZ', 'DEGREES',
51     'PERCENT'))
52
53     HORIZONTAL_DELAY_MODE = Property(choices=('OFF', 'ON'))
54     HORIZONTAL_POSITION = Property(float)
55     HORIZONTAL_RECORDLENGTH = Property(int)
56     HORIZONTAL_SCALE = Property(float)
57
58     HEADER = Property(choices=('OFF', 'ON'))
59     DATE = Property(quotes='')
60     TIME = Property(quotes='')
61
62     SELECT_CH1 = Property(choices=('OFF', 'ON'))
63     SELECT_CH2 = Property(choices=('OFF', 'ON'))
64     SELECT_CONTROL = Property(int)
65
66     TRIGGER_A = Property(choices=('SETLEVEL',))
67     TRIGGER_A_EDGE_SOURCE = Property(choices=_channels)
68     TRIGGER_A_MODE = Property(choices=('AUTO', 'NORMAL'))
69
70     WFMOUTPRE_XINCR = Property(float)
71     WFMOUTPRE_XZERO = Property(float)
72     WFMOUTPRE_YMULT = Property(float)

```

```

69 WFMOUTPRE_YOFF = Property(float)
70 WFMOUTPRE_YZERO = Property(float)
71
72 def __init__(self, *args, **kwargs):
73     super().__init__(*args, **kwargs)
74
75     self.resource.read_termination = '\n'
76     self.resource.write_termination = '\n'
77
78
79 Channel = namedtuple('Channel', ['number', 'label', 'propagates'])
80
81
82 class Oscilloscope(TektronixDPO3000):
83     hdivs = 10
84     _hscales = logspace(-9, 3, 13)[newaxis].T * array((1, 2, 4))
85     _hscales[3, 0] = 8e-7
86     _hscales = _hscales.flatten()[:-2]
87
88     vdivs = 10
89     _vscales = logspace(-3, 1, 5)[newaxis].T * array((1, 2, 5))
90     _vscales = _vscales.flatten()[:-2]
91
92     def __init__(self, *args, noise_percentage=.1, timeout=300000, **
93         kwargs):
94         super().__init__(*args, **kwargs)
95
96         self.resource.timeout = timeout
97         self.resource.values_format.is_binary = True
98         self.resource.values_format.datatype = 'h'
99         self.resource.values_format.is_big_endian = True
100        self.resource.values_format.container = int16
101
102        self.write('*RST;*WAI')
103
104        self.TRIGGER_A_EDGE_SOURCE = 'AUX'
105        self.TRIGGER_A_MODE = 'NORMAL'
106        self.TRIGGER_A = 'SETLEVEL'
107
108        self.SELECT_CH1 = self.SELECT_CH2 = 'ON'
109        self.CH1_COUPLING = self.CH2_COUPLING = 'AC'
110
111        self.HORIZONTAL_DELAY_MODE = 'OFF'
112        self.HORIZONTAL_RECORDLENGTH = 1e4
113        self.HORIZONTAL_POSITION = noise_percentage * 100
114
115        self.DATE = strftime('%Y-%m-%d')
116        self.TIME = strftime('%H:%M:%S')
117
118        self.HEADER = 'OFF'
119        self.DATA_WIDTH = 2
120        self.DATA_ENCDG = 'RIBINARY'
121
122    def acquire(self, time_scale=None, ch1_scale=None, ch2_scale=None,
123        length=None, average=None, position=None):
124        if average:
125            self.ACQUIRE_MODE = 'AVERAGE'
126            self.ACQUIRE_NUMAVG = average
127
128        if time_scale:
129            self.HORIZONTAL_SCALE = time_scale
130
131        if length:

```

```

130         self.HORIZONTAL_RECORDLENGTH = length
131
132     if position:
133         self.HORIZONTAL_POSITION = position
134
135     self.CH1_POSITION = 0
136     if ch1_scale:
137         self.CH1_SCALE = ch1_scale
138
139     self.CH2_POSITION = 0
140     if ch2_scale:
141         self.CH2_SCALE = ch2_scale
142
143     self.ACQUIRE_STOPAFTER = 'SEQUENCE'
144     self.ACQUIRE_STATE = 'RUN'
145     self.write('*WAI')
146
147     def record(self, channel):
148         self.DATA_SOURCE = f'CH{channel}'
149         data = self.CURVE
150
151         x_zero = self.WFMOUTPRE_XZERO
152         x_increment = self.WFMOUTPRE_XINCR
153         y_zero = self.WFMOUTPRE_YZERO
154         y_multiplier = self.WFMOUTPRE_YMULT
155         y_offset = self.WFMOUTPRE_YOFF
156
157         timestamps = x_zero + arange(0, len(data)) * x_increment
158         amplitudes = (data - y_offset) * y_multiplier + y_zero
159
160         return timestamps, amplitudes
161
162     def cursors(self, cursor1=None, cursor2=None):
163         self.CURSOR_FUNCTION = 'WAVEFORM'
164         self.CURSOR_VBARS_UNITS = 'SECONDS'
165
166         if cursor1 is not None:
167             self.CURSOR_VBARS_POSITION1 = cursor1
168
169         if cursor2 is not None:
170             self.CURSOR_VBARS_POSITION2 = cursor2
171
172     @property
173     def channel(self):
174         return self.SELECT_CONTROL
175
176     def scale(self, channel=None):
177         return getattr(self, (f'CH{channel}' if channel else 'HORIZONTAL')
178             + '_SCALE')
179
180     def get_scales(self, direction, minimum_scale=0, maximum_scale=float('
181         inf')):
182         if direction == 'vertical':
183             return self._vscales[(minimum_scale <= self._vscales) & (self.
184                 _vscales <= maximum_scale)]
185         elif direction == 'horizontal':
186             return self._hscales[(minimum_scale <= self._hscales) & (self.
187                 _hscales <= maximum_scale)]
188         else:
189             raise ValueError(f'Unknown direction {direction}.')
190
191     def step_scale(self, current_scale, delta=0, direction='vertical', **
192         kwargs):

```

```

188     scales = self.get_scales(direction, **kwargs)
189     current_idx = absolute(scales - current_scale).argmin()
190     next_idx = current_idx + delta
191
192     if next_idx < 0:
193         next_idx = 0
194     elif next_idx >= len(scales):
195         next_idx = len(scales) - 1
196
197     return scales[next_idx]
198
199     def scale_delta(self, scale1, scale2, direction='vertical'):
200         scales = self.get_scales(direction)
201         idx1 = absolute(scales - scale1).argmin()
202         idx2 = absolute(scales - scale2).argmin()
203         return idx2 - idx1

```

## A.2.6 hygrometer.py

```

1 # hygrometer.py
2 # This script is imported to measurement scripts from instruments.
3 # It sets the settings for the hygrometer VaisalaHMT313 to be used in the
4 # measurement.
5 #
6 # A. Hagen 2017, comments added by R. Grindheim 2019
7
8 from instruments import Instrument, Property
9
10
11 class VaisalaHMT313(Instrument):
12     FORM = Property()
13     SEND = Property()
14
15     def __init__(self, *args, **kwargs):
16         super().__init__(*args, query_message='{}', **kwargs)
17
18         self.resource.read_termination = None
19         self.resource.write_termination = '\r'
20
21
22 class Hygrometer(VaisalaHMT313):
23     def __init__(self, *args, **kwargs):
24         super().__init__(*args, **kwargs)
25
26         self.FORM = 'RH #n'
27         self.resource.read_termination = '\n'
28
29     @property
30     def relative_humidity(self):
31         return float(self.SEND)

```

## A.2.7 thermometer.py

```

1 # thermometer.py
2 # This script is imported to measurement scripts from instruments.
3 # It sets the settings for the thermometer ASLF250MKII to be used in the
4 # measurement.
5 #
6 # A. Hagen 2017, comments added by R. Grindheim 2019

```

```

6
7 import re
8 from datetime import datetime, timedelta
9
10 from instruments import Instrument, Property
11
12
13 class ASLF250MKII(Instrument):
14     D = Property()
15
16     def __init__(self, *args, **kwargs):
17         super().__init__(*args, query_message='{}', **kwargs)
18
19         self.resource.read_termination = '\r\n'
20         self.resource.write_termination = '\n'
21
22
23 class Thermometer(ASLF250MKII):
24     def __init__(self, *args, skip_time=timedelta(seconds=5), **kwargs):
25         super().__init__(*args, **kwargs)
26
27         self.last_query_time = datetime.min
28         self.skip_time = skip_time
29
30     @property
31     def temperature(self):
32         if datetime.now() - self.last_query_time >= self.skip_time:
33             value = self.D
34             self.last_query_time = datetime.now()
35             match = re.match('(\w) ([\d.]+)(\w)', value)
36
37             if match:
38                 return float(match.group(2))
39             else:
40                 return float('nan')
41             #raise ValueError(f'Thermometer returned unreadable value
42             {value!r}.')
43         else:
44             return float('nan')

```

## A.2.8 filter.py

```

1 # filter.py
2 # This script is imported to measurement scripts from instruments.
3 # It sets the settings for the filter KrohnHite3940 to be used in the
4 # measurement.
5 #
6 # A. Hagen 2017, comments added by R. Grindheim 2019
7
8 from instruments import Instrument, Property
9
10
11 class KrohnHite3940(Instrument):
12     CH = Property(int, prefix='1.')
13     T = Property(int)
14     M = Property(int)
15     F = Property(float)
16
17     def __init__(self, *args, **kwargs):
18         super().__init__(*args, set_message='{}{}', **kwargs)
19         self.write('CE')

```

```

20
21
22 class Filter(KrohnHite3940):
23     def config(self, lowpass=None, highpass=None, kind='butterworth'):
24         if kind == 'butterworth':
25             kind = 1
26         elif kind == 'bessel':
27             kind = 2
28         else:
29             raise ValueError(f'Unknown kind passed to config: {kind}.')
30
31         if lowpass and highpass:
32             self.CH = 1
33             self.M, self.T = 3, kind
34             self.F = highpass
35
36             self.CH = 2
37             self.F = lowpass
38
39         elif lowpass:
40             self.CH = 2
41             self.M, self.T = 1, kind
42             self.F = lowpass
43
44         elif highpass:
45             self.CH = 1
46             self.M, self.T = 2, kind
47             self.F = highpass
48
49         else: # Bypass
50             self.CH, self.M = 1, 5
51             self.CH, self.M = 2, 5

```

### A.3 Specification scripts

In the present section the scripts containing the specifications for the different instruments used in the main measurements scripts are presented.

#### A.3.1 data.py

```

1 # data.py
2 # This script is called on using the "from" command in measurement scripts
3 # It defines and returns values to be stored in a file after the
4 # measurement.
5 # A. Hagen 2017, comments added by R. Grindheim 2019
6
7 from tables import FloatAtom, ComplexAtom
8
9
10 def setup_variables(file, distance=None, burst_length=None):
11     if distance is not None:
12         file.create_array(file.root, 'd', distance, title='Separation
13             distance')
14     if burst_length is not None:
15         file.create_array(file.root, 'l', burst_length, title='Burst
16             length')

```

```

16
17 def frequency_variable(file , expected_rows=None):
18     return file.create_earray(file.root , 'f' ,
19                               atom=FloatAtom(8) ,
20                               shape=(0,) ,
21                               title='Frequency' ,
22                               expectedrows=expected_rows)
23
24
25 def environment_variables(file , expected_rows=None):
26     return (file.create_earray(file.root , label ,
27                               atom=FloatAtom(8) ,
28                               shape=(0,) ,
29                               title=description ,
30                               expectedrows=expected_rows)
31             for label , description in (('t' , 'Temperature') ,
32                                       ('p' , 'Pressure') ,
33                                       ('h' , 'Relative humidity')))
34
35
36 def waveform_variables(file , sample_count , expected_rows=None):
37     return (file.create_earray(file.root , label ,
38                               atom=FloatAtom(8) ,
39                               shape=(0 , sample_count) ,
40                               title=description ,
41                               expectedrows=expected_rows)
42             for label , description in (('ttx' , 'Transmitter timestamps') ,
43                                       ('htx' , 'Transmitter amplitudes') ,
44                                       ('trx' , 'Receiver timestamps') ,
45                                       ('hrx' , 'Receiver amplitudes')))
46
47
48 def admittance_variable(file , expected_rows=None):
49     return file.create_earray(file.root , 'Y' ,
50                               atom=ComplexAtom(16) ,
51                               shape=(0,) ,
52                               title='Admittance' ,
53                               expectedrows=expected_rows)

```

### A.3.2 measurements.py

```

1 # measurements.py
2 # This script is called on using the "from" command in measurement scripts
3 # It defines functions and returns values to be stored in a file during
4 # the
5 # measurement.
6 # A. Hagen 2017, comments added by R. Grindheim 2019
7
8 from collections import OrderedDict
9 from numpy import absolute , floor
10 from instruments.oscilloscope import Channel
11
12 # Function which record and returns amplitude and timestamp recorded from
13 # the
14 # oscilloscope using parameters from measurement script.
15 def measure_waveforms(frequency , burst_length , propagation_time , voltage ,
16                       oscilloscope , waveform_generator):
17     # Measurement parameters
18     noise_percentage = .1

```

```

18 window = (propagation_time + burst_length) / (1 - noise_percentage)
19 cycles = floor(burst_length * frequency) # Number of cycles per burst
20 duration = cycles / frequency
21
22 if cycles < 1:
23     raise ValueError('Burst length too short to include any cycles.')
24
25 # Set up burst
26 waveform_generator.signal(frequency, cycles, voltage=voltage, period=3
27                             * window)
28
29 # Initialize channels and scales
30 channels = (Channel(1, label='tx', propagates=False), Channel(2, label
31                 = 'rx', propagates=True))
32 time_scale = oscilloscope.get_scales('horizontal', minimum_scale=
33                 window / oscilloscope.hdivs)[0]
34 amplitude_scales = OrderedDict(((channel, oscilloscope.scale(channel))
35                                 for channel, _ in channels))
36
37 timestamp, amplitude = {}, {}
38 while not all(label in timestamp and label in amplitude for _, label,
39               _ in channels):
40     # Prepare capture
41     oscilloscope.acquire(time_scale, *amplitude_scales.values(),
42                         average=128, position=100 * noise_percentage)
43     oscilloscope.cursors(propagation_time, propagation_time + duration
44                         )
45
46     for channel, label, propagates in channels:
47         # Record trace
48         timestamp[label], amplitude[label] = oscilloscope.record(
49             channel)
50
51         # Check for clipping or suboptimal scaling
52         start = propagation_time if propagates else 0
53         end = start + duration
54         burst = (start < timestamp[label]) & (timestamp[label] < end)
55         peak = absolute(amplitude[label][burst]).max()
56         fill = peak / (amplitude_scales[channel] * oscilloscope.vdivs
57                       / 2)
58         next_scale = oscilloscope.step_scale(amplitude_scales[channel]
59                                             ],
60                                             delta=-1 if fill < .25
61                                             else 1 if fill > .75
62                                             else 0,
63                                             minimum_scale=10e-3)
64
65         # Delete trace and rescale if the channel needs to be rescaled
66         if oscilloscope.scale_delta(next_scale, amplitude_scales[
67             channel]) != 0:
68             amplitude_scales[channel] = next_scale
69             del timestamp[label], amplitude[label]
70
71     return timestamp, amplitude
72
73 # Function which record and returns the measured admittance from the
74 # impedance
75 # analyzer using parameters from measurement script.
76 def measure_admittance(frequency, impedance_analyzer):
77     return impedance_analyzer.measure(frequency, voltage=1)

```



## Appendix B

# Post processing, tftools

The scripts used for post-processing of the acquired data are written in MATLAB code and collected in a folder named tftools. They are divided into three sections; one for the post process script, the second for the models used in the post process script and lastly a section of scripts for calculating the transfer functions in the post process script.

### B.1 postprocess.m

```

1 % postprocess.m
2 % This script reads inn 5 experimental measurements and plots the
3 % transfer functions used to calculate H15open.
4 % Paste in the h5-file of the acoustic measurement you want to plot,
5 % along with its respective noise measurement.
6 %
7 % a = acoustic
8 % n = noise
9 % e = electrical
10 % t = transmitter
11 % r = receiver
12 %
13 % Make sure the files are in the MATLAB path.
14 %
15 % Created by A. Hagen 2017, modified by R. Grindheim 2019
16 %% Load data
17 a = loaddata('acoustic110419_190517.h5');
18 n = loaddata('noise110419_192645.h5');
19 e = loaddata('reciever010419_122957.h5');
20 t = loaddata('admittanceDisk7SampleCount1000.h5');
21 r = loaddata('admittance030419_094106.h5');
22
23 %% Set contants
24 f = a.f;
25 d = a.d;
26
27 %% Calculate cable transfer functions
28 [~, idx] = intersect(t.f, f);
29 t.Z = 1./(t.Y.r(idx) + t.Y.i(idx)*1i).';
30 H0m1 = H_0m1(t.f(8:end), t.Z);
31 plottf(f, H0m1, 'H0m1')
32
33 [~, idx] = intersect(r.f, f);
34 r.Z = 1./(r.Y.r(idx) + r.Y.i(idx)*1i).';
35 H5open5 = H_5open5(r.f(8:end), r.Z);
36 plottf(f, H5open5, 'H5open5')
37
38 %% Calculate noise transfer function

```

```

39 H06noise = tf(n, 0);
40 plottf(n.f, H06noise, 'H16noise')
41
42 %% Calculate electronics transfer function
43 [~, idx] = intersect(e.f, f);
44 H56 = tf(e, 0);
45 H56 = H56(idx);
46 plottf(f, H56, 'H56')
47
48 %% Calculate full system transfer function
49 a.t = griddedInterpolant(a.f(~isnan(a.t)), a.t(~isnan(a.t)));
50 a.t = a.t(f);
51 c = speedOfSound(f, a.t, a.p, a.h);
52 alpha = attenuation(f, a.t, a.p, a.h);
53 a.hrx = a.hrx - (applytf(a.htx, n.f, H06noise) + mean(a.hrx));
54 k = 2*pi*f./c;
55 H_BPDC = BPDC(k, 10e-3, d); %H_BPDC = BPDC(k, a, z);
56 Cdif = 1i.*k.*(10e-3).^2./(2*d)./H_BPDC;
57 plottf(f, Cdif, 'Cdif')
58 H0m6 = tf(a, d ./ c) .* exp(0.1151*alpha * d);
59 plottf(f, H0m6, 'H06')
60
61 %% Calculate acoustic transfer function
62 H15open = H0m6 ./ H0m1 ./ H5open5 ./ H56;
63 plottf(f, H15open, 'H15open')
64
65 %% Loads HDF5 data into struct in the MATLAB workspace
66 function data = loaddata(file)
67 data = struct();
68 info = h5info(file);
69 for set = info.Datasets'
70     data.(set.Name) = h5read(file, ['/' set.Name]);
71
72     if isvector(data.(set.Name))
73         data.(set.Name) = data.(set.Name).';
74     end
75 end
76 end
77
78 %% Calculates transfer function
79 function H = tf(data, pt)
80 H = waveformtf(data.f, data.ttx, data.htx, data.trx, data.hrx, data.l, pt)
81     ;
82 end
83
84 %% Plots transfer function
85 function plottf(f, H, name)
86 figure('Name', name)
87
88 subplot(2, 1, 1)
89 plot(f / 1e3, mag2db(abs(H)))
90 xlabel('Frequency, f [kHz]')
91 ylabel('Magnitude (dB re 1 V/V)')
92
93 subplot(2, 1, 2)
94 plot(f / 1e3, [angle(H(f < 50e3)) unwrap(angle(H(f >= 50e3)))] * 180/pi)
95 xlabel('Frequency, f [kHz]')
96 ylabel('Phase (deg)')
97 end

```

## B.2 Models

The following sections include the scripts for the different models calculated in the post process script.

### B.2.1 BPDC.m

```

1 % BPDC(k, a, z) Calculates the Baffles Piston Diffraction Correction
2 % according to Khimunin (1972).
3 %
4 % Input variables
5 % k : wavenumber
6 % a : radius of the piston.
7 % z : axial distance.
8 %
9 % Output variables
10 % H : complex diffraction correction.
11 %
12 %     A. Hagen 2017, comments added by R. Grindheim 2019
13
14 function H = BPDC(k, a, z)
15 H = 1 - (4/pi) * ...
16     integral(@(theta) exp(-1i * k .* (sqrt(z.^2 + (2*a*cos(theta)).^2) - z
17         )) .* ...
18         sin(theta).^2, ...
19         0, pi/2, 'ArrayValued', true);
end

```

### B.2.2 speedofsound.m

```

1 % c(f, t, p, h) Calculates the speed of sound based on the values
2 % given for frequency, temperature, pressure and relative humidity.
3 %
4 % Input variables
5 % f : frequency [Hz]
6 % t : temperature [Celsius]
7 % p : pressure [Pascal]
8 % h : relative humidity [percentage in range 0-1]
9 %
10 % Output variables
11 % c : speed of sound
12 %
13 %     A. Hagen 2017, modified by R. Grindheim 2019
14
15 function c = speedOfSound(f, t, p, h)
16
17 f = f(:)';
18 t = t(:)';
19 p = p(:)';
20 h = h(:)';
21
22 xc = 4e-4; % Mole fraction of carbondioxide, assumed 400ppm (CITATION
23     NEEDED)
24 xw = waterVapor(t, p, h); % Mole fraction of water vapor
25
26 [alphaO, frO] = attenuationOxygen(f, t, p, h);
27 [alphaN, frN] = attenuationNitrogen(f, t, p, h);
28
29 % Approximate equation after Cramer (1993)

```

```

29 a = [331.5024 0.603055 -0.000528 51.471935 0.1495874 -0.000782 -1.82e-7
      ...
30      3.73e-8 -2.93e-10 -85.20931 -0.228525 5.91e-5 -2.835149 -2.15e-13 ...
31      29.179762 0.000486];
32 tp = [ones(size(t)); t; t.^2];
33 c0 = a*[tp; repmat(xw, 3, 1).*tp; repmat(p, 3, 1).*tp; xc*tp; ...
34      xw.^2; p.^2; repmat(xc^2, 1, length(t)); xw.*p.*xc];
35
36 % Dispersion correction after Howell and Morfey/1980
37 c = (c0.^-1 - (alphaO./frO + alphaN./frN)/(2*pi)).^-1;
38 end

```

### B.2.3 attenuation.m

```

1 % attenuation(f, t, p, h) Calculates the attenuation of sound in air based
2 % on ANSI/ASA S.126-1995 and the values given for frequency, temperature,
3 % pressure and relative humidity.
4 %
5 % Input variables
6 % f : frequency [Hz]
7 % t : temperature [Celsius]
8 % p : pressure [Pascal]
9 % h : relative humidity [percentage in range 0-1]
10 %
11 % Output variables
12 % alpha : attenuation coefficient
13 %
14 % A. Hagen 2017, modified by R. Grindheim 2019
15
16 function alpha = attenuation(f, t, p, h)
17 T = 273.15 + t; % Thermodynamic temperature
18
19 % Approximate equations after ANSI/ASA S.126-1995
20 pa = p/1e3; % Kilopascals
21 pr = 101.325; % NIST STP pressure in kilopascals
22 Tr = 293.15; % NIST STP temperature
23
24 alphaCR = f.^2 .* 1.84e-11 .* (pa/pr).^-1 .* (T/Tr).^(1/2);
25 alphaO = attenuationOxygen(f, t, p, h);
26 alphaN = attenuationNitrogen(f, t, p, h);
27
28 alpha = alphaCR + alphaO + alphaN;
29 end

```

### B.2.4 attenuationNitrogen.m

```

1 % attenuationNitrogen(f, t, p, h) Calculates the attenuation of sound in
2 % air due to nitrogen concentration based on ANSI/ASA S.126-1995 and the
3 % values given for frequency, temperature, pressure and relative humidity.
4 %
5 % Input variables
6 % f : frequency [Hz]
7 % t : temperature [Celsius]
8 % p : pressure [Pascal]
9 % h : relative humidity [percentage in range 0-1]
10 %
11 % Output variables
12 % alphaN : attenuation coefficient for nitrogen related absorption
13 % frN = relaxation frequency for nitrogen

```

```

14 %
15 %       A. Hagen 2017, comments added by R. Grindheim 2019
16
17 function [alphaN, frN] = attenuationNitrogen(f, t, p, h)
18 T = 273.15 + t; % Thermodynamic temperature
19
20 % Approximate equations after ANSI/ASA S.126–1995
21 pa = p/1e3; % Kilopascals
22 pr = 101.325; % NIST STP pressure in kilopascals
23 Tr = 293.15; % NIST STP temperature
24 xw = waterVapor(t, p, h);
25 xwp = xw * 100; % Mole fraction of water vapor in percent
26
27 frN = pa/pr .* (T/Tr).^(-1/2) .* (9 + 280*xwp.*exp(-4.170*((T/Tr).^(-1/3)
  - 1)));
28 alphaN = f.^2 .* (T/Tr).^(-5/2) .* 0.1068.*exp(-3352.0./T) .* (frN./(frN.^2
  + f.^2));
29 end

```

### B.2.5 attenuationOxygen.m

```

1 % attenuationOxygen(f, t, p, h) Calculates the attenuation of sound in
2 % air due to oxygen concentration based on ANSI/ASA S.126–1995 and the
3 % values given for frequency, temperature, pressure and relative humidity.
4 %
5 % Input variables
6 % f : frequency [Hz]
7 % t : temperature [Celsius]
8 % p : pressure [Pascal]
9 % h : relative humidity [percentage in range 0–1]
10 %
11 % Output variables
12 % alphaO : attenuation coefficient for oxygen related absorption
13 % frO = relaxation frequency for oxygen
14 %
15 %       A. Hagen 2017, comments added by R. Grindheim 2019
16
17 function [alphaO, frO] = attenuationOxygen(f, t, p, h)
18 T = 273.15 + t; % Thermodynamic temperature
19
20 % Approximate equations after ANSI/ASA S.126–1995
21 pa = p/1e3; % Kilopascals
22 pr = 101.325; % NIST STP pressure in kilopascals
23 Tr = 293.15; % NIST STP temperature
24 xw = waterVapor(t, p, h);
25 xwp = xw * 100; % Mole fraction of water vapor in percent
26
27 frO = pa/pr .* (24 + (4.04e4*xwp).*(0.02 + xwp)./(0.391 + xwp));
28 alphaO = f.^2 .* (T/Tr).^(-5/2) .* 0.01275.*exp(-2239.1./T) .* (frO./(frO.^2
  + f.^2));
29 end

```

### B.2.6 waterVapor.m

```

1 % waterVapor(t, p, h) calculates the mole fraction of water vapor based on
2 % the values given for temperature, pressure, and
3 % relative humidity.
4 %
5 % Input variables

```

```

6 % t : temperature [Celsius]
7 % p : pressure [Pascal]
8 % h : relative humidity [percentage in range 0-1]
9 %
10 % Output variables
11 % xw : mole fraction of water vapor
12 %
13 %      A. Hagen 2017, modified by R. Grindheim 2019
14
15 function xw = waterVapor(t, p, h)
16 T = 273.15 + t; % Thermodynamic temperature
17
18 % fW, psv and xw given in Cramer/1993 appendix
19 f = 1.00062 + 3.14e-8*p + 5.6e-7*t.^2; % Enhancement factor
20 psv = exp(1.2811805e-5*T.^2 - 1.9509874e-2*T + 34.04926034 - 6.3536311e3./
21 T); % Saturation vapor pressure
22 xw = h .* f .* psv./p; % Mole fraction of water vapor
end

```

## B.3 Transfer functions

The following sections include the scripts for calculating the transfer functions in the post process script.

### B.3.1 H\_0m1.m

```

1 % H_0m1(f, Z_Tx) calculates the transfer function HVV_0m1
2 %      f = frequency [Hz]
3 %      ZT = impedance of transmitting disk [Omega]
4 %      function loads and uses values stored in struct "variables.mat"
5 %      remember to add path to this struct
6 %
7 %      K. K. Andersen 2015, modified by R. Grindheim 2019
8 function H0m1 = H_0m1(f, ZTx)
9 %% path and load variables stored in struct
10 PWD = 'D:\Renate\tftools\';
11 load([PWD, 'variables.mat'])
12
13 %% define variables
14 w = 2*pi.*f;
15
16 % Input impedance oscilloscope Z_osc: 1M ohm || 11.5pF
17 Zc = 1./(1i*(var.Zosc_inC)*w);
18 Zr = var.Zosc_inR;
19 Zosc = (Zr.*Zc)./(Zc + Zr);
20
21 %% Cable 1
22 lx1 = var.cable1;
23 L1 = var.Lx;
24 C1 = var.Cx;
25 Z01 = sqrt(L1/C1);
26 k_em1 = w.*sqrt(L1*C1);
27 Za1 = 1i*Z01.*tan(k_em1.*(lx1/2));
28 Zb1 = Z01./(1i.*sin(k_em1.*lx1));
29
30 %% Cable 2
31 lx2 = var.cable2;
32 L2 = var.Lx;
33 C2 = var.Cx;

```

```

34 Z02 = sqrt(L2/C2);
35 k_em2 = w.*sqrt(L2*C2);
36 Za2 = 1i*Z02.*tan(k_em2.*(lx2/2));
37 Zb2 = Z02./(1i.*sin(k_em2.*lx2));
38
39 %% expressions for voltages
40 V0m = (Zosc.*Zb2)./(Za2.*Zb2 + (Zosc + Za2).*(Za2 + Zb2));
41 V1 = (Zb1.*ZTx)./(Zb1.*(Za1 + ZTx) + Za1.*Zb1 + Za1.*(Za1 + ZTx));
42
43 %% transfer function
44 H0m1 = V1./V0m;
45
46 end

```

### B.3.2 H\_5open5.m

```

1  % HVV_5open5(f, ZRx) calculates the transfer function HVV_5open5
2  %     f = frequency [Hz]
3  %     ZR = complex impedance of receiving disk [Omega]
4  %     function loads and uses values stored in struct "variables.mat"
5  %     remember to add path to this struct
6  %
7  %     K. K. Andersen 2015, modified by R. Grindheim 2019
8  function H5open5 = H_5open5(f,ZRx)
9  %% path and load variables stored in struct
10 PWD = 'D:\Renate\tftools\';
11 load([PWD, 'variables.mat'])
12
13 %% Input impedance amplifier
14 % 1 Mohm || 90 pF vs. 0.95 Mohm || 96pF
15 w = (2*pi).*f;
16 C_amp = var.Zamp_inC;
17 R_amp = var.Zamp_inR;
18 Zc = 1./(1i*C_amp*w);
19 Zamp = (R_amp.*Zc)./(Zc + R_amp);
20
21 %% Cable 2
22 lx3 = var.cable3;
23 L3 = var.Lx;
24 C3 = var.Cx;
25 Z03 = sqrt(L3/C3);
26 k_em3 = w.*sqrt(L3*C3);
27 Za3 = 1i*Z03.*tan(k_em3.*(lx3/2));
28 Zb3 = Z03./(1i.*sin(k_em3.*lx3));
29
30 Za3 = 0;
31
32 %% transfer function
33 H5open5 = (Zamp.*Zb3)./((ZRx + Za3).*Zb3 + (Za3 + Zamp).*(ZRx + Za3 + Zb3)
34 );
35 end

```

### B.3.3 waveformtf.m

```

1  %% waveformtf(f, ttx, htx, trx, hrx, bt, pt) calculates the
2  % transfer function H of the measured signal.
3  %
4  % Input variables

```

```

5 % f : frequency vector
6 % ttx : time vector for transmitted signal
7 % htx : amplitude vector for transmitted signal
8 % trx : time vector for received signal
9 % hrx : amplitude vector for received signal
10 % bt : burst length
11 % pt : a propagation time
12 %
13 % Output variables
14 % H : transfer function
15 %
16 %      A. Hagen 2017, modified by R. Grindheim 2019
17
18 function H = waveformtf(f, ttx, htx, trx, hrx, bt, pt)
19 if length(pt) == 1
20     pt = repmat(pt, size(f));
21 end
22
23 if length(bt) == 1
24     bt = repmat(bt, size(f));
25 end
26
27 H = cellfun(@transferfunctionvalue, ...
28     num2cell(f, 1), ...
29     num2cell(ttx, 1), num2cell(htx, 1), ...
30     num2cell(trx, 1), num2cell(hrx, 1), ...
31     num2cell(bt, 1), num2cell(pt, 1));
32 end
33
34 function H = transferfunctionvalue(f, ttx, htx, trx, hrx, bt, pt)
35 for data = [struct('ch', 'tx', 'time', ttx, 'sig', htx, 'propagates',
36     false), ...
37     struct('ch', 'rx', 'time', trx, 'sig', hrx, 'propagates', true
38     )]
39     % Reassign loop data
40     time = data.time;
41     sig = data.sig;
42     ch = data.ch;
43     propagates = data.propagates;
44
45     % Remove bias
46     sig = sig - mean(sig);
47
48     % Calculate sample rate
49     dt = time(2) - time(1);
50     fs = 1/dt;
51
52     for data = [struct('idx', 1, 'discard', .4, 'indexer', crossings(sig))
53         , ...
54         struct('idx', 2, 'discard', 0, 'indexer', 1:length(time))]
55         %#ok<FXSET>
56
57         % Reassing loop data
58         idx = data.idx;
59         discard = data.discard;
60         indexer = data.indexer;
61
62         % Estimate start and stop based on characteristic data
63         start = pt * propagates;
64         stop = start + bt;
65
66         % Discard part of the signal according to the discard variable and
67         % readjust to closest estimated period based on characteristic
68         data

```



```

63     discard = discard * bt;
64     cyclestarts = (0:floor(f * bt)-1) / f;
65     [~, ndx] = min(abs(cyclestarts - discard));
66     start = start + cyclestarts(ndx);
67
68     % Select closest sample to calculated start and stop according to
69     % the indexer variable
70     [~, start] = min(abs(time(indexer) - start));
71     [~, stop] = min(abs(time(indexer) - stop));
72     ndx = indexer(start):indexer(stop);
73
74     % Calculate DFT bin of cut signal
75     h = sig(ndx);
76     L = length(h);
77     [N, n] = fftpad(f, L, fs);
78     dft.(ch)(idx) = goertzel([h; zeros(N-L, 1)], n);
79 end
80 end
81
82 % Calculate transfer function value
83 Habs = abs(dft.rx(1)/dft.tx(1));
84 Hangle = angle(dft.rx(2)/dft.tx(2));
85 H = Habs * exp(1i * Hangle);
86 end

```

### B.3.4 applytf.m

```

1 %% applytf(h, f, H) calculates the
2 %% transfer function H of the measured signal.
3 %%
4 %% Input variables
5 %% h : amplitude vector
6 %% f : frequency vector
7 %% H : transfer function
8 %%
9 %% Output variables
10 %% h : amplitude vector
11 %%
12 %% A. Hagen 2017, comments added by R. Grindheim 2019
13
14 function h = applytf(h, f, H)
15 H = griddedInterpolant(f, H, 'nearest');
16 H = H(0:f(2)-f(1):f(end)) / 2;
17 H = [H fliplr(conj(H(2:end-1)))] .';
18
19 for idx = 1:size(h, 2)
20     h(:,idx) = real(ifft(fft(h(:,idx)) .* H));
21 end
22 end

```

### B.3.5 crossings.m

```

1 % crossings(h) Finds all the points at which the signal
2 % crosses the zero line. When a zero crossing is found the point before
3 % or after the crossing is returned depending on which is closer to zero.
4 %
5 % Input variables
6 % h : amplitude vector
7 %

```

```

8 % Output variables
9 % crossings : vector of new values for previous zero points
10 %
11 %     A. Hagen 2017, comments added by R. Grindheim 2019
12
13 function crossings = crossings(h)
14 h = h(:);
15
16 % Find all crossings
17 crossings = find(h(1:end-1) .* h(2:end) <= 0 & ...
18                (h(1:end-1) ~= 0 | h(2:end) ~= 0)); % First index of
                crossings
19 [~, idx] = min(abs(h([crossings crossings + 1])), [], 2); % Is first or
                second index smaller?
20 crossings = crossings + idx - 1; % Correct if second is smaller
21 crossings = unique(crossings); % Remove duplicates
22 end

```

### B.3.6 fftpad.m

```

1 % fftpad(f, L, fs, range) Optimizes FFT padding to center a
2 % frequency bin close to the frequency f.
3 % fftpad(f, L, fs) finds the optimal signal length in the range [L 2L].
4 % fftpad(___, range) extends the range to the specified range parameter.
5 %
6 % Input variables
7 % f : frequency vector
8 % L : length of amplitude vector
9 % fs : sample rate
10 % range : range specified from the amplitude vector, default: [L 2L]
11 %
12 % Output variables
13 % N : optimal signal length
14 % n : best FFT number close to the frequency f
15 %
16 %     A. Hagen 2017, comments added by R. Grindheim 2019
17
18 function [N, n] = fftpad(f, L, fs, range)
19 if nargin < 4
20     range = [1 2];
21 end
22
23 % Possible values
24 n = ceil(range(1)*L*f/fs) : floor(range(2)*L*f/fs);
25 N = n*(fs/f);
26
27 % Find optimal
28 [~, idx] = min(N - round(N));
29
30 % Extract
31 n = n(idx) + 1; % Off by one due to MatLab indexing
32 N = round(N(idx));
33 end

```

## Appendix C

# FEMP simulation

### C.1 Structure, piezofluid.inn

```

1 # FEMP V3.0 INPUT-FILE
2 # Example file for a PZT-5A disk with diameter 10.0 mm and thickness 2.0 mm
3 # in vacuum. Calculations are made using direct time-harmonic analysis.
4 # Admittance and source sensitivity response is calculated for
5 # a limited frequency range.
6 # Losses are included using complex material constants.
7 # The results from this file is equivalent with the results from
8 # the example input file 'disk_vacuum_modal.inn'. However, instead
9 # of using modal analysis, direct time-harmonic analysis is used.
10 # Therefore, no resonance frequencies are calculated.
11
12 set
13 r,10.099e-3
14 t,2.04102e-3
15 mesh_pz_fl,3
16 mesh_inf_fl,1
17 mat,2
18 matnumfluid,3
19 fmin,0
20 fmax,300e3
21 fstep,0.1e5
22 fmesh,100e3
23 rinf,30e-3
24 d0,1000
25 end
26
27 materialfile
28 2
29 end
30
31 # The number of elements per wavelength is calculated at upper frequency
   range
32 meshingtype
33 elementsperwavelength,fmesh
34 end
35
36 # The order of the finite elements is 2 - i.e. 8 node isoparametric
   elements are applied
37 order
38 2
39 end
40

```

```

41 infiniteorder
42 12
43 end
44
45 # Model a piezoelectric disk with radius and thickness defined by the
46 # variable 't' and 'r' from set, n elements per wavelength in both radial
    and thickness
47 # direction, and of material given by mat
48 piezofluid
49 r, t, mesh_pz_fl, mesh_pz_fl, mat, rinf, mesh_pz_fl, mesh_pz_fl, mesh_inf_fl,
    mesh_inf_fl, matnumfluid
50 end
51
52 # Perform a direct time-harmonic analysis, in which calculations are made
53 # for frequencies between fmin and fmax MHz in steps of fstep.
54 # Complex losses are used.
55 directharmonicanalysis
56 fmin, fstep, fmax, complex_loss
57 end
58
59 # Calculate admittance for the frequencies used in the time-harmonic
    analysis
60 admittance
61 0,0,0
62 end
63
64 # Calculate sensitivity
65 sensitivity
66 0,0,0,d0
67 end
68
69 # Save admittance and sensitivity to
70 # matlab-file 'disk_vacuum_direct_result.mat'.
71 save
72 admittance, admittance_f, sensitivity, sensitivity_f
73 end

```

## C.2 Post-processing

```

1 %% Compute open circuit loss-free simulated transfer function
2 % subscript _f denotes FEMP, ff denotes far-field
3 % using simulation of disk 07 regardless of actual transmitter and
    receiving disk
4 % Author: Kenneth K. Andersen 2015, modified by Renate Grindheim 2019
5
6 d = 0.5;
7 d0 = 1;
8 load('piezofluid_result.mat', 'result');
9 zff = 1000; % far-field distance
10 p4_zff = result.sensitivity{:}; % far-field pressure
11 ZT_f = 1./result.admittance{:}; % impedance
12 f_f = result.sensitivity_f{:}; % frequency vector
13 c_f = 342.9999455620972; % hardcoded value for speed of sound
14 k_f = 2*pi*f_f./c_f;
15 rho_f = 1.205; % hardcoded value for density of air
16
17 % open circuit loss-free simulated transfer function
18 Hvv15open_f = ZT_f.*p4_zff.^2.*(2.*zff.^2)./(1i.*rho_f.*d.*f_f).*exp(1
    i.*k_f.*(2.*zff - d));

```

```

19     Hvv15open_f_phi = rad2deg(unwrap(angle(Hvv15open_f)) + k_f.*d);
20
21     % transmitting and receiving sensitivities
22     Sv_f_zff = result.sensitivity{:}; % far-field pressure == source
        sensitivity
23     Sv_f_d0 = Sv_f_zff.*zff./d0.*exp(1i.*k_f.*(zff - d0));
24
25     % calculate reciprocity parameter, valid at d0 = 1 m
26     J_f_d0 = 2*d0./(1i.*rho_f.*f_f).*exp(1i.*k_f.*d0);
27     Mv_f = J_f_d0.*Sv_f_d0.*ZT_f;
28
29 %% Plotting, figure 1
30 figlabfs = 16;
31 labfs = 17;
32
33 figure(1)
34 clf
35 ha = tight_subplot(2,1,[.03 .03],[.09 .04],[.12 .04]);
36 axes(ha(1))
37
38 % Magnitude
39 plot(f_f/1000, mag2db(abs(Hvv15open_f)), 'b', 'LineWidth', 1.5);
40
41 set(gca, 'YGrid', 'on', 'XGrid', 'on', 'fontSize', labfs, 'box', 'on', 'Xtick',
        0:25:300);
42 ylabel('$20\log_{10}|H^{\text{VV}}_{\text{15,open}}(d)|$ [dB re 1 V/V]', '
        Interpreter', 'LaTeX')
43 xlim([0, 300])
44 ylim([-180, -80])
45 ll = legend({'FEM'}, 'Location', 'southeast');
46 ll.Interpreter = 'LaTeX';
47 xl = xlim;
48 xls = abs(xl(2) - xl(1));
49 yl = ylim;
50 yls = abs(max(yl) - min(yl));
51 set(gca, 'XTickLabel', '')
52 text(xl(1) + 0.95*xls, min(yl) + 0.9*yls, '\textbf{(a)}', 'FontWeight', '
        Bold', 'FontSize', figlabfs, 'Interpreter', 'LaTeX')
53 text(xl(1) + 0.05*xls, min(yl) + 0.9*yls, ['$d$ = ', num2str(d), ' m'], '
        FontSize', figlabfs+4, 'Fontweight', 'Normal', 'Interpreter', 'LaTeX')
54 set(gca, 'TickLabelInterpreter', 'latex')
55 % -----
56 axes(ha(2))
57 % Phase
58 plot(f_f/1000, Hvv15open_f_phi, 'b', 'LineWidth', 1.5);
59
60 set(gca, 'YGrid', 'on', 'XGrid', 'on', 'fontSize', labfs, 'box', 'on', 'Xtick',
        0:25:300, 'Ytick', -180:90:540);
61 xlabel('Frequency, $f$ [kHz]', 'Interpreter', 'LaTeX')
62 ylabel('$\angle \left\{ H^{\text{VV}}_{\text{15,open}}(d) / e^{-ikd} \right\}$ $
        [^{\circ}]$', 'Interpreter', 'LaTeX')
63 xlim([0, 300])
64 ylim([-185, 450])
65
66 xl = xlim;
67 xls = abs(xl(2) - xl(1));
68 yl = ylim;
69 yls = abs(max(yl) - min(yl));
70 text(xl(1) + 0.95*xls, min(yl) + 0.9*yls, '\textbf{(b)}', 'FontSize',
        figlabfs, 'Interpreter', 'LaTeX')
71
72 set(gca, 'TickLabelInterpreter', 'latex')
73 set(gcf, 'Position', [400, 400, 900, 600])

```

```
74 %print(gcf,'renate_test.png','-dpng','-r600')
```

## Appendix D

# Waveform of different pulses

### D.1 Waveform of pulses at $d = 50$ cm

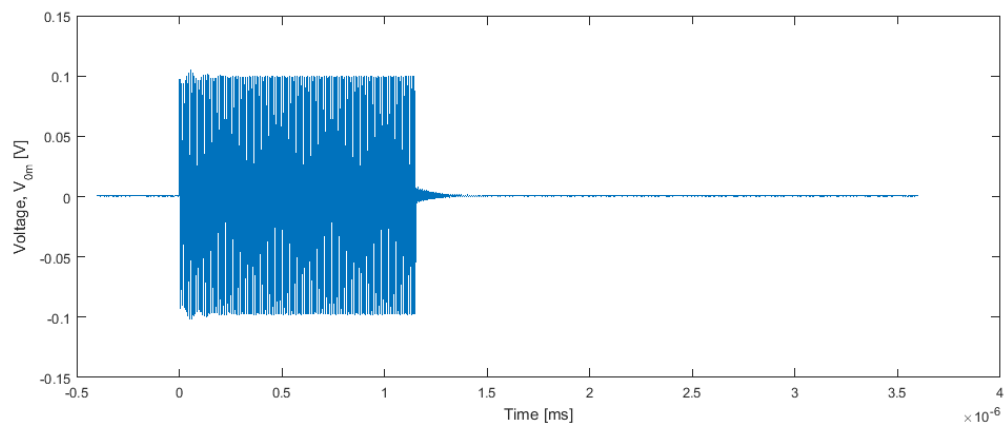


FIGURE D.1: Waveform of sent pulse at 112.04 kHz at a separation distance  $d = 50$  cm.

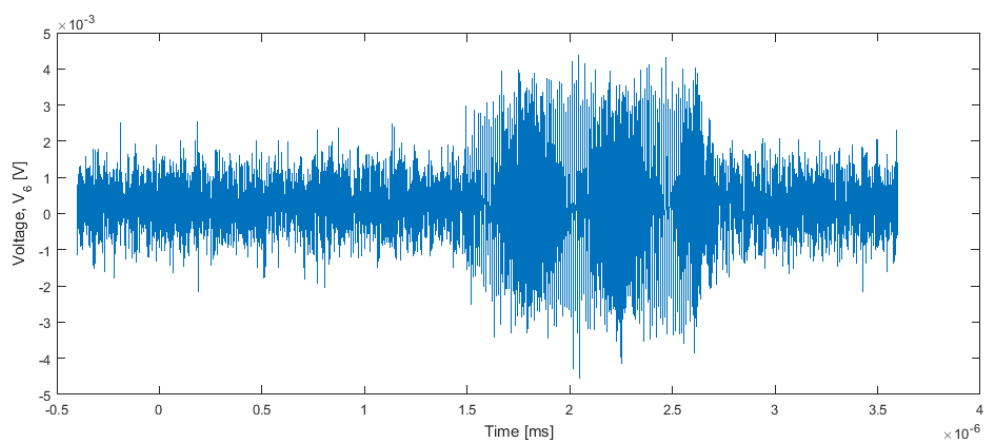


FIGURE D.2: Waveform of received pulse at the first peak associated with  $R_1$  at 98.572 kHz with a separation distance  $d = 50$  cm.

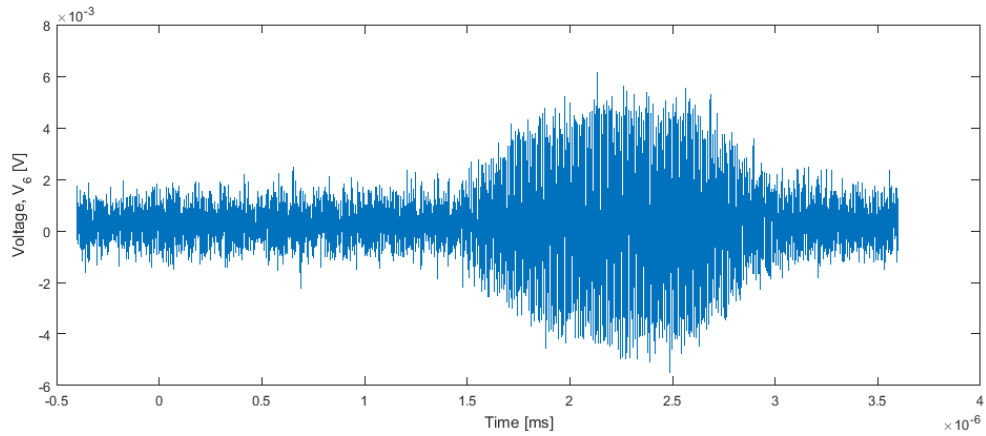


FIGURE D.3: Waveform of received pulse at the second peak associated with  $R_1$  at 112.04 kHz with a separation distance  $d = 50$  cm.

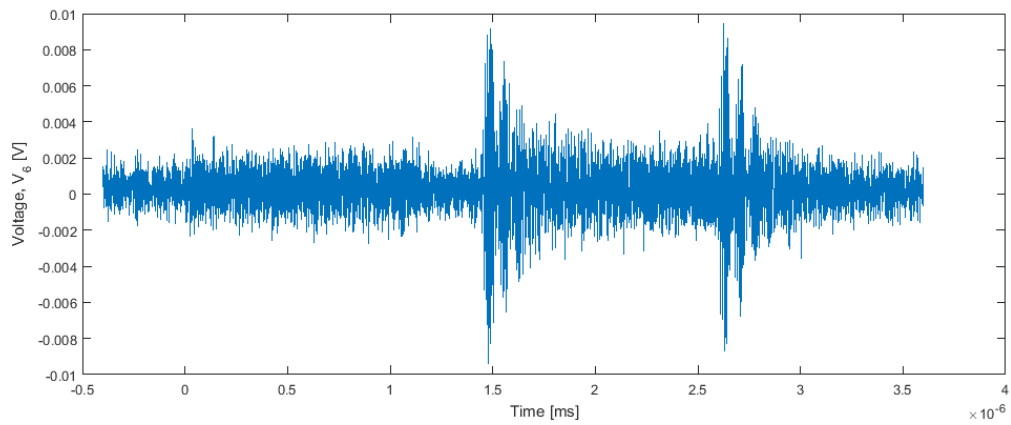


FIGURE D.4: Waveform of received pulse at 175.192 kHz at a separation distance  $d = 50$  cm.

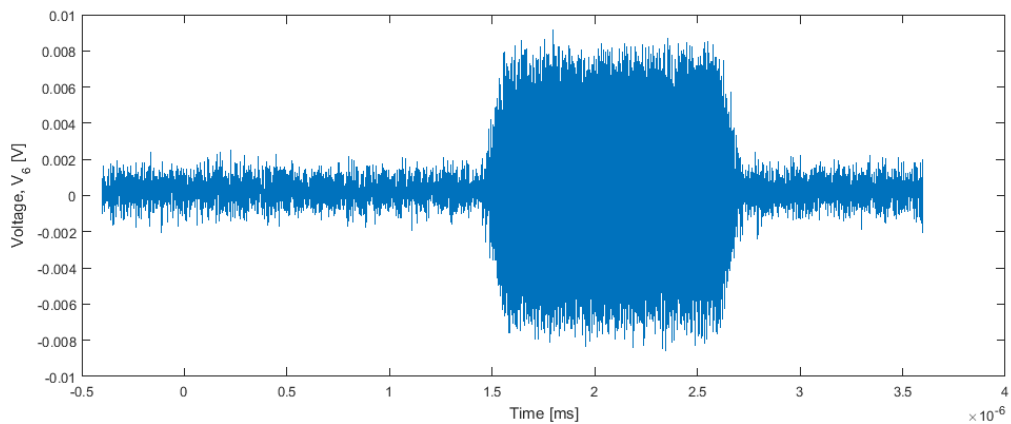


FIGURE D.5: Waveform of received pulse at the first peak associated with  $R_2$  at 251.214 kHz with a separation distance  $d = 50$  cm.



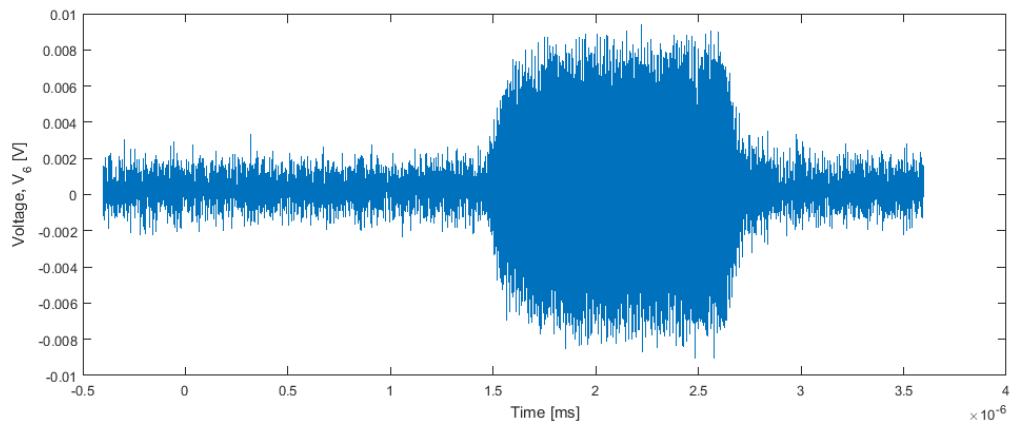


FIGURE D.6: Waveform of received pulse at the second peak associated with  $R_2$  at 255.105 kHz with a separation distance  $d = 50$  cm.

## D.2 Waveform of pulses at $d = 30$ cm

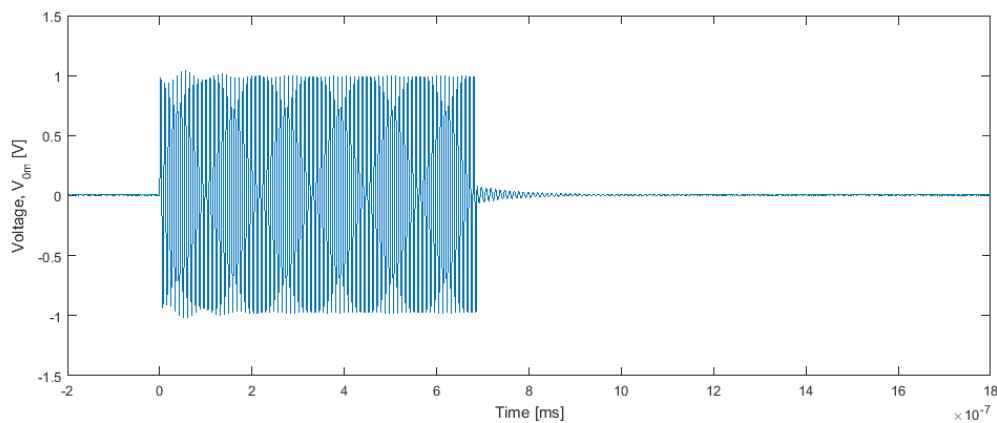


FIGURE D.7: Waveform of sent pulse at 112.04 kHz at a separation distance  $d = 30$  cm.

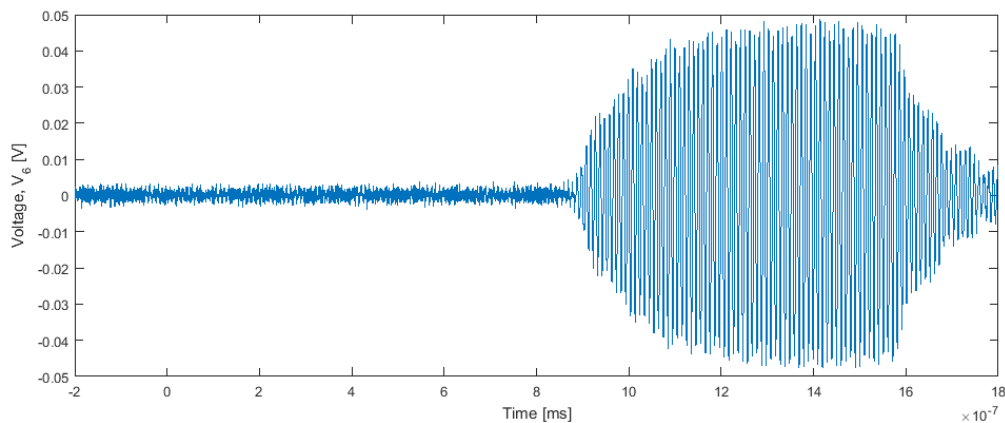


FIGURE D.8: Waveform of received pulse at the first peak associated with  $R_1$  at 98.572 kHz with a separation distance  $d = 30$  cm.

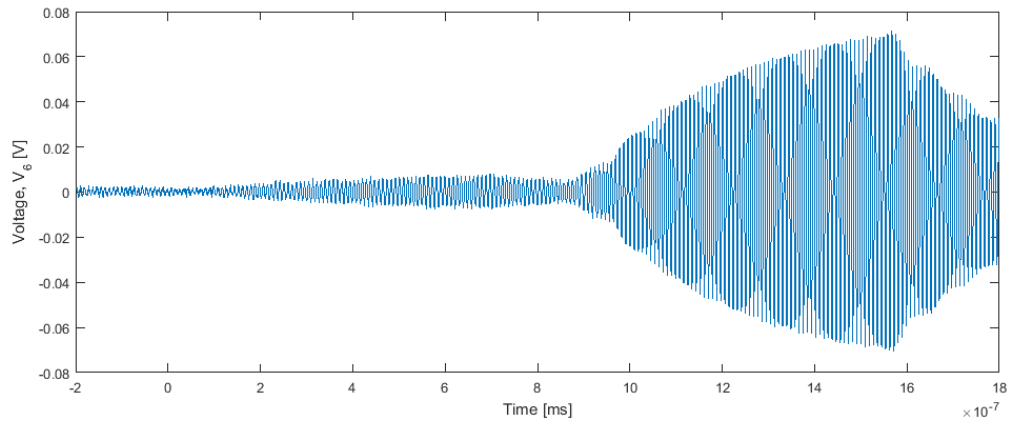


FIGURE D.9: Waveform of received pulse at the second peak associated with  $R_1$  at 112.04 kHz with a separation distance  $d = 30$  cm.

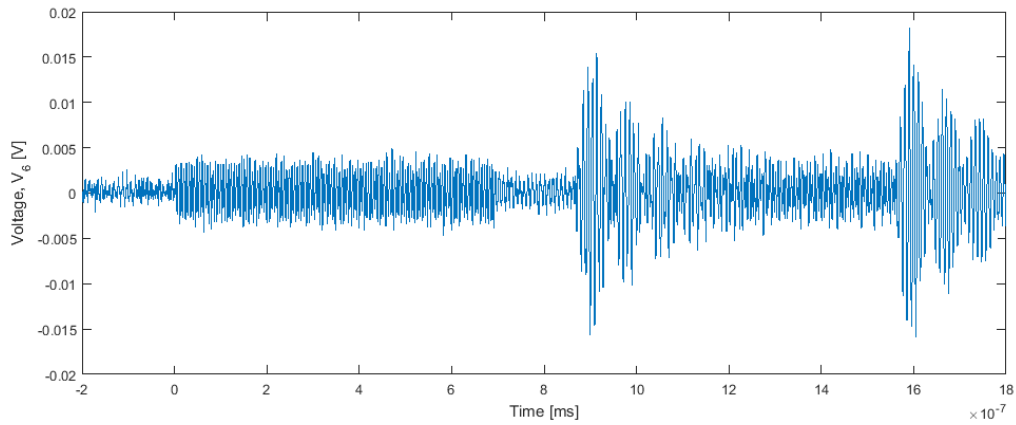


FIGURE D.10: Waveform of received pulse at 175.192 kHz at a separation distance  $d = 30$  cm.

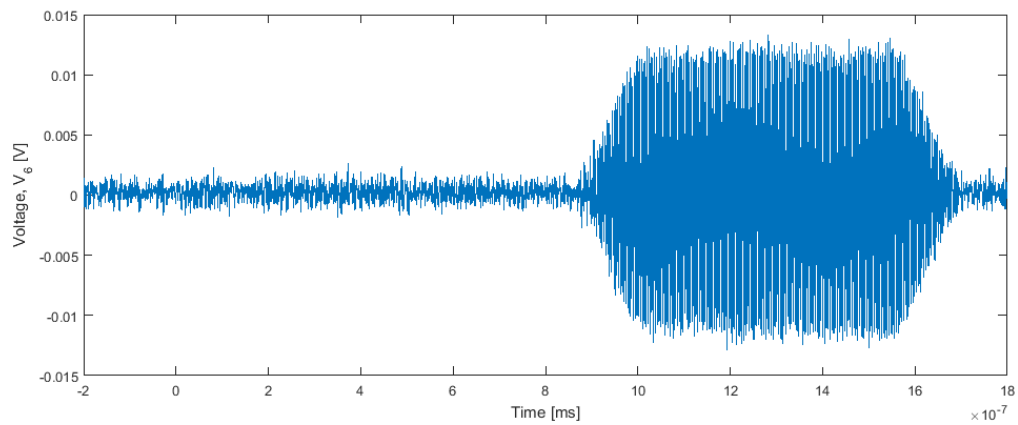


FIGURE D.11: Waveform of received pulse at the first peak associated with  $R_2$  at 251.214 kHz with a separation distance  $d = 30$  cm.

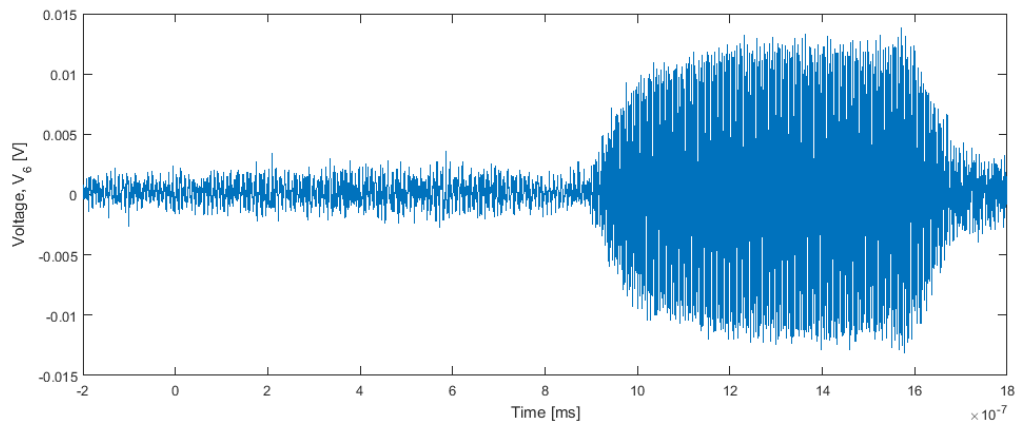


FIGURE D.12: Waveform of received pulse at the second peak associated with  $R_2$  at 255.105 kHz with a separation distance  $d = 30$  cm.

### D.3 Waveform of pulses at $d = 20$ cm

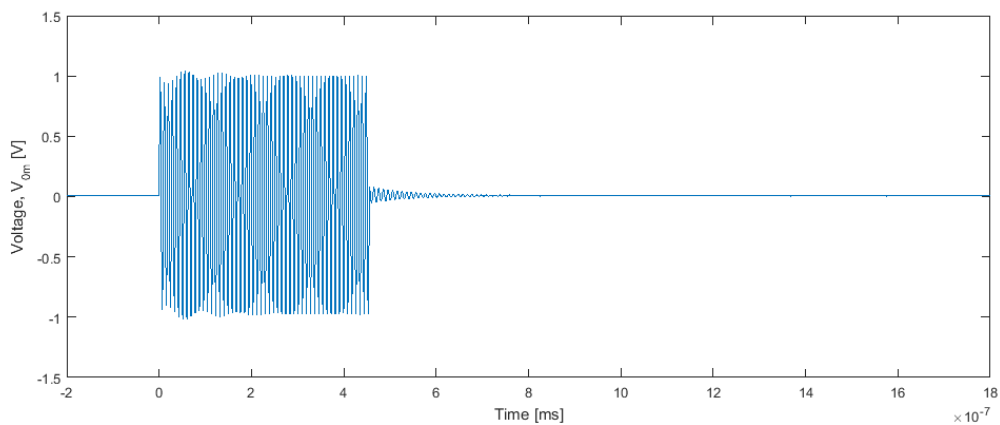


FIGURE D.13: Waveform of sent pulse at 112.04 kHz at a separation distance  $d = 20$  cm.

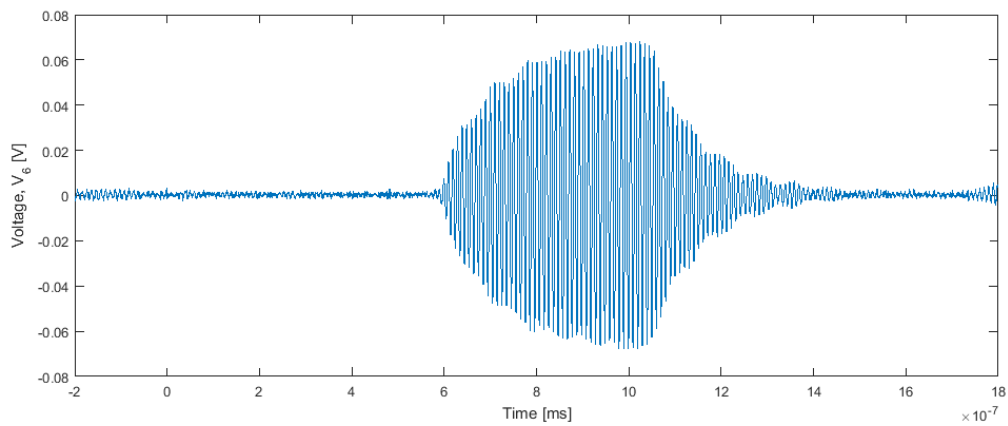


FIGURE D.14: Waveform of received pulse at the first peak associated with  $R_1$  at 98.572 kHz with a separation distance  $d = 20$  cm.

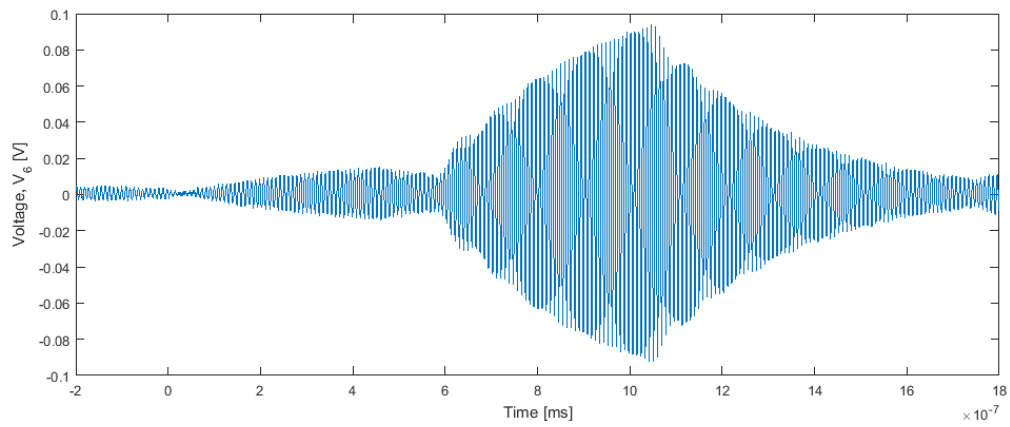


FIGURE D.15: Waveform of received pulse at the second peak associated with  $R_1$  at 112.04 kHz with a separation distance  $d = 20$  cm.

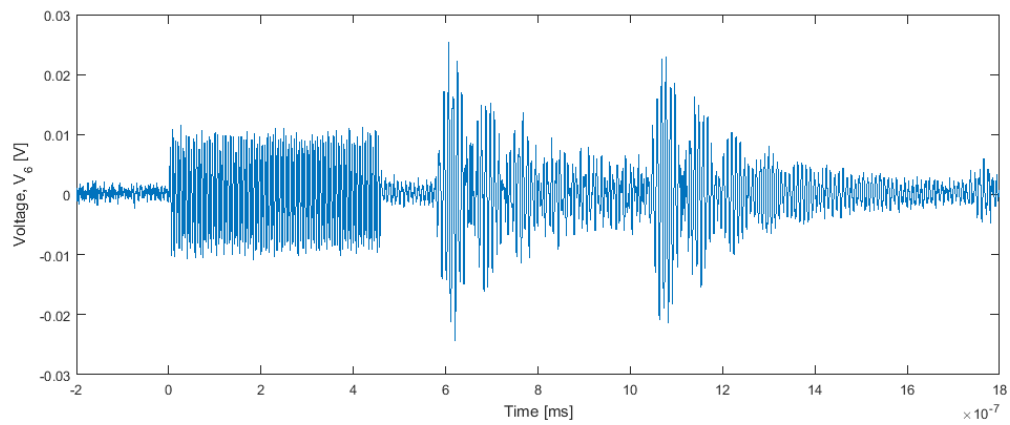


FIGURE D.16: Waveform of received pulse at 175.192 kHz at a separation distance  $d = 20$  cm.

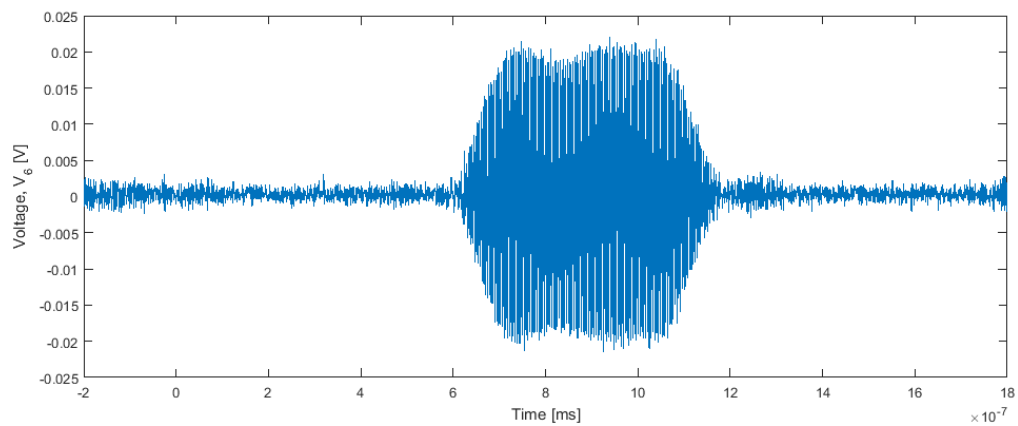


FIGURE D.17: Waveform of received pulse at the first peak associated with  $R_2$  at 251.214 kHz with a separation distance  $d = 20$  cm.

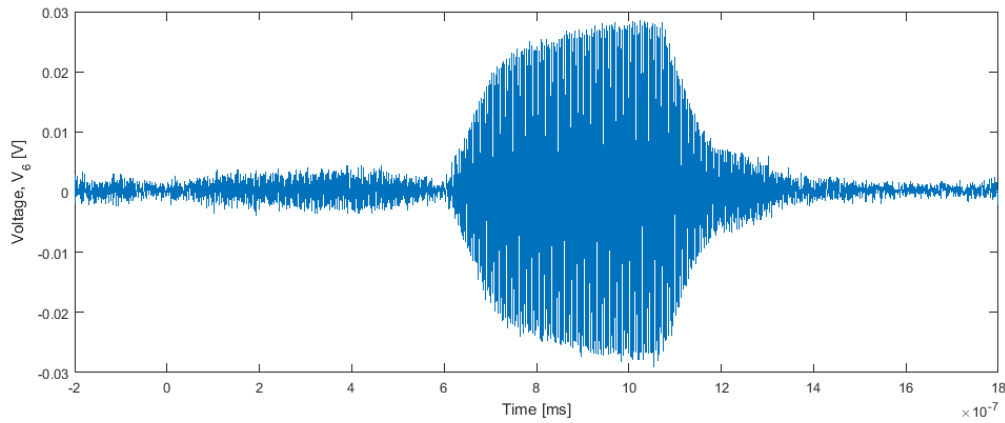


FIGURE D.18: Waveform of received pulse at the second peak associated with  $R_2$  at 255.105 kHz with a separation distance  $d = 20$  cm.

#### D.4 Waveform of pulses at $d = 15$ cm

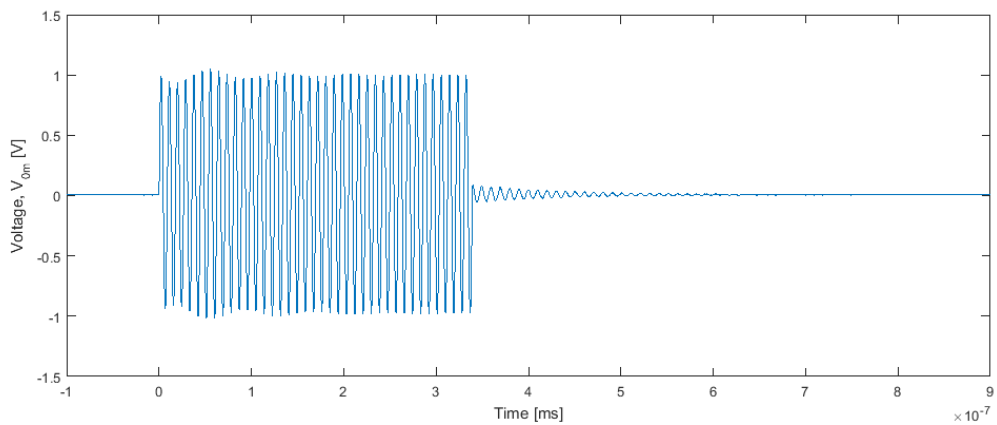


FIGURE D.19: Waveform of sent pulse at 112.04 kHz at a separation distance  $d = 15$  cm.

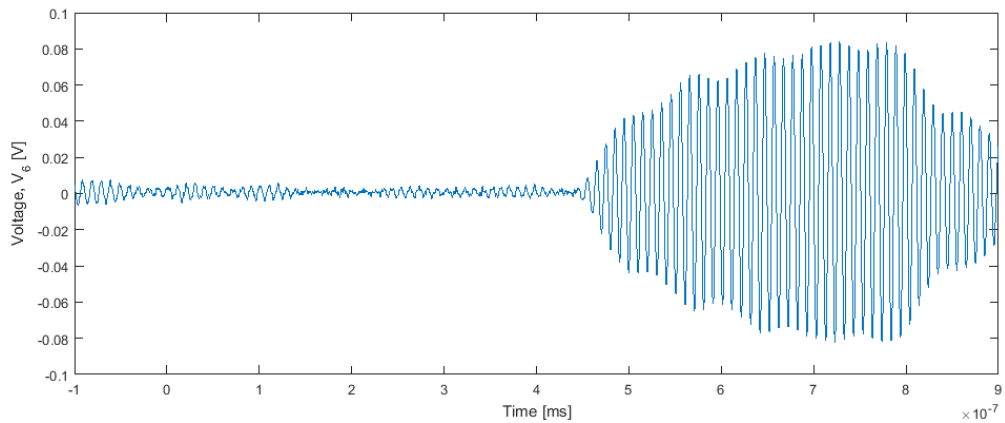


FIGURE D.20: Waveform of received pulse at the first peak associated with  $R_1$  at 98.572 kHz with a separation distance  $d = 15$  cm.

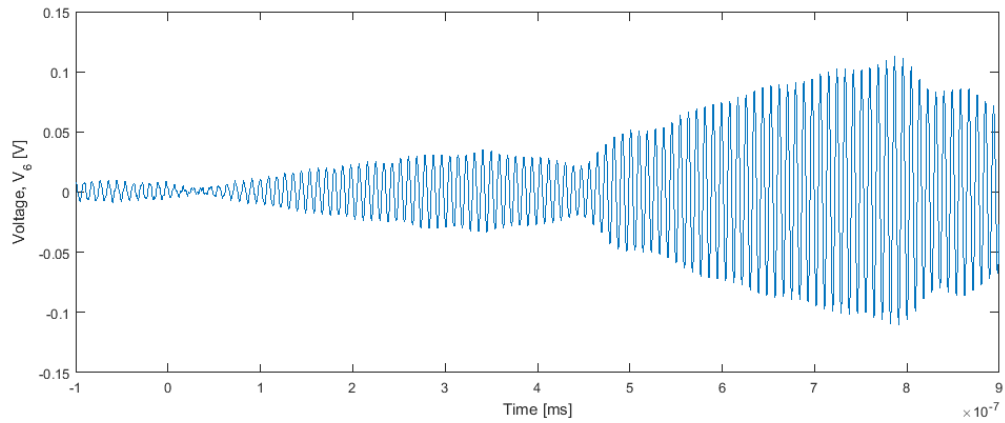


FIGURE D.21: Waveform of received pulse at the second peak associated with  $R_1$  at 112.04 kHz with a separation distance  $d = 15$  cm.

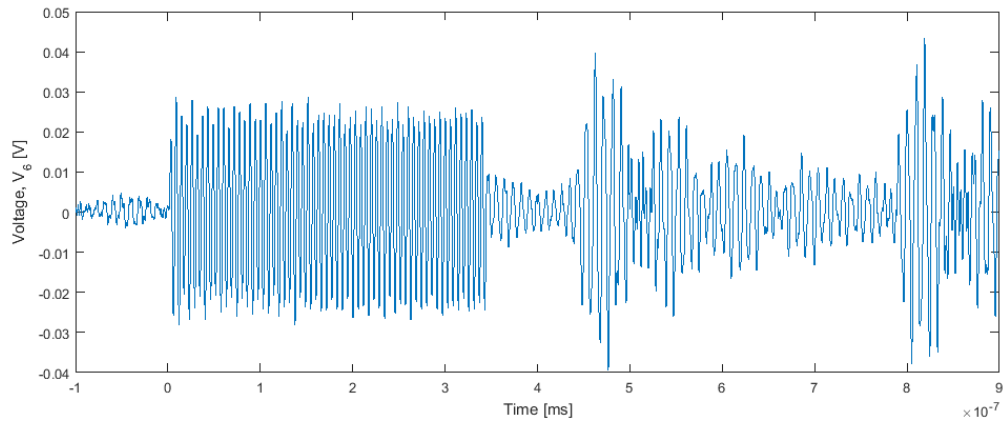


FIGURE D.22: Waveform of received pulse at 175.192 kHz at a separation distance  $d = 15$  cm.

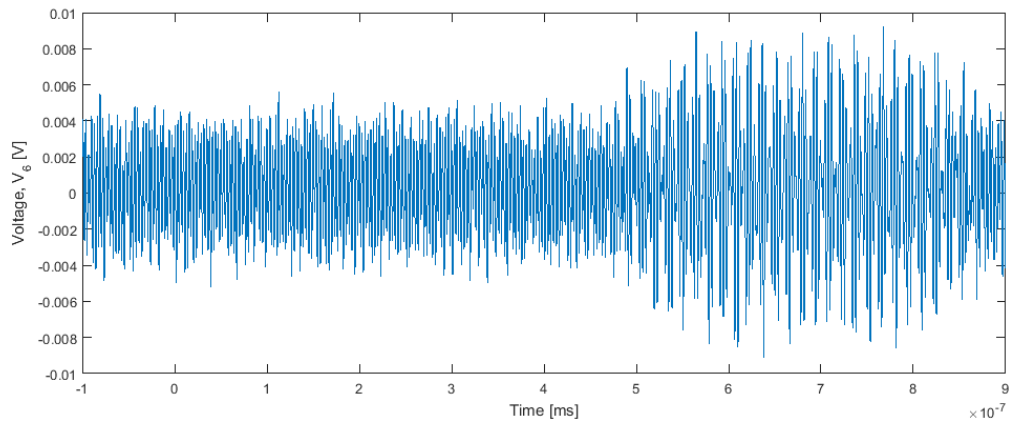


FIGURE D.23: Waveform of received pulse at the first peak associated with  $R_2$  at 251.214 kHz with a separation distance  $d = 15$  cm.

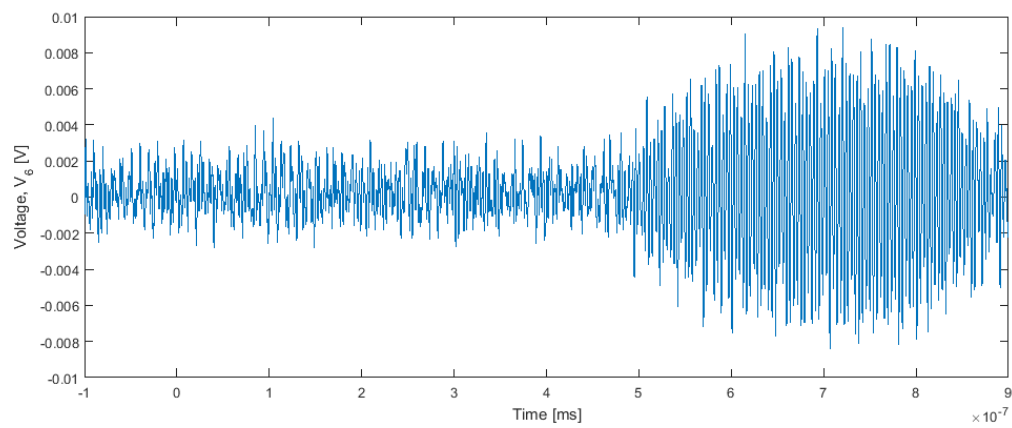


FIGURE D.24: Waveform of received pulse at the second peak associated with  $R_2$  at 255.105 kHz with a separation distance  $d = 15$  cm.





## Appendix E

# Conference proceeding (SSPA)

Conference article in the proceedings of the 42nd Scandinavian Symposium on Physical Acoustic 2019, Geilo, Norway, Jan 27-31, 2019

# Characterization of ultrasound transmit-receive measurement systems in air

## Comparison with prior work on piezoelectric elements in radial mode vibration

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### Abstract

Ultrasound transmit-receiver measurement systems are used in numerous applications in the industry and scientific applications. A system model describing the voltage-to-voltage transfer function for a transmit-receiver pair based on simulations using the finite element model for piezoelectric transducers (FEMP) is used. The elements used in this work are ceramic piezoelectric Pz27 disks vibrating radially in air at room temperature and 1 atm in the frequency range of 3 - 300 kHz. Comparisons are made between measurements and simulations. The effect of uncertainties in alignment is examined and comparison with previous works is presented.

## 1 Introduction

Accurate modelling of ultrasonic measurement systems for characterization of gas is of interest in industrial and scientific applications. Examples include fiscal flow measurement for custody transfer of natural gas [1, 2], energy and quality measurement of gas [2–4], and sound velocity and absorption measurements [5, 6]. In the present work a “system model” of a transmit-receive measurement system refers to “a mathematical / numerical model aiming to describe the chain of electro-acoustic signal propagation through the system, from the electrical signal generator to the electrical recording equipment (e.g., an oscilloscope), via the piezoelectric transmit and receive transducers, the propagation medium, and possible transmit and receive cables/electronics” [7].

Various approaches have been used to describe transmit-receive ultrasonic measurement systems for fluids. This includes methods based on (i) the Mason model [or equivalent one-dimensional (1D) approaches] for thickness-mode transmitting and receiving transducers, combined e.g. with uniform piston [2, 8–14] or plane wave [15–20] type of radiation models; (ii) 1D “electroacoustic measurement (EAM) model” types of description [21–24]; and (iii) purely electrical transmission line system modelling [25]. More rigorous and accurate descriptions based on finite element modelling (FEM) have proven highly useful for ultrasonic system modelling, accounting for e.g. thickness-mode [26–30] and radial mode [5, 7, 31–36] transducers in such measurement setups.

The work presented here builds on prior work described in Refs. [5, 7, 31, 32, 34–36], using finite element modelling of an ultrasonic measurement system for air employing

piezoelectric elements vibrating in their lower radial modes. Measurements and simulations of the frequency response of a voltage-to-voltage transmit-receive transfer function are compared, including comparison with some recent work in this area [35, 36]. A second objective is to investigate and quantify measurement deviations due to uncertainties in alignment and positioning of the transmitting and receiving piezoelectric elements in this setup.

## 2 Theory

The experimental measurement setup used in the present work is described in Section 3.1. A system model based on this setup is presented.

### 2.1 System model

The system model illustrated in Figure 1 shows the different components included in the measurement setup as linear, time invariant blocks where transfer functions relates the signal going from one block to another in the frequency domain. The system model consists of two piezoelectric disks in radial mode vibration in air, where the transmitting disk,  $T_x$ , is coupled to transmitting electronics (signal generator, oscilloscope and cables) and the receiving disk,  $R_x$ , is coupled to receiving electronics (amplifier, filter, oscilloscope and cables).

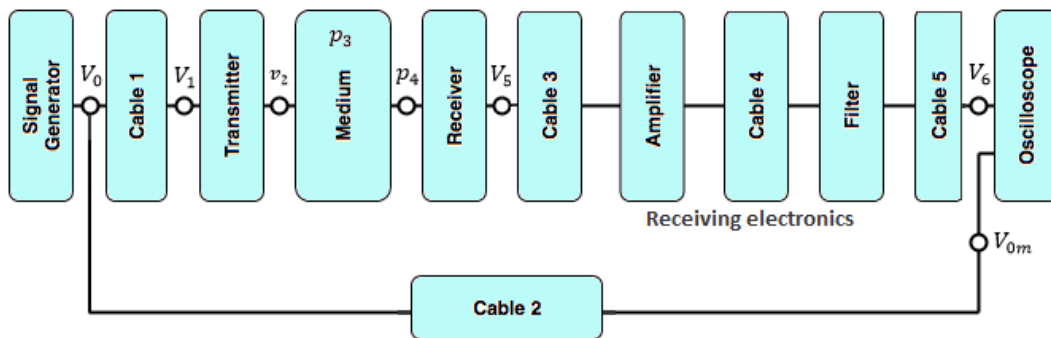


Figure 1: Block diagram representation of the system model used in the present work.

$V_0$  is the output voltage generated by the signal generator and  $V_{0m}$  is the output voltage measured in Channel 1 on the oscilloscope.  $V_1$  is the input voltage to the transmitting disk,  $T_x$ .  $v_2$  is the particle displacement at front of  $T_x$ .  $p_3$  is the on-axis free-field pressure in the medium and  $p_4$  is the on-axis free-field pressure at the front of the receiving disk,  $R_x$ .  $V_5$  is the output voltage from  $R_x$  going in to cable 3 and  $V_5'$  is the output voltage from cable 3 going into the amplifier.  $V_6$  is the input voltage measured and terminated in Channel 2 on the oscilloscope.

### 2.2 Transfer function representation

The output voltage  $V_6$  measured at the oscilloscope divided by the input voltage  $V_{0m}$  measured at the oscilloscope gives the voltage-to-voltage transfer function of the system model

$$H_{0m6}^{VV}(f) = |H_{0m6}^{VV}(f)|e^{i\theta_{0m6}} = \frac{V_6}{V_{0m}} \quad (1)$$

where  $|H_{0m6}^{VV}(f)|$  is the magnitude and  $e^{i\theta_{0m6}}$  is the phase of the transfer function.

In order to simplify simulation and cut down simulation time only the loss-free element-to-element transfer function is simulated,

$$H_{15open}^{VV}(f) = \frac{V_{5open}}{V_1} \quad (2)$$

where  $V_1$  is the input voltage at the transmitter and  $V_{5open}$  is the open-circuit voltage at the receiver. To compare simulations and measurements transfer functions for the transmitting and receiving electronics and cables along with corrections for attenuation and absorption are used to obtain the loss-free element-to-element transfer function  $H_{15open}^{VV}(f)$ .

The transfer function for cable 1,  $H_{0m1}^{VV}$  is given as described by [35]

$$H_{0m1}^{VV}(f) = \frac{V_1}{V_{0m}} \quad (3)$$

The transfer functions  $H_{5open5}^{VV}$  describing the cable from the receiver to the amplifier and  $H_{56}^{VV}$  describing the receiving electronics including cable 4 and 5 are given as described by [36] yielding

$$H_{5open5}^{VV}(f) = \frac{V_{5open}}{V_5} \quad (4)$$

and

$$H_{56}^{VV}(f) = \frac{V_6}{V_5}. \quad (5)$$

The transfer functions  $H_{0m1}^{VV}$  and  $H_{5open5}^{VV}$  are estimated using transmission line models as proposed by [34], and the transfer function  $H_{56}^{VV}$  is found by measuring the transfer function of only the receiving electronics including cable 4 and 5 by coupling the signal generator to an attenuator and then directly into the receiving electronics, bypassing the transmitter and receiver completely.

Correction for the attenuation in air,  $C_\alpha$ , is found by accounting for attenuation due to classical absorption of sound in air,  $\alpha_{cl}$ , rotational motion of the air molecules,  $\alpha_{cl}$ , vibrations of oxygen molecules,  $\alpha_{vib,O}$  and vibration of nitrogen molecules,  $\alpha_{vib,N}$ . These are calculated according to [37] and the theory described in [35, 36]. The correction for diffraction effects due to the finite size of the transmitter,  $C_{dif}$ , is accounted for by using a baffled piston diffraction correction as in [35, 36], where the diffraction correction is calculated according to Khimunin [38, 39].

The measured loss-free voltage-to-voltage transfer function describing the sound propagation from the transmitting disk to the receiving disk is given as

$$H_{15open}^{VV}(f) = \frac{H_{06}^{VV}(f)}{H_{0m1}^{VV}(f)H_{5open5}^{VV}(f)H_{56}^{VV}(f)}C_\alpha C_{dif} \quad (6)$$

The total phase is composed by a slowly varying phase and a plane wave component. The slowly varying phase is thus given by

$$e^{i\theta_{15open}^{slow}} = e^{i(\theta - kd)} \quad (7)$$

where  $k$  is the wave number and  $d$  is the separation distance between the transmitter and the receiver.

### 2.3 Simulated transfer function $H_{15open}^{VV}$

The simulated transfer function  $H_{15open}^{VV}$  is calculated using the simulated far-field pressure  $p_{ff} = p_{ax}(z_{ff})$ , where  $z_{ff} = 1000$  m is used and spherical reciprocity is assumed. This is given as

$$H_{15open}^{VV}(f) = \frac{Z_T p_4^2(z_{ff}) 2z_{ff}^2}{i\rho d f} e^{ik(2z_{ff}-d)} \quad (8)$$

where  $Z_T$  is the impedance of the transmitting disk,  $p_4$  is the simulated pressure at the front of the receiving disk,  $\rho$  is the density of the medium,  $f$  is the frequency,  $k$  is the complex wave number of the medium and  $d$  is the separation distance between the front faces of the disks.

## 3 Methods

The experimental setup has been largely kept as used by [34–36] with only minor changes such as the lengths of cables 4 and 5, and the different instruments have been moved due to a renovation at the lab in 2017. A brief overview of the setup is given in Section 3.1.

### 3.1 Experimental Setup

The two piezoelectric disks are mounted in air on positioning stages (Physik Instrumente GmbH&Co, Germany). The transmitting disk,  $T_x$  is connected to a linear stage (PI M-531.DG [40]) allowing movement in the  $z$ -direction and to a rotation stage (PI M-037.PD [41]) for rotation along the  $x$ -axis, Figure 3. The receiving disk,  $R_x$  is connected to a lateral stage (PI M-535.22 [42]) moving in  $x$ -direction. A laser is mounted on a rod which is suspended between the two disks in order to measure aligning and accurately determine the distance,  $d$ , between the disks. In this work the distance used is  $d = 0.50$  m.

An Agilent 33220A function generator is used to generate the input signal at desired voltage over the frequency range 3-300 kHz. The signal is then monitored through a Tektronix DPO3012 digital oscilloscope. The receiver is connected to a Bruel&Kjaer 2636 amplifier and a Krohn-Hite 3940A digital filter before the signal terminates in the Tektronix DPO3012 digital oscilloscope. The cables used to connect the instruments (cables 2, 4 and 5) are coaxial cables of type RG-58. The cables used to connect the oscilloscope to the transmitter and the receiver to the amplifier (cable 1 and 3 respectively) are coaxial cables of type RG-178 B/U with length  $l_1 = 3.045$  m and  $l_3 = 0.304$  m respectively. For the current work the piezoelectric elements used are elements 7 and 13, for transmitter and receiver respectively. This is the same as [36], where [35] used elements 4 and 13 in the results presented later from that thesis.

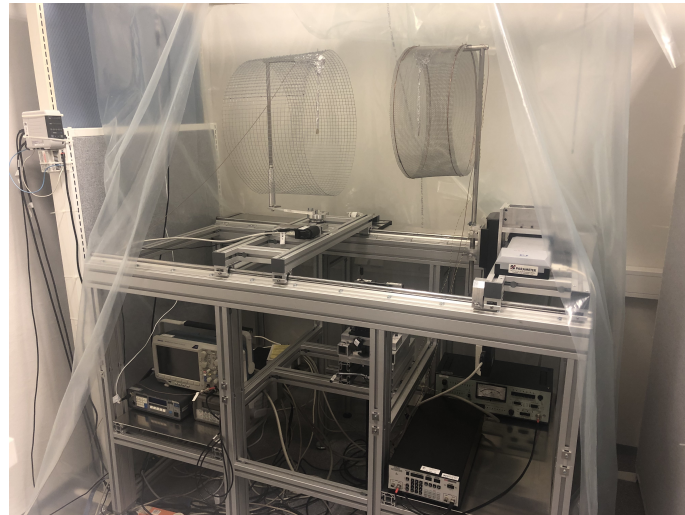


Figure 2: Experimental setup at the acoustics laboratory.

### 3.2 Alignment of the transmitter and receiver

The disks are welded onto wires shielded with aluminium foil mounted onto a Faraday cage, Figure 2. The aim is to have the disks center on the  $z$ -axis and place them parallel in the  $xy$ -planes as shown in Figure 3. This is done using a high precision Keyence laser, installed by [35] and the high precision translation stages from Physik Instrumente from Section 3.1.

Firstly the disks are roughly placed on the  $z$ -axis by adjusting  $R_x$  in  $x$ - and  $y$ -direction so that the lasers point to the  $x$  marking the center on each of the disks. This mark has a finite size, so the laser is moved to the top, bottom (vertically) and side edges (horizontally) of the disks to see if these are aligned and adjustments are made by moving  $R_x$  in  $x$ - and  $y$ -direction if necessary.

When the disks are both on the  $z$ -axis and aligned vertically and horizontally the rotational alignment is investigated. For rotation along the  $y$ -axis this is done by moving to the top of either of the disks, choosing it as reference zero on the laser, moving to the bottom of the disk and reading the degree of tilt on the laser display. This is referred to as uncertainty in the alignment caused by rotation along the  $x$ -axis. If alignment is not satisfactory the disks, both  $T_x$  and  $R_x$  are moved by a light touch at the bottom of the disk until desired deviation along the  $x$ -axis is acquired.

Then the laser is placed on one of the furthest horizontal sides of the disk, this is chosen as reference zero and the degree of alignment is read at the opposite side of the disk. For  $T_x$  corrections are now made by using the rotation stage mentioned in Section 3.1, and a light touch or a screw at the top of the mounting rod allows for the rod and the  $R_x$  to be rotated is used to correct its positioning.

The process is repeated several times, to check that corrections in one direction did not affect the deviation or uncertainty in alignment in another direction, until satisfied alignment is achieved. .

Lastly the lateral stage in  $z$ -direction is used to position the front of the transmitting disk at the separation distance  $z = d$ , where  $d$  is the desired distance between the disk, and the front face of the receiver is placed at  $z = 0$ .

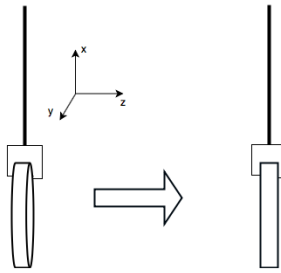


Figure 3: Aligning of a piezoelectric disc.

## 4 Results

### 4.1 The effect of accuracy in alignment

A difference in uncertainty of alignment has been shown in previous work. In [35] the uncertainty in alignment that was tolerated was  $10 \mu\text{m}$  or less, where [36] allowed for uncertainties up to  $40 \mu\text{m}$ . Therefore the effect of different alignment uncertainty is investigated by aligning the disks to different degrees of accuracy and observing how this affects the transfer function,  $H_{06}^{VV}$ . The accuracies chosen were  $500 \mu\text{m}$ ,  $50 \mu\text{m}$  and  $10 \mu\text{m}$ . The result is shown in Figure 4.

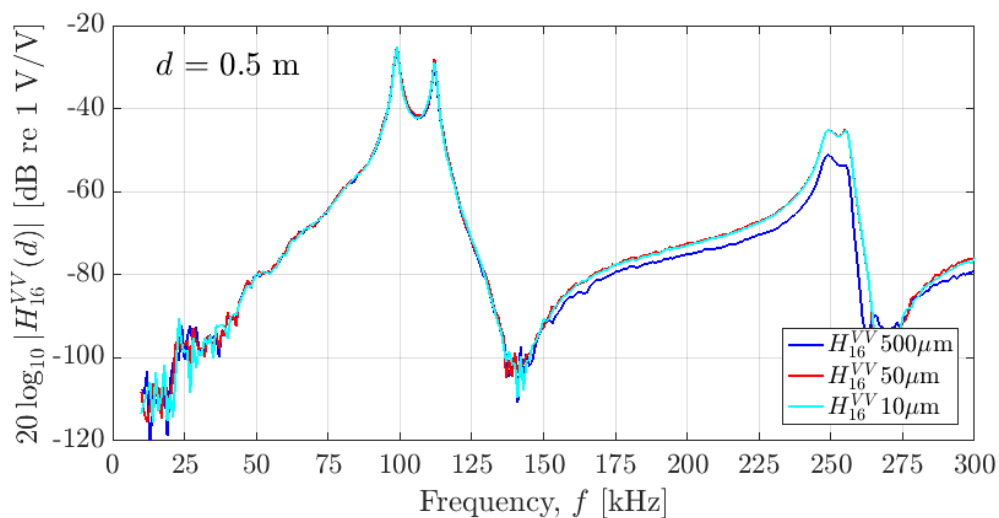


Figure 4: Measurements of transfer function  $H_{06}^{VV}$  magnitude with different accuracy in the aligning of the disks.

For the first two peaks associated with radial mode  $R_1$ , there is little to no change in the magnitude of the transfer function  $H_{06}^{VV}$ . At the second pair of peaks, radial mode  $R_2$ , there is no noticeable change in the magnitude for alignment to the degree of  $50 \mu\text{m}$  and  $10 \mu\text{m}$ , however the measurement with an  $500 \mu\text{m}$  error in the alignment shows a decrease in magnitude of almost 5 dB, going from  $-51.1 \text{ dB re } 1 \text{ V/V}$  for the  $50 \mu\text{m}$  and  $10 \mu\text{m}$  alignment uncertainty measurement to  $-45.1 \text{ dB re } 1 \text{ V/V}$  for the  $500 \mu\text{m}$  alignment uncertainty measurement.

## 4.2 Comparison with previous work

Measurements of the loss-free transfer function compared to previous works is shown in Figure 5.

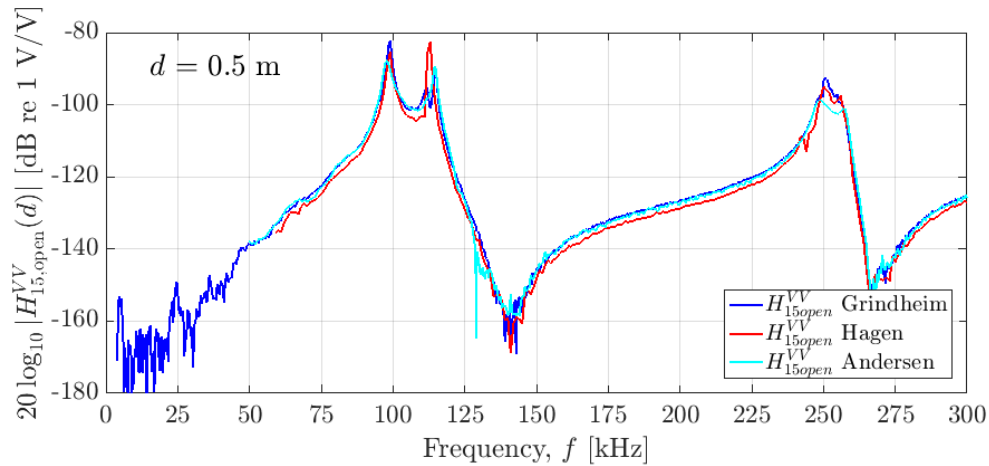


Figure 5: Measurements of transfer function  $H_{15,open}^{VV}$  magnitude compared with previous work

The measurements done in this work starts at lower frequencies than previous measurements and undulations are observed before  $R_1$ , which is not as noticeable in the previous works presented here. It should be noted that the receiving disk used by Hagen [36] and Grindheim were not the same as by Andersen [35] and the calculation of the receiving electronics transfer function differ slightly.

Simulations for both the phase and magnitude of the transfer function  $H_{15,open}^{VV}$  is done and compared to previous work done in two different finite element software tools; FEMP and COMSOL, Figure 6.

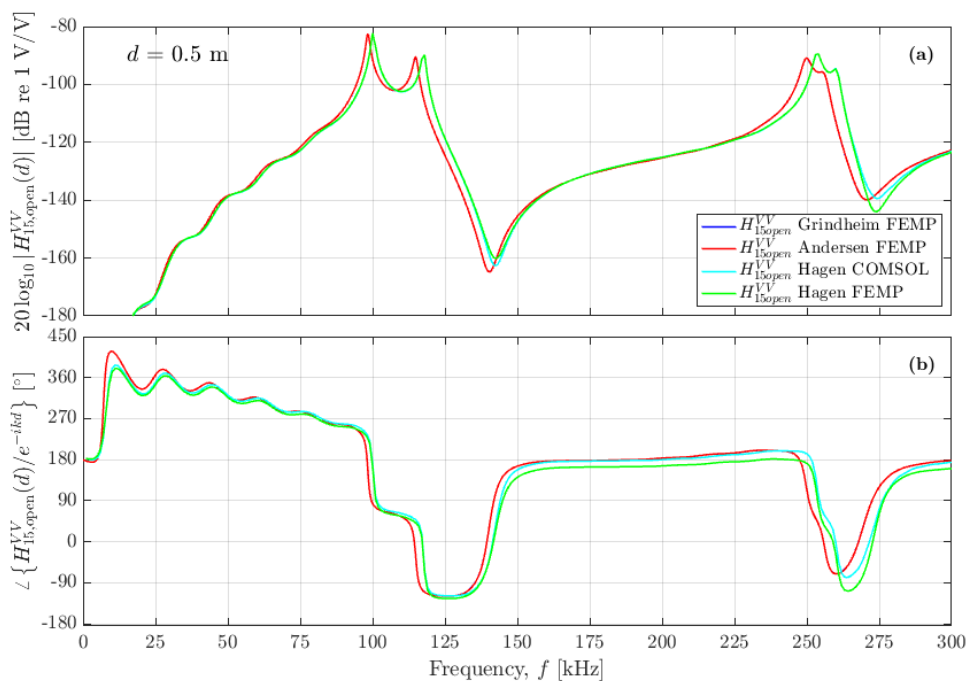


Figure 6: Simulated transferfunction  $H_{15,open}^{VV}$  for magnitude a) and slowly varying phase b) compared with previous work



Figure 6 b) shows the slowly varying phase  $\angle H_{15open}^{VV}$ . The same parameters and software (FEMP) is used for simulations in the current work and by Andersen [35]. Therefore these curves are identical. The simulations done in COMSOL and FEMP by Hagen [36] differ from the current work and Andersen. There is a higher frequency for the resonances both at  $R_1$ , where the difference is 2 and 3 kHz for the two peaks, and  $R_2$ , where the difference is 4 and 14 kHz. There is a greater magnitude at  $R_2$  of -84.4 dB re 1V/V [36] to -95.68 dB re 1V/V [35, 43] for the first peak and -89.5 dB re 1V/V [36] to -90.91 dB re 1V/V [35, 43] for the second peak. There is a noticeable difference in frequency step between the works causing the two latter to have a higher decimal point in both the frequency and magnitude values.

## 5 Conclusions and further work

The effect of aligning has proven to affect the magnitude of the transfer function  $H_{16}^{VV}$ , in the case of  $R_2$  where the results show a decrease of approximately 5 dB re 1V/V. The measurement setup at the laboratory is however more than capable of obtaining a sufficient accuracy of 10  $\mu\text{m}$  in  $x$ ,  $y$  and  $z$  direction if aligned sufficiently by using the method explained in Section 3.2. There is observed some discrepancies in the different works on the measurements of the lossless transfer function,  $H_{15open}^{VV}$ . There change in the resonance frequencies at  $R_1$  and  $R_2$  are not as prominent, despite different receiving element [35, 36], and the differences in magnitude can be due to different post processing routine concerning the receiving electronics,  $H_{5open6}^{VV}$ . For the simulation Hagen [36] utilized other material constants and there are some differences seen both in FEMP simulation and the COMSOL simulation. The shift in frequency for the radial modes  $R_1$  and  $R_2$  is observed as well as differences in magnitude and further work will investigate if this is caused by the difference in the material data used for the piezoelectric disks and/or other parameters such as the elements used for the finite element description of the system.

## 6 Acknowledgements

The present work is part of an ongoing masters work on piezoelectric elements vibrating in air at University of Bergen, due in June 2019 [43]. The following persona are acknowledged for guidance and assistance: Espen Storheim, Andreas Hagen, Magnus Rentch Ersdal and Kenneth K. Andersen.

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