## 1 Hearing as an Independent Predictor of Postural Balance in 1075 Patients

## 2 Evaluated for Dizziness

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### 18 Abstract

19 **Objective**: To evaluate the association between hearing and postural balance.

20 Study design: Retrospective cross-sectional study.

21 Setting: Tertiary care otolaryngology clinic.

22 Subjects and methods: Patients examined for suspected vestibular disorder were included in this

study. The outcome variable was postural sway measured by static posturography during quiet

standing with eyes closed. The predictor variable was pure tone average hearing threshold on the

25 best hearing ear at 0.5, 1, 2 and 3 kHz. Covariates were age, sex and vestibular disease or

26 vestibular asymmetry assessed by bi-thermal caloric irrigation.

27 **Results:** 1075 patients were included. Increased hearing threshold was a strong predictor of

28 increased postural sway (path length) after correcting for age and sex. A 10 dB increase in

hearing loss on the best hearing ear predicted a mean increase of 6.0% increase in path length

30 (CI: 2.9%; 9.3%, p<0.001). Of the covariates, increasing age (p<0.001) and male gender

31 (p=0.009) were significant predictors of increased postural sway. The effect of increased hearing

32 threshold was also significant after adjusting for vestibular disease.

33 **Conclusion:** Increased hearing threshold was an independent predictor of increased postural

34 instability, and this effect was strongest for the best hearing ear. Unilateral vestibular disease did

not seem to explain this association between hearing and postural balance. Reduced hearing is

36 associated with impaired balance, and interventions to prevent falls should be considered for

37 patients at risk.

## 39 Introduction

40 Symptoms of dizziness, imbalance and reduced hearing affect people of all age groups and constitute approximately 10% of office visits in otolaryngology<sup>1</sup>. Hearing loss ranks as the 41 third leading cause for years lived with disability world wide<sup>2</sup>, and 5% of children and 20 % of 42 elderly will experience balance problems or dizziness during one year<sup>3,4</sup>. The organs of hearing 43 and equilibrium are closely linked anatomically and physiologically, and many diseases, such as 44 Ménière's disease and vestibular schwannoma, affect both systems simultaneously. Therefore, an 45 association between hearing and balance may be expected. However, while hearing loss is 46 usually caused by diseases of the ear<sup>5</sup>, there is a wide range of diseases causing impaired balance. 47 This includes pathologies in various organ systems, such as the vestibular, visual, proprioceptive, 48 musculoskeletal, central, and peripheral nervous systems<sup>6,7</sup>. 49

Two recent systematic reviews address the association between hearing and postural 50 control. Agmon et al. found support for a correlation between hearing loss and reduced postural 51 control in older adults<sup>8</sup>, but vestibular and neurologic patients were excluded from this review. 52 53 There was furthermore a large variability in methods of measuring postural balance and hearing level. Jiam and Agrawal concluded in their review that hearing loss was associated with a 54 doubled risk for falling among older persons<sup>9</sup>. Vestibular disorders and vestibular function were 55 not assessed in any of the studies included in these reviews. Both systematic reviews point to the 56 unknown causality and mechanisms between hearing loss and postural control, therefore future 57 studies with objective measurements of hearing<sup>8,9</sup> and vestibular function<sup>9</sup> were recommended. 58

The aim of this study was to evaluate whether hearing loss is an independent predictor of
postural imbalance among patients evaluated for dizziness. To assess the effect of unilateral inner

61 ear disorders, such as Ménière's disease and vestibular schwannoma, we aimed to analyze

62 hearing on the two ears separately and adjust for vestibular asymmetry.

## 63 Methods

### 64 Design, setting and subjects

This is a retrospective cross-sectional study conducted at the Department of 65 otorhinolaryngology in a tertiary care academic hospital. Consecutive patients referred due to 66 suspected vestibular disorders over a time span of 12 years were considered for inclusion. The 67 majority of patients were seen in an outpatient setting. A resident or consultant in 68 69 otorhinolaryngology diagnosed each patient. Two of the coauthors (FKG, SHGN) later reviewed the diagnoses. Diagnostic criteria of vestibular migraine<sup>10</sup> was not published at the initiation of 70 the study and patients thought to have migraine as a cause of dizziness were therefore categorized 71 72 with non-vestibular cause for dizziness. For patients with several diagnoses, only the main vestibular diagnosis was used in analysis. Inclusion criteria were the availability of audiometric 73 and posturography data. 74

#### 75 **Ethics**

The study was approved by the Regional Committee for Medical and Health Research
Ethics of Western Norway (2012/1075). All subjects alive at the time of follow-up were informed
about the study by mail and given the opportunity to withdraw.

### 79 **Postural balance**

80 Static posturography was performed using a commercially available platform
81 (Cosmogamma®, AC International, Cento, Italy). The platform measures 40 x 40 x 8 cm and
82 contains three strain gauge pressure transducers connected to a computer that calculates the

center of pressure (COP) with a sampling frequency of 10 Hz. Testing was performed under 83 standardized conditions in a quiet room with the patients standing with heels 7 cm apart on a firm 84 surface and arms along the side. Recordings were performed over 60 seconds, first with eyes 85 open and then repeated with eves closed. Static posturography was performed prior to electro-86 /videonystagmography and caloric tests in order not to induce postural instability. The path 87 length in millimeters described by the COP during the 60 seconds of testing was recorded and 88 used for analysis. Path lengths may vary from zero, a theoretical value representing an immobile 89 subject, to several thousands. The Romberg quotient (RQ) is the ratio between path length with 90 eves closed (EC) and eves open (EO) represented by the formula  $RQ = EC : EQ^{11}$ . 91

### 92 Hearing

Audiometry was performed in sound-insulated booths by trained audiologists. Pure tone average (PTA in dB<sub>HL</sub>) for air conduction was calculated from the frequencies 0.5, 1, 2 and 3 kHz. When the threshold at 3 kHz was missing, the average of the thresholds at 2 and 4 kHz was used as recommended by the Hearing Committee of the American Academy of Otolaryngology-Head and Neck Surgery<sup>12</sup>. Grades of hearing impairment were reported according to the classification by the World Health Organization (WHO)<sup>13</sup>.

### 99 Bithermal caloric testing

The caloric response (maximum slow phase velocity of nystagmus) to warm and cold
water (44 and 30 degrees centigrade) irrigation was recorded using electro- or

102 videonystagmography. Asymmetry between the two ears was calculated using Jongkees'

103 formula, and asymmetry  $\geq 25\%$  was considered significant<sup>14</sup>.

## 104 Statistical analyses

105	Path lengths and Romberg quotients were positively skewed and logarithmic
106	transformations were performed before t-tests, ANOVA, test of Pearson's correlation coefficient,
107	and regression analysis. Multiple imputations using multivariate normal distribution with 50
108	imputations were used for multivariate regression analyses including variables with missing data
109	(caloric testing and comorbidities). All statistical analyses were performed using Stata
110	(StataCorp. 2015. Stata Statistical Software: Release 15. College Station, TX: StataCorp LP.).
111	Two-sided p-values < 0.05 were considered significant.
112	Results
113	Of the 1218 patients eligible for the study, 143 were excluded due to missing consent.
114	Among the 1075 included in the study, 218 patients had missing information on caloric response,
115	and 161 had missing information regarding comorbidities. 442 (41.1%) were male, the mean age
116	was 50.7 years (SD 15.7). The most common diagnoses were Ménière's disease (14.4%)
117	followed by Benign Paroxysmal Positional Vertigo (BPPV) (10.4%) and vestibular neuritis
118	(9.4%). Among the 914 patients with data regarding comorbidities, 139 (15.2%) reported
119	hypertension, 26 (2.8%) reported former stroke or transient ischemic attack (TIA), 27 (3.0%)
120	reported diabetes mellitus and 61 (6.7%) reported heart disease. 657 patients (61%) reported time
121	since the first attack of dizziness with a median time of 392 days, ranging from 12 hours until 45
122	years.
123	Hearing and posturography results by diagnosis are presented in Table 1 with

124 corresponding p-values for ANOVA. The association between postural sway and WHO grade of

hearing loss is presented in Figure 1. WHO grade 0 (normal hearing) was found in 832 patients

(//.4%). Grade 1 hearing loss was found in 142 patients (13.2%). WHO Grade 2 or worse
hearing was found in 101 patients (9.4%). The latter groups were combined due to the low
number of patients with grade 3 and 4 hearing loss (8 and 4 patients respectively).
Regression analysis adjusting for age and sex found that a 10 dB increase in hearing loss
on the best hearing ear was associated with a 6.0% increase in path length with eyes closed (95%
CI: 2.9%; 9.3%, p<0.001). A 10-year increase in age corresponded to a 6.8% increase in path
length with eyes closed (95% CI: 3.9%, 9.9%, p<0.001). Women had 9.9% shorter path length
with eyes closed compared to men in this model (95% CI: 2.6%, 16.7%, p<0.009). A 10dB
increase in PTA on the worst hearing ear was associated with a 2.0% (95% CI: 0%; 4.1%,
p=0.040) increase in path length with eyes closed. Regression coefficients from crude and
adjusted analysis for the log transformed path length with eyes closed are presented in Table 2. In
regression analysis adjusted for hearing, sex and age, vestibular asymmetry > 25% was not
significantly associated with path length in analyses adjusted for neither the best nor the worst
bearing ear $(n=0.884, n=0.081)$
nearing car (p=0.884, p=0.981).
There was no significant association between comorbidities and postural balance after
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There was no significant association between comorbidities and postural balance after adjusting for age, sex and hearing level (Table 3). Postural sway was associated with hearing level after adjustment for clinically assigned diagnoses, age and sex (Table 4). In these analyses a 10dB increase in hearing loss on the best hearing ear was associated with a 7.3% increase in path length (4.14%;10.7%, p<0.001) and a 10dB increase on the worst hearing ear with a 4.0% increase in path length (1.89%; 6.17%, p<0.001) with eyes closed. Analysis stratified for age (table 5) showed a persistent association between hearing and postural balance in the youngest

## 148 Discussion

This study demonstrated that hearing loss is associated with postural imbalance. The association was strongest for the best hearing ear, and the effect of a 10 dB increase in hearing loss was comparable to a 10-year increase in age.

Former studies have found an association between hearing and balance in the general population, but to the best of our knowledge, this is the first study conducted on a large population of patients evaluated for vestibular disease.

155 We found that the best hearing ear was the ear most strongly associated with postural balance. It is well known that patients with acute vestibular loss will experience increased sway<sup>15</sup>. 156 However, balance tends to improve as the loss is compensated<sup>16</sup> and proprioceptive information 157 is regarded as the most important sensory input in static conditions with eyes closed<sup>17</sup>. Most of 158 the patients in this cohort had a long duration of symptoms and our findings indicate that a 159 160 chronic asymmetric vestibular function is not associated with decreased postural balance. Furthermore, vestibular schwannoma and Ménière's disease are associated with a deterioration of 161 both hearing and vestibular function on the affected ear. Therefore, one would expect the hearing 162 163 on the worst hearing ear to be of greatest importance if these diseases caused impaired balance, this was however not the finding in the present study. Our findings that it is the best hearing ear 164 that best predicts postural balance supports the interpretation that the association between hearing 165 and postural balance is not mainly caused by unilateral diseases of the labyrinth. 166

167 It has been hypothesized that patients with hearing impairment use much of their 168 cognitive capacity on hearing and therefore have less capacity for balance related tasks<sup>9,18</sup>. This 169 has been supported by reports that attention and cognitive processes influences postural control,

but the effect was most important among older persons and in challenging balance situations<sup>19</sup>.
Our findings with a strong relationship between hearing and balance among the youngest patients
and on a simple balance task, indicated that the effect of cognitive load could not explain the
association between hearing and postural balance in this study.

174 It has also been reported that auditory cues influence postural balance<sup>20,21,22</sup>, and the use 175 of cochlear implants or hearing-aids have been associated with improved balance<sup>23,24,25</sup>. 176 However, in our study, testing was performed in a quiet environment and we do not expect 177 ambient noise to affect the results significantly. This is supported by the study performed by 178 Kanegaonkar et al. where there was no difference between balance testing with eyes closed in a 179 soundproof room and in a regular clinic room<sup>26</sup>, but more studies with testing in soundproof 180 environment or with ear defenders are warranted to confirm this.

In analyses adjusted for age, sex and diagnoses, we found that patients considered by the clinician to have a cerebrovascular cause for dizziness had impaired balance. There was no significant association between self-reported cerebrovascular risk factors, such as diabetes mellitus, hypertension, previous stroke and heart disease, and postural balance in adjusted analysis. However, this study does not necessarily exclude such a relationship, as has been reported in previous studies<sup>16,27</sup>.

187 The association between hearing and postural balance in the youngest age group may 188 indicate a high relevance of genetic and environmental factors present in early life. Additionally, 189 approximately half of hearing losses among younger patients are reported to have a genetic 190 etiology<sup>28</sup> and an increasing number of genes associated with both hearing loss and vestibular 191 function are described<sup>29</sup>. The present study did not include data to evaluate the role of genetics, 192 but this would be relevant for future studies.

The main strength of this study is the large population consisting of patients with accurate 193 194 testing of both hearing and postural balance as well as assessment of vestibular disease, vestibular function and comorbidities. Caloric testing is the most commonly used vestibular test 195 to exclusively assess one side, and it provides quantification of unilateral vestibular disease by 196 stimulating the horizontal semicircular canal<sup>14,30,31</sup>. The study also has possible limitations. Since 197 the study included patients examined for dizziness of suspected vestibular origin, generalizability 198 199 of the results to the general population is not determined. Additionally, the present study focused on unilateral vestibular disease, and vestibular asymmetry. Further studies are necessary in order 200 201 to determine whether the results are also valid for bilateral vestibulopathies. Recently, diagnostic criteria for bilateral vestibulopathy have been published<sup>31</sup> and the estimated prevalence is 202 reported to be 28 per 100 000 adults<sup>32</sup>. Future studies should therefore preferably include 203 measures that are sensitive to bilateral vestibular loss, such as video head impulse testing (v-HIT) 204 of all semicircular canals, cervical Vestibular Evoked Myogenic Potentials (cVEMP) and ocular 205 VEMP (oVEMP). Another limitation of the study is that patients were included prior to the 206 publication of diagnostic criteria of vestibular migraine<sup>10</sup>. Consequently classifications of such 207 patients were not rigorous throughout the study period, and patients suspected of having 208 vestibular migraine were therefore included with "Other non-vestibular disease" in the analyses. 209 210 However, we do not expect this to affect the conclusions of the present study regarding physical measurements of balance and hearing as this was independent of clinical diagnoses. 211 212 In conclusion, postural imbalance was associated with hearing loss in the present study,

association between hearing and postural balance. The myriad of potential causes for reduced

particularly on the best hearing ear. Unilateral vestibular disease did not seem to explain this

balance further complicates the process of establishing a causal relationship, but this study

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advocates further investigations that considers genetic factors and incorporates comprehensive
evaluation of bilateral vestibular function. Findings from this study have clinical importance for
the large group of patients diagnosed with hearing loss. Health care professionals, in particular
otolaryngologists and audiologists, should be aware of the association between hearing loss and
reduced postural balance. Preventive measures for falls are effective<sup>33</sup>, and should be considered
for many patients with hearing impairment.

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# 298 Tables

### Table 1

Age, hearing and postural balance by diagnosis in 1075 patients referred for dizziness of suspected vestibular origin

Diagnosis <sup>a</sup>	n	%	Mean age	Hearing threshold (PTA)		Platfor	ohy	
			-8-	Best ear	Worst ear	EO	EC	RQ
Vestibular	101	0.4	51.5	15.0	21.4	712.4	1214.1	1.70
neuritis	101	9.4	(15.0)	(11.0)	(18.6)	(472.8)	(884.2)	(0.69)
	112	10.4	51.8	15.7	20.3	624.6	1024.0	1.65
BPPV	112	10.4	(14.2)	(13.1)	(18.1)	(304.6)	(732.5)	(0.61)
Ménière's	155	144	51.5	21.2	41.8	596.3	997.8	1.63
disease	155	14.4	(14.5)	(15.5)	(23.2)	(392.5)	(806.5)	(0.62)
Vestibular	71	6.6	53.8	22.0	54.1	606.2	1089.9	1.81
schwannoma	/1	0.0	(12,1)	(15.5)	(27.3)	(296.6)	(782.8)	(0.99)
Other inner or			16 1	25.6	116	548 2	862.3	1 5 5
middle ear	42	3.9	(15.0)	(10.2)	(27.2)	(202.2)	(524.7)	(0.44)
disease			(13.0)	(19.3)	(27.3)	(292.3)	(334.7)	(0.44)
Carabravagaular	69	6.2	64.7	24.1	30.9	853.9	1656.8	1.88
Cerebrovasculai	68	6.3	(13.5)	(14.7)	(21.0)	(504.2)	(1502.4)	(1.07)
Other non-			16.6	14.2	10.2	654 1	1084.0	1.67
vestibular	353	32.8	(16.1)	(12.5)	(17.1)	(464.1)	(202.2)	(0.82)
disease			(10.1)	(15.5)	(17.1)	(404.1)	(898.2)	(0.82)
Unknown or			51.6	18.0	24.7	656 1	1080.2	1.60
missing	173	16.1	(16.2)	18.0	24.7	(504.0)	(977.2)	(0.67)
diagnosis			(10.2)	(13.9)	(20.3)	(304.9)	(0//.2)	(0.07)
ANOVA				~0.001	<0.001	0.0002	0.0003	0 5 ( 5 7
p-value <sup>b</sup> :				<b>~0.001</b>	<b>~0.001</b>	0.0003	0.0002	0.303/

- 299 Abbreviations: PTA=Pure Tone Average, EO= Path length with eyes open, EC= Path length with eyes closed,
- 300 RQ=Rombergs quotient of path length (eyes closed/eyes open),
- 301
- 302 <sup>a</sup> Vestibular diagnosis are considered the main diagnosis, only one diagnosis is possible
- **303** <sup>b</sup> For EO, EC and RQ, ANOVA are performed on log-transformed variables
- 304 Standard deviations are presented in parenthesis.

### Table 2

The effect of hearing loss on postural balance. Analyzed by regression analysis for the logarithm of path length with eyes closed in 1075 patients with dizziness

	Crude		Adjusted analysis wi	ith best ear	Adjusted analysis with worst ear	
	Coeff <sup>a</sup> .	p-value	Coeff <sup>a</sup> .		Coeff <sup>a</sup> .	
	(95% CI)		(95% CI)	p-value	(95% CI)	p-value
Sex (female)	-0.123	0.003	-0.104	0.009	-0.110	0.006
	(-0.203; -0.042)		(-0.182; -0.026)		(-0.189; -0.031)	
Age (years)	0.009	<0.001	0.007	<0.001	0.008	<0.001
	(0.007; 0.012)		(0.004; 0.009)		(0.006; 0.011)	
PTA best ear	0.010	<0.001	0.006	<0.001		
	(0.007; 0.012)		(0.003; 0.009)			
PTA worst	0.004	<0.001			0.002	0.037
ear	(0.003; 0.006)				(0.000; 0.004)	

307 Coeff. represents coefficients from regression analysis for the natural logarithm of path length with eyes closed.

 $^{b}$ Asymmetric vestibular function is defined as asymmetry on caloric irrigation  $\geq 25\%$  on caloric testing according to

309 Jongkees' formula.

310

### Table 3

The effect of comorbidities on postural balance. Analyzed by regression analysis for the logarithm of path length with eyes closed in 1075 patients with dizziness

	Crude		Adjusted for age, sex, hearing and			
			comorbidities			
	Coeff <sup>a</sup> .		Coeff <sup>a</sup> .			
	(95% CI)	p-value	(95% CI)	p-value		
Sex (female)	-0.123 (-0.203;-0.042)	0.003	-0.104 (-0.182;-0.025)	0.010		
Age (year)	0.009 (-0.007;0.012)	<0.001	0.006 (0.003;0.009)	<0.001		
PTA best ear	0.010 (0.007;0.012)	<0.001	0.006 (0.003;0.009)	<0.001		
Diabetes mellitus	0.193 (-0.068;0.454)	0.146	0.060 (-0.198;0.319)	0.646		
Heart disease	0.179 (0.005;0.352)	0.044	-0.001 (-0.179;0.178)	0.995		
Former stroke/TIA	0.256 (-0.008;0.519)	0.057	0.051 (-0.218;0.320)	0.711		
Hypertension	0.184 (0.066;0.302)	0.002	0.076 (-0.048;0.199)	0.231		

313 Abbreviations: PTA=Pure Tone Average, TIA= Transient Ischemic Attack

<sup>a</sup>Coeff. represents coefficients from regression analysis for the natural logarithm of path length with eyes closed.

315

### Table 4

The effect of hearing loss on postural balance adjusted for dizziness diagnoses. Analyzed by regression analysis for the logarithm of path length with eyes closed in 1075 patients with dizziness.

	Crude		Adjusted and	alysis	Adjusted analysis		
			for best e	ar	for worst e	ar	
	Coeff <sup>a</sup>	_	Coeff <sup>a</sup>		Coeff <sup>a</sup>		
	(95% CI)	p-value	(95% CI)	p-value	(95% CI)	p-value	
	-0.123		-0.103		-0.107		
Sex (female)	(-0,204; -0.043)	0.003	(-0.182; -0.025)	0.010	(-0.185; -0.028)	0.008	
	0.009		0.005		0.007		
Age (years)	(0.007; 0.012)	<0.001	(0.002; 0.008)	<0.001	(0.004; 0.009)	<0.001	
	0.004				0.004		
PIA worst ear	(0.003 ;0.006)	<0.001			(0.002; 0.006)	<0.001	
	0.010		0.007				
PTA best ear	(0.007; 0.012)	<0.001	(0.004; 0.010)	<0.001			
Diagnoses*							
-BPPV	ref		ref		ref		
	0.110		0.098		0.089		
-Vestibular neuritis	(0.067; 0.287)	0.222	(-0.073; 0.270)	0.260	(0.083; 0.261)	0.310	
	-0.095		-0.151		-0.196		
-Ménière's disease	(-0.255; 0.064)	0.241	(-0.307; 0.005)	0.058	(-0.358; -0.035)	0.017	
-Vestibular	0.036		-0.048		-0.139		
schwannoma	(-0.159; 0.231)	0.718	(-0.238; 0.143)	0.624	(-0.341; 0.062)	0.175	
-Other inner or	-0.184		-0.242		-0.261		
middle ear disease	(-0.417; 0.049)	0.122	(-0.471; -0.013)	0.038	(-0.494; -0.027)	0.029	
-Cerebrovascular	0.339	<0.001	0.196	0.048	0.198	0.047	

	(0.141; 0.536)		(-0.001; 0.391)		(0.002; 0.393)	
-Other non-	-0.015		0.008		0.009	
vestibular	(-0.154; 0.125)	0.834	(-0.128; 0.144)	0.909	(-0.128; 0.146)	0.897
-Unknown or	-0.047		-0.070		-0.071	
missing diagnosis	(-0.203; 0.109)	0.553	(-0.221; 0.081)	0.363	(-0.222; 0.081)	0.361

317 \* Vestibular diagnosis are considered the main diagnosis and only one diagnosis is possible

318 <sup>a</sup>Coeff. represents coefficients from regression analysis for the natural logarithm of path length with eyes closed

319 Abbreviations: PTA=Pure Tone Average, BPPV= Benign Paroxysmal Positional Vertigo

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### Table 5:

The effect of hearing loss on postural balance in different age-groups. Analyzed by regression analysis for the logarithm of path length with eyes closed adjusted for sex in 1075 patients with dizziness

	1.Quantile (9-39 years)		2. Quantile (39-50 years)		3.Quantile (50-62 years)		4. Quantile (62-86 years)	
	Coeff <sup>a</sup> . (95% CI)	p-value						
Sex (female)	-0.946 (-0.245; 0.055)	0.215	-0.098 (-0.258; 0.061)	0.226	-0.008 (-0.158; 0.141)	0.912	-0.225 (-0.402; - 0.047)	0.013
PTA best ear	0.007 (0.001; 0.013)	0.014	0.005 (-0.002; 0.012)	0.160	0.006 (0.000; 0.012)	0.030	0.006 (-0.000; 0.012)	0.061

323 Abbreviations: PTA=Pure Tone Average

<sup>a</sup>Coeff. represents coefficients from regression analysis for the natural logarithm of path length with eyes closed

325

## 326 Figure legends

327 Figure 1

### 328 Path length with eyes closed for 1075 dizzy patients by grades of hearing impairment



329

**330** Path length of quiet standing with eyes closed by WHO-grades of hearing impairment. Boxes display median and

interquartile range. The length of the whiskers are 1.5 times the interquartile range, no outliers plotted.