



## GR Focus Review

# Global Cenozoic Paleobathymetry with a focus on the Northern Hemisphere Oceanic Gateways

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## ARTICLE INFO

## Article history:

Received 31 January 2020

Received in revised form 12 May 2020

Accepted 17 May 2020

Available online 25 June 2020

Handling Editor: M. Santosh

## Keywords:

Oceanic Gateways

Paleobathymetry

Paleotopography

Marine geophysics

Plate tectonics

Ocean circulation

## ABSTRACT

The evolution of the Northern Hemisphere oceanic gateways has facilitated ocean circulation changes and may have influenced climatic variations in the Cenozoic time (66 Ma–0 Ma). However, the timing of these oceanic gateway events is poorly constrained and is often neglected in global paleobathymetric reconstructions. We have therefore re-evaluated the evolution of the Northern hemisphere oceanic gateways (i.e. the Fram Strait, Greenland–Scotland Ridge, the Central American Seaway, and the Tethys Seaway) and embedded their tectonic histories in a new global paleobathymetry and topography model for the Cenozoic time. Our new paleobathymetry model incorporates Northeast Atlantic paleobathymetric variations due to Iceland mantle plume activity, updated regional plate kinematics, and models for the oceanic lithospheric age, sediment thickness, and reconstructed oceanic plateaus and microcontinents. We also provide a global paleotopography model based on new and previously published regional models. In particular, the new model documents important bathymetric changes in the Northeast Atlantic and in the Tethys Seaway near the Eocene–Oligocene transition (~34 Ma), the time of the first glaciations of Antarctica, believed to be triggered by the opening of the Southern Ocean gateways (i.e. the Drake Passage and the Tasman Gateway) and subsequent Antarctic Circumpolar Current initiation. Our new model can be used to test whether the Northern Hemisphere gateways could have also played an important role modulating ocean circulation and climate at that time. In addition, we provide a set of realistic global bathymetric and topographic reconstructions for the Cenozoic time at one million-year interval for further use in paleo-ocean circulation and climate models.

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## 1. Introduction

Plate tectonics, mantle processes, and volcanism together with weathering, erosion, and sediment deposition shape the continuously changing morphology of the Earth's surface. Bathymetric and topographic changes driven by these processes influence ocean circulation and climate on geological timescales. In the Cenozoic (66 Ma–0 Ma), opening and closing strategic oceanic gateways located in both the Northern and Southern Hemisphere have facilitated major ocean circulation changes, which have played an important role in the transition from a greenhouse to an icehouse climate (e.g. Kennett, 1977; Sijp et al., 2014; Zachos et al., 2001; Zhang et al., 2011). In the literature so far, much attention has been given to the oceanic gateways in the Southern Hemisphere, the Drake Passage and the Tasman Gateway, mainly because of their postulated contribution to the Antarctic glaciation that started at the time of the Eocene–Oligocene Transition (e.g. Eagles and Jokat, 2014; Kennett, 1977; Lawver and Gahagan, 2003; Livermore et al., 2005; Scher et al., 2015; Stickley et al., 2004). The opening of Southern Ocean through the Tasman Gateway and Drake Passage eventually enabled the flow of the Antarctic Circumpolar Current (ACC) (e.g. Kennett, 1977; Scher and Martin, 2006; Scher et al., 2015; Sijp et al., 2011; Toggweiler and Samuels, 1995), which presumably created the right conditions for the growth of the first Antarctic ice sheets close to the Eocene–Oligocene Transition - a turning point in the complex Cenozoic cooling trend (e.g. Kennett, 1977; Stickley et al., 2004; Zachos et al., 2001). The timing and role of southern oceanic gateways are still a matter of debate (e.g. Eagles and Jokat, 2014; Livermore et al., 2005; Scher and Martin, 2006; Scher et al., 2015; Stickley et al., 2004); besides, alternative mechanisms such as decreasing atmospheric CO<sub>2</sub> levels (e.g. DeConto and Pollard, 2003; Pagani et al., 2011) or other oceanic gateway events (e.g. Abelson and Erez, 2017; Zhang et al., 2011) have been proposed as triggers for this cooling.

The circulation in the world's oceans depends on both Southern and Northern Hemisphere oceanic basins and gateways, and our goal is to better document the Cenozoic tectonic evolution of the Northern Hemisphere oceanic gateways and contribute to a more detailed view of Cenozoic paleobathymetry. In the Northern Hemisphere two oceanic gateways closed (the Tethys Seaway and the Central American Seaway-CAS), and three gateways opened (the Greenland–Scotland Ridge-GSR, the Fram Strait and the Bering Strait) during Cenozoic. Previous studies provide a wide range of estimates to when these gateways opened or closed. For example, the range of estimates for the subsidence of the GSR (including the Faroe-Shetland Channel) spans almost 30 Myrs from the Mid Eocene to the Mid-Late Miocene (e.g. Clift and Turner, 1995; Davies et al., 2001; Denk et al., 2011; Hohbein et al., 2012; Poore et al., 2006; Wold, 1995); the time for the closure of the Tethys Seaway varies by ~30 Myrs from Early Eocene to Mid Miocene (e.g. Allen and Armstrong, 2008; Harzhauser et al., 2007; Oberhänsli, 1992; Rögl, 1999), and the CAS timing approximations spans ~20 Myrs from Early Miocene to Pleistocene (e.g. Duque-Caro, 1990; Marshall et al., 1982; Montes et al., 2015; Montes et al., 2012b; Webb, 2006). Narrowing the timing of these gateway events is important. For example, the deepening of the GSR and the Fram Strait provided the only deep-water connection to the Arctic Ocean, through the NE Atlantic, which was crucial in developing the modern Atlantic Meridional Overturning Circulation (AMOC) (Abelson and Erez, 2017; Jakobsson et al., 2007; Thiede and Myhre, 1996; Wright and Miller, 1996). Likewise, shallowing of the Tethys Seaway and the CAS would have increased the salinity differences between the Atlantic and Pacific Oceans, favoring a stronger AMOC (Maier-Reimer et al., 1990; Nisancioglu et al., 2003; Sepulchre et al., 2014; Zhang et al., 2011). Together with the changes in Southern Ocean gateway configurations, the opening and closing of the Atlantic–Arctic oceanic gateways (e.g. Coxall et al., 2018; Hutchinson et al., 2019), or shallowing of the Tethys Seaway (e.g. Allen and Armstrong, 2008; Zhang et al., 2011) could have played an important role in triggering the Eocene–Oligocene cooling by promoting deep water formation in the North

Atlantic and a strengthening of the AMOC. From the Oligocene and throughout Miocene, pulsations in the Iceland mantle plume caused temporal uplift and subsidence of the GSR which is thought to have induced changes in the production of Northern Component Water (NCW) and thereby influenced global ocean circulation (Parnell-Turner et al., 2014; Poore et al., 2006; Wright and Miller, 1996). The shallowing of the Tethys Seaway, and the later uplift of the Panama Isthmus has also been linked to more recent climatic changes like the Mid Miocene climatic transition (Hamon et al., 2013; Nisancioglu et al., 2003) and the Northern Hemisphere glaciations (e.g. Haug et al., 2001; Lear et al., 2003).

The decreasing atmospheric CO<sub>2</sub> levels in the Cenozoic, alongside the tectonic changes in continent–ocean geometry and geography were probably essential to explain the observed climatic changes (Zachos et al., 2008). It is important to note that changes in atmospheric CO<sub>2</sub> could also be a consequence of tectonically driven changes in silicate weathering (e.g. Raymo et al., 1988), as for example, Himalayan orogeny related weathering (e.g. Allen and Armstrong, 2008; Raymo, 1994) or oceanic gateways associated shift in precipitation pattern and implicit alterations in weathering rates (Elsworth et al., 2017).

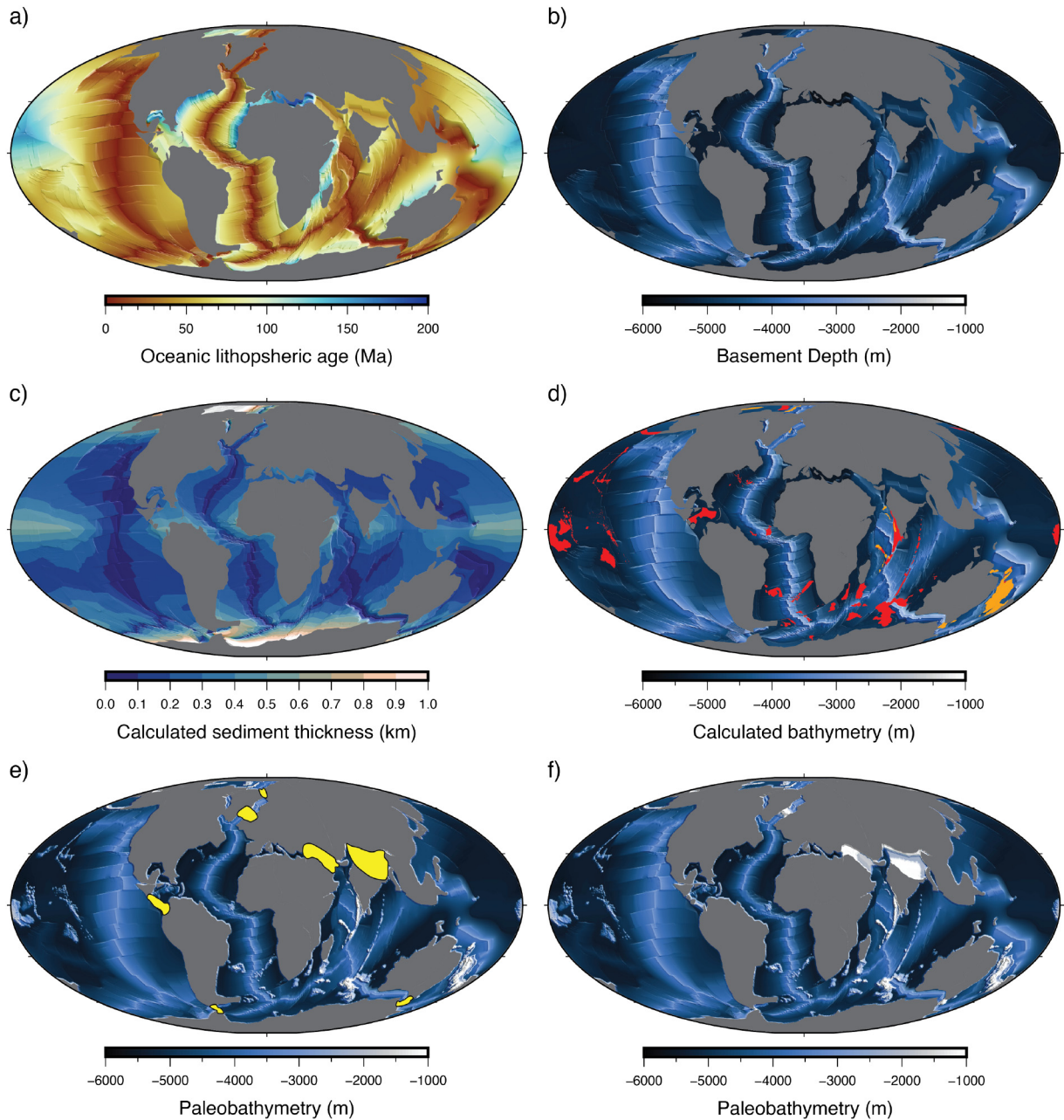
Given the importance of oceanic gateways for the climate evolution, our goal is to re-evaluate the tectonic evolution of the Northern Hemisphere oceanic gateways active in the Cenozoic (i.e. the Fram Strait, Greenland–Scotland Ridge, the Central American Seaway, and the Tethys Seaway) and construct novel or updated paleobathymetric models for these regions. These new models are then embedded in a new Cenozoic global paleobathymetry/topography model. Our model is based on improved plate kinematics and incorporates new constraints on sediment thickness, crustal thickness, continent–ocean transition, and a combination of new and previously published regional and global paleotopography models. We have evaluated our oceanic gateway model using geological evidence and paleo-oceanographic data from the literature, and in specific cases we made adjustments to provide the most realistic paleobathymetry for these gateways. Our aim is to provide a set of publicly available realistic reconstructions that can be implemented in paleo-ocean circulation and climate models.

## 2. Towards a new global paleobathymetry model

Paleobathymetry is one of the most important boundary conditions in paleo-ocean circulation models. The geometry of the oceanic basins determines the pattern of large-scale ocean circulation, mid-ocean ridges govern the amount of mixing in the oceans, and together with oceanic plateaus, they steer and deflects ocean currents (e.g. Polzin et al., 1997; Rebesco et al., 2014). Furthermore, the morphology of continental slopes influences the flow along the boundaries of the oceanic basins (e.g. Holland, 1973). To model paleobathymetry back in time we need information on plate tectonic kinematics and the evolution of oceanic lithospheric age (I), oceanic plateaus (II) and sediment thickness (III). We also need to know about the geometry and evolution of continental margins (IV) and sea level changes through time (V). We have therefore adopted a method for reconstructing the paleobathymetry that follows these five steps (Fig. 1):

### (I) Oceanic lithospheric age and thermal subsidence

Oceanic basins subside as they grow older due to thermal subsidence. It follows that oceanic depth evolution through time can be directly inferred from oceanic lithosphere age, which in turn, is derived from its geophysical signature (mainly magnetic anomalies). For this study, we start with a global kinematic model that compiled a wealth of information about oceanic basin age and geometry evolution. The Straume et al. (2019) global model, is an update of the global kinematic model of Seton et al. (2012), including newer regional plate tectonic models of the African plate, Indian Ocean, NE Atlantic and the Arctic (Gaina et al., 2013, 2015, 2017, and Nikishin



**Fig. 1.** Input models for calculating global paleobathymetry for a selected time near the Eocene–Oligocene boundary (34 Ma). a) Oceanic lithospheric age. b) Calculated basement depth. c) Calculated sediment thickness using the formula of Straume et al. (2019). d) Calculated bathymetry including sediment thickness, corrected for sediment loading. Red filled regions mark Large Igneous Provinces. Orange filled areas mark microcontinents. Yellow filled regions mark areas where we applied corrections to the model accounting for details of key oceanic gateways. f) Paleobathymetry including adjustments to oceanic gateways.

et al., 2017, respectively), and the global Eocene reconstructions by Gaina and Jakob (2018). This improved global model was used to calculate oceanic lithospheric age for the Cenozoic and to compute the associated oceanic basement depth according to thermal subsidence for normal oceanic crust using the formulas of Crosby and McKenzie (2009):

$$d = \begin{cases} -2652 - 324\sqrt{\tau} & \tau \leq 75 \text{ Ma} \\ -5028 - 5.26\tau + 250 \sin\left(\frac{\tau - 75}{30}\right) & 75 \text{ Ma} < \tau \leq 160 \text{ Ma} \\ -5750 & \tau > 160 \text{ Ma} \end{cases}, \quad (1)$$

where  $d$  is the depth to basement, and  $\tau$  is the age of the oceanic lithosphere in million years. This formula is not valid for regions of

anomalous thermal subsidence (like oceanic plateaus, microcontinents, and large seamounts).

## (II) Residual Bathymetry

In case of additional volcanic emplacement on oceanic floor, the simple bathymetry calculated in the first step has to be amended. Therefore, in the second step, we estimate the residual bathymetry of oceanic plateaus and microcontinents for correcting the paleo-depth. We apply the new method of Straume et al. (2019) where the present-day residual bathymetry of the anomalous regions is added to the bathymetry predicted from normal thermal subsidence. This is done for times younger than the age of volcanic emplacement of the oceanic plateaus. For their location, extent and age of volcanic emplacement, we use a modified

version of the Cocks and Torsvik (2016) model for Large Igneous Provinces (LIPs).

### (III) Sediment thickness

We calculate the predicted sediment thickness on the oceanic lithosphere using the global formula of Straume et al. (2019) derived from the statistical analysis of modern distribution of sediments:

$$Z(\lambda, \tau) = \sqrt{\tau} (52 - 2.46\lambda + 0.045\lambda^2) \quad (2)$$

where  $Z$  being sediment thickness in meters and  $\lambda$  is the absolute value of latitude in degrees. Eq. (2) is derived from the newly compiled oceanic lithospheric age grid and the new National Geophysical Data Center's (NGDC) total sediment thickness grid 'GlobSed' (Straume et al., 2019). We add the calculated sediment thickness to the calculated basement depth and account for the sediment loading using the isostatic correction method of Sykes (1996). Eq. (2) is an improvement compared to previously published sediment thickness models (e.g. Conrad, 2013; Goswami et al., 2015; Olson et al., 2016), as it was derived from the most recent global sediment thickness grid, and it accounts for strong latitudinal variations of sediment thickness observable in individual oceans as well as globally (Straume et al., 2019). See supplementary material (-Section S2) for comparison of calculated and gridded data with observations from selected drill sites.

Eq. (2) integrates sedimentation of the ocean floor from its formation to the modern time. The integration thus assumes that the average rate of sediment accumulation is proportional to  $t^{-0.5}$ , where  $t$  is the time measured in million years back. That time-dependent rate captures the substantial late-Cenozoic increase of sedimentation (Molnar, 2004) and general trend of sedimentation rate increase during last 80–85 Ma which may be expressed by the same functional dependence as in Eq. (2) (e.g. Olson et al., 2016). In our model, we reasonably assume that Eq. (2) is valid for the entire Cenozoic time and that the time dependence of the global sedimentation rate remains inversely proportional to square root of time from modern ( $\sim t^{-0.5}$ ). The sediment thickness of  $\tau$  My old oceanic crust  $t$  Ma is then:

$$Z(\lambda, \tau, t) = (\sqrt{\tau} - \sqrt{t}) (52 - 2.46\lambda + 0.045\lambda^2) \quad (3)$$

A comparison between modelled total sediment thickness using the above-mentioned formula and observed data from selected drill sites is presented in the supplementary material. Accounting for sedimentation using Eq. (3) has been shown to improve sea level reconstructions for the Phanerozoic, compared to reconstructions of basement depth solely calculated using Eq. (1) (Karlsen et al., 2020).

For completing the calculation of reconstructed oceanic basement depth through time, we add the calculated sediment thickness to the calculated basement depth and account for the sediment loading using the isostatic correction method of Sykes (1996).

### (IV) Continental margins

Eqs. (2) and (3) were derived for deep ocean areas, considered to be at least 200 km away from the continent-ocean boundary (COB) and therefore not influenced in a considerable way by the continentally derived sedimentation. Oceanic gateways could be narrow passages close to continental margins, therefore a global analysis of paleobathymetry, especially with emphasis on oceanic gateways, however, also requires reconstructions of the areas adjacent to the COBs. The data on accumulation history of sediments in these regions is of various resolution, in most cases it lacks the accuracy needed to truthfully restore the amount of sediments accumulated at certain relevant time intervals. Dutkiewicz et al. (2017) presented a complex regression algorithm, which works well close to continental margins by accounting for the age, distance to continents, and proximity to major rivers. Here, we derive a new regression for

sediments along continental margins aiming for simplicity and compatibility with Eq. (2). The main assumption of our simplified approach is that the sediments thickness along margins has the same functional dependence on age and latitude as in the Eqs. (2) and (3):

$$Z_m(\lambda, \tau_m) = [k\sqrt{\tau_m} + A_0] (52 - 2.46\lambda + 0.045\lambda^2) \quad (4)$$

The two terms in square brackets present two phases of sediment evolution: pre-breakup (syn-rift) sediments are quantified by parameter  $A_0$ , whereas post-breakup sediments are described by  $\tau_m$ , the age of breakup in My (approximated by the nearest ocean floor age), and coefficient  $k$ . We estimate parameters  $A_0 = 17$  and  $k = 2.2$  by optimizing Eq. (4) using data from GlobSed (Straume et al., 2019). The resulting regression as well as any other globally derived relations, has limited predictive power for a specific margin because of great variations of sediments worldwide for the same age and latitude, but Eq. (4) presents a normal/non-eventful evolution of sediments along the margins. The equation predicts the equivalence of pre- and post-breakup sediment thickness ca. 60 My after breakup, which corresponds well to analytical solutions (Hartz et al., 2017). The comparison of Eqs. (3) and (4) shows a naturally faster ( $k = 2.2$  times) post-breakup sediments accumulation near continental margins supported by stronger influx of eroded materials from continents.

For the continental margins, we reverse the process outlined above (III), by taking today's global sediment thickness grid (i.e. GlobSed) and remove sediments younger than the age of reconstruction and account for the subsidence of the margins related to sediment loading. According to Eq. (4), the amount of sediments to remove from the continental margin to reconstruct their thickness at time  $t$  Ma is:

$$\Delta Z_m(\lambda, \tau_m, t) = 2.2(\sqrt{\tau_m} - \sqrt{\tau_m - t}) (52 - 2.46\lambda + 0.045\lambda^2) \quad (5)$$

We define the transition zone between continental and oceanic lithosphere as the region within 75 km from the COBs (in our model, the COBs modified from Cocks and Torsvik (2016)). By assigning a transitional region from continent to ocean we are able to also account for the continental rise, which is characterized by landward shallowing of the oceanic lithosphere in the vicinity of the COB (e.g. Goswami et al., 2015). Within this area, we extract bathymetric contour lines per 100 m intervals, then we smooth them, make a grid and blend it at the edges using the GMT routines 'surface' and 'grdblend' (Wessel et al., 2013). Bathymetry and sediment thickness in the transition zone is computed by linking solutions from (III) and (IV).

### (V) Sea level fluctuations

Paleobathymetric reconstructions need to consider the eustatic sea-level variations. There are many different sea level curves published so far (see Karlsen et al. (2019) or Müller et al. (2008) and references therein for detailed reviews), and depending on selection, the resulting paleobathymetry may change on the order of ~100 m, and the differences are greater the further you go back in time (Müller et al., 2008). We account for the sea level changes using the global curve of Haq and Al-Qahtani (2005) which has smooth and more realistic sea-level variations, in contrast to rather low values of (e.g. Müller et al., 2005) or too high as in (e.g. Xu et al., 2006) (see Müller et al. (2008) for details).

## 3. Detailed reconstructions of the Northern Hemisphere oceanic gateways

We aim to document the Cenozoic evolution of key Northern Hemisphere oceanic gateways and provide a global paleobathymetry model that includes detailed reconstructions of these gateways. In order to better follow the evolution of the selected oceanic gateways (i.e. the Fram Strait, Greenland–Scotland Ridge, the Tethys Seaway, and the Central

American Seaway) according to available literature and argue for our preferred model, the following subsections are structured as following: We start with an introduction presenting the background review of the selected gateway, followed by a description of the existent local tectonic models (also succinctly presented in Tables 1–4), and finally we present adjustments applied to the respective gateway model in order to achieve a more realistic and detailed representation of the gateway region at relevant times. Therefore, the detailed models presented in this section aim to improve the global models obtained by applying the methodology described in Section 2. Reconstructing the detailed spatial evolution of gateway regions is crucial for better understanding the role of tectonics in climate changes.

### 3.1. The Atlantic–Arctic oceanic gateways

#### 3.1.1. The Fram Strait

The Fram Strait is the only deep-water gateway to the Arctic Ocean. The opening of the Fram Strait enabled deep-water exchange between the northern North Atlantic and the Arctic Ocean. This was paramount for the circulation regime in the Arctic Ocean, and could have been important for global ocean circulation and climate by influencing the production of North Atlantic Deep Water (NADW) and initiating the Atlantic Meridional Overturning Circulation (AMOC) (e.g. Hutchinson et al., 2019; Jakobsson et al., 2007; Knies and Gaina, 2008). During the Miocene, the Arctic Ocean changed from a poorly oxygenated isolated ocean, to a fully ventilated ocean, which was most likely a result of widening and deepening of the Fram Strait (Jakobsson et al., 2007). It has been suggested that the Fram Strait started to open already in the Early Oligocene (around magnetic anomaly Chron 13) (Engen et al., 2008), although it probably remained quite shallow until the Miocene

because it may have not subsided sufficiently, was blocked by terrigenous sediments, or the Hovgård microcontinent (now submerged) acted like a barrier until Miocene times (Engen et al., 2008; Kaminski et al., 2005; Myhre et al., 1995a; Thiede and Myhre, 1996). The suggested timing for the Fram Strait opening is in the Early to Mid-Miocene (see Table 1). Note that the timing from studies based on geophysical data and plate kinematics (e.g. Engen et al., 2008; Jokat et al., 2016) converge towards an earlier opening time than suggested by paleo-oceanographic studies which are based on sedimentation and microfossils age (Jakobsson et al., 2007; Myhre et al., 1995b). This discrepancy may indicate that even though oceanic crust formed in the gateway, the depth was shallower than predicted by general thermal subsidence formulas (e.g. Crosby et al., 2006; Crosby and McKenzie, 2009; Stein and Stein, 1992), possibly for the reasons stated above.

It is therefore imperative to also consider the role of oceanic plateaus and microcontinents that may have restricted the flow through the gateway (Knies and Gaina, 2008; Knies et al., 2014) when reconstructing the Fram Strait paleobathymetry. Here, we calculate and add the residual bathymetry for the Yermak Plateau, Greenland Ridge, and the Hovgård microcontinent (HMC) to their reconstructed locations through time. However, the resulted paleobathymetry of the HMC is still deeper than expected from geological evidence (e.g. Knies et al., 2014; Matthiessen et al., 2009; Myhre et al., 1995b). The HMC was probably subaerial from ~25 Ma to 6.7 Ma, and may have restricted deep water exchange through the Fram Strait until the Early Pliocene (Knies et al., 2014). To account for a subaerial HMC in that period, the residual bathymetry of the microcontinent had to be ~50% shallower than today, so we increased the residual bathymetry of the HMC by 50% for times older than 8 Ma, and gradually reduce the added magnitude to its modelled value by 5 Ma.

**Table 1**  
Evidence of Fram Strait structure and evolution.

Timing	Depth	Proxy	Reference
20–15 Ma Middle Miocene	Narrow oceanic corridor, depth uncertain ~2 km	Bouguer gravity map, integrated with seismic data Tectonic model and (poorly known) depositional environment between Svalbard and Greenland	Engen et al. (2008) Kristoffersen (1990)
Middle Miocene	2.5 km–2.8 km	Plate kinematics and paleobathymetry model	Knies and Gaina (2008)
20–17 Ma (partly open), 11.2 Ma (open) 17.5 Ma (partly open) 13.7 Ma (open) 21 Ma	Shallow/narrow, deep at 11.2 Ma  >2 km by 13.7 Ma  Possible shallow seaway before 21 Ma, deepens afterwards	Changes in sedimentation regime from ODP Site 909  Arctic Ocean sediment cores, IODP expedition 302  Geophysical evidence, aeromagnetic surveys	Myhre et al. (1995b)  Jakobsson et al. (2007)  Jokat et al. (2016)
17 Ma	>1.5 km	Geological and geophysical data	Ehlers and Jokat (2013)

**Table 2**  
Evidence of a submerged Greenland–Scotland Ridge, modified from Denk et al. (2011).

Greenland–Iceland Ridge	Iceland–Faroe Ridge	Faroe–Shetland Channel	Proxy	Reference
Oligocene/Miocene ~35 Ma	Oligocene/Miocene 25–30 Ma	Early Eocene 30–35 Ma	Vertebrates Model based on geological and geophysical data	McKenna (1983a, 1983b). Wold (1995)
15–18 Ma 15–18 Ma 18–13 Ma 6 Ma	15–18 Ma Mid–Miocene 18–13 Ma 10 Ma	Early Cenozoic 40–50 Ma 18–13 Ma 10 Ma	Model and geological evidence Geological evidence Benthic foraminifera Paleontological evidence (plant fossils)	Poore et al. (2006) Thiede and Eldholm (1983) Ramsay et al. (1998) Denk et al. (2011)
Oligocene/Miocene	Oligocene/Miocene	–	Geological and paleontological evidence	Talwani et al. (1976), Berggren and Schnitker (1983). Interpreted by Ellis and Stoker (2014)
–	–	49–50 Ma	Contourite drift	Hohbein et al. (2012)
–	–	~35 Ma	Contourite drift	Davies et al. (2001)

### 3.1.2. The Greenland–Scotland Ridge

The Nordic Seas (i.e. the Greenland, Iceland, Norwegian, and Barents Seas) play a very important role in deep-water formation. The deep water formed in the Nordic Seas flows southward crossing the Greenland–Scotland Ridge (GSR) into the North Atlantic, where the dense overflow constitutes a considerable part of the North Atlantic Deep Water (NADW) (e.g. Mauritzen, 1996). Today, the NADW accounts for about half of the global production of deep water (Broecker et al., 1998). The amount of deep water exiting the Nordic Seas is controlled by the depth of the GSR, which has been deepening during the Cenozoic. However, the subsidence history of the GSR is not fully understood, and there are large differences in the estimations as to when the different parts of the ridge subsided (see Table 2). The role of its paleobathymetry in the transition from greenhouse to icehouse climate in the Cenozoic time is uncertain and its former depths are often undervalued in previous global paleobathymetric reconstructions (e.g. Bice and Marotzke, 2002; Herold et al., 2014; Herold et al., 2008; Zhang et al., 2011).

The GSR can be divided into three main segments; the Greenland–Iceland Ridge, the Iceland–Faroe Ridge, and the Faroe–Scotland Ridge which includes the Faroe–Shetland Channel (FSC) (Table 2, and Fig. 2). According to Beard (2008), all three segments were probably subaerial in the Early Eocene (~47 Ma), making the GSR a continuous land bridge. This was based on the discovery of *Tieilhardina magnoliana*, a mammal fossil found in Eocene deposits in Belgium, which presumably had migrated from North America to Europe over the North Atlantic Land Bridge (NALB) (Beard, 2008). In Table 2, we have summarized the span of estimates of when the GSR different sections subsided below sea level. They are quite different and make the timing of opening rather unconstrained. It is also a fact that after the continental break-up between Greenland and Eurasia (~55 Ma), the subsidence of the GSR has been influenced by the Iceland mantle plume. During that time, the variations in plume activity, as recorded by V-shaped ridges straddling the Reykjanes Ridge, have modulated the depth of the GSR (e.g. Jones et al., 2002; Parnell-Turner et al., 2014; Wright and Miller, 1996). Episodes of uplift and subsidence caused by variations in plume activity, could have opened and closed the oceanic gateway several times during the Cenozoic. This opens the possibility that more than one of the estimates of an open gateway in Table 2, could be correct.

Today, the depths of the NE Atlantic Ocean and the Greenland–Scotland ridge are anomalously shallow with respect to predicted normal thermal subsidence of the oceanic lithosphere. There are two main factors that cause the anomaly, and both must be accounted for in our paleobathymetric reconstructions of the NE Atlantic Ocean. First, the Greenland–Iceland–Faroe Ridge (GIFR) is isostatically supported by anomalously thick oceanic crust. The crustal thickness varies between 17 and 35 km, with values above 40 km beneath Iceland (Funck et al., 2017). This is ~2–5 times thicker than the 7 km thick normal oceanic crust (White et al., 1992). Second, the Iceland mantle plume dynamically supports the Greenland–Scotland ridge which contributes significantly to the shallow bathymetry (e.g. Jones et al., 2014).

**3.1.2.1. Corrections for anomalous crustal thickness.** We use the NE Atlantic crustal thickness grid of Funck et al. (2017) to calculate the isostatic effect of increased crustal thickness along the GIFR (see Supplementary figure, S3–S7). The resulting values were used to adjust our bathymetry calculated assuming normal thermal subsidence of the oceanic crust. For every time step, we use our plate kinematic model to rotate the crustal thickness to its paleo-location and remove crust younger than the age of reconstruction at the Mid-Atlantic ridge. The isostatic effect of crustal thickness is then added to bathymetry from calculated thermal subsidence and sedimentation. The crustal thickness is anomalously high along strike of the GIFR which has oceanic crustal ages spanning from 0 to 55 Ma (Straume et al., 2019). This implies that there have been high crustal thicknesses along the GIFR ever since continental break up (~55 Ma), and we therefore presume that the method of adding extra bathymetry based on crustal thickness is reliable. Our

applied methodology is similar to previous models of the region (i.e. Ehlers and Jokat, 2013; Wold, 1995), however, we include more recent plate kinematics, lithospheric age, sediment thickness and crustal thickness data, and apply a new model for variations in dynamic support and locations of the Iceland plume (see below).

**3.1.2.2. Corrections for mantle dynamic support.** Today, the Iceland mantle plume dynamically supports region that covers a considerable part of the NE Atlantic Ocean, from continental Greenland to the NW European margin (Jones et al., 2014). Temperature pulsations in the Iceland plume have caused temporal uplift and subsidence on the ridge since continental break-up, and both short-term pulsations (with periodicity <10 Myrs), and long-term variations (>10 Myrs) in shape and size of the Iceland plume swell have occurred through time (Jones et al., 2002; Parnell-Turner et al., 2014; Poore et al., 2006; Wright and Miller, 1996). We approximate the dynamic topography caused by the Iceland Plume using a Gaussian shaped swell centred on Iceland. To determine the paleo-locations of the Iceland plume we use the hotspot track of Doubrovine et al. (2012), based on a global moving hotspot reference frame. The maximum dynamic topography values are varied according to the residual depth estimates of Parnell-Turner et al. (2014). We keep the FSC closed prior to ~36 Ma, and from 35 Ma the depths vary according to the influence from the plume and sedimentation (Fig. 2).

### 3.1.3. Uncertainties in NE Atlantic paleobathymetry reconstructions

Accounting for Iceland plume pulsations and long-term dynamic support variations introduces a new element of temporal vertical motions of the seafloor that captures more realistically the bathymetric evolution of the NE Atlantic Ocean and thereby significantly improving our model. However, there are uncertainties involved in this reconstruction method. For example, one would not expect that the plume swell is or has been symmetric (e.g., Jones and White, 2003), and the extent of the plume swell and the plume flux in the Cenozoic time is not easily constrained (e.g., White and McKenzie, 1989; Jones and White, 2003; Jones et al., 2014; Parnell-Turner et al., 2014). Also, there are many different predictions of the location of the Iceland Plume through time (e.g. Lawver and Müller, 1994; Jones and White, 2003; Doubrovine et al., 2012) depending on the global and regional plate kinematics and whether the mantle plume is considered fixed to the mantle (like in Lawver and Müller, 1994) or tilted by advection (like in Doubrovine et al., 2012).

The only manual adjustment we applied in the NE Atlantic region is to keep the FSC closed before 36 Ma. According to Hohbein et al. (2012), the onset of the “Judd Falls Drift”, a proposed contourite drift deposit in the Faeroe-Shetland Basin, represents overflow of deep water from the Nordic Seas to the North Atlantic already at ~49 Ma. This interpretation indicates that the FSC was open at least two million years before *Tieilhardina magnoliana* supposedly crossed the North Atlantic Land Bridge. However, this assessment has been criticized by Stoker et al. (2013), arguing that their interpretation was flawed and that there are no real evidence of a deep-water connection before the synclinal form of the Faroe Bank Channel was created in the Miocene (Stoker et al., 2013; Stoker et al., 2005). We acknowledge that there are uncertainties in the opening of the FSC, however, we take the contourite drift supposedly deposited at ~35 Ma (see Section 3.1.2 and Davies et al. (2001)) to be the first indication of an open channel and implement this assumption into our final paleobathymetry model.

## 3.2. The Tethys Seaway

The Tethys Seaway connected the proto-Mediterranean Sea and the Indian Ocean. In the Early Cenozoic, the open Tethys Seaway along with the Central American Seaway (CAS) and the Indonesian Gateway provided a low latitude circum-global connection between the major world oceans. The shallowing of the Tethys Seaway has been shown to increase the salinity differences between the Atlantic and the Pacific Oceans and thereby increase the deep water formation in the North Atlantic

**Table 3**  
Evidence and timing for closing the Tethys Seaway.

Timing	Depth	Proxy	Reference
10 Ma	Subaerial	Plate kinematics based on paleomagnetic data	Dercourt et al. (1986)
20 Ma	Subaerial	Apatite fission tracks	Okay et al. (2010)
19 Ma	Subaerial	Mammal exchange	Harzhauser et al. (2007)
28–23 Ma (restricted connection, where last possible closing is 11 Ma)	350 m–750 m (Early Oligocene). <350 m (Late Oligocene). 11 Ma closed.	Biostratigraphically dated Oligocene–Miocene sediments	Hüsing et al. (2009)
~34 Ma (Eastern Tethys)	Subaerial, however, this is only for the Eastern part, could still be an open seaway	Marine Paleogene sediments, Tibet	Wang et al. (2002)
~19 Ma	Subaerial, but temporal reopening of a shallow seaway at ~16 Ma	Model + marine sediments. Reopening is interpreted from Miocene marine sediments in the Lake Van area (Gelati, 1975)	Rögl (1999)
~35 Ma	Closed as a deep gateway, possibly subaerial	Structural geological evidence, (and sediments)	Allen and Armstrong (2008)
~16 Ma	Subaerial	Sedimentary evolution of the Qom formation	Reuter et al. (2009)
~49 Ma	End in export of warm saline bottom water to the Indian ocean, not an indication of final closure, but could indicate restricted flow and a shallow seaway	Sedimentary sequences, evaporate distribution	Oberhänsli (1992)

(Hamon et al., 2013; Zhang et al., 2011). Subsequently, this could have influenced the ocean circulation and climate in the Late Eocene/Early Oligocene time (Allen and Armstrong, 2008; Zhang et al., 2011), but also later in the Mid Miocene (Hamon et al., 2013; Ramsay et al., 1998). Both an Eocene/Oligocene and a Miocene shallowing of the seaway are supported by geological and oceanographic data (Allen and Armstrong, 2008; Oberhänsli, 1992; Okay et al., 2010; Rögl, 1999). The initial collision time between Arabia and Eurasia is not well constrained, but most studies postulate a time interval within the Eocene–Oligocene (~35–25 Ma) (e.g. Allen and Armstrong, 2008; Jolivet and Faccenna, 2000) to Early–Mid Miocene range (e.g. Okay et al., 2010; Robertson et al., 2007). There are indications of shallowing, and maybe even full closure of the Eastern Tethys in the Late Eocene (Allen and Armstrong, 2008). However, apatite fission track data from the Bitlis–Zagros thrust zone along with regional stratigraphy suggest that the last oceanic lithosphere between Arabia and Eurasia was consumed by ~20 Ma (Okay et al., 2010). This coincides with first animal migration over the “Gomphotherium Landbridge” at ~19 Ma (Harzhauser et al., 2007), and indicates the final closure of the seaway. After this time only shallow temporal connections between the Mediterranean and Indian Ocean were possible (e.g. Rögl, 1999). These connections may have existed until Mid–Late Miocene and isotope data suggests that warm saline waters possibly linked to the seaway was flowing into the northern Indian Ocean, and flowed south into the Southern Ocean (Hamon et al., 2013; Ramsay et al., 1998). The presence of this warm water in the Southern Ocean may have slowed the proto-ACC, therefore the closure of such a seaway could have been important for building the ACC strength and have contributed to the growth of the

East Antarctic ice sheets during the Mid Miocene cooling event (Hamon et al., 2013; Woodruff and Savin, 1989; Wright et al., 1992).

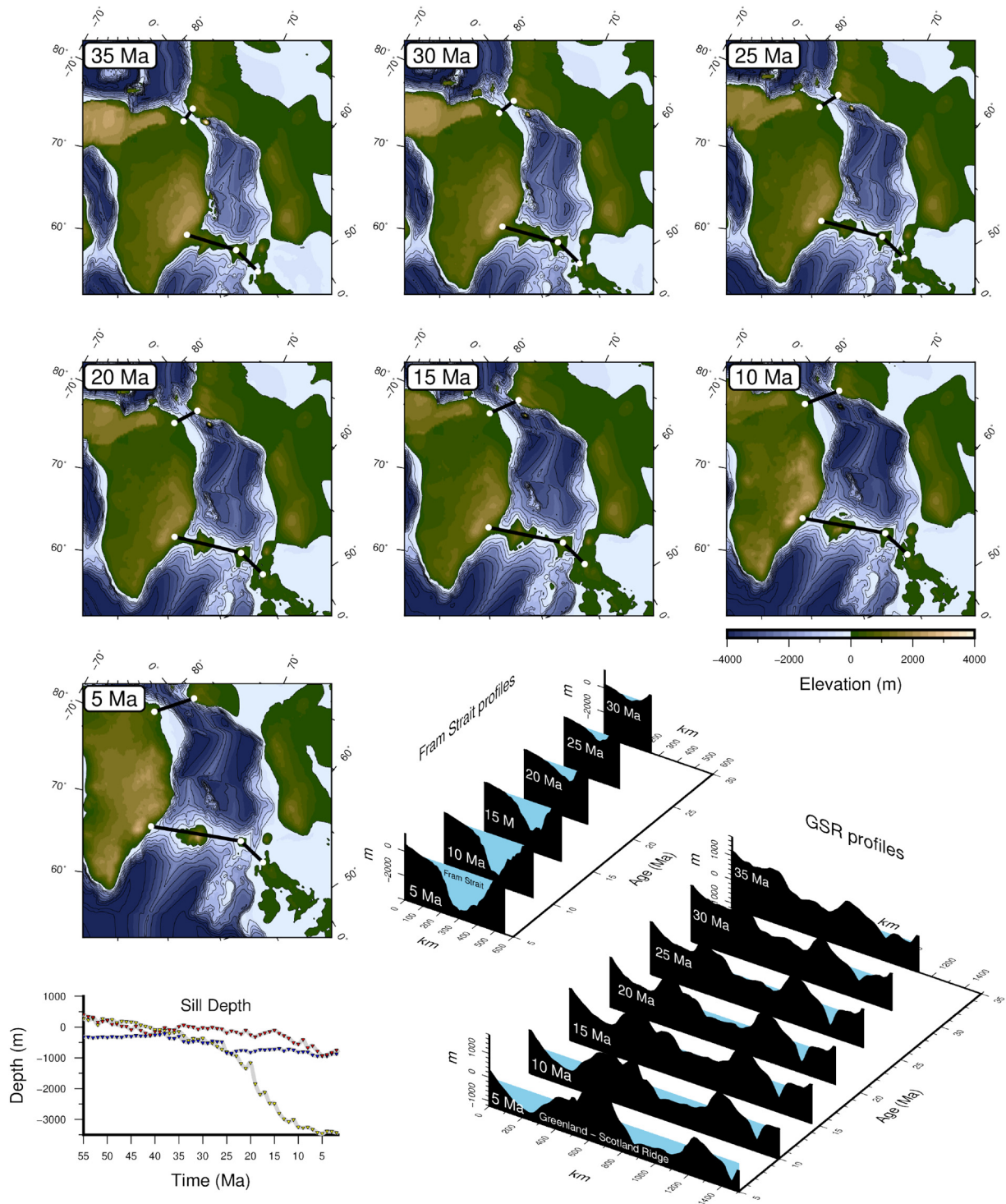
Based on our initial kinematic global model, our modelled paleobathymetry results in a deep Tethys Seaway until ~10 Ma when our Arabian and Eurasian COBs (modified from Torsvik and Cocks (2016)) overlap. As the last oceanic lithosphere was consumed earlier than 10 Ma (around 20 Ma according to Okay et al. (2010)) we re-evaluate the geometry of the northern Arabian block COBs considering a new kinematic model of the Mediterranean region (i.e. van Hinsbergen et al., 2019), and extend the COB to agree with the apatite fission track study of Okay et al. (2010). The Arabian COBs is extended to overlap with the Eurasian COBs at ~20 Ma to account for the lack of oceanic lithosphere at that time. We prescribe full closure of the seaway by ~19 Ma, which is also consistent with animal migration over the “Gomphotherium Landbridge” (Harzhauser et al., 2007). Before the seaway closure, our reconstruction method yields deep bathymetry (>4000 m) in the oceanic realm as the seaway was flooded by old oceanic lithosphere. However, there are evidences of regional uplift in the Eocene (e.g. Allen and Armstrong, 2008) and shallower seaway depths in the Oligocene according to biostratigraphy (e.g. Hüsing et al., 2009). We therefore modify our model accordingly by assigning a shallower seaway (~2000 m–1000 m) from the Mid Eocene and onwards. The large discrepancy between the unadjusted model and observations is probably because the model does not capture all blocks and terranes that once existed in the seaway and uplift related to continent collision. The sill depth of which the Tethys close as an oceanic gateway with implications for regional and global ocean circulation is not known. Modelling suggests sill depths somewhere between 1000 m and 250 m (Hamon et al., 2013), which would correspond to an Early Oligocene to Early Miocene gateway closure according to our reconstructions (Fig. 3). However, we cannot rule out that the seaway may have stopped functioning as a deep ocean gateway already in the Eocene (Oberhänsli, 1992), or later in the Mid Miocene if any temporal continental straits were deep enough to matter (Hamon et al., 2013; Rögl, 1999).

### 3.3. The Central American Seaway

The Central American Seaway, CAS, located where the Panama Isthmus is today, was an oceanic gateway connecting the Pacific

**Table 4**  
Evidence of Central American Seaway closure.

Timing	Proxy	Depth	Reference
3.1–2.7 Ma	Biotic exchanges between the Americas	Subaerial	Webb (2006)
~3 Ma	Interchange of land mammals between North and South America	Subaerial	Marshall et al. (1982)
12–7 Ma	Nd and Pb isotopes from fossil fish teeth and authigenic coatings of planktonic foraminifera	Closed for deep water exchange during this time. ~1000 m at 11.2 Ma	Osborne et al. (2014) & Newkirk and Martin (2009)
12.9–11.8 Ma	evaluation of Neogene stratigraphy and foraminiferal biostratigraphy	~1000 m	Duque-Caro (1990)
~15 Ma	Geochronological and geochemical data from the Isthmus of Panama	Subaerial (possible ~200 km wide and shallow opening in the Early Miocene)	Montes et al. (2012a) & Montes et al. (2012b)
15–13 Ma	Uranium-lead geochronology in detrital zircons, provenance analyses from boreholes, and stratigraphic sections in the Northern Andes	Closed, but Pacific–Atlantic water exchange could have taken place through transient, narrow, and shallow straits	Montes et al. (2015)

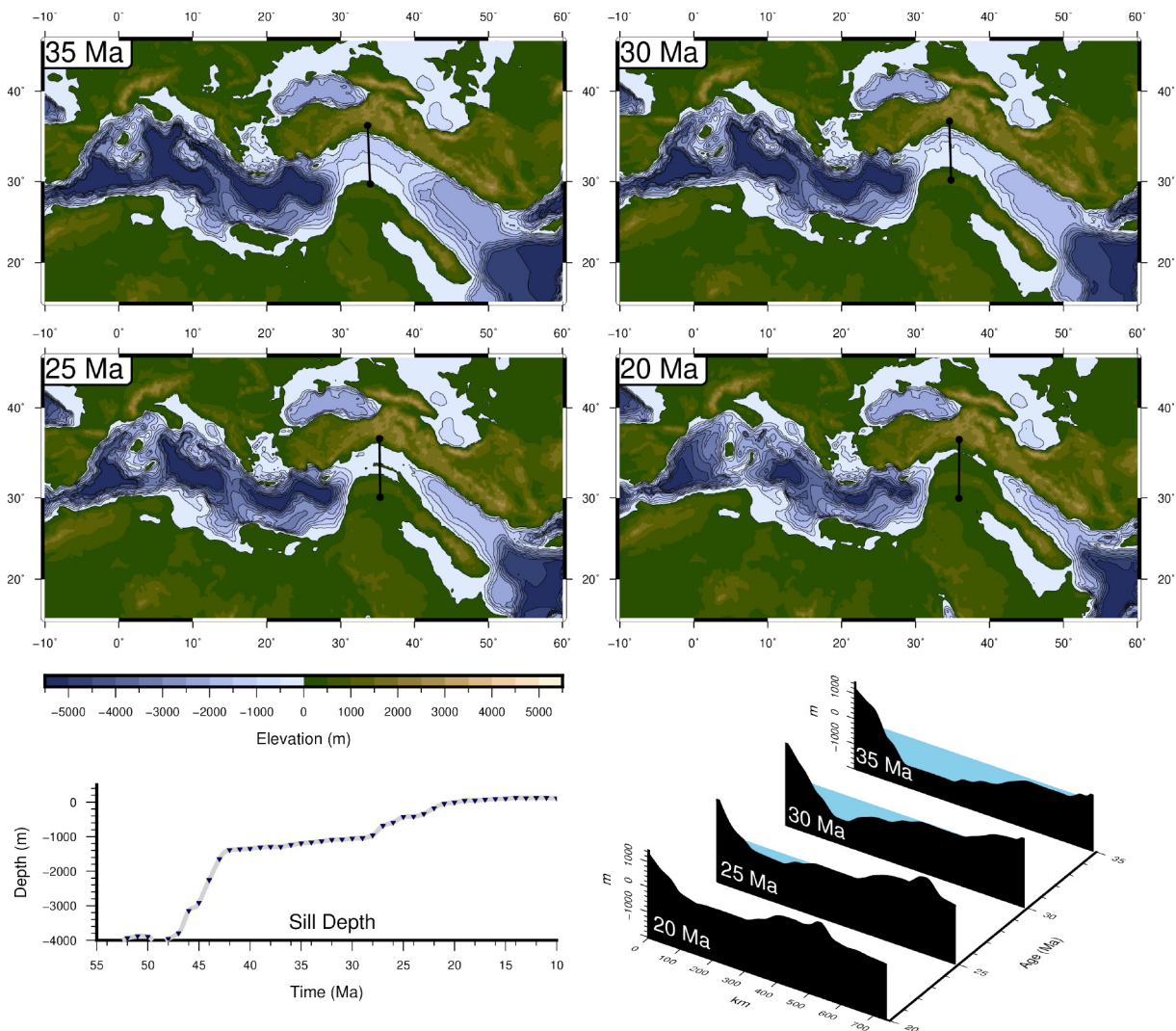


**Fig. 2.** Cenozoic paleobathymetry of the Atlantic–Arctic oceanic gateways and W–E profiles of the Fram Strait and Greenland–Scotland Ridge (GSR) showing their evolution from 35 Ma to 5 Ma. The sill depth reconstructions show the minimum elevation along the extracted profiles for every million-year since continental breakup between Greenland and Eurasia at 55 Ma. Sill depths for the Fram Strait = yellow, Greenland–Iceland–Faroe Ridge = red, and the Faroe–Shetland Channel = blue.

and Atlantic oceans (Fig. 4). Its closure is thought to have been important for the establishment of the modern day AMOC, as eastward flow through the gateway would reduce salinity in the Atlantic Ocean, and therefore limit the strength of the AMOC (e.g. Maier-Reimer et al., 1990; Sepulchre et al., 2014). Final closure of the gateway has been attributed to cause the American biotic interchange

between North and South America at ~2.7 Ma (Marshall et al., 1982; Webb, 2006). Using this or a similar timing, several studies have proposed the closing of the gateway as an important factor for the initiation of the Northern Hemisphere glaciations (Haug et al., 2001; Lear et al., 2003). However, many authors suggest that the gateway shallowing occurred much earlier, several million years





**Fig. 3.** Evolution of the Tethys Seaway with extracted N - S profiles. Sill depths represent the minimum elevation (deepest point) along the profiles for every millionth year from 55 Ma–10 Ma.

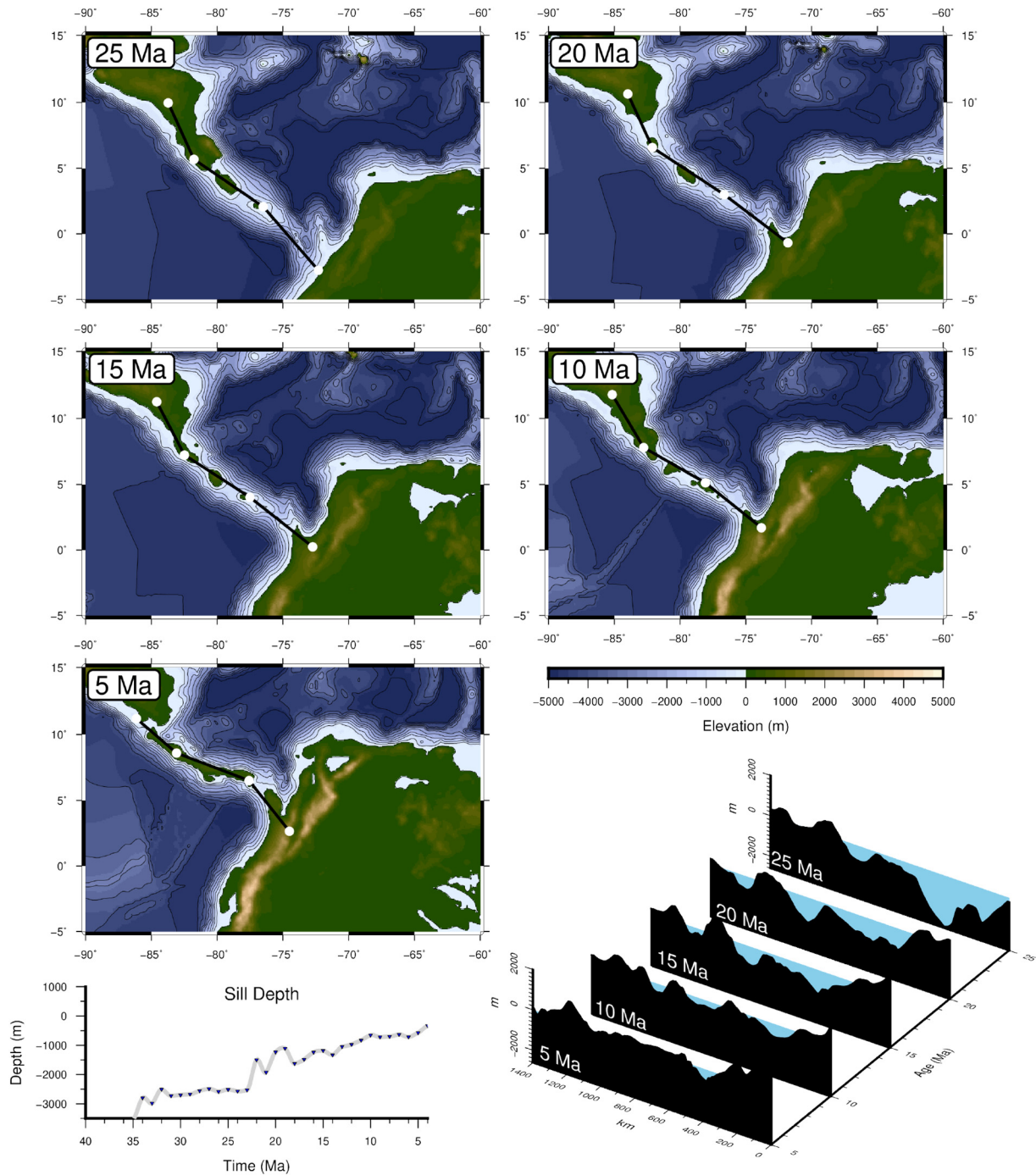
before full closure, and therefore the CAS was too shallow and narrow to significantly influence ocean circulation and climate in the Pliocene (Duque-Caro, 1990; Montes et al., 2012a; Montes et al., 2015; Montes et al., 2012b; Sepulchre et al., 2014). Evaluation of stratigraphy and foraminiferal biostratigraphy (Duque-Caro, 1990), and reconstructions of deep and intermediate water Nd and Pb isotope compositions from fossil fish teeth and planktonic foraminifera (Newkirk and Martin, 2009; Osborne et al., 2014), supports the hypothesis that the gateway shallowed to ~1000 m by Mid-Miocene times. The studies of Montes et al. (2012a, 2012b, 2015) go further in suggesting that there was only a shallow gateway, ~200 km wide near the Southern end of the Panama Isthmus, in the Early Miocene. Full closure occurred around 15–13 Ma, but transient shallow and narrow straits with some water exchange may have formed after that (see Table 4).

Following evidences documented by previous studies, we choose to keep an intermediate to shallow CAS (~2000 m) from the Late Eocene and prescribe further shallowing of the seaway in the Miocene as indicated above, leaving only narrow shallow straits by the Mid-Miocene. This favors the models of Montes et al. (2012a, 2012b, 2015). However, we do not implement a “forced” seaway closure before 3 Ma, taking into account that the American animal exchange around 2.7 Ma marked the full closure of the seaway (e.g. Marshall et al., 1982; Webb, 2006). A

partly open seaway post Miocene time is also supported by evidence from planktonic foraminifera and Nd and Pb isotopes of fossil fish teeth indicating water exchange between the Atlantic and Pacific Oceans at that time (e.g. Newkirk and Martin, 2009; Osborne et al., 2014).

A Pliocene final CAS closure coincides in time with the opening of the Bering Strait and shallowing of the Indonesian Gateway (e.g. Karas et al., 2017; Marincovich and Gladenkov, 2001). In the present study we do not discuss at large these very recent gateway events; however, one should keep in mind that ocean circulation changes in the Pliocene could have also resulted from a combination of these gateway events.

As stipulated in the introductory statement, the geological history of the Southern Ocean gateways is amply discussed by many studies and a review of those gateways is beyond the scope of this paper. Our aim is to fill a gap in the literature and document in a comprehensive way the detailed evolution of the main Cenozoic oceanic gateways situated in the Northern Hemisphere. However, in order to have an updated global paleobathymetric model we have inspected the existing models for the Drake Passage and the Tasman Gateway and adopted models that respect a given set of first-order geological observations. A short description of these can be found in the Supplementary material.



**Fig. 4.** Evolution of the Central American Seaway. NW-SW profiles extracted every 5 million year from 25 Ma–5 Ma. Sill depth is the minimum elevation along the profiles extracted with 1 million-year intervals.

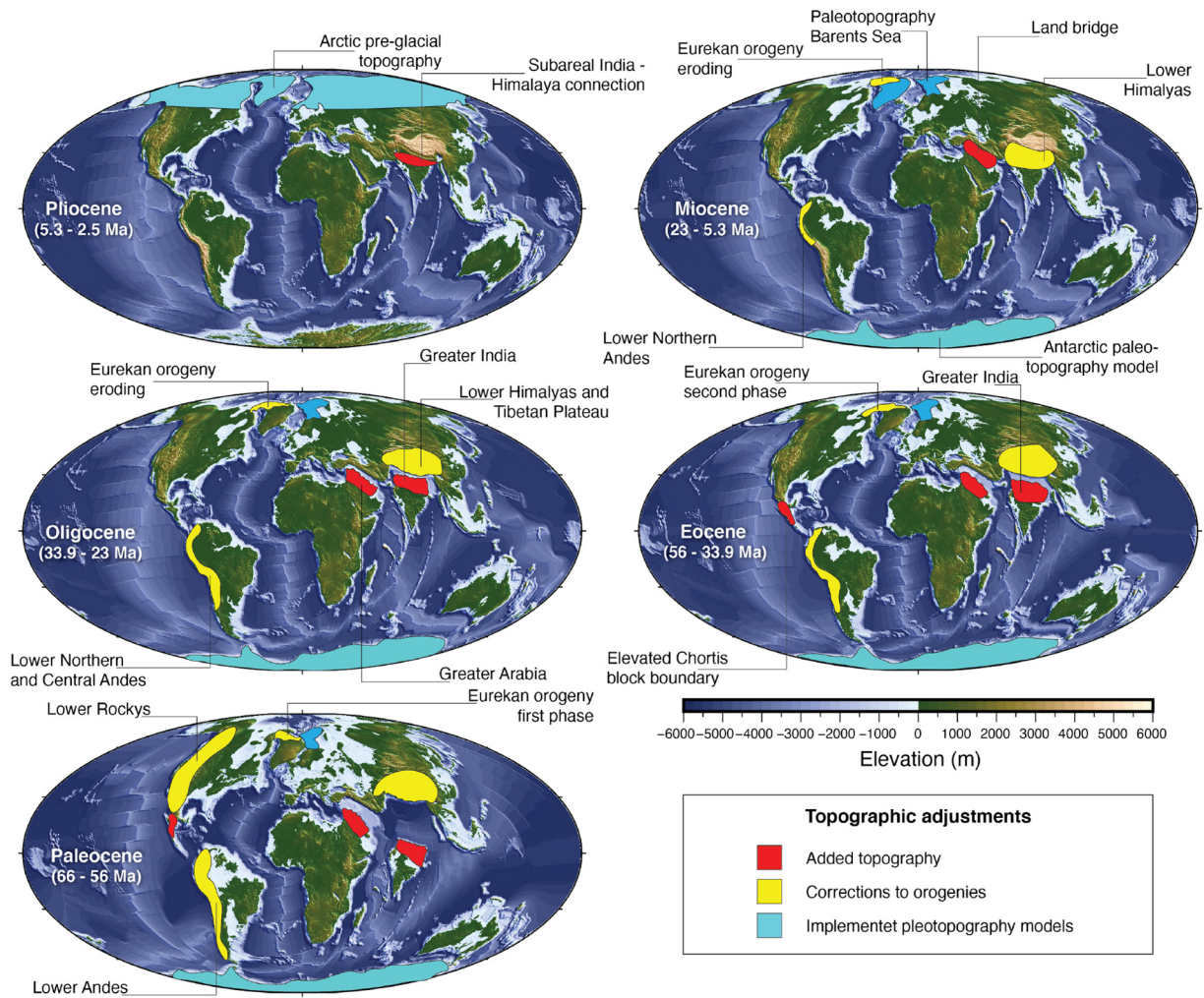
#### 4. Paleotopographic adjustments

Coupled climate models require complete models of topography and bathymetry. For increasing the usefulness of our global paleobathymetry model, we have prepared a global paleotopography model that accompanies the Cenozoic paleobathymetry model presented in this paper. The global paleotopography is a compilation of previously published and new regional models, and we use previously published global models (Cao et al., 2017; Herold et al., 2014; Herold et al., 2008) to compare, and in some cases adjust, our model.

For the circum-Arctic region, including Greenland and Scandinavia we adopt a new paleotopographic model based on the methodology of Medvedev et al. (2018), which calculates the pre-glacial topography

of the circum-Arctic region by numerically restoring eroded material and calculating the flexural isostatic response. For the Mid–Late Miocene we combine this model with the regional model of Knies and Gaina (2008) for the Barents sea, based on the topography models of Rasmussen and Fjeldskaar (1996) and Dimakis et al. (1998). For the Eocene and Oligocene we add the new information from the paleoenvironment and erosion study of Lasabuda et al. (2018), which propose that the Barents Sea region was subaerial. In addition, we look at Cenozoic uplift and subsidence data from Anell et al. (2009) and adjusted our topography for the regions surrounding the North Atlantic through time.

For Antarctica, we use the newly published topographic reconstructions of Paxman et al. (2019). They reconstruct paleotopography of the



**Fig. 5.** Global paleotopography and regions of elevation adjustments. Colored regions indicate which regions were adjusted. Background reconstructions are 5 Ma for the Pliocene, 15 Ma for the Miocene, 25 Ma for the Oligocene, 36 Ma for the Eocene, and 56 Ma for the Paleocene.

Antarctic continent for four key time steps since the Eocene–Oligocene transition (i.e. 34 Ma, 23 Ma, 14 Ma and 3.5 Ma). This reconstruction does not go further back than 34 Ma, however, previous EOT reconstructions (i.e. ANTscape (Wilson et al., 2012)) has been applied for topographic reconstructions as far back as the Early Eocene (~55 Ma) (e.g. Herold et al., 2014). We use this configuration of Antarctica for the whole Eocene time. As there were no major ice sheets on Antarctica during this period, the topography changes were linked to other processes, mostly linked to tectonic events (Cramer et al., 2011; Herold et al., 2014). Due to the lack of useful paleotopographic models for times older than 34 Ma, we find the detailed and high-resolution model of Paxman et al. (2019) to be adequate for the Eocene time. For times younger than 34 Ma, we gradually change our model towards the 23 Ma paleotopography and repeat the process for the time interval 23 Ma to 14 Ma, and so on, until we reach the present-day topography. We use cosine-tapered weights to blend the topography between the modelled time steps using the Generic Mapping Tools command ‘grdblend’ (Wessel et al., 2013), where we change the weights at each time-step so we gradually go from one step to the next.

Other significant Cenozoic orogenic events that built the Himalayas, Andes, Rocky Mountains and the Eureka were also incorporated in our global model. For the Himalayas and the Tibetan plateau, we keep a low relief, similar to Herold et al. (2014) for the Early Eocene. We gradually increase the elevation until the Middle Miocene when it is predicted

that the Tibetan plateau reached modern day heights (e.g. Coleman and Hodges, 1995; Herold et al., 2008; Rowley and Currie, 2006; Williams et al., 2001).

Parts of the Andes Cordillera could have been at alpine heights in the Early Cenozoic, however, the mountain range was probably significantly lower than today (e.g. Markwick and Valdes, 2004). Periods of intensified Andean uplift have been recorded for the Early Eocene, Early Oligocene, Late Oligocene–Early Miocene, Mid Miocene and Early Pliocene (Hoorn et al., 2010). Previous topographic reconstructions have prescribed paleo-elevations in the central Andes to ~1000 m in the Late Cretaceous and Early Eocene (Herold et al., 2014; Markwick and Valdes, 2004), ~2000 m in the Late Eocene (Baatsen et al., 2016). Where the northern parts of the mountain chain did not reach high alpine elevation until Late Miocene times (Hoorn et al., 2010), we prescribe a low relief topography (~1000 m) in the Early Cenozoic, and gradually increase the central part until Late Miocene, where we assume a topography like the present day. We keep the northern part low (<1000 m) until Late Eocene, and increase the elevation to modern-day heights by Late Miocene after the model of Hoorn et al. (2010).

The North American Cordillera was probably high already in the Early Cenozoic (Abbey et al., 2017), and could have experienced ~4000 m by Mid-Eocene times (Chamberlain et al., 2012). We set a 50% lower relief in the Early Cenozoic and gradually increase the elevation until we reach modern day elevations at 35 Ma.

Compressional deformation, caused by simultaneous seafloor spreading in the Labrador Sea and NE Atlantic resulted in the Eurekan deformation and relief formation between NW Greenland and Ellesmere Island in the Eocene (Anell et al., 2009; De Paor et al., 1989). The maximum paleo-elevation of the orogeny is not certain. However, the recent study of Vamvaka et al. (2019) suggests a pronounced topographic growth during an exhumation period between ~44 Ma and 38 Ma. They suggest that the Eurekan orogeny was high enough to facilitate glaciations at that time. This could explain the discovery of ice rafted debris form the same period (Eldrett et al., 2007), previously thought to originate further southeast on Greenland (Eldrett et al., 2007; Vamvaka et al., 2019). Ice sheet models indicates that the Greenland topography should be 1–1.5 km higher than today to accommodate continental ice sheets in a warm Eocene climate (Langebroek et al., 2017), and this could have been true for the Eurekan orogeny (Vamvaka et al., 2019). Here we adopt elevations of ~2000 m for the Early Cenozoic, ~3000 m for the Late Eocene, before we gradually lower the topography.

### 5. Oceanic gateway events and their influence on paleo-ocean circulation and climate

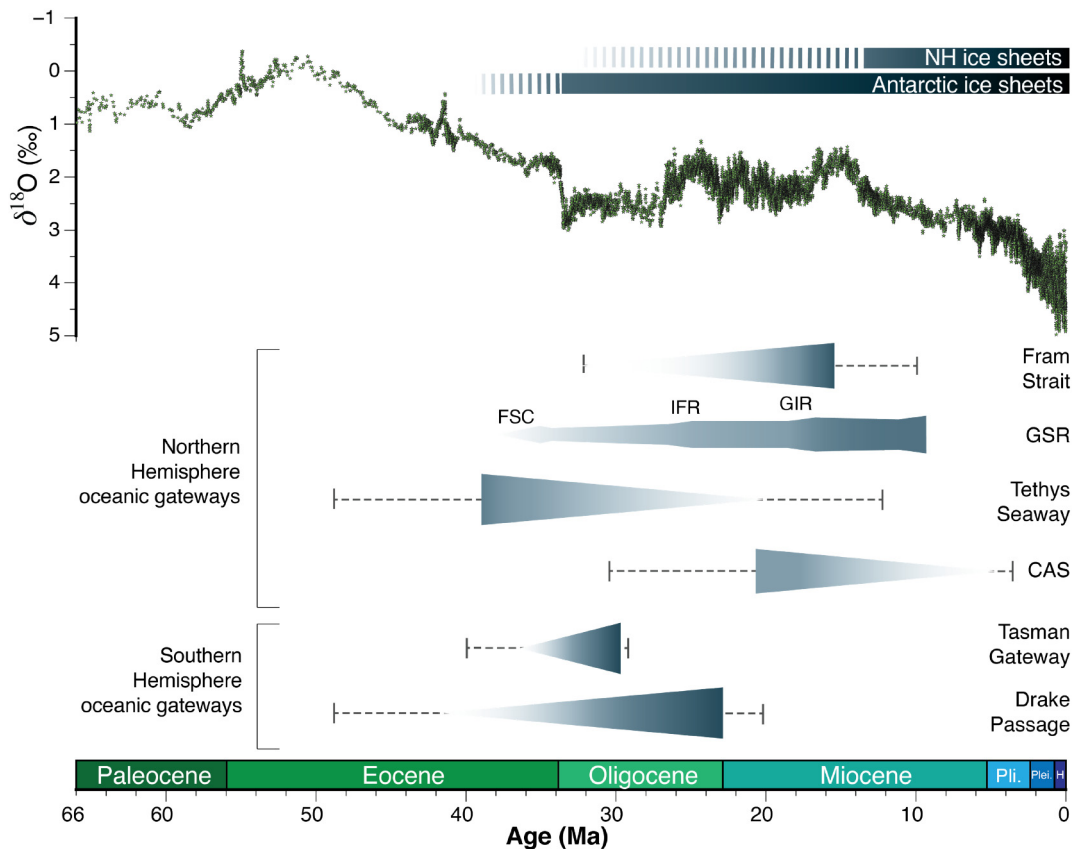
The overall aim of our study is to construct a global digital model for the Cenozoic evolution of paleobathymetry, with a focus on the northern hemisphere oceanic gateways. We have indicated the importance of individual oceanic gateways and presented comprehensive and detailed paleobathymetric models for their respective regions. In the last

section of this study we will re-iterate the importance of the oceanic gateways' evolution in modulating climate variations by reviewing the main climate change events since 66 Ma.

In the Early Cenozoic, the Southern Ocean gateways and the NE Atlantic were closed, and there was a deep circum-equatorial connection of the major oceanic basins through the CAS and the Tethys Ocean. From Late Eocene to Early Oligocene, this configuration changed as the Southern Ocean gateways opened, the GSR deepened through the FSC, and the Tethys Seaway shallowed. In the Miocene, the Tethys Seaway closed completely (Early–Mid Miocene), the CAS shallowed to values <1000 m (Mid–Miocene), the GSR deepened, punctuated by temporal episodes of uplift, and the Fram Strait approached modern depths (Mid–Miocene). The timing of the different gateways opening and closing with error bars representing the uncertainty in time based on published literature are summarized in Fig. 6. Their correlations with ocean circulation and climate changes are discussed below.

#### 5.1. The Paleocene–Eocene

In the Early Eocene (Fig. 7), the CAS and Tethys Seaway were open, the North Atlantic was in an incipient stage, and the Southern Ocean gateways were closed (Fig. 6). In these conditions, the ocean circulation was influenced by deep water convection at multiple locations, including the North Pacific, southern high latitudes, and low-latitude regions producing warm saline deep water (Ferreira et al., 2018, and references therein). Compilations of Nd isotope data from ODP and IODP drillsites



**Fig. 6.** Cenozoic deep sea benthic foraminifera oxygen isotope curve of Zachos et al. (2008) and timing of the key oceanic gateway changes considered in our model. Stapled error bars show the range of estimated times of oceanic gateways opening and closing from the literature. For the Fram Strait (i.e. Ehlers and Jokat, 2013; Jakobsson et al., 2007; Jokat et al., 2016; Knies and Gaina, 2008; Myhre et al., 1995b), for the GSR (i.e. Davies et al., 2001; Denk et al., 2011; Ellis and Stoker, 2014; Poore et al., 2006; Wold, 1995), for the Tethys Seaway (i.e. Allen and Armstrong, 2008; Dercourt et al., 1986; Oberhänsli, 1992; Okay et al., 2010; Rögl, 1999), for the CAS (i.e. Duque-Caro, 1990; Marshall et al., 1982; Montes et al., 2012a; Montes et al., 2015; Montes et al., 2012b), for the Tasman Gateway (Bijl et al., 2013; Brown et al., 2006; Stickley et al., 2004), and for the Drake Passage (Eagles and Jokat, 2014; Lawver and Gahagan, 2003; Lawver et al., 2011; Scher and Martin, 2006). FSC = Faroe Shetland Channel, IFR = Iceland–Faroe Ridge, GIR = Greenland–Iceland Ridge, GSR = Greenland–Scotland Ridge, CAS = Central American Seaway.

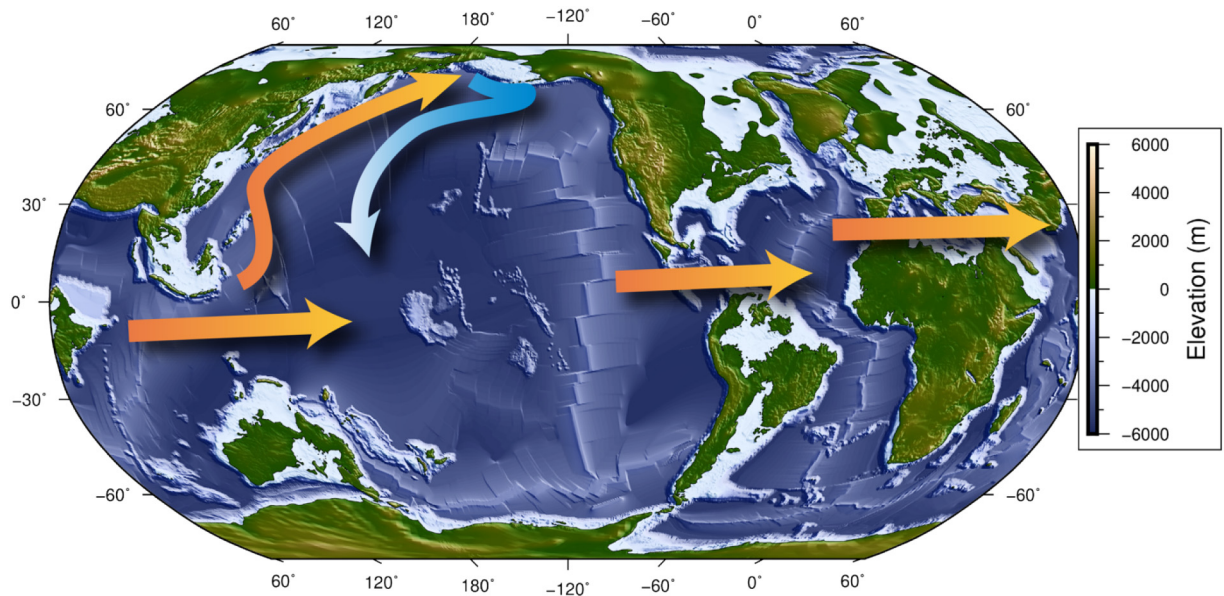


Fig. 7. Global paleobathymetry and paleotopography for the Early Eocene (55 Ma) with sketched ocean circulation pattern. Orange arrows = surface currents, blue arrows = deep water.

suggest separate overturning circulations in the Pacific and Atlantic Basins before ~40 Ma (Martin and Scher, 2004; Thomas et al., 2014). There was strong convection and deep water production in the Northern Pacific Ocean in the Early Cenozoic that started to weaken in the Early Eocene, possibly due to global warming (Hague et al., 2012). The tectonic configuration of oceanic basins was therefore important for the Early Eocene climate, by facilitating multiple regions of deep convection, younger water masses were produced in each basin, and overall increasing the ventilation (Thomas et al., 2014). This may have had important implications for carbon cycling, as higher ventilation rates could promote enhanced recycling of organic carbon, returning fixed carbon back to the ocean/atmosphere system as  $\text{CO}_2$  (Hague et al., 2012; Olivarez Lyle and Lyle, 2006; Thomas et al., 2014). By the Late Eocene, the Southern Ocean gateways started to open, the GSR deepened, the Tethys Seaway shallowed (Fig. 5), and first indications of the initiation of the AMOC are found (e.g. Abelson and Erez, 2017; Coxall et al., 2018). It follows that these gateway events could have triggered climatic changes near the Eocene–Oligocene transition (see next section).

### 5.2. The Eocene Oligocene transition

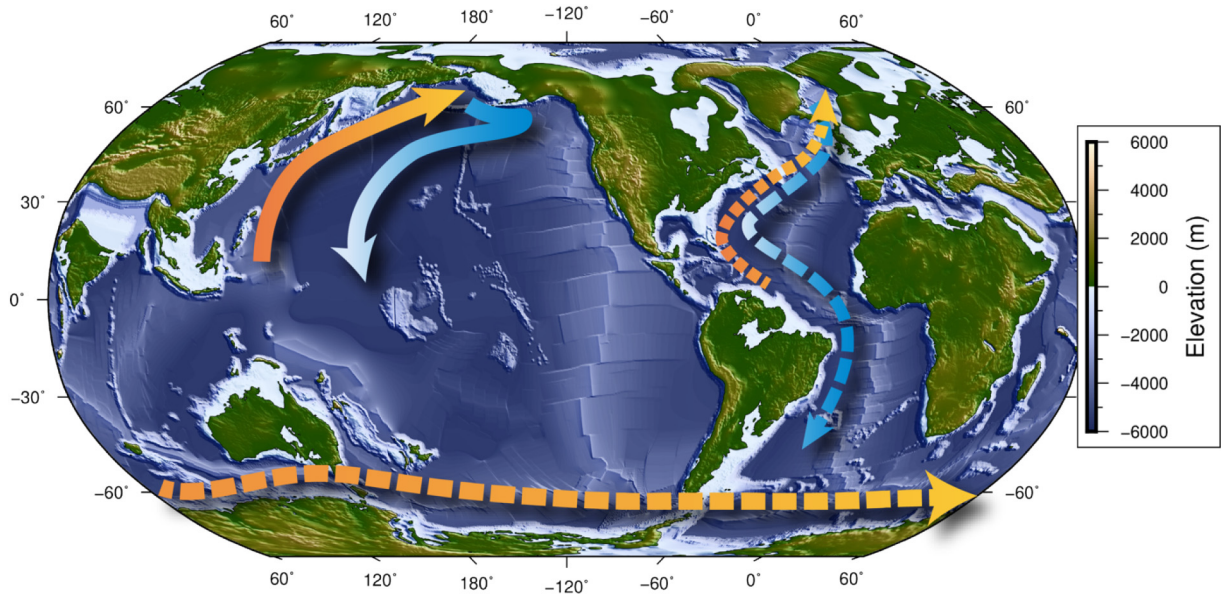
Around the Eocene–Oligocene transition, the GSR opened through the FSC, and the Tethys Ocean started to get shallow and narrow, which could have influenced the changes in ocean circulation documented around that time. Tectonic changes in the Fram Strait and the Barents Sea, the GSR region and the Tethys Seaway have all been proposed as triggers for the Eocene–Oligocene cooling (Abelson and Erez, 2017; Coxall et al., 2018; Hutchinson et al., 2019; Zhang et al., 2011):

Recently, Hutchinson et al. (2019) proposed that a closing of an Atlantic–Arctic connection through the Barents Sea before the EOT, could have enhanced the AMOC and contributed to the climatic cooling. Paleoenvironment and erosion estimates of the Barent Sea (e.g. Lasabuda et al., 2018) indicate a subaerial Barents Sea in the Eocene and Oligocene. There have been postulated periods of uplift in the Barents Sea indicating lower elevation in the Eocene relative to the Early Oligocene (Anell et al., 2009), but the amount of uplift and its influence on the topography is not certain. Our model does not include a submerged Barents Sea at that time as we implement a paleotopography similar to Lasabuda et al. (2018), and no late Eocene closure of a seaway through the Barents Sea. However, in our model we have a shallow water connection over the East Greenland margin in the Proto-Fram

Strait. If this seaway was open earlier in the Eocene, uplift related to the second phase of the Eureka Orogeny (e.g. Vamvaka et al., 2019) could have closed this connection to the Arctic Ocean and potentially influenced the circulation in the North Atlantic as suggested by Hutchinson et al. (2019).

Several studies argue for an onset of a stronger AMOC before or close to EOT because of paleobathymetric changes in the NE Atlantic region (Abelson et al., 2008; Abelson and Erez, 2017; Coxall et al., 2018). Abelson and Erez (2017) infer an onset of a modern-like AMOC near EOT deduced from compiled  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  benthic foraminifera records. They propose a hypothetical Nordic counterclockwise estuarine circulation route, where warm North Atlantic waters cross the GSR and enters the Eastern Nordic Seas, sinks in the Northern Nordic Seas, and returns through the FSC. This is consistent with the onset of deposition of the Southeast Faroe Drift at ~35 Ma (Davies et al., 2001). If there was deep water forming in the Nordic Seas at this time, the proposed circulation of Abelson and Erez (2017) seems plausible as indicated by our modelled paleobathymetry that shows the western part of the GSR subaerial and the FSC opened at this time.

The closure of the equatorial connection between the major oceanic basins through the CAS and the Tethys Seaway has been proposed to have impacted global ocean circulation and climate by causing a transition from a Southern Ocean Deep Water dominated circulation mode to a circulation dominated by North Atlantic Deep Water (Zhang et al., 2011). The CAS is thought to have been open at the EOT and gateway changes relevant for ocean circulation are believed to have occurred later (see Table 3). However, the Tethys Seaway may have closed at this time (Allen and Armstrong, 2008) and modelling suggests that it may have reduced deep water formation in the Southern Ocean, increased the AMOC, and caused cooling of high southern latitudes (Hamon et al., 2013; Zhang et al., 2011). In our paleobathymetry model, the Tethys Seaway is open, but it is no deeper than ~1000 m (Fig. 3). We cannot deduce solely from our paleobathymetry model how this gateway configuration modulated flow through the gateway. However, the modelling study of Hamon et al. (2013) shows that a 1000 m deep gateway would still export warm saline deep water to the Indian Ocean, but shallowing it to 250 m would terminate the presence of this water mass in the Indian Ocean. It follows that shallowing and narrowing of the Tethys Seaway in the Late Eocene and Early Oligocene could have made a difference to warm saline water transport, although ocean and



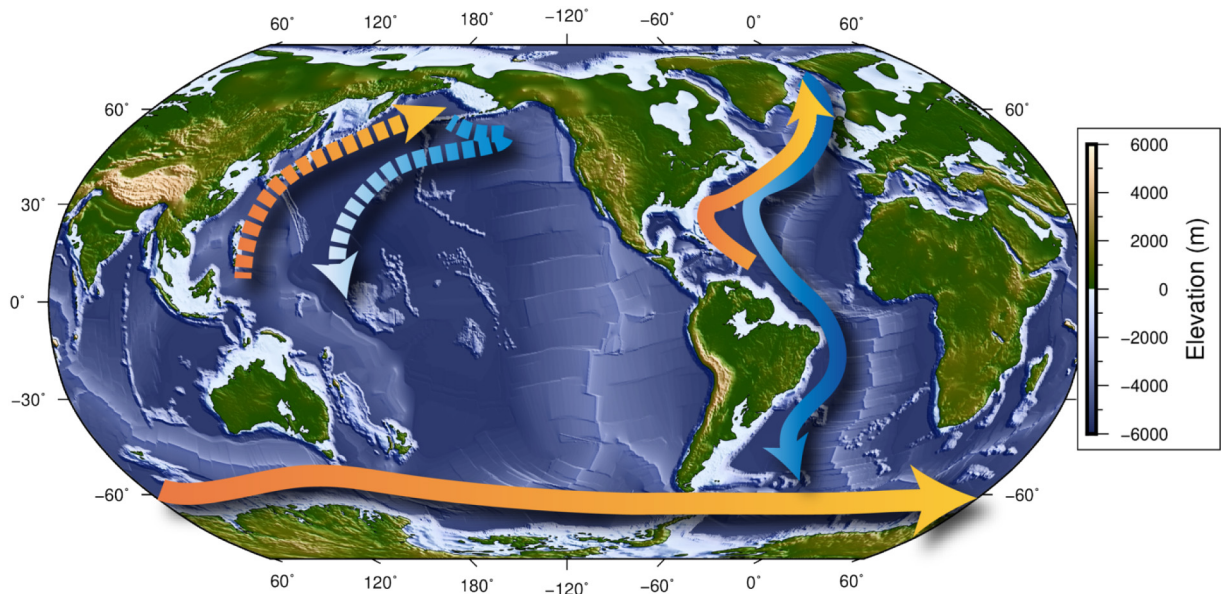
**Fig. 8.** Global paleobathymetry and paleotopography close to the Eocene–Oligocene transition (34 Ma) with sketched ocean circulation pattern. Orange arrows = surface currents, blue arrows = deep water.

climate models with realistic paleobathymetric reconstructions are required to constrain the gateways role (Fig. 8).

### 5.3. The Miocene

By the Mid-Miocene, the Fram Strait reached modern depths, Iceland appeared as an island as the GSR subsided, and the Tethys Seaway was closed (Fig. 9). The CAS was still open enabling exchange of Atlantic and Pacific waters, although it was narrowing, shallowing and possibly closing during this time (Montes et al., 2015). There was a warming trend in the Oligocene and Early Miocene culminating at the Mid-Miocene climatic optimum (~15 Ma) (Zachos et al., 2001). The transition to a cooler climate following the climatic optimum has been linked to oceanic circulation reorganization caused by final closure of the Tethys Seaway (Hamon et al., 2013). However, the Tethys Seaway probably closed several million

years before this as major uplift is recorded in the Late Eocene (Allen and Armstrong, 2008). Further uplift and the consumption of the last oceanic lithosphere is documented in the Early Miocene (Okay et al., 2010), which is coeval with animal migration indicating a land-bridge across the seaway (Harzhauser et al., 2007). Instead we argue that CAS shallowing, GSR subsidence and uplift, or the deepening of the Fram Strait are more likely to have induced circulation changes in the Miocene. The modern AMOC started to develop in the Miocene (first stage was ~12–9 Ma), and during this time models and data suggests a weakening of the Pacific Meridional Overturning Circulation (PMOC) (e.g. Ferreira et al., 2018; von der Heydt and Dijkstra, 2006; Woodruff and Savin, 1989; Yang et al., 2014). CAS shallowing in the Miocene is believed to have strengthened the AMOC and changed the global ocean circulation pattern towards today's circulation system (e.g. Nisancioglu et al., 2003; Sepulchre et al., 2014). Also, temporal uplift and subsidence of GSR in the



**Fig. 9.** Global paleobathymetry and paleotopography for the Mid-Miocene (15 Ma) with sketched ocean circulation pattern. Orange arrows = surface currents, blue arrows = deep water.

Miocene has been linked to variations in the production of NCW and the Mid-Late Miocene cooling (e.g. Wright and Miller, 1996).

In addition, the deepening of the Fram Strait has been linked to ocean circulation changes and is postulated to have played a role in the climatic changes during the Miocene (Jakobsson et al., 2007; Knies and Gaina, 2008). In summary, the main major changes in NH gateways in our Miocene paleobathymetric model are the CAS shallowing from Early Miocene, depth variations of the GSR due to the Iceland plume pulsations throughout the Miocene, and Fram Strait deepening in the Early–Mid Miocene.

## 6. Summary and conclusions

We have developed a new model for Cenozoic paleobathymetry with a focus on the evolution of the Northern Hemisphere oceanic gateways. The model implements updated plate kinematics and associated oceanic lithospheric ages, estimated sediment thickness, and paleodepths of oceanic plateaus and microcontinents. In contrast to previous global models, we include a novel model for the NE Atlantic region that incorporates crustal thickness and variations in dynamic support from the Iceland mantle plume that greatly improves our reconstructions. In particular, the global model integrates regional reconstructions for the Northern Hemisphere oceanic gateways and is also complemented with a new paleotopography model that takes into account previously published geological information and reconstructed topography for selected regions.

We capture several tectonic and geodynamic events that changed the Northern Hemisphere oceanic gateways configuration through Cenozoic time. Due to plate tectonics and Iceland plume activity, the Greenland–Scotland Ridge gateway opens in the Late Eocene trough the FSC. Later on, the IFR deepens in the Early Oligocene, and Iceland becomes an island as the GIR submerge below sea level in the Mid Miocene. However, the subsidence history of the GSR experience temporal episodes of uplift related to changes in dynamic support from the Iceland Plume. In our global model, the Fram Strait evolves from a shallow connection to the Arctic Ocean in the Oligocene to modern depths (>2.5 km) by Early–Mid Miocene as the mid-ocean ridge between Greenland/North America and Eurasia is slowly connecting with the Gakkell Ridge in the Arctic Ocean. The Tethys Seaway is shallowing to ~1000 m in the Late Eocene, as the Arabian and Eurasian plates continue to converge, but does not close completely until the Early Miocene (~20 Ma). The Central American Seaway shallows in the Oligocene and Miocene and reach depths of less than ~500 m by Late Miocene. From Late Miocene, there are only very shallow (<250 m) and narrow (>200 km) Atlantic–Pacific connections. Our up to date and detailed reconstructions, calculated at one million-year time step for the Cenozoic era, will be useful for the paleoclimate community as they can easily be implemented in paleo-ocean circulation and climate models.

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jgr.2020.05.011>.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgements

E. S., C. G., S. M., and K.H.N. acknowledge support from the Research Council of Norway through its Centers of Excellence funding scheme, project 223272, and project 246929 (ClimVoTe). E. S. acknowledges support from the MatNat Faculty at the University of Oslo. We thank two anonymous reviewers for their constructive comments. The maps were generated using Generic Mapping Tools (GMT; Wessel et al.,

2013), and perceptually uniform color maps were used in this study to prevent visual distortion of the data (Cramer, 2018a; Cramer, 2018b). For visualizing tectonic reconstructions, we have used the freely available software GPlates ([gplates.org](http://gplates.org)). The Cenozoic reconstructions are available here: <https://doi.org/10.5281/zenodo.3820664>.

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