# Cleaning at work and at home as related to lung health

With a focus on asthma, COPD and lung function decline, vulnerability established early in life and potential impact for the next generation

## Øistein Svanes

Thesis for the degree of Philosophiae Doctor (PhD) University of Bergen, Norway 2023



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Becoming a PhD-student and writing a doctoral thesis was not a part of my plan when we moved to Bergen in 2010. The first year I was happy working full time as a junior doctor at the Department for Thoracic Medicine. Then one day I met Professor Cecilie Svanes and as these meetings go, the question "Have you ever considered doing research?" was asked. Well, I had given it some thought, but just maybe participate on one paper, nothing more. But, as one of her many great strengths, Cecilie's enthusiasm won me over and (at least as I remember it) before I knew it I had applied for a PhD scholarship at the University of Bergen.

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At last, I am at the end of this road. And even though it is somewhat of a letdown to finally finish I am more than happy to set the final period. What tomorrow will bring is an entirely different matter.

## Scientific environment

This doctoral thesis was started in 2012 and completed in 2022. In the six years from 2012 to 2018 I had a 50/50% shared university and clinical position. My scientific environments were in the Department of Clinical Science (K2), University of Bergen, and the Department of Global Public Health and Primary Care (IGS), University of Bergen, and at the Department of Occupational Medicine, Haukeland University Hospital.

## **Abstract**

#### Background

Cleaning, a common everyday task for most people, means exposure to cleaning chemicals and disinfectants that might possibly be harmful to the respiratory system and cause airway disease. Asthma is the most common occupational respiratory disorder in industrialized countries and several studies have shown that cleaning exposure is associated with increased risk of asthma, whether working as an occupational cleaner or cleaning one's own home. However, at the time of initiating this thesis, most literature was from South and Middle Europe while there was limited knowledge from Northern Europe. Further, while there was evidence about short term health effects from cleaning agents and disinfectants, long-term effects were much less investigated, even though biologically plausible. One study showed associations between cleaning agents and Chronic Obstructive Pulmonary Disease (COPD), and one other study investigated a possible association between cleaning and accelerated lung function decline over time, an important indicator of general as well as respiratory health; however, a time frame of only three years made conclusions difficult

Why do some cleaners develop disease and others not? Some literature indicates that early life disadvantage might increase susceptibility to adult harmful exposure. When new chemicals are introduced on the marked, there are strict regulation of testing with regard to toxicity, mutagenic effects etc, however, testing of germ cell effects is not mandatory. Emerging evidence suggests that parental occupational exposure before conception might influence future generations' respiratory health through germline cell impact in the parents.

#### Aims

The main aim of this thesis was to study how exposure to cleaning agents was associated with short- and long-term respiratory health. The secondary aims were to explore potential impact of early life disadvantage and preconception exposure.

 Paper I: Is being a cleaner associated with increased risk of asthma, airways symptoms and self-reported COPD in Northern European countries? Do factors

- reflecting early life disadvantage increase the susceptibility to cleaning agents on lung health?
- Paper II: Is occupational or home cleaning associated with accelerated decline in lung function?
- Paper III: Is the use of cleaning products and disinfectants at work associated with childhood asthma in offspring?

#### Material and Methods

In paper I, postal questionnaire data from the RHINE study was analysed using multivariate models adjusting for central confounders to evaluate the risk of respiratory symptoms, asthma and COPD in occupational cleaners from Northern Europe, and to test interaction with an early life disadvantage score (maternal smoking, severe respiratory infection <5 years, born during winter months, maternal age at birth >35 years). In paper II, data from structured interviews and clinical examinations from the ECRHS, including spirometry performed at three time points, was used. Multivariate models accounting for change over time were used to evaluate loss of lung function over 20 years in occupational cleaners and persons cleaning at home. In paper III, data from the RHINE and RHINESSA studies were used to study the impact of maternal occupational exposure to cleaning products and disinfectants in different time windows (before conception/around the time of pregnancy/after birth) on childhood asthma and wheeze starting before age ten years.

#### Results

In occupational cleaners the risks of wheeze, adult-onset asthma and self-reported COPD were increased and the risk increased with the number of years in occupational cleaning. The association of wheeze with cleaning activity  $\geq 4$  years was significantly stronger for those with early life disadvantage than in those without. Both FEV<sub>1</sub> and FVC declined more rapidly in women responsible for cleaning at home or working as occupational cleaners, as compared to women not engaged in cleaning activities. Cleaning sprays as well as other cleaning products were associated with accelerated decline in FEV<sub>1</sub>, however, we could not identify a dose-response trend with either. For men engaged in cleaning activities, we could not identify associations with lung function decline. Children of mothers reporting use of indoor cleaning agents starting

before conception or around conception and pregnancy, showed an increased risk of asthma and wheeze before ten years of age.

#### Conclusions

Women having worked as occupational cleaners had m respiratory symptoms and asthma, as well as accelerated decline in lung function. Accelerated lung function decline was also found in women who had been responsible for cleaning in their own home. Considering previous literature and biological mechanisms, it seems plausible that exposure to cleaning agents may affect long term lung health. Our findings suggest that early life disadvantage may increase an individual's vulnerability to cleaning agents in adulthood, uncovering a mechanism that might contribute to propagate health inequalities across generations. Finally, mothers' preconception exposure to cleaning agents and disinfectants at work was associated with increased offspring asthma, raising a question of potential germ cell impact.

## List of publications

#### Paper I

Svanes Ø, Skorge TD, Johannessen A, Bertelsen RJ, Bråtveit M, Forsberg B, Gislason T, Holm M, Janson C, Jögi R, Macsali F, Norbäck D, Omenaas ER, Real FG, Schlünssen V, Sigsgaard T, Wieslander G, Zock, JP, Aasen T, Dratva J, Svanes C. *Respiratory health in cleaners in Northern Europe: Is susceptibility established in early life?* PLoS One 2015 Jul 13;10(7):e0131959. doi: 10.1371/journal.pone.0131959. eCollection 2015.

#### Paper II

Svanes Ø, Bertelsen RJ, Lygre SHL, Carsin, AE, Antó JM, Forsberg B, García-García JM, Gullón JA, Heinrich J, Holm M, Kogevinas M, Urrutia I, Leynaert B, Moratella JM, Le Moual N, Lytras T, Norbäck D, Nowak D, Olivieri M, Pin I, Probst-Hensch N, Schlünssen V, Sigsgaard T, Skorge TD, Villani S, \*Jarvis D, \*Zock JP and \*Svanes C. *Cleaning at home and at work in relation to lung function decline and airway obstruction.* Am J Respir Crit Care Med. 2018 May 1;197(9):1157-1163. doi: 10.1164/rccm.201706-1311OC.

### Paper III

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## **Abbreviations**

ATS American Thoracic Society

BHR Bronchial hyperresponsiveness

BMRC British Medical Research Council

COPD Chronic Obstructive Pulmonary Disease

EAACI European Academy of Allergy and Clinical Immunology

ECRHS European Community Respiratory Health Survey

ECSC European Coal and Steel Community

ERS European Respiratory Society

FEV<sub>1</sub> Forced Expiratory Volume in 1 second

FVC Forced Vital Capacity

JEM Job Exposure Matrix

LF Lung function

LLN Lower Limit of Normal
OA Occupational asthma

QAC Quaternary ammonium compounds

RHINE Respiratory Health in Northern Europe

RHINESSA Respiratory Health in Northern Europe, Spain and Australia

SES Socio-economic status

WEA Work exacerbated asthma

WRA Work related asthma

#### 1. Introduction

The respiratory system, consisting of the nose and nasal cavity, pharynx, larvnx, trachea, bronchi and lungs, with the lungs representing the key organs, is vital to our survival by their basic function – the exchange of oxygen and carbon dioxide between the blood and the atmosphere. It is also the first barrier in the human body that air pollutants encounter before entering the circulating system and other parts of the body [1]. With a very large interface between the alveolar surface and inspired air, the lungs are susceptible to injury caused by respiratory toxicants, either in the occupational setting, by self-inflicted injury (e.g. cigarette smoking) or during everyday activities. Since the 1970s, there has been an increase in asthma and asthma symptoms [2] Nearing the end of the 20<sup>th</sup> century, in contrast to other work-related respiratory diseases, diagnosed cases of occupational asthma were increasing, as least partly due to changing working environment with the introduction of new asthmatic agents [3]. Occupational cleaners were, in general, not recognised as a risk group. However, in an important article from 1999, Kogevinas et al [4], using data from the European health survey (ECRHS I part II), found that the risk of asthma was highest for farmers, painters, plastic workers, cleaners, spray painters and agricultural workers, and, surprisingly, the most consistent results across countries were shown for farmers and cleaners. Kogevinas followed up this finding and confirmed in a longitudinal analysis of the ECRHS that cleaners were at higher risk for asthma [5], and specific modules addressing cleaning activities at work and at home were included in the study protocol at the ECRHS II. Jan Paul Zock has then been a key person in developing this work further [6], and in subsequent years there were several published papers showing increased risk of asthma, not only for occupational cleaners, but also for those using cleaning agents in their own home. Focus was given to cleaning agents in spray form which had become increasingly common since the 1980s.

At least 372 agents that cause occupational asthma have been identified [7]. We are surrounded by chemicals in the air we breathe and there is growing concern for the possible harmful effects to the respiratory system, not least when it comes to everyday products used to keeping our homes and workplaces clean.

Emerging research suggests that epigenetic mechanisms may carry exposure effects across generations [8], and there is growing concern that chemical exposures might not only affect the health of those exposed, but also future generations, possibly due to germ cell influence. Paternal exposure to smoking and welding, [9] [10] was associated with increased risk of offspring asthma.

The lungs' main task is to deliver oxygen to the blood and remove carbon dioxide, and

## 1.1 Lung function

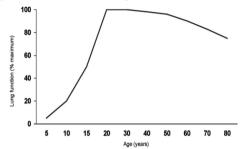
lung function tests are the tools we use to find out how well the lungs perform their task. There are different types of lung function tests, but the most common and well known is spirometry, a dynamic lung function test where lung volumes and airflow are measured. Other lung function tests include measurement of diffusion capacity, measurement of static lung volumes and cardiopulmonary exercise test. When performing spirometry, the forced vital capacity (FVC) is measured, which is the volume of air that can be delivered during an expiration from a position of maximal inspiration made as forcefully and completely as possible. In the same manoeuvre the forced expiratory volume in one second (FEV<sub>1</sub>) is also measured, which is the volume of air which is expired during the first second of the FVC manoeuvre. From these two measured parameters the FEV<sub>1</sub>/FVC ratio can be calculated, and this is the proportion of air expired during the first second of the FVC manoeuvre. The FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC ratio are (in addition to the total lung capacity, TLC) the basic parameters used to describe and interpret lung function [11]. Obstruction, or an obstructive ventilatory defect, is a disproportionate reduction of maximal airflow in relation to the total lung volume (FVC). The severity of lung function impairment is usually categorized based on FEV<sub>1</sub> as percent of predicted  $(FEV_1 \% pred)$  [11].

During the life-course, lung function (as measured by  $FEV_1$ ) increases from birth until young adulthood (18-20 years) when maximal lung function is achieved, in young

adulthood there is then a plateau-phase, after which lung function declines as a feature

of normal aging [12].

It has been shown that maximal lung function is partly determined early in life and that the



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negative impact of early life factors

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(maternal asthma,

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paternal asthma,

childhood asthma, severe respiratory infection before the age of 5 years and maternal smoking) appears to persist into adult life with permanently lower lung function and increased risk of COPD [13]. Furthermore, it has been suggested that lung function decline into old age is predicted by early life factors and a more rapid lung function decline is associated with early life disadvantages [14]. Traditionally, low lung function and development of COPD have been associated with the classic trajectory of an accelerated decline in lung function, however, it seems that also a normal decline, but starting out from a low FEV<sub>1</sub> in early adulthood is equally important for low lung function and development of COPD [15]. There is evidence suggesting that survival in asymptomatic adults without chronic respiratory disease or persistent respiratory symptoms is associated with the maximal forced vital capacity, FVC [16].

Additionally, both peak level and rate of decline of lung function have implications not only for lung health, but for overall health. Lower peak level and accelerated decline in lung function are associated with airflow obstruction in adult life and also comorbid conditions such as diabetes and cardiovascular disease [17].

## 1.2 Respiratory symptoms

"Wheezing or whistling from the chest, breathless when wheezing, wheezing or whistling when not having a cold, woken with feeling of tightness in chest, been woken by attack of shortness of breath and been woken by attack of cough in the last 12 months" are all respiratory symptoms of asthma [18], taken from The European Community Respiratory Health Survey (ECRHS) study questionnaire. The ECRHS study developed, when possible, questionnaires from pre-existing questionnaires already used in multinational studies. The questionnaires were tested for comprehensibility and translated, with back translation into English [2]. The questions on asthma and asthma-like symptoms were taken from the bronchial symptoms questions of the International Union Against Tuberculosis and Lung Disease (IUATLD) 1986 questionnaire [19] and the questions on chronic cough and phlegm were taken from the BMRC-ECSC questionnaires. The ECRHS questionnaire has later become extensively used in hundreds of publications, and is also validated in later studies. [20].

More generally, breathlessness or dyspnoea, chest pain and cough, which may or may not be productive of sputum, are key symptoms of respiratory disease [21]. Even without established respiratory disease such as asthma, the presence of respiratory symptoms (wheezing, dyspnoea, current cough and phlegm) have been shown to be predictors of mortality from all causes [22], although a later study found that only dyspnoea when walking was positively associated with all-cause mortality independent of lung function [23]. Chronic bronchitis is defined as daily cough and sputum production for at least three months in two consecutive years. Even though chronic bronchitis is common in patients with chronic obstructive pulmonary disease (COPD) [24], it is also found among patients without COPD, in particular in smokers. There is evidence that in younger adults (<50 years old), chronic bronchitis may be an early marker of susceptibility to the detrimental effects of cigarette smoking; partly mediated by systemic inflammation and associated not only with increased long-term risk for developing COPD, but for all-cause mortality [25]. Even in current or exsmokers without the airflow limitation found in obstructive pulmonary disease, the presence of respiratory symptoms (shortness of breath, chough and sputum production) is associated with exacerbations and activity limitation [26]. Thus,

respiratory symptoms are important when evaluating respiratory health also in a younger and presumably, mostly healthy, population.

#### 1.3 Asthma

Asthma is a major noncommunicable, chronic inflammatory disease, characterized by variable respiratory symptoms. It is defined by the Global Initiative for Asthma (GINA) as a "heterogenous disease, usually characterized by chronic airway inflammation. It is defined by the history of respiratory symptoms such as wheeze, shortness of breath, chest tightness and cough that vary over time and in intensity, together with variable expiratory airflow limitation" [2018, Global Initiative for Asthma. Global Strategy for Asthma Management and...]. Approximately 235 million people are currently suffering from asthma and WHO estimates that on a global basis there were 383,000 deaths because of asthma in 2015 [27], and 13.8 million disability-adjusted life years lost annually due to asthma, representing 1.8% of the total global disease burden [28].

The risk of developing asthma is influenced by host (genes, obesity, sex and pre-term or small for gestational age) and environmental (allergens, occupational sensitizers and allergens, infections, microbiota, exposure to tobacco smoke, air pollution, diet and stress) factors [29], but how these factors influence asthma development and expression are both complex and interactive; genes can interact both with other genes and environmental factors, thereby determining susceptibility of asthma [30] [31]. Furthermore, there are emerging aspects of development that modify the risk of asthma in a person with a genetic susceptibility; maturation of the immune response, development of atopy, and infectious disease during the first years of life [32] However, despite intensive research on the causes of asthma, there is not a sufficient knowledge base to guide efficient public health interventions to prevent the disease with the exception of work-related asthma.

The inflammation in asthma involves multiple inflammatory cells and mediators [33], and though not well understood, is associated with early life exposures [34], bronchial

hyperresponsiveness and asthma symptoms [32]. There are structural changes (subepithelial fibrosis [35], increased airway smooth muscle mass [36], increased number and size of blood vessels in airway walls [37] and mucus hypersecretion [38]), often described as "airway remodelling" in the airways of asthma patients, some of which may result in irreversible narrowing of the airways [36] [39]. This narrowing of the airways is the final pathway in the pathophysiology of asthma that results in its symptoms and physiological changes, in addition it seems that airway narrowing itself stimulates remodelling [40]. Airway or bronchial hyperresponsiveness, linked to both inflammation and repair of the airways, is a characteristic feature of asthma and results in narrowing of the airways when a patient with asthma is exposed to a stimulus that would be harmless to a healthy person. Narrowing of the airways cause the variable airflow limitation and intermittent symptoms in an asthmatic. Though not completely understood, the mechanisms behind bronchial hyperresponsiveness include excessive contraction of respiratory muscle [41], uncoupling of airway contraction, thickening of the airway wall [36] and sensitized sensory nerves causing excessive bronchoconstriction when exposed to sensory stimuli [41].

## 1.4 Chronic obstructive pulmonary disease (COPD)

COPD is a noncommunicable disease defined by the Global initiative for Chronic Lung Disease (GOLD) [42] as "a common, preventable and treatable disease that is characterized by persistent respiratory symptoms and airflow limitation that is due to noxious particles or gases." COPD is the third leading cause of death globally [43] It is estimated that 3.17 million deaths were caused by COPD in 2015 (5% of all deaths globally that year) and in 2016 a prevalence of 251 million cases were reported [44]. The airflow limitation in COPD is not fully reversible, it is slowly progressive, and it is associated with an inflammatory reaction and structural changes in the small, peripheral airways (obstructive bronchiolitis) and/or destruction of the lung parenchyma (emphysema). Extra-pulmonary manifestations and other co-morbidities are contributing to increased disease burden [42]. Co-morbidities with COPD might in

part be due to systematic effects from COPD, either because of inflammatory mediators from the lungs affecting other tissues or organs, or because inflammatory mediators from other tissues affect several different organs [45].

The pathological changes that are characteristic of COPD are present in the airways, lung parenchyma and pulmonary vasculature, and include chronic inflammation and structural changes because of repeated injury and repair [46]. The inflammation in the respiratory tract of persons with COPD is thought to be a modification of the normal response to chronic irritants such as cigarette smoke, but the mechanisms for this amplified inflammation are not yet fully understood. There are some patients with COPD that have never been exposed to cigarette smoke, but the nature of the inflammatory response in these patients is still not known. [42].

Inflammation and narrowing of peripheral airways through fibrosis and luminal exudates lead to decreased  $FEV_1$ , and their extent correlates with reduction in  $FEV_1$  and  $FEV_1/FVC$  ratio and maybe also with the characteristic accelerated decline of  $FEV_1$  in COPD [47]. However, it has been suggested that the accelerated decline in  $FEV_1$  is not an obligate feature of COPD [15].

Smoking is the most important risk factor for COPD, in addition there are occupational exposures to vapour, gas, dust and fumes. Genetic disposition, perinatal conditions, lung growth and previous asthma also play a role. From foetus to old age a person is exposed to many different noxious particles, gases and chemicals and the sum of all these exposures constitutes a total risk of COPD. Additionally, there are individuals that are genetically more susceptible to such exposures and as such, COPD is an example of interaction between environmental factors and genes. It might be that even a normal decline in FEV<sub>1</sub> can lead to COPD in persons whose maximum attained FEV<sub>1</sub> in early adulthood is less than the norm of the general population [15] and adult respiratory health to a large degree originates in early life [13].

Even though treatable, COPD is not curable and an awareness of potential risk factors, both among the general population and healthcare professionals, is of uttermost importance.

#### 1.5 Work-related asthma and COPD

The broad term work-related asthma (WRA) refers to asthma that is induced or exacerbated by exposures encountered in the workplace. WRA is further divided into occupational asthma (OA) and work-exacerbated asthma (WEA).

OA is *de novo* asthma or relapse of asthma from childhood or distant past that has been in remission, and is further classified as either sensitizer-induced OA or irritant-induced OA. Sensitizer-induced OA is caused by inhalation of a specific substance (for example a high-molecular weight (HMW) protein) or a chemical agent (low-molecular weight (LMW) agent) at work. Sensitizer-induced OA usually present with a latency period and it includes causative agents (proteins and some chemicals) for which sensitization can be shown (typically by antigen-specific IgE) in most persons with asthma caused by exposure to that specific agent. It also includes OA caused by agents (usually reactive chemicals) where an immunologic mechanism is suspected, but where an antigen-specific immune response cannot be easily tested in most affected workers [48]. Irritant-induced OA is caused by exposure to an inhaled irritant at work and can be with or without a latency period [49]. The most clear-cut form of irritant-induced OA is reactive airways dysfunction syndrome (RADS) which is an acute onset of asthma symptoms after a single exposure to very high concentrations of airway irritants [48].

WEA, on the other hand, is asthma that is triggered by various work-related factors (often irritants or non-sensitizing exposures) in workers that have a medical history of pre-existing or concurrent asthma. OA and WEA are not mutually exclusive and may coexist in the same person [48].

It has been estimated that 5-20% of new cases of adult asthma can be attributed to workplace exposures [50]. WRA is associated with a high rate of prolonged work disruption [49] [51] [5] and annual incidence numbers range from approximately 50 per million workers with reports of up to 1,300 per million in certain workplaces [52]. Occupational asthma places a substantial socioeconomic burden on both the individual worker and on society, but they are preventable and substantial reduction in incidence can be achieved by appropriate primary prevention. By early diagnosis within a few months after debut of symptoms, secondary prevention can improve both the

prognosis and financial burden [53]. Furthermore, a reliable diagnosis in an index case is important to tertiary prevention as this may reveal risk for similar exposed workers and lead to revised risk assessment, thereby reducing the risk in other exposed workers. Thus, research to identify possible causative chemicals agents and occupations at risk of exposure is important to primary, secondary and tertiary prevention.

Of all COPD cases, approximately 15% could have been avoided with a clean working environment [54], and in a non-smoking population, up to 30% of COPD cases are caused by the working environment [54]. Hence, even though smoking is still the most important cause of COPD, with the declining prevalence of smoking and an aging workforce, non-smoking factors such as working conditions are becoming increasingly more important [55]; additionally, there are geographical variations and differences due to social inequalities [56] [57]. An ATS statement [12] concludes that there is sufficient evidence for a causal relationship between occupational exposures and development of COPD and that consistent associations have been shown in several epidemiological studies. Biological plausibility between occupational airway irritants and COPD is supported by data from inhalation toxicological studies demonstrating the induction of chronic bronchitis and/or emphysema in animals after exposure to agents associated with chronic bronchitis and emphysema [12].

## 1.6 Cleaning workers and cleaning exposure

In paper I, occupational cleaners was defined by the question "have you ever worked as a cleaner?" and, "If, yes, for how many years". In paper II, cleaning was defined by the questions "since the last survey, have you been the person doing the cleaning and/or washing in your home?" and "since the last survey, have you worked as a cleaner?" Persons who responded "yes" to either of these questions answered a specific questionnaire about types of cleaning agents etc. In paper III, exposure to cleaning products and disinfectants was a specific category defined from an occupational asthma-specific job-exposure matrix (JEM), including such exposures in

many occupations, such as cleaners, nurses and other health care workers, cooks, hair dressers, etc.

In general, persons working as cleaners often have lower educational level and low socioeconomic status [58]. There is no definitive definition of what constitutes "cleaning exposure", but in general this exposure encompasses all types of chemical agents used when cleaning. Both the components of the agents that are used, and the method of application are important with regard to possible harmful effects to the respiratory system. Several specific exposures that increases the risk of asthma have been identified; asthma symptoms or exacerbations have been found to be associated with the use of sprays, bleach, waxing and also a history of acute inhalations. A casecontrol study among French women found that weekly use of cleaning sprays may have a deleterious effect on asthma [59] and exposure to cleaning products in spray form has been shown to be associated with an increase in FeNO level and lower FEV<sub>1</sub> [60] which suggests that cleaning agents in spray form may induce inflammation. Lee et al [61] also found that among cleaning workers, respiratory symptoms were associated with exposure to spray products, carpet cleaners, solvents and multipurpose cleaning products. That cleaning sprays have deleterious effects on respiratory health was further shown in a paper from 2015 on professional cleaners [62] -FEV<sub>1</sub> and PEF were lower on days when three or more sprays were used as compared to days with lower frequency of use.

Most chemicals used for cleaning have irritating effects on the airways, but there are some that can cause development of sensitization through specific immunological mechanisms [6].

The inhalation of irritants initiates an inflammatory response that cause damage to epithelial and other residential cells of the lungs. The inflammation occurs at concentrations levels that are below those that will cause tissue damage, and the level needed to elicit a response may differ from person to person. Several factors might influence how the pulmonary system reacts to irritants; intensity of exposure, physical properties (for example vapor pressure and solubility) and chemical reactivity, but noteworthy, odour is not related to toxicity [63]. The resulting biological effect then depend on the deposition of the irritant in the upper and/or lower airways. Inhalation

of airway irritants can produce damage to the bronchial epithelium, which can then result in several events; a proinflammatory response, neurogenic inflammation because of exposed nerve endings, and finally, increased lung permeability and remodelling of the airways [6]. These events are thought to be caused by stimulation of sensory nerves, epithelial cells and cells of the innate immune system. Sensory nerves are also thought to be directly activated by chemical irritants, either by stimulation of solitary chemosensory cells or by directly stimulating chemoreceptors [63].

Sensitizer-induced or immunologic OA typically involves an IgE-dependent mechanism and OA induced by IgE-dependent agents is similar to allergic asthma unrelated to work. Many occupational sensitizers, particularly HMW agents like flour and animal proteins, and some LMW agents like chlorinated platinum salts, induce asthma by producing specific IgE antibodies, but many LMW agents can cause asthma through a non-IgE-dependent mechanism [64].

Among chemical agents linked to OA, quaternary ammonium compounds (QAC) are of interest as they are extensively used as antiseptics, detergents and preservatives; for example many detergents used to clean floors and surfaces contain QAC. The mechanism by which QAC cause asthma is still not established, but thought to be either by non-immunological mechanisms or by immunological mechanisms, where they in the latter case act as haptens [65]. So far, cleaning sprays, bleach, ammonia and disinfectants have been identified as the most common agents of OA in cleaners, while specific job tasks such as kitchen cleaning and furniture polishing, cleaning windows, washing dishes, mopping/waxing the floor, spot-cleaning carpets and cleaning tiles and grout, have been identified as causes or exacerbation of asthma. Thus, WRA caused by exposure to cleaning agents are in the majority of cases preventable, and identifying specific risk factors is needed to develop effective prevention strategies. However, even though the relationship between exposure to cleaning agents and asthma have been repeatedly documented, little improvement has been made with regard to prevention [66].

Concerning long-term effects of cleaning exposure, it seems plausible that long-term exposure to different types of airway irritants from cleaning agents over time might

lead to COPD [67] [68]. In later studies, occupational cleaners, which have a known exposure to airway irritants, have been identified as one of several vocations with increased risk of COPD [69], even though in a more recent analyses cleaners were not among specific jobs associated with an increased risk of COPD [70].

## 1.7 Review of the literature on respiratory health outcomes as related to cleaning exposures, prior to this thesis

#### Short term outcomes

Up until 1999, there had been no large population-based studies on occupational asthma, but in their study based on the ECRHS I, Kogevinas et al showed that occupational cleaners, amongst other occupations, had increased risk of asthma [4]. In the last two decades, cross-sectional studies [71] [72] have shown a 50-100% higher risk of occupational asthma or respiratory symptoms in cleaners.

A PubMed search using the term (cleaning worker\* OR cleaning product\* OR cleaner\* OR cleaning agent\*) AND (asthma) AND (("1999/01/01"[PDat] : "2013/12/31"[PDat])) yielded initially 165 results, which was then narrowed down to 46 papers that was concerned with exposure to cleaning agents, either in work as a professional cleaner or in household products, and asthma.

#### -asthma-

In the years after Kogevinas et al's article there were several papers that well documented the increased risk of asthma associated with cleaning and exposure to cleaning agents [73] [74] [71] [75] [76] [77] [78]. In a British 1958 birth cohort, cleaning occupations plus three occupations likely to use cleaning agents were among occupations associated with adult onset asthma [79].

In a prospective study from Denmark [80], the authors found that the use of sprays in cleaning work was associated with increased risk of eye and respiratory symptoms. When they interviewed participants from the Spanish part of the ECRHS Zock et al [81] found that asthma risk was primarily related to cleaning of private homes, and

that this might in part be explained by the use of sprays plus other products in kitchen cleaning and furniture polishing.

An analysis of a joint registry of OA from outpatient clinics [82] found cleaning services to be the main reported occupation in cases with OA and cleaning products the main reported agents. The authors also found that women, as compared to men, had significantly shorter exposure duration and shorter symptoms duration in cases with OA. Furthermore, in a cross-sectional study [75], asthma was found to be more prevalent in women currently employed in domestic cleaning and also former domestic cleaning was associated with asthma. The authors pointed to that domestic cleaning might have had important public health impact, not only limited to professional cleaners, but also people undertaking cleaning tasks in their own homes were at increased risk. It might have seemed that asthma symptoms in women engaged in domestic cleaning was associated with exposure to bleach and possibly other agents with irritant properties [83] and this could have had widespread public health impact, given that the use of irritating cleaning products was common both in occupational cleaning and at home. In a follow-up of the ECRHS study [84], the risk of new-onset asthma in relation to use of common household cleaning agents was investigated. The authors found higher incidence of physician-diagnosed asthma when sprays were used at least four times per week and a dose-response relationship was apparent for the frequency of use and number of different sprays. Thus, the frequent use of common household cleaning sprays may be an important risk factor for adult asthma. As women usually were the primary persons responsible for cleaning their own home, women with asthma should be cautioned about potential respiratory effects [85]. Exposure to cleaning products was also frequently reported as triggers of asthma by workers with WRA, and the risk of contracting WRA in cleaners increased with years in non-domestic cleaning; women appeared to have higher risk than men [86]. Jakkola et al [87] summarized the recent evidence on the effect of cleaning jobs on asthma. They found strengthened evidence for increased risk of asthma in domestic and industrial cleaners. Furthermore, chemicals such as bleach were identified as specific causes of asthma, and specific job tasks were related to increased asthma risk. They pointed to the need for further research to elaborate how asthma is related to

specific sensitization to certain chemicals, and to what degree airway inflammation is induced by exposure to a mixture of irritants. A later study found that cleaning products used for common cleaning tasks contain mixtures of many chemicals including respiratory irritants and sensitizers such as quaternary ammonium compounds [88], and concluded that cleaning workers were at risk of acute and chronic inhalation exposures to volatile compounds, vapours and aerosols generated from the use of sprays. A pilot exposure assessment study found that airborne exposures from short-term cleaning tasks could remain in the air even after the cessation of cleaning, thus potentially expose anyone that entered a room shortly after it had been cleaned [89].

Though OA was associated with several occupations, cleaners were among the occupations with high rates of OA and inhalation accidents [90], and the authors reemphasized the persistence of troublesome asthma also after withdrawal from exposure, concluding that primary and secondary preventive strategies should be directed at controlling workplace exposures and improve education of employees [91]. However, the authors pointed out that with established OA, early removal from exposure was still the appropriate treatment; up to one third of exposed workers with OA continued to remain exposed to causative agent, or, sadly, suffered prolonged work disruption, discrimination and risk of unemployment.

Female cleaners had been found to report significantly more respiratory symptoms and work-related symptoms as compared to women who do not work as cleaners, whereas male cleaners showed a non-significant trend towards more physician-diagnosed asthma and work-related symptoms compared to male controls [92]. Among men, work-related symptoms were significantly associated with specific tasks such as waxing floors, wax-stripping floors, spot-cleaning carpets and cleaning tiles. Especially cleaning work in places with high demand for disinfection, high cleaning standards and use of cleaning products containing respiratory irritants was associated with a higher risk of asthma symptoms and it seemed that irritants may play an important role in asthma related to cleaning [93]. It might have seemed that occupational cleaners exposed to a large variety of irritants and sensitizers were especially at increased risk of OA and irritant-induced asthma [94].

Using data from the RHINE study the authors confirmed an increased risk of asthma in several occupations, among them cleaners [95].

Though few experimental studies have been published, Vandeplas et al performed specific inhalation challenge (SIC) tests in workers with cleaning-related asthma symptoms in order to determine the causative agents of asthmatic reactions [96]. The authors found that a substantial proportion of the participants showed a pattern of bronchial reaction consistent with sensitizer-induced OA, and quaternary ammonium compounds appeared to be the principal cause.

#### -reviews-

In their review from 2004, Malo et al found that cleaners were at increased risk of developing OA, but that the causal agents were unknown.

A review from 2010 [97] found that even though studies showed an association between cleaning work and asthma the risk factors were uncertain. Cleaning workers were exposed to a large variety of cleaning products and agents, both sensitizers and irritants. Thus, onset or aggravation of asthma could be due to either mechanisms caused by exposure to irritants or specific sensitization. The authors identified disinfectants, quaternary ammonium compounds, amine compounds and fragrances to be among the main sensitizers whereas bleach, hydrochloric acid and alkaline agents were among the strongest irritants. Exposure to cleaning agents may have given rise to new-onset asthma (OA) with or without latency and WEA, but asthma-like symptoms without confirmed asthma after exposure was common. High exposures to cleaning agents may have induced RADS, whereas cleaners may have had a greater relative risk of developing asthma due to prolonged low-to-moderate exposure to airway irritants. Also, Zock et al [98] found that although the predominant mechanism remained unclear, it may have included both specific sensitization and irritant mechanisms. Furthermore, they found in this review that new-onset asthma was associated with cleaning work, professional use of cleaning products and domestic use of cleaning sprays, and strengthened evidence of asthma and other adverse respiratory effects in cleaners.

#### -consensus statements-

In a position paper from 2013 Siracusa et al presented a consensus statement on asthma and exposure to cleaning products [6] when they reviewed the then to date available literature linking exposure to cleaning products and the risk of asthma. They found that both specific types of cleaning agents (cleaning sprays, bleach, ammonia, disinfectants, mixing products) and specific job tasks had been identified as causes and/or triggers of asthma and while most cleaning agents had an irritating effect on the airways, some had shown an IgE-mediated mechanism. Since cleaning was (and still is) a women-dominated occupation, and also more women than men are responsible for cleaning in their own home, this might partly have explained why there were gender differences in asthma control [6]. To minimize the risks from exposure to cleaning agents, the authors suggested several possible interventions such as substitution or minimizing the use of certain products such as cleaning sprays, ammonia and disinfectants and the use of respiratory protective devices. Furthermore, they advocated education of labour unions and consumer and public interest groups to encourage safer products, as well as information activities for the general population with the purpose of improving the knowledge of professional and domestic cleaners regarding risks and available preventive measures and to promote strict collaboration between scientific communities and safety and health agencies.

#### Long term outcomes

In general, up until 2013 there had been few studies on cleaners, whether occupational or cleaning at home, and long-term outcomes as COPD or decline in lung function. A PubMed search using the search term (cleaning worker\* OR cleaning product\* OR cleaner\* OR cleaning agents\*) AND (COPD) AND (("1999/01/01"[PDat]: "2013/12/31"[PDat])) identified 19 papers; of these, only one mentioned COPD and cleaning agents; cleaning agents were one of 17 individual agents, professions or work-sites with the strongest evidence to be moderately associated with occupational asthma or COPD. [99]. One study was identified that addressed occupation and lung function decline [67], however, the participants were only followed for three years,

thus it was difficult to evaluate possible effects of long-term low-grade irritant exposure on accelerated lung function decline in such a short follow-up period.

## 2. Objectives

#### Main aim

To study potential impact of exposure to cleaning agents and disinfectants on shortand long-term respiratory health, and on offspring respiratory health.

#### Specific research questions and a brief background for these

- 1. Is being a cleaner associated with increased risk of asthma, airways symptoms and self-reported COPD in Northern European countries? *Most literature is from South and Middle Europe, while cleaning habits and products might be different and possibly less harmful in North Europe.*
- 2. Do factors reflecting early life disadvantage increase the susceptibility to cleaning agents with regard to lung health? Cleaners may come from a less advantageous socioeconomic background, and there is some evidence that early life factors might increase susceptibility to subsequent harmful exposures.
- 3. Is occupational and home cleaning associated with accelerated decline in lung function? Long term effects on lung health in terms of accelerated decline in lung function are biologically plausible, but are however, very challenging to investigate. It is relevant to study both persons cleaning their own home and occupational cleaners; while the first would be exposed less time during a week, the latter might be more aware of the need to select less harmful products and protect themselves through government health agencies and labour unions.
- 4. Are children of mothers exposed to occupational cleaning agents and disinfectants at increased risk of asthma? One may suspect that preconception germline cell exposure to cleaning products and disinfectants could have an impact on respiratory health in offspring.

#### 3. Methods

#### 3.1 The ECRHS study

#### 3.1.1 Study design



- · ECRHS I
  - Stage 1
    - Cohort established 1992-1994
      - · Randomly selected population-based sample
      - ≈150,000 participants aged 20-44 years
      - ~150,000 participants aged 20-44 yea
      - · Postal screening questionnaire
  - Stage 2
    - ≈22,000 participants
    - · 20% random sample from stage 1
    - · Additional symptomatic sample
    - · Clinical examination including forced spirometry
- ECRHS II
  - 1998 2002
  - · Participants from ECRHS I stage 2 invited
  - ≈ 11,000 participants
  - $\approx 7,700$  performed forced spirometry
- ECRHS III
  - 2010 2012
  - · Participants from ECRHS II invited
  - ≈ 6,000 participants
  - $\approx 4,800$  performed forced spirometry

The European Community Respiratory Health Survey (ECRHS) is an international prospective multi-centre population-based study with three study waves; ECRHS I from 1992 to 1994, ECRHS II from 1998 to 2002 and ECRHS III from 2010 to 2012 (details can be found at <a href="https://www.ecrhs.org">www.ecrhs.org</a>). The cohort was established in stage 1 of the ECRHS I when randomly selected men and women aged 20 to 44 years from 56 participating centres in 25 countries were sent a screening questionnaire. In stage 2, a smaller random sample of subjects who had completed the postal questionnaire were invited to a more detailed interviewer-led questionnaire as well as a clinical examination. Additionally, subjects not included in the random sample but having symptoms suggesting asthma in stage 1, were invited to participate in the clinical stage 2 [2] [100].

All persons who completed stage 1 of ECRHS I and who, having been selected for stage 2, had at least their smoking status recorded were invited to participate in the ECRHS II [101] by a short screening questionnaire. Those who responded were invited to a clinical examination (blood samples, lung function testing and bronchial

responsiveness) and structured interview (respiratory symptoms and medical history, medication and use of medical services, smoking and exposure to environmental tobacco smoke, occupation, home environment and air pollution); 29 centres from 14 countries participated. Among those 29 study centres, 22 centres completed a protocol on occupational exposure; this protocol included questionnaire modules on cleaning at home and occupational cleaning.

In the third follow-up, the ECRHS III, participants from 29 centres in 14 countries who took part in the clinical stage of ECRHS I were sent a short screening questionnaire; in 27 of the centres those who responded were once more invited for a clinical examination and structured interview.

A fourth follow-up, ECRHS IV, is planned to take place 2021-2022.

#### 3.1.2 Participants

The number of participants in the ECRHS I stage 1 questionnaire survey, comprised 200,682 men and women aged 20 to 44 years [102]. In stage 2 there were 18,811 subjects in the random sample and 2,998 subjects in the symptomatic sample, totalling 21,809 participants in the clinical part of ECRHS I [100]. In ECRHS II 11,219 individuals participated in a clinical examination and in the third study wave approximately 8834 individuals participated [103].

#### 3.1.3 Interview

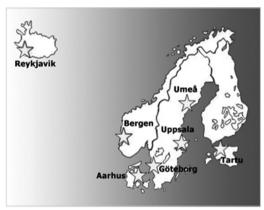
All participants in ECRHS I stage 2 and ECRHS II and III underwent a detailed questionnaire administered by an interviewer. The initial questions for assessment of respiratory symptoms was identical in all three study waves. Furthermore, the questionnaire covered medical history, smoking and exposure to environmental tobacco smoke, occupation, home environment, air pollution and medication amongst other [101].

In ECRHS II, all occupations held since ECRHS I were recorded. Additionally, in 22 centres participants who reported having worked as a cleaner, and persons who

reported having been responsible for cleaning and washing in their own home, were asked to complete an occupational module providing detailed information on, amongst others, length of employment/years of exposure, the use of different types of cleaning agents and frequency of use. Likewise, participants having worked as a nurse or metal worker, and persons who had soldered, welded or used disinfectants at home or at work, were asked for more detailed information about workplace activities [101]. Cleaning activities were further recorded in the main questionnaire in ECRHS III, but in much less detail than in the occupational modules of ECRHS II.

#### 3.2 The RHINE study

#### 3.2.1 Study design



#### RHINE

- 1999 2001
- Follow-up of participants from the Nordic and Baltic centres in ECRHS I stage 1
- Born 1945 1973
- · Postal questionnaire
- >20,000 invited,  $\approx 16,000$  responders
- Timing corresponded with ECRHS II =>RHINE II

#### RHINE III

- 2010 2012
- · Postal questionnaire
- · Participants from RHINE II invited
- ≈ 13,500 responders

The first stage of the ECRHS I stage 1 was conducted from 1990 to 1994 when participants were invited to a postal screening questionnaire. The Respiratory Health in Northern Europe (RHINE) study is a postal questionnaire follow-up of the participants from the seven Nordic and Baltic centres in the ECRHS I stage 1. The RHINE study was conducted between 1999 and 2001 and as the timing corresponded with the second follow-up of the ECRHS (ECRHS II) it is often referred to as RHINE II. The next stage of the RHINE study, named RHINE III, took place from 2010 to 2012. RHINE IV is currently being planned to take place spring 2021.

#### 3.2.2 Participants

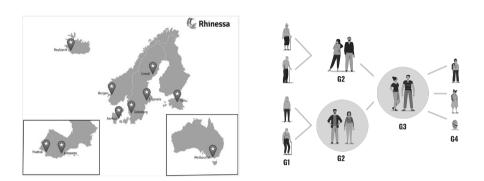
The participants of the ECRHS I stage 1 were randomly selected population-based samples of men and women aged 20 to 44 years; of these 21,659 participants were from the seven Nordic and Baltic centres (Bergen in Norway; Umeaa, Gothenburg and Uppsala in Sweden; Aarhus in Denmark; Reykjavik in Iceland; Tartu in Estonia), and 16,106 (75%) responded to the RHINE questionnaire. The follow-up (RHINE III) included 13,499 responders, 62% of the original sample [104].

#### 3.2.3 Postal questionnaire

Both questionnaires from RHINE and RHINE III included standard questions on respiratory symptoms from the ECRHS questionnaire (see <a href="www.ecrhs.org">www.ecrhs.org</a> for wording). Respiratory symptoms such as wheezing were reported as 12 months prevalence while respiratory diseases such as adult asthma and COPD were reported as lifetime prevalence. Furthermore, the questionnaires included questions on rhinitis, bronchitis, smoking, indoor environment, early life disorders, sleep disorders and occupation, but only in RHINE III the participants were asked about whether they had ever worked as a cleaner and duration in years of such occupation.

# 3.3 The RHINESSA study

# 3.3.1 Study design and participants



In all study centres G2 (RHINE/ECRHS study participants) and G3 (RHINESSA 3<sup>rd</sup> generation study participants) have been investigated, including 1607 offspring-parent pairs in a clinical study and 10133 offspring parent-pairs in a questionnaire study. In the Bergen study centre also G1 and G4 have been investigated as well as the G2 parent not participating in RHINE/ECRHS.

The Respiratory Health in Northern Europe, Spain and Australia (RHINESSA) is a generation study examining the offspring of the participants in the ECRHS and RHINE studies (<a href="www.rhinessa.net">www.rhinessa.net</a>). Each participant in RHINESSA is an offspring who had (at least) one parent that has participated in the ECRHS/RHINE study. RHINESSA has ten study centres [105], including the seven RHINE centres in five Nordic countries and three additional ECRHS centres (Melbourne in Australia, Huelva and Albacete in Spain). The protocols of the RHINESSA study were harmonised with those of the ECHRS and RHINE. Offspring with parental questionnaire data from RHINE/ECRHS were included in a questionnaire study, and offspring with parental clinical data from the ECRHS were included in a clinical study.

## 3.3.2 Questionnaire and clinical study

A postal questionnaire based on the ECRHS I and RHINE, including similar questions as those their parents answered, addressed, among others, respiratory symptoms, asthma, occupation, socio-demographic characteristics, childhood and family.

Questionnaires were sent to all adult offspring (>18 years) in the ten RHINESSA

centres. The sub-sample of offspring responding to the questionnaire study, having a parent with clinical data from ECRHS and lived in the area of the study centre, were invited to face-to-face interviews and clinical examinations [106]. The detailed interviewer-led questionnaire at the clinical investigation included questions on respiratory symptoms, asthma, smoking, socio-demographic characteristics, occupational history, general health and co-morbidities relevant for airway disease.

# 3.4 Spirometry

At the clinical examination the participants were asked for respiratory symptoms during the last weeks and days prior to the examination, and for possible exclusion criteria to performing spirometry. Height, weight, sex, age and time of day were recorded. Subjects were advised to avoid smoking for one hour, using a  $\beta_2$ -agonist or anticholinergic inhaler for four hours or oral medication ( $\beta_2$ -agonist, theophylline or antimuscarinic) for eight hours before the test [107]. Spirometry was then carried out according to a study protocol consistent with 1995 ATS guidelines on spirometry [108]. The maximum Forced Vital Capacity (FVC) and the maximum Forced Expired Volume in one second (FEV<sub>1</sub>) of up to five technically acceptable tests were determined. Spirograms were not to be rejected solely on the basis of poor reproducibility as elimination of data from subjects who failed to meet ATS reproducibility criteria could result in population bias by excluding participants with abnormal lung function. In ECRHS I and II subjects were also tested for bronchial hyperresponsiveness with methacholine, whereas bronchodilator test, a repeated spirometry after administration of two puffs of Salbutamol (100 mcg per puff), was performed at ECRHS III.

# 3.5 Classification of occupations and job exposure matrix

The International Standard Classification of Occupations (ISCO) is a system for organizing information on jobs into a clearly defined set of groups according to the

tasks and duties undertaken in the jobs [109]. Each job or job title is classified by a numeric variable which describes the different task and duties of jobs.

As a detailed history of work exposure is often difficult to obtain in large population-based studies, exposure assessment are often rather crudely based on self-reported exposure or job title, i.e. "Have you ever worked as a cleaner?" To improve the occupational exposure classification, job-exposure matrices (JEM) have been developed, in which each job title is translated into exposures to specific agents [110] [111]. The use of a JEM also has the advantage that jobs with similar exposures, but different job titles also will be included in the exposure category; i.e. nurses in hospitals exposed to cleaning agents and disinfectants.

# 4. Synopsis of papers

#### 4.1 Paper I

Respiratory health in cleaners in Northern Europe: Is susceptibility established in early life?

Svanes Ø, Skorge TD, Johannessen A, Bertelsen RJ, Bråtveit M, Forsberg B, Gislason T, Holm M, Janson C, Jögi R, Macsali F, Norbäck D, Omenaas ER, Real FG, Schlünssen V, Sigsgaard T, Wieslander G, Zock, JP, Aasen T, Dratva J, Svanes C PLoS One 2015 Jul 13;10(7):e0131959. doi: 10.1371/journal.pone.0131959. eCollection 2015.

Cleaners have more asthma and airway symptoms than a general population [4] [5] and exposure to different types of airway irritants from cleaning agents might over time lead to COPD among cleaners. [67] [68]. However, most literature on asthma in cleaners were from South and Middle Europe and there was in general little literature on the risk of COPD in cleaners. A previous analysis had shown that persons with characteristics reflecting early life disadvantages were more susceptible to harmful effects from adult smoking [14]. We hypothesized that cleaners from Northern Europe are at increased risk of asthma and COPD and that early life disadvantage factors may modify adult responses to occupational exposures such as cleaning agents. The aim of the study was to investigate respiratory symptoms, asthma and self-reported COPD as related to working as a cleaner in Northern Europe, and whether early life factors influenced susceptibility to occupational cleaning's unhealthy effects. Using data from the RHINE study, 2138 (16%) cleaners (N=13,283) were investigated. From reported number of years working as a cleaner a categorical exposure variable was built: never exposed, exposed  $\leq 1$  year,  $\geq 1$  to  $\leq 4$  years,  $\geq 4$  years. Standardized questions from the ECRHS study were used to assess respiratory symptoms and disease; participants reporting at least one of four factors (maternal smoking in childhood, severe respiratory infection before 5 years of age, being born during winter months and/or maternal age at delivery above 35 years) were defined as having early life disadvantage. Multiple logistic regression models were used to evaluate possible associations between occupational cleaning and respiratory health,

adjustments were made for age, sex, smoking habits, educational level, parents' educational level, BMI and participating centre. Analyses of associations between occupational cleaning  $\geq 4$  years and respiratory health outcomes were stratified by the dichotomous variable early life disadvantage. Potential interaction between early life disadvantages and cleaning status was analysed by including an interaction term of occupational cleaning  $\geq 4$  years and early life disadvantage in effects on respiratory outcomes.

Among 2138 ever-cleaners the risks of wheeze (OR 1.4, 95% CI 1.3-1.6), adult-onset asthma (1.5 [1.2-1.8]) and self-reported COPD (1.7 [1.3-2.2]) were increased. The risk increased with years in occupational cleaning (adult-onset asthma: ≤1 year 0.9 [0.7-1.3]; 1-4 years 1.5 [1.1-2.0]; ≥4 years 1.6 [1.2-2.1]). The association of wheeze with cleaning activity ≥4 years was significantly stronger for those with early life disadvantage than in those without (1.8 [1.5-2.3] vs. 1.3 [0.96-1.8]; p<sub>interaction</sub> 0.035). In conclusion, this paper found increased asthma, respiratory symptoms and self-reported COPD in people from Northern Europe having worked as an occupational cleaner. The risk was particularly increased among persons with early life disadvantage, suggesting that early life disadvantage might increase individual susceptibility to an adult occupational exposure.

# 4.2 Paper II

# Cleaning at home and at work in relation to lung function decline and airway obstruction

Svanes Ø, Bertelsen RJ, Lygre SHL, Carsin, AE, Antó JM, Forsberg B, García-García JM, Gullón JA, Heinrich J, Holm M, Kogevinas M, Urrutia I, Leynaert B, Moratella JM, Le Moual N, Lytras T, Norbäck D, Nowak D, Olivieri M, Pin I, Probst-Hensch N, Schlünssen V, Sigsgaard T, Skorge TD, Villani S, \*Jarvis D, \*Zock JP and \*Svanes C Am J Respir Crit Care Med. 2018 May 1;197(9):1157-1163. doi: 10.1164/rccm.201706-1311OC.

\*Contributed equally

Cleaning tasks are associated with exposure to several chemical agents with potential harmful effects to the respiratory system [112] and excess risk of asthma and respiratory symptoms among professional cleaners and in persons cleaning their own home have been reported in several studies [4] [84] [113]. However, the long-term consequences of cleaning agents on respiratory health was not well described, but it seemed biologically plausible that exposure to cleaning chemicals could result in accelerated lung function decline. Therefore, the aim of the study was to investigate the long-term effects of occupational cleaning and cleaning at home on lung function decline and airway obstruction.

Data from the multi-centre ECRHS study was used, providing information about occupational cleaning and cleaning at home as well as spirometry performed at three time points. Possible associations of cleaning exposure with decline in lung function were analysed with mixed effect models with adjustments for potential confounders [age at baseline and age squared, number of years from baseline to each follow-up, height, BMI, life-time pack-years at each time point, age at completed education, spirometer type, and centre]. Associations between cleaning exposure and airway obstruction were analysed with multiple logistic regression with adjustments for potential confounders. The study population included 6,235 participants, mean age at baseline was 34 years and 53% were women. Of the 3,298 female participants, 85.1% reported to be the one who usually was cleaning at home, as compared to 46.5% of

2,932 male participants. 293 women and 57 men reported working with occupational cleaning.

As compared to women not engaged in cleaning ( $\Delta FEV_1$ =-18.5 ml/year), FEV<sub>1</sub> declined more rapidly in women responsible for cleaning at home (-22.1 ml/year, p=0.01) and occupational cleaners (-22.4 ml/year, p=0.03). The same was found for decline in FVC ( $\Delta FVC$ =-8.8 ml/year; -13.1, p=0.02 and -15.9, p=0.002 respectively). Both cleaning sprays and other cleaning agents were associated with accelerated FEV<sub>1</sub> decline (-22.0 ml/year, p=0.04 and -22.9 ml/year, p=0.004, respectively). Cleaning was not significantly associated with lung function decline in men, or with FEV<sub>1</sub>/FVC-decline or with airway obstruction.

In conclusion, this paper found that women cleaning at home or working as occupational cleaners have accelerated decline in lung function, suggesting that exposures related to cleaning activities may constitute a risk to long-term respiratory health. A causal effect might be biologically plausible, since cleaning agents have known irritative effects and potential for causing inflammatory changes in the airways [6].

## 4.3 Paper III

# Maternal preconception exposure to cleaning and disinfection agents and offspring asthma

Tjalvin G, Svanes Ø, Igland J, Bertelsen R, Benediktsdottir B, Dharmage S, Forsberg B, Holm M, Janson C, Jogi NO, Johannessen A, Malinovschi A, Pape K, Gómez Real F, Sigsgaard T, Toren K, Vindenes H, Zock, JP, Schlünssen V, Svanes C. Submitted manuscript.

Since the 1980s, there has been a marked increase in childhood asthma prevalence in many countries [34]. Though the reasons still remain mostly unknown, suggested mechanisms have included events occurring early in life, both before and after birth. Furthermore, there might be windows of susceptibility to environmental exposures in the parental generation before conception or even in previous generations [9] [10] [8] [114]. A registry study found that several parental occupations were associated with increased hospitalization for childhood asthma in offspring [115] and future parents' exposure to chemicals might affect both their somatic and germ cells [116]. A possible hypothesis is that occupational exposure before conception impact offspring's health through germline cell exposure. The aim of the study was to investigate risk of asthma in children of mothers that had been occupationally exposed to indoor cleaning agents starting before or around the time of conception.

Data from the RHINE and RHINESSA studies were analysed for associations between maternal exposure to cleaning agents and offspring outcomes before the age of ten (childhood asthma, childhood asthma with nasal allergies and childhood wheezing and/or asthma) using mixed effects logistic regression with adjustment for maternal education; for stratified analyses ordinary logistic regression were used. Based on an occupational asthma-specific JEM and the year exposure started, maternal exposure was defined as 1) medium exposure, starting the birthyear or before, 2) high exposure, starting the birthyear or before, 3) medium exposure, only after birthyear, and 4) high exposure, only after birthyear. Of 3318 adult offspring in the study population, 1307 had a mother who's employment for at least six months involved exposure to indoor

cleaning agents; 2011 had a mother who had no exposure to cleaning agents, but had held at least one job for six months.

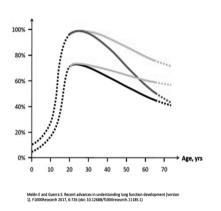
Children of mothers whose occupational exposure to indoor cleaning agents started before conception and continued had an increased risk for all outcomes. There also seemed to be an increased risk of offspring outcomes in children where maternal exposure started around the time of conception or pregnancy. Stratified analyses suggested a dose-response pattern as maternal exposure before or around conception and pregnancy appeared to be more strongly associated with asthma in offspring, if the exposure level had been high as compared to medium.

In conclusion, this paper found an association between pre-conception maternal occupational exposure to cleaning products and disinfectants and childhood asthma in offspring. This further adds up to the growing concern about health risks from cleaning agents and underlines the need for further mechanistic research and replication in human studies.

#### 5. Discussion

"Life-course epidemiology is the study of long-term effects on later health and disease risk of physical or social exposures during gestation, childhood, adolescence, young adulthood, and later adult life. The aim is to elucidate the underlying biological, behavioural, and psychosocial processes that operate across an individual's life-course or across generation" [117].

In this thesis factors that might have an impact on lung function through life have been studied, from conception and the first few years of life (early life disadvantage), in adult life (cross-sectional studies with asthma and COPD as outcomes) and aging of the lungs (lung



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function decline) How lung function might vary throughout life is illustrated in the figure to the right, showing four possible trajectories for lung function from birth and to old age. In addition, this thesis includes preconception exposure into the "lifecourse" perspective, a novel field that goes beyond the definition of life-course epidemiology given above.

# 5.1 Methodological considerations

## -study design and population-

The RHINE, ECRHS and RHINESSA studies are multi-centre prospective studies, where the initial ECRHS study population consisted of random samples from available population registers. Initial recruitment and invitation to follow-up studies were conducted by postal questionnaires; in the ECRHS and RHINESSA the responders to the questionnaires were subsequently invited to a clinical examination at a local study centre. One disadvantage to such multi-centre studies is that the estimates is weakened

by the heterogeneity in measurements between different centres – even though great care is taken to standardize all clinical observations and measurements between centres, some differences will still exist. However, it is more likely that observed associations are due to homogenous biological phenomena and not heterogeneous socio-cultural factors and errors in measurement between different study centres. Each of the three studies (RHINE, ECRHS and RHINESSA) include several thousand participants. The RHINE study had sufficient number of participants to analyse a potential interaction between occupational cleaning and early life disadvantage. The ECRHS study had clinical data including measurements of lung function from several thousand of participants at each study wave. The RHINESSA study made it possible to study health effects of occupational exposure through generations by providing data from offspring of mothers that participated in the RHINE and ECRHS studies. Indeed, the population-based design of the studies, the large number of participants, the substantial data available for each participant, the multicentred structure and the inclusion of two generations are among their strengths, as the results are being relevant for the general public and not only select groups due to the random design.

#### -exposure assessment-

The assessment of exposure in paper I and II is rather crude; in paper I participants were asked "Have you ever worked as a cleaner?" and in paper II "Are you the person doing the cleaning and /or washing at home?" and "Have you worked as a cleaner?" This might give rise to differential bias causing spurious effects, or non-differential bias diminishing true associations. It seems improbable that the former would be the case; for example at the timing of the RHINE III study (2010-2012), work as a cleaner had little focus in the general media in the Nordic countries and thus it seems unlikely that reporting as an occupational cleaner would be different for symptomatic and asymptomatic participants. With the crude exposure assessment, it is possible that other vocations also exposed to cleaning agents, for example industrial cleaners and homecare takers [84], are included in the reference group. Furthermore, it is likely that the reference group also included other occupations with known increased risk of asthma, for example farmers, mechanics and textile workers [71] [118]. As a result,

this could lead to an underestimation of the true negative impact from occupational cleaning.

In the study population of paper II, the exposure of cleaning one's own home may have had differential associations across centres in different countries. For example, it is likely that having someone to clean at home (e.g. a homecare taker) varies between countries. However, this possible social-cultural difference was accounted for in the analyses by using centre as an adjustment variable and the multicultural structure of the study thus made it possible to adjust for heterogenous cultural differences between centres.

In paper III, occupational exposure was based on job title and a JEM and not limited to employment as a cleaner. The JEM used was validated and designed for this kind of study [119] and included a specific category for the exposure of interest, indoor cleaning products and disinfectants. The use of a JEM does increase the risk of a Berkson type error and while this will not attenuate risk estimates, statistical power is reduced [120]. The maternal generation provided a full occupational history with the year starting and the year stopping for each job and the JEM classified all reported jobs with regard to occupational exposure to indoor cleaning agents.

#### -outcomes-

In paper I, COPD was based on self-reported, doctor's diagnosed COPD ("Have a doctor ever told you that you have chronic obstructive pulmonary disease (COPD)?") which gives the lowest prevalence estimates [121] [122], and as shown in another paper, COPD is in its early stage under-diagnosed [123]. There were relatively few participants in RHINE with self-reported COPD, in part this is likely due to the study population being relatively young, and the low numbers can explain the less consistent results on analyses of COPD. Spirometric airway obstruction in paper II was defined as a FEV<sub>1</sub>/FVC ratio less than the lower limit of normal (LLN). According to the Global Initiative for Chronic Obstructive Disease (GOLD) [42] spirometric obstruction is defined as a fixed FEV<sub>1</sub>/FVC ratio < 0.70. But, using this fixed cut-off value as a definition of airway obstruction rises concern that cases of obstruction can be misdiagnosed as the FEV<sub>1</sub>/FVC ratio is not a fixed number, but rather varies with age, height and gender [124]. Hence, using the fixed ratio may result in over-diagnosis of

spirometric obstruction in the elderly patients as lung volumes may be reduced as a result of the normal aging process and not as part of development of COPD.

#### -bias-

by selection

As with all postal questionnaires, one disadvantage is non-response or loss to followup, which might reduce the effective sample size and may introduce bias [125]. However, Johannessen et al concluded in their paper from 2014 [104] that even though follow-up populations should be interpreted with some caution in future reports from RHINE and the Italian part of the ECRHS (I-ECRHS), exposure-outcome associations were mainly unchanged by loss to follow-up, and although response rates varied between studies, their results indicated high validity in the data from the RHINE and I-ECRHS study. Even though a similar study has not been undertaken for all the centres in the ECRHS or in the RHINESSA study, the participants of all these studies were recruited and invited to follow-up in the same way, answered the same questionnaires and the clinical examination were performed after the same protocol. Furthermore, although a response rate below 100% gives room for selection, it seems improbable that the association between cleaning and asthma in non-responders would differ from the responders, i.e. that cleaning and exposure to cleaning agents have positive respiratory health effects for this group. Therefore, one could argue that there is good reason to expect that the data from the ECRHS and RHINESSA have high validity. There is a possibility that persons with respiratory symptoms are more likely to quit a cleaning job than asymptomatic subjects, thus creating a "healthy worker effect" that may further underestimate the negative impact of working as a cleaner [126]. This could also explain why the results from paper I did not show a stronger tendency of increased risk of respiratory disease with more years having worked as a cleaner.

#### by misclassification

In paper II, there is the possibility of differential misclassification of occupational cleaning, leading to either positive or negative confounding. Even so, a reporting error in whether or not having worked as a cleaner is more likely to give non-differential bias. By the use of a validated JEM in paper III, misclassification in exposure is likely

to be non-differential and true effects attenuated, hence the results are unlikely to be caused by information bias. Even though there is a possibility that other occupational exposures are correlated to exposure to cleaning products and disinfectants and thereby explain at least in part the results, this does not seem plausible as exposure to cleaning agents were found in many different occupations.

## -confounding-

by smoking

Smoking habits is an important confounder to account for as smoking is known to have harmful effects on the respiratory system [127].

In paper I, however, sensitivity analyses of never-smokers gave results that were consistent with results from the whole study population, which suggest that the results were not due to rest confounding by smoking.

In paper II, it was not apparent in the study population that occupational cleaning might be related to an unhealthy lifestyle, in particular with smoking. Even so, smoking, in terms of pack-years, was used as an adjustment variable in the analyses and additionally age at attained education was used to account for possible confounding by socio-economic status. Smoking, in terms of pack-years, was further included in the analyses as a time-varying variable so as to take into account the effect from smoking on lung function decline over time. As smoking is a known cause of accelerated decline in FEV<sub>1</sub> and thereby could cause residual confounding on accelerated decline in FEV<sub>1</sub> a sensitivity analysis with an interaction term between pack-years and time was performed. This model did not change the estimates of annual decline in FEV<sub>1</sub> or the confidence intervals in the two exposure groups — cleaning at home and working as an occupational cleaner. Furthermore, as the findings in paper III were consistent also in offspring of never-smoking mothers, rest confounding by smoking seems unlikely.

#### by socioeconomic status

The reference group of women in paper II, those neither cleaning their own home nor working as an occupational cleaner, was small (n=197), and might be a select socioeconomic group that in general have a healthier lifestyle. Adjustment for age at

attained education in the main analysis did not attenuate the results and secondly, socio-economic status itself was not a significant predictor for decline in lung function. Furthermore, sensitivity analyses with adjustments for mother's and father's educational level did not alter the findings of accelerated lung function decline with cleaning exposure and parental educational level were not associated with lung function decline. Another sensitivity analysis, using the occupational based socio-economic variable, based on "UK social class", did not alter the associations. Further, the social class variable was not a significant, independent predictor for accelerated decline in FEV<sub>1</sub> or FVC. In paper III, exposure to cleaning agents was found in many different occupations, thus the variation in occupation reduced the risk for rest confounding by social class.

#### by asthma

Asthma among female participants in paper II was more prevalent in the two groups exposed to cleaning agents (12.3% and 13.7% versus 9.6% respectively). Previous studies have shown that asthmatics, regardless of sex and smoking status, have a greater decline in FEV<sub>1</sub> than people without asthma [128]. But, when taking this into account and adjusting for ever having had asthma in either of the three study waves of the ECRHS, sensitivity analysis did not change the associations between exposure to cleaning agents and accelerated decline in lung function. Moreover, when participants with asthma were excluded from the analyses, the effects on lung function decline were similar. Thus, it seems reasonable to believe that the observed accelerated decline in lung function is not in general mediated by asthma. Furthermore, as suggested by this sensitivity analysis, asthma medication did not limit, meditate or confound the associations with cleaning exposure.

#### 5.2 Discussion of main results

### 5.2.1 Risk of asthma and COPD in cleaners

Specific research question 1: Is being a cleaner associated with increased risk of asthma, airways symptoms and self-reported COPD in Northern European

countries? Most literature is from South and Middle Europe, while cleaning habits and products might be different and possibly less harmful in North Europe.

The results on respiratory symptoms and asthma in paper I are in agreement with what has been shown in several other studies, thus, findings in Northern Europe did not differ from other studies areas. The results confirm that occupational cleaners are at increased risk of respiratory health hazards, described previously in data from the ECRHS [4] [84] [5] [98] and from other study populations [75] [98] [113] [59]. We could not identify heterogeneity between the study centres in RHINE, this support that the results are more likely to be due to biological mechanisms that would be similar across centres, rather than confounding by socio-cultural factors, which are likely to differ between study centres.

The analyses from paper I show increased risk of prevalent self-reported COPD in cleaners. In general, there are relatively few papers that address COPD in cleaners, however, our findings are in agreement with analyses from the UK Biobank cohort study that also showed increased prevalence of COPD in industrial as well as domestic cleaners [69]. An increased risk for occupational COPD have been described for a range of other occupations, including occupations with low-grade exposure to airways irritants accumulated over time [129] [130].

Cleaning chemicals have inflammatory effects [6] and a person using cleaning agents at work is thus exposed repeatedly over time to low-grade airways irritants. This could cause remodelling of the airways, leading both to asthma and to long-term damage to the airways. Thus, our findings both of asthma and respiratory symptoms in cleaners, and between long-term exposure to cleaning agents and COPD, are biologically plausible.

In Paper II we analysed incident COPD, defined as spirometric chronic airway obstruction with FEV<sub>1</sub>/FVC ratio less than LLN. [124]. However, there were relatively few new cases of COPD in this study population that was substantially smaller than the study population in paper I, and an association between cleaning exposures and incident COPD did not reach statistical significance. Furthermore, paper II did not find any difference in yearly decline in FEV<sub>1</sub>/FVC between the three exposure groups

which in part might be due to the study population being relatively young and thus, airway obstruction has not yet progressed as far as to manifest as spirometric changes. On the other hand, that we could not find significant associations with reduced FEV1/FVC ratio is in accordance with our analyses that suggested a steeper decline in FVC than in FEV $_1$  in relation to cleaning exposures. FVC appears to be a most important marker of general health; in asymptomatic adults, without a diagnosis of chronic respiratory disease or persistent respiratory symptoms, survival has been shown to be associated with FVC rather than FEV $_1$  or the FEV $_1$ /FVC ratio [16].

## 5.2.2 Susceptibility to occupational hazards like cleaning

Specific research question 2: Do factors reflecting early life disadvantage increase the susceptibility to cleaning agents with regard to lung health? Cleaners may come from a less advantageous socioeconomic background, and there is some evidence that early life factors might increase susceptibility to subsequent harmful exposures.

The Barker hypothesis on early life programming, described in Barkers publication in 1990 of "The foetal and infant origins of adult disease" [131] implies that childhood or early life disadvantage factors may have long-term health consequences. Early life events have also been suggested to influence respiratory health [13] [132]. The first paper of this thesis found effect modification of exposure to cleaning agents in adult life by a defined "early life disadvantage" score. The variable "early life disadvantage" was defined as the reporting of at least one out of the following four factors: maternal smoking in childhood, severe respiratory infection before 5 years of age, being born during winter months, and/or maternal age at delivery after age 35 years. The choice of these four factors was based on biological plausibility and previous knowledge [133] [134], and the early life disadvantage score therefore conveys a concept that is general and beyond each of the individual components. Another analysis found that an early life disadvantage factor increased an individual's susceptibility to the harmful effects from cigarette smoking with regard to airflow limitation [135]. Dratva et al. found accelerated decline in FEV<sub>1</sub> among subjects reporting one or more factors reflecting early life disadvantage (born during winter season, of older mothers, of smoking mothers or with younger siblings), and that this early life disadvantage factors modified responses to adult exposures. For example, maternal smoking appeared to enhance harmful effects of personal smoking on lung function decline [14]. Another analysis [13] found substantially lower lung function level, higher accelerated lung function decline and higher risk of COPD in persons with early life disadvantage; in this paper early life disadvantage factors were defined as maternal or paternal asthma, childhood asthma, maternal smoking and childhood respiratory disease. Even though the individual components reflecting early life disadvantage varied somewhat between the papers referred above, these papers suggest that the first

years of life are important for how we later in life are able to tolerate harmful exposures to the respiratory system. Our finding that early life disadvantage modified the association of cleaning with respiratory symptoms, supports this notion, that a disadvantageous early life development could increase the susceptibility to subsequent harmful exposures. With regard to potential mechanisms for how early life disadvantage might influence the susceptibility to adverse exposures in adult life, we speculate that poor early life development could hamper molecular repair mechanisms, hence reducing the ability in later life to cope with repeated insults from harmful exposures. Cleaners would often come from a less advantageous background, and we raise the question whether enhanced susceptibility to subsequent hazards would contribute to propagate health inequalities across generations.

### 5.2.3 Accelerated decline in lung function as related to cleaning

Specific research question 3: Is occupational and home cleaning associated with accelerated decline in lung function? Long term effects on lung health in terms of accelerated decline in lung function are biologically plausible, but are however, very challenging to investigate. It is relevant to study both persons cleaning their own home and occupational cleaners; while the first would be exposed less time during a week, the latter might be more aware of the need to select less harmful products and protect themselves through government health agencies and labour unions.

Paper II of this thesis found that women exposed to cleaning chemicals, either when cleaning their own home or when working as a professional cleaner, had accelerated decline in FEV<sub>1</sub> and FVC when compared to women not regularly engaged in cleaning activities. In an attempt to address groups of specific cleaning agents, we found that women who used sprays or other cleaning agents at least once per week had accelerated decline in FEV<sub>1</sub>, and that use of cleaning products other than sprays at least once per week was significantly associated with accelerated decline in FVC. More detailed investigation of specific products was not possible in this already complex study of exposure over time in relation to change in lung function over time.

A similar accelerated decline in lung function could not be identified for men in association with exposure to cleaning, whether the exposure was from an occupational or home setting, or whether sprays or other cleaning products were used.

The findings in paper II were consistent and robust when performing sensitivity analyses. Tobacco smoking is a well-known cause of greater annual lung function decline [127]. However, accounting for smoking over time by inclusion of an interaction term between pack-years and time, did not alter the estimates of cleaning as related to accelerated decline in FEV<sub>1</sub>. The reference group of women not engaged in cleaning activities was small and could be suspected to be a selected socioeconomic group. We addressed potential confounding by socioeconomic status (SES) using various approaches: Adjustment for SES did not alter the observed association.

Smoking is a characteristic of low SES, and adjustment for smoking did not influence the results, further speaking against substantial confounding by SES. Furthermore, we adjusted for mother's and for father's educational level, characteristics of the study

participant's childhood SES, and such adjustment did not alter the observed

of the results.

associations. Finally, selection into the reference group would differ between study centres, and study centre was accounted for in the analysis. Different misclassification between study centres could contribute to attenuating true associations. Thus, while rest-confounding cannot be ruled out, it seems unlikely that this is an important driver

Asthmatics have greater decline in FEV<sub>1</sub> than non-asthmatics, independent of sex and smoking. However, even though asthma was more prevalent in the exposed groups, adjustment for ever had asthma did not affect the associations. Neither did excluding asthmatics from the analyses alter the results. Thus, while a link between occupational exposure to cleaning agents and poorer respiratory health outcomes agree with previous studies on asthma related to cleaning [4] [5], the associations of cleaning with accelerated lung function decline was not mediated by cleaning-related asthma.

Women cleaning at home had an excess decline in FEV<sub>1</sub> of 3.6 ml/year and in FVC of 4.3 ml/year, whereas the corresponding numbers for women engaged in occupational cleaning was 3.9 and 7.1 ml/year, respectively. However, because of the multi-centre study design with 22 participating centres, each with different technical personnel

assisting in lung function measurements and use of different spirometer models, there is reason to argue that the absolute decline in lung function over time more likely is underestimated [136]. Thus, this might have diminished true differences between exposure groups and secondly, our study would have lower sensitivity to pick up smaller changes. To compare with a different exposure – smoking- and using similar models with the same adjustments as in the model on cleaning, we found an excess decline in FEV<sub>1</sub> of 6.1 ml/year and in FVC 8.9 ml/year in heavy smokers (>20 packyears), thus the effect of working as an occupational cleaner on accelerated lung function decline was in the magnitude of smoking somewhat less than 20 pack-years. There is, to our knowledge, no other study in the literature on lung function decline as related to cleaning exposures – it is difficult to study decline in lung function in a study population large enough to address a specific occupation, and the exposure "cleaning" is also difficult. However, our results are plausible considering literature on biological mechanisms. Cleaning agents and chemicals are described to have irritant effects on the mucous membranes of the airways [97] [6]. A person using cleaning agents is exposed to repeated low-grade irritants over time, and this might constitute one possible mechanism for the accelerated decline in lung function in cleaners. Additionally, there are cleaning agents that have sensitizing properties through specific immunological mechanisms such as quaternary ammonium compounds which have known airway sensitizer properties as well as irritant effects [97]. Repeated exposure to cleaning agents over time could, due to allergic inflammation, cause remodelling of the airways leading to an accelerated decline in lung function. Furthermore, it is possible that exposure to airway irritants over years, for example ammonia and bleach which is used when cleaning at home, could lead to irreversible either fibrotic or other interstitial changes in the lung tissue, which could cause more pronounced decline in FVC than in FEV<sub>1</sub> [137]. Even as there are several methodological challenges in the analyses in paper II, as also discussed in the previous sections, most of the errors are more likely to have attenuated true associations than causing spurious associations and leading to the finding that women cleaning at home or working as an occupational cleaner had accelerated decline in FEV<sub>1</sub> and FVC. Though difficult to achieve in

human studies, the results should be replicated in other large cohorts with longitudinal data on lung function and exposure.

# 5.2.4 Risk of respiratory symptoms in offspring of mothers occupationally exposed to cleaning agents and disinfectants

Specific research question 4: Are children of mothers exposed to occupational cleaning agents and disinfectants at increased risk of asthma? *One may suspect that preconception germline cell exposure to cleaning products and disinfectants could have an impact on respiratory health in offspring.* 

The third paper found an association between mother's exposure to cleaning agents and disinfectants at work before conception, and increased risk of childhood asthma in the offspring. Sensitivity analyses suggested a dose-response pattern with higher risk related to higher than medium intensity exposure, and that results remained reasonably consistent with additional adjustment for maternal educational level, asthma and smoking status. The risk estimates were similar regardless of the offspring's sex. In a real-life scenario, women go in and out of jobs with the exposure of interest. Thus, four time windows of exposure was defined: 1) only preconception exposure, 2) exposure starting at least 3 months before conception, 3) exposure starting during the two-year period around conception (birth year minus 1 year, thus including 3-15 months preconception, 9 months intrauterine and 0-12 months postnatal), and 4) exposure starting after birth. Exposure scenarios 2 and 4 had large numbers of women, and gave solid, consistent results with regard to offspring asthma: Exposure scenario 2, starting before conception, showed consistent association with higher offspring asthma risk; exposure scenario 4, starting after birth of the child, showed no increase in offspring asthma. Exposure before conception, which implies transfer of risk through germ cell impact, could not be accurately separated from exposure in utero, which means direct exposure to the foetus. Exposure scenario 2 could reflect both mechanisms. Exposure scenario 1, only before conception with mean time for quitting exposure 7 years before conception, showed a similar risk estimate as scenario 2, supporting an interpretation of a role of preconception exposure. The association did not reach statistical significance, possibly because there was a smaller number of

women in this exposure group. A role of more immediate preconception exposure or exposure to the foetus was suggested by a borderline statistically significant association with exposure starting during the 2-year time interval around conception of the offspring, a relatively small exposure group. The consistent finding of no increased risk with only postnatal exposure, a large exposure group, disfavours an effect of shared environment. Further, this adds strength to the results on the other exposure scenarios, post-natal exposure could be seen as a different reference group with similar potential confounding factors as the other scenarios.

That notion that preconception exposure plays a role in childhood asthma is supported in a Swedish study where participants probably would have been exposed to cleaning agents and where their offspring had increased risk of hospitalization for childhood asthma [138]. However, even though there are studies that support an association between a mother's occupational exposure to cleaning and asthma in offspring, these studies could not specifically investigate the period before conception [139] [140] [141].

With regard to publications of other parental occupational exposures and offspring health, Pape et al [114] in another analysis of RHINE/RHINESSA, studied 20 specific asthmogenic agents, divided into four exposure groups; microorganisms, pesticides, allergens and reactive chemicals. The JEM category "exposure to indoor cleaning agents and disinfectants" was not investigated in this study. Further, the reference group and exposure time windows were defined differently from in our study. Pape et al. found mostly negative results, not showing associations of parental occupational exposure with offspring asthma, but the study did identify an association of mother's occupational exposure to "allergens and reactive chemicals" before and after the child's year of birth, with the child's risk of early-onset asthma. Reactive chemicals have some properties that are common with cleaning chemicals, and the findings thus to some extent are in line with our finding on cleaners. Another paper that investigated father's preconception occupational exposure to welding and metal fumes [9], found increased risk of asthma in offspring; offspring asthma risk was doubled if the father had worked with welding 10 or more years before conception of the offspring.

# 5.3 Causality

Observational epidemiological studies such as the ECRHS, RHINE and RHINESSA give the opportunity to study multiple exposures in a real-life setting, and due to their broader inclusion criteria (and few exclusion criteria) than the randomised clinical trial, their results can be more applicable to the general public [142]. While it also has been suggested that it is not possible in a cohort study to establish causal effects [142], already in 1965, Sir Austin Bradford Hill argued in favour of epidemiological studies and causality and described nine criteria to provide epidemiological evidence of a causality between a suspected exposure or cause and an outcome or effect [143]. Nevertheless, confounders, even if adjusted for in the analyses, will remain a possible source to misinterpretation of results in cohort studies [142]. A recent publication by editors of respiratory journals argues for how causality can be approached in observational studies [144].

#### -consistency-

As has been shown in earlier paragraphs of this thesis, the results on increased risk of respiratory symptoms and asthma in occupational cleaners in the Nordic countries agree with previous literature and also literature published after this thesis was started [145]. There is literature supporting the results from paper III, but to the authors knowledge this is the first study to address maternal preconception occupational exposure and possible health impact in offspring. Even so, the results agree with an emerging understanding of intergenerational inheritance where for example occupational exposures might cause epigenetic changes in germ cells which then might be transmitted to offspring [8] [146].

#### -specificity-

Though limited to a specific occupation (cleaning) and activity (cleaning one's own home), the exposure assessment in both paper I and II is rather crude, but this is more likely to have attenuated true associations and in both papers there are more specific outcomes. In paper III a more detailed exposure assessment was achieved as a full

occupational job history was obtained with start -and stop year for each job and the JEM classified each job with respect to occupational exposure to indoor cleaning agents.

#### -temporality-

In paper I, the questions on occupational cleaning activity were only included in RHINE III (the last survey) and then with limited detail, thus is was not possible to establish a temporal relationship between start of occupational cleaning and development of asthma and COPD. Likewise, it was not possible to in greater detail explore susceptibility windows in adulthood as timing of neither exposure nor development of disease was established. In paper II, participants were asked for information on cleaning activities between the first and second study wave and thus a temporal relationship between exposure and outcome was established. In paper III it was not possible to separate the immediate preconception time window from the intrauterine time window, additionally the modelling of time windows was limited by the time resolution (one year) in the duration of each job held and year of birth of offspring.

#### -biological gradient-

The participants in paper I were asked for length of exposure (how long they had worked as a cleaner), but even though there was a trend towards increased risk with more years of exposure for most outcomes, a biological gradient was not clearly established. This might in part be explained by the rather short time spent as a cleaner (median 2 years, mean 5.7 years). In paper II, a clear dose-response relationship was not established while in paper III a biological gradient was suggested as higher-level exposure starting before birth was associated with higher risk of asthma in offspring than lower-level exposure.

#### -biological plausibility-

Most chemicals used for cleaning tasks have irritating effects on the airways, but there are some that can cause development of true sensitization through an immunologic

mechanism [6]. Though the pathophysiological mechanisms behind asthma and COPD are not completely understood, there is a clear association between exposure to irritants and asthma and COPD. Likewise, long-term, repeated exposure to respiratory irritants (as found in cigarette smoke) is associated with accelerated decline in lung function.

Siracusa et al [6] have earlier described irritant effects as well as specific immunological effects as possible mechanisms, but even so, no study have robustly investigated exposure to cleaning products or ingredients along with asthma risk. Thereby the determination of causal relationships between asthma and specific products or ingredients have been limited, and there is also a lack of robust animal models for toxicological assessment of asthma [145].

There are several studies showing an association between an unfavorable intrauterine environment and increased risk of the development of disease in later life [147] [148], thus supporting a biological plausibility for the increased risk for offspring asthma related to maternal exposure during pregnancy.

#### -coherence-

The possibility of increased susceptibility to the harmful effects from cleaning agents due to early life disadvantage factors as shown in paper I is supported by other studies. Though some differences as to which factors that have been included as early life disadvantage, there is good reason to suspect that events occurring early in life are associated with poorer lung health as an adult [149].

Finally, there is a possibility of reversed causality, i.e. that asthma and respiratory symptoms could be the reason for working as a cleaner or keeping one's home spotlessly clean. However, this notion seems unlikely and to our knowledge, all available literature shows increased respiratory symptoms or worsening of asthma with exposure to cleaning agents.

Thus, while not all of Hill's criteria are fulfilled, we believe that each of the three papers suggest causality between exposure (cleaning) and outcome (adverse respiratory effects), and that the findings presented in this thesis constitute a valuable

and important contribution to the knowledge basis that allows for drawing conclusions between cleaning exposure and respiratory health.

Hill's criteria with brief comments to each of the criteria for the three papers in the thesis.

	Paper I	Paper II	Paper III
Strength of the association – a strong association supports causality	Adjusted OR 1.4 for wheeze, 1.7 for asthma symptoms and 1.5 for adult onset asthma in ever occupational cleaners	Strong association – comparable with smoking which is a well-documented cause of accelerated lung function decline	OR 1.6 for offspring's childhood asthma, 1.8 for childhood asthma with nasal allergies and 1.7 for childhood wheeze and/or asthma when mother exposed to occupational indoor cleaning
Consistency – causation more likely if results from various research studies consistent	-findings on respiratory symptoms and asthma consistent with previous literature - findings on interaction of chemical exposure with early life factor also found for smoking/maternal smoking	- consistent with association with study on other long-term respiratory outcome - COPD	-no previous literature on this exposure, but consistent with previous studies on other chemical exposures – preconception smoking and offspring asthma
Specificity – causation more likely if a specific outcome is related to a specific exposure	-crude exposure assessment (cleaner), more specific outcome (respiratory symptoms, asthma, COPD)	- crude exposure assessment (cleaner), more specific outcome (decline in FVC and FEV1)	- detailed exposure assessment using JEM - specific exposure windows before/during pregnancy markedly different from exposure window after birth –includes specific outcome (asthma before ten years of age)
Temporality – exposure must occur before outcome	-temporal relationship between exposure and outcome (asthma and COPD) not confirmed	-temporal relationship between exposure and outcome established	-temporal relationship established with high time- resolution, - not possible to fully disentangle exposure only before conception from exposure before and after

Biological gradient – causality more likely with a dose-response relationship	-trend toward higher risk with longer years worked as a cleaner (dose-response relationship)	-data did not allow for exploration of a biological gradient (years of exposure and accelerated loss of lung function)	-a biological gradient suggested (higher exposure associated with higher risk)
Biological plausibility – a conceivable mechanism for causation between outcome and exposure should exist	-biological plausibility between cleaning exposure and respiratory outcomes (symptoms, asthma, COPD)	-biological plausibility between cleaning and accelerated loss of lung function	-biological plausibility between maternal occupational exposure before birth of offspring and disease in offspring
Coherence – current association should not be contradicted by previous knowledge	-results agree with previous knowledge	-no known previous studies on exposure (cleaning) and outcome (long-term lung function decline) when paper published	-to our knowledge there is no previous literature that contradicts the current association
Experiment – experimental evidence enhances probability of causation	-no experimental evidence	- no experimental evidence	-no experimental evidence
Analogy – existing similar associations supports causation	-effect modification by early life factors supported by other studies	-the greater impact seen in women reported for other exposures (cigarette smoke) and other occupations	-father's exposure to welding and metal fumes before conception showed increased asthma in offspring

#### 6. Conclusions

Persons having worked as occupational cleaners have increased risk of respiratory symptoms, asthma and COPD. The results were consistent for different adjustments, between study centres and between subgroups (sex, smoking status, body weight). In particular, we found that people expressing one or more factors associated with having early life disadvantage – maternal smoking in childhood, severe respiratory infection before 5 years of age, born during winter months and/or maternal age at delivery above 35 years – had increased risk of respiratory symptoms and disease. This suggests that early life disadvantage might increase an individual's susceptibility to harmful occupational exposures in adult life, uncovering a mechanism whereby health inequalities may be propagated across generations.

Further, we found that women cleaning at home and/or working as occupational cleaners had accelerated decline in FVC and FEV<sub>1</sub>, but not apparent accelerated decline in the FEV<sub>1</sub>/FVC ratio. The results were consistent when adjusting for smoking, which is a known cause of accelerated lung function. Likewise, adjusting for socio-economic status (SES) did not alter the associations, nor was SES in itself a significant predictor for decline in lung function. Thus, while the reference group of women not cleaning at home or working as occupational cleaner was small, sensitivity analyses discouraged the notion that the results could be explained by confounding by socioeconomic status. This suggest that women cleaning either at home or working as cleaners are at higher risk of deteriorating respiratory health due to both increased risk of asthma as have been shown earlier, but also in terms of long-term impact on lung function decline and accelerated lung function decline with loss of dynamic lung volume. The accelerated decline advocates for further prevention from harmful airway exposure when performing cleaning activities, notably, both at home and as an occupational cleaner.

Finally, we found that mothers' preconception exposure to cleaning agents and disinfectants at work was associated with increased offspring asthma. The use of a validated JEM made it unlikely that the results were caused by information bias, and there were several different occupations with exposure to cleaning agents, which

reduced the risk for confounding by social class. Further, the results were consistent in offspring of never-smoking mothers, thus, confounding by smoking is unlikely. The results thereby raise a question of potential germ cell impact of cleaning agents and disinfectants.

# 7. Perspectives

# 7.1 Impact of results - translating research to changes in behaviour and industry

While it is one thing to have a research result that could have significant implications for public health, the next question is how best to translate the results to action; who to inform and how to inform them. As a result of our paper on lung function decline [150], showing that exposure to cleaning products was associated with accelerated lung function decline in women, this motion was tabled 14 May 2018 in the British House of Commons [151]: "That this House notes research by the Department of Clinical Science at Bergen University which shows that exposure to some cleaning products can be as damaging to lung function as smoking 20 cigarettes a day; further notes the occupational health risks faced by people who work as cleaners; expresses dissatisfaction at the current regulation of cleaning products; and calls on the Government to bring forward proposals for labelling of cleaning products to warn of the health risks, to work with the industry to make such products as safe as possible, and to bring forward regulations to minimise health risks." Following the motion, my supervisor Cecilie Svanes participated in a meeting held in the UK Parliament between trade unions, health and safety executives and MPs.

This article also sparked a lot of attention in the media around the globe, there were hundreds of newspaper articles and several radio interviews on the topic, in European languages, but also Hindu, Chinese etc. The news story went viral, and still there are new online references to this, in medical as well as popular channels.

Furthermore, the Norwegian Labour Inspection Authority has issued fact sheets in Norwegian, Polish and English warning about the use of cleaning sprays and increased risk of asthma and COPD in cleaners, and advocating the use of dry or damp cleaning methods.

As to who to inform, Cecilie Svanes presented at the main European conference on cleaning products about the research findings to industry leaders (<u>Cleaning Products</u> Europe 2019).



In conclusion, I would like to think that there have been some positive changes due to our findings presented in this thesis. It appears to be a shift of habits and an awareness in the public. People have a focus on potential harmful effects of cleaning agents, and in the following doctors are warning against the effects of using cleaning products, or at least using adequate protective gear and ensuring circulating fresh air when cleaning (<a href="https://www.spokesman.com/stories/2019/jul/13/ask-the-doctors-your-clean-house-could-be-hurting-/">https://www.spokesman.com/stories/2019/jul/13/ask-the-doctors-your-clean-house-could-be-hurting-/</a>). Focusing on workplace interventions, such as optimal air quality and access to adequate personal protective equipment, particularly among persons with early life disadvantage, might better ensure an adequate prevention to respiratory diseases. Lilleborg AS, one of the largest manufacturers of cleaning products in Norway for both the professional and home marked, have changed the nozzles on spray bottles to increase the size of droplets from cleaning sprays, thereby reducing the "fog" of cleaning chemicals that can be inhaled.

# 7.2 Future perspectives

Since experimental studies in humans would not be feasible and also unethical, causality cannot finally be proved in humans, and the observational data are of major

importance. Seen together, the observational studies linking various measures of exposure to cleaning products and disinfectants with a range of respiratory health outcomes, constitutes fairly strong evidence that cleaning products cause impaired respiratory health. The results of this thesis, in general, give strong support to this notion. When it comes to the specific topics of the thesis, the long-term effects on lung function decline, vulnerability in those with developmental disadvantage early in life, and suggested impact on future offspring, our studies are unique – and need confirmative studies. The availability of data to replicate the findings is a major problem both when studying life-span impact and impact across generations, and there is a need to support with experimental studies, in particular animal studies when it comes to addressing potential effects across generations. However, there is also a lack of robust animal models for toxicological assessment of asthma [145]. There is no legislation requiring testing for germline effects when new chemical products enter the marked, and our study together with emerging mechanistic understanding supports the need for this.

In 2020 the world faced a new pandemic, the new corona virus (SARS-CoV-2) which has, amongst other alterations in our lives, led to extreme cleanliness. As advised by governments and health authorities, we use more disinfectants and cleaning agents to avoid infection and spreading of the virus. Based on the results of this thesis we question, might the increased use of airway irritants increase asthma, even in future offspring? And, we speculate, could the irritant effects from cleaning agents have an impact on susceptibility to Covid-19?

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# Appendix

# THE EUROPEAN COMMUNITY RESPIRATORY HEALTH SURVEY II



# **ECRHS II**

# **MAIN QUESTIONNAIRE**

# **Project Leaders:**

Prof Peter Burney Dr Deborah Jarvis

### For further information:

www.ecrhs.org



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**ECR** 

Centre nur	nber					
Personal n	umber					
Sample						
Date						
	$\overline{\text{DAY}}$	$\overline{MO}$	NT	Ή	YE	ΑR

# I AM GOING TO ASK YOU SOME QUESTIONS. AT FIRST THESE WILL BE MOSTLY ABOUT YOUR BREATHING. WHEREVER POSSIBLE, I WOULD LIKE YOU TO ANSWER 'YES' OR 'NO'.

1.	Have you had wheezing or whistling in your chest at any time in the last <i>12 months</i> ?	NO YES
	IF 'NO' GO TO QUESTION 2, IF 'YES':	NO VEC
	1.1 Have you been at all breathless when the wheezing noise was present?	NO YES
	1.2. Have you had this wheezing or whistling when you did <i>not</i> have a cold?	NO YES
2.	Have you woken up with a feeling of tightness in your chest at any time in the last <i>12 months</i> ?	NO YES
3.	Have you had an attack of shortness of breath that came on during the day when you were at rest at any time in the last <i>12 months</i> ?	NO YES
4.	Have you had an attack of shortness of breath that came on <i>following</i> strenuous activity at any time in the last <i>12 months</i> ?	NO YES
5.	Have you been woken by an attack of shortness of breath at any time in the last 12 months?  IF NO GO TO Q6, IF YES	NO YES
	5.1 Have you been woken by an attack of shortness of breath in the last <i>3 months</i> ?	NO YES
	<ul> <li>IF NO GO TO Q6, IF YES</li> <li>5.1.1 On average have you been woken by an attack of shortness of breath at least once a week in the last 3 months?</li> <li>IF NO GO TO Q6, IF YES</li> </ul>	NO YES
	5.1.1.1 How many times a week <i>on average</i> have you been woken by shortness of breath in the <i>last 3 months</i> ?	TIMES
6.	Have you been woken by an attack of coughing at any time <i>in the last 12 months</i> ?	NO YES NO YES
7.	Do you <i>usually</i> cough first thing in the morning in the winter? [IF DOUBTFUL, USE QUESTION 8.1 TO CONFIRM]	
8.	Do you <i>usually</i> cough during the day, or at night, in the winter?	NO YES

8.1 Do you c	TO QUESTION 9, IF 'YES': cough like this on most days for as much as three months f?	NO YES
morning in th	thy bring up any phlegm from your chest first thing in the e winter?  L, USE QUESTION 10.1 TO CONFIRM	NO YES
	ally bring up any phlegm from your chest during the day, or	NO YES
10.1 Do you months ea	·	NO YES NO YES
11. Do you ever	have trouble with your breathing?	
11.1 Do you l a) continu	ously so that your breathing is never quite right? dly, but it always gets completely better?	K ONE BOX ONLY  1 2 3
12. Are you disa disease?	abled from walking by a condition other than heart or lung	NO YES
	ATE CONDITION AND GO TO QU	UESTION 13,
IF 'NO':		NO MEG
	troubled by shortness of breath when hurrying on level walking up a slight hill?	NO YES
ground or  IF 'NO' GO 12		NO YES  NO YES
ground or  IF 'NO' GO 12	walking up a slight hill?  TO QUESTION 13, IF 'YES': 1.1 Do you get short of breath walking with other people of	
ground or <i>IF 'NO' GO</i> 12 you	walking up a slight hill?  TO QUESTION 13, IF 'YES': 1.1.1 Do you get short of breath walking with other people of ur own age on level ground?  IF 'NO' GO TO QUESTION 13, IF 'YES': 12.1.1.1 Do you have to stop for breath when walking at your own pace on level ground?  EN ONLY - MEN GO TO Q14	NO YES
ground or  IF 'NO' GO 12 you  13. FOR WOMI  Have you ever n	walking up a slight hill?  TO QUESTION 13, IF 'YES': 1.1.1 Do you get short of breath walking with other people of ur own age on level ground?  IF 'NO' GO TO QUESTION 13, IF 'YES': 12.1.1.1 Do you have to stop for breath when walking at your own pace on level ground?  EN ONLY - MEN GO TO Q14  oticed that you had respiratory symptoms (such as wheeze, r chest or shortness of breath) at a particular time of your	NO YES
ground or  IF 'NO' GO 12 you  13. FOR WOM  Have you ever n tightness in you	walking up a slight hill?  TO QUESTION 13, IF 'YES': 1.1.1 Do you get short of breath walking with other people of ur own age on level ground?  IF 'NO' GO TO QUESTION 13, IF 'YES': 12.1.1.1 Do you have to stop for breath when walking at your own pace on level ground?  EN ONLY - MEN GO TO Q14  oticed that you had respiratory symptoms (such as wheeze, r chest or shortness of breath) at a particular time of your	NO YES  NO YES  I

NO YES

14. Have you ever had asthma?  IF 'NO' GO TO QUESTION 15, IF 'YES':	
14.1 Was this confirmed by a doctor?	NO YES YEARS
14.2 How old were you when you had your first attack of asthma?	
14.3 How old were you when you had your most recent attack of asthma	YEARS ?
14.4.1-6 Which months of the year do you usually have attacks of asthma?	NO VEC
14.4.1 January / February	NO YES
14.4.2 March / April	
14.4.3 May / June	
14.4.4 July / August	
14.4.5 September / October 14.4.6 November / December	
14.4.6 November / December	NO VEC
<ul><li>14.5 Have you had an attack of asthma in the last 12 months?</li><li>IF NO GO TO 14.8, IF YES</li><li>14.6 How many attacks of asthma have you had in the last 12 months?</li></ul>	NO YES  ATTACKS  ATTACKS
14.7 How many attacks of asthma have you had in the last 3 months?	
14.8 How many times have you woken up because of your asthma in the last <i>3 months?</i>	E BO <u>X ON</u> LY
every night or almost every night	1
more than once a week, but not most nights	2
at least twice a month, but not more than once a week	3
less than twice a month	4
not at all	5
14.9. How often have you had trouble with your breathing because of your in the last <i>3 months?</i> Continuously about once a day at least once a week, but less than once a day less than once a week not at all	r asthma ICK ONE BOX ONLY  1
14.10 Are you currently taking any medicines including inhalers, aerosols or tablets for asthma?	NO YES
	NO YES
14.11 Do you have a peak flow meter of your own? <i>IF 'NO' GO TO QUESTION 14.12</i> , <i>IF 'YES'</i> :	

14.11.1 How often have you used it over the last 3 months? TICK ONE BOX ONLY

	never some of the days most of the days	1 2 3
	tten instructions from your doctor on your asthma if it gets worse or if you have an attack	NO YES
Have you ever cycle? Yes, in the Yes, dury Yes, in the Yes, and Yes, Yes, and Yes, Yes, Yes, Yes, Yes, Yes, Yes, Yes,	ONLY - MEN GO TO Q15  noticed that your asthma got worse with your month TICK ONE the week before my period ting my period the week after my period ther time of the month apply to me (i.e., amenorrhoeal)	ly BOX ONLY  1
IF NO GO 1 14.14.1.Wh got bette got wors stayed th	r e e same ame for all pregnancies	
15.1 How old were	l allergies, including hay fever?  F YES  you when you first had hay fever or nasal allergy?  problem with sneezing, or a runny or a blocked	NO YES YEARS NO YES
nose when you did not <i>IF NO GO TO Q17, II</i> 16.1.Have you had a prose when you did	have a cold or the flu?	NO YES
16.1.1. Has t watery ey 16.1.2. In wl Jant	this nose problem been accompanied by itchy or es? nich months of the year did this nose problem occur? nary ruary rch il	NO YES NO YES

August		
September		
October		
November		
December		
17. Since the last survey have you used any medication to treat nasal disorde	rs? NO	YES
IF NO GO TO Q18, IF YES		
17.1 Have you used any of the following nasal sprays for the treatment		
of your nasal disorder?	NO	YES
{SHOW LIST OF STEROID NASAL SPRAYS}		
IF NO GO TO Q17.2, IF YES	<u> </u>	
17.1.1 How many years have you been taking	YEAI	RS
this sort of nasal spray?		
17.1.2 Have you used any of these nasal sprays	NO	YES
in the last 12 months?		
17.2 Have you used any of the following pills, capsules, or tablets for	<u> </u>	
the treatment of your nasal disorder?	NO	YES
{SHOW LIST OF ANTIHISTAMINES}		
IF NO GO TO Q18, IF YES		
17.2.1 How many years have you been taking these sort of pills,	YE.	ARS
capsules or tablets?		
17.2.2 Have you used any of these pills, capsules	NO	YES
or tablets in the last 12 months?		
	NO	YES
18. Have you <i>ever</i> had eczema or any kind of skin allergy?		
19. Have you <i>ever</i> had an itchy rash that was coming and going for at	NO	YES
least 6 months?		
IF 'NO' GO TO QUESTION 20, IF 'YES':	NO	YES
19.1 Have you had this itchy rash in the last 12 months?		
IF 'NO' GO TO QUESTION 20, IF 'YES':		
19.1.1. Has this itchy rash at any time affected any of the following pla	.ces:	
the folds of the elbows, behind the knees, in front of the ankles		YES
under the buttocks or around the neck, ears or eyes		
	NO	YES
20. Have you ever had any difficulty with your breathing after taking medicine		
IF 'NO' GO TO QUESTION 21, IF 'YES':		Ш
20.1-2 Which medicines?	20.1.	
20,1 2 mileti inedicineo.	20.1.2	H
		EARS
21. How old was your mother when you were born?		
======================================		

22. How many times did you move house during the first five

years of your life? None Once	TICK ONE BOX ONLY  1 2
more than once	3
	NO YES
23. Were you hospitalised before the age of two years for lung disease	
24. At what age did you first attend a school, play school, day care or	
25. How many <u>other</u> children regularly slept in your bedroom before <i>you were five years old?</i>	CHILDREN
I would now like to ask you some questions on the type of jobs that you	ou have done.
I am interested in each one of the jobs that you have done for more the	han 3 consecutive months since the
time we last contacted you (in 1991/2). These jobs may be outside the	e house or at home, full time or part
time, paid or not paid, including self employment, for example in a f	amily business. Please include part
time jobs only if you had been doing them for more than 8 hours per v	week.
Q26. Are you currently	
	ONE BOX ONLY
Employed (including military service)	1
Self employed	2
Unemployed, looking for work	3
Not working because of poor health Full-time house-person	4
Full time student	6
Retired	7
Other	8
IF EMPLOYED OR SELF EMPLOYED OR A FULL TIME HOUR	RSEPERSON GO TO Q28
27. Have you been employed in any job for three continuous	NO YES
months or longer since the last survey?	

IF YES NOW GO TO OCCUPATIONAL MATRIX

				YEAR
				MONTH YEAR
Centre number	Personal number	Sample	Date	DAY

Q 28. If you had more than one job in the same company, or if you were doing more than one job at the same time, we would like to talk about them separately. Please start with your current or last job.

u											
nnd ing ii	YEAR										
Q28.4. In what month and year did you stop working this job?	ΥE										
at mc stop '											
you s											
did job?	MONTH										
Q28.4. In what month and year did you stop working in this job?	MO										
and king	24										
onth	YEAR										
nat m start											
Q28.3. In what month and year did you start working in this job?	Η										
Q28.3. In year did year job?	MONTH										
O2 yea this	$\overline{M}$										
Q28.2. What did the firm, company or organisation do or what services did it provide?											
Q28.1. What is (was) the title of your current (last) job?											
JOB		JOB 1	JOB 2	JOB 3	JOB 4	JOB 5	9 HOI	JOB 7	3 HOI	6 HOI	JOB 10

Appendix B 1 – ECRHS	II Main Questionnaire			
		NO	YES	•
29. Have any of these jobs	s ever made your chest tight or wheezy?			
, ,	, e			
IF YES, (tick no or yes fo	or each job)			
	• •			
		NO	YES	
	Job 1?			
	Job 2?			
	Job 3?			
	Job 4?			
	Job 5?			
	Job 6?			
	Job 7?			
	Job 8?			
	Job 9?			
	Job 10?			
	any of these jobs because they	NO	YES	
affected your breathing?				
IF YES, (tick no o	or yes for each job)			
		NO	YES	
	Job 1?			
	Job 2?			
	Job 3?			
	Job 4?			
	Job 5?			
	Job 6?			
	Job 7?			
	Job 8?			
	Job 9?	4		
	Job 10?			
21.0' 1.1 1.1	1 1 1 1 1 1 1 1 1 1 1 1			.1
	ave you been involved in an accident at home, work			
you to high levels of vapo	urs, gas, dust or fumes?	INC	YES	,
IE VEC			. Ш	
IF YES,	ce respiratory symptoms immediately following this	,		
exposure?	ce respiratory symptoms inimediately following unis	NO	YES	
exposure:			1123	
IF YES				
	cribe to me what it was?			
•				

Centres performing the extra occupational modules should at this point introduce the modular introductory questionnaire and complete modules as appropriate.

ti ductory questionnaire and complete modules as appropriate.	
32. At what age did you complete full time education?	YEARS
If full	time student enter 88
33. How often do you usually exercise so much that you get out of br or sweat?	eath ICK ONE BOX ONLY
every day	1
4-6 times a week	2
2-3 times a week once a week	3 4
once a month	5
less than once a month	6
never	7
34. How many hours a week do you usually exercise so much that yo	 NII
· · · · · · · · · · · · · · · · · · ·	CK ONE BOX ONLY
none	1
about ½ hr	2
about 1 hour	3
about 2-3 hours	4
about 4-6 hours	5
7 hours or more	6 NO YES
35. Do you avoid taking vigorous exercise because of wheezing or as	
	YEAR
36. When was your present home built?	
37. Do you live in the same home as when you were last surveyed?	NO YES
IF YES GO TO QUESTION 38, IF NO	TIMES
37.1. How many times have you moved since you were last surveyed?	YEARS
37.2. How many years have you lived in your current home?	
37.3 Where do you currently live?	TICK ONE BOX ONLY
a different home, but still in the study sampling area	1
outside the sampling area but still in the same country	2
a different country	3
27.2.1 IE A DIEEEDENT COUNTRY W.L	
37.3.1. <b>IF A DIFFERENT COUNTRY</b> Which country?	

37.4 Which best describes the building in which you live? a) a mobile home or trailer?	. —
b) a one family house detached from any other house?	$\begin{array}{c c} 1 \\ 2 \end{array}$
c) a one family house attached to one or more houses?	$\frac{2}{3}$
d) a building for two families?	4
e) a building for three or four families?	5
f) a building for five or more families?	6
g) a boat, tent or van	7
	8
e) other:	8
38. Does your home have any of the following?	NO YES
38.1 central heating	
38.2 ducted air heating (forced air heating)	
38.3 air conditioning	
	<u> </u>
39. Which of the following appliances do you use for heating or for	hot water?
	NO YES
39.1 open coal, coke or wood fire	
39.2 open gas fire	
39.3 electric heater	
39.4 paraffin heater	
39.5 gas-fired boiler	
39.6 oil-fired boiler	
39.7 portable gas heater	
39.8 other:	
40. What kind of stove do you <i>mostly</i> use for cooking?	TICK ONE BOX ONLY
a) coal, coke or wood (solid fuel)?	1
b) gas (gas from the mains)?	2
c) electric?	3
d) paraffin (kerosene)?	4
e) microwave	5
f) gas (gas from bottles or other non-mains source)	6
g) other:	7
<b>40.1 IF YOU USE GAS FOR COOKING</b> Which of the following the second seco	<u> </u>
40.1.11.1	NO YES
40.1.1 gas hob	
40.1 2.gas oven	
41 W/L-4 Li. 1 - 6 - 4 1	- 1: 4
41. What kind of stove was mostly used for cooking in the home you	
in when you were five years old?	TICK ONE BOX ONLY
a) coal, coke or wood (solid fuel)?	
b) gas (gas from the mains)?	2
c) electric?	3
d) paraffin?	4
e) gas (gas from bottles or other non-mains source)	5
f) don't know	6
g) other:	·/

MINUTES
42. <i>On average</i> how long have you spent cooking with your stove each day over the <i>last four weeks</i> ?
43. Over the last four weeks when you were cooking did you have a door or window to the outside air open  a) most of the time b) some of time c) rarely (or only occasionally) d) I do not have a door or window that opens to the outside in my kitchen  TICK ONE BOX ONLY  2  C) rarely (or only occasionally) d) I do not have a door or window that opens to the outside in my kitchen
44. Do you have an extractor fan over the cooker?  IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 45, IF 'YES':  44.1 When cooking, do you use the fan a) all of the time? b) some of the time? c) none of the time? 3
44.2 Does the fan take the fumes outside the house?  NO YES DK  45. Does the room which you use most at home during the day 45.1 have fitted carpets covering the whole floor? 45.2 contain rugs? 45.3 have double glazing?
46 How old is the oldest carpet or rug in the room which you use most at home during the day?,  a) less than one year  b) 1-5 years old c) more than 5 years old  47 On what floor is the room which you use most at home during the day?  (The lowest floor of a building is 00)
48. Does your bedroom 48.1 have fitted carpets covering the whole floor? 48.2 contain rugs? 48.3 have double glazing?
49 How old is the oldest carpet or rug in your bedroom  a) less than one year b) 1-5 years old c) more than 5 years old

Т	TICK ONE BOX ONLY
50 How old is your mattress a) less than one year b) 1-5 years old c) more than 5 years old	
51 What floor of the building is your bedroom on? (lowest=00)	NO VES
52. Do you sleep with the windows open at night during winter?	NO YES
IF 'NO' GO TO QUESTION 53, IF 'YES':	
<ul><li>52.1 Do you sleep with the windows open</li><li>a) all of the time?</li><li>b) sometimes?</li><li>c) only occasionally?</li></ul>	TICK ONE BOX ONLY  1
<ul> <li>53. Has there been any water damage to the building or its contents, for example, from broken pipes, leaks or floods?</li> <li>IF YES</li> <li>53.1 Has there been any water damage in the last 12 months</li> </ul>	NO YES DK NO YES DK
54. <i>Within the last 12 months</i> have you had wet or damp spots on surfinside your home other than in the basement (for example on walls, wa ceilings or carpets)?	
55. Has there ever been any mould or mildew on any surface, other that food, inside the home?  IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 56, IF 'YE	
55.1.1-6 Which rooms have been affected? 55.1.1 bathroom(s) 55.1.2 bedroom(s) 55.1.3 living area(s) 55.1.4 kitchen 55.1.5 basement or attic 55.1.6 other:	NO YES
55.2 Has there been mould or mildew on any surfaces inside th in the last <i>12 months</i> ?	e home NO YES

'This scale looks like a thermometer; it allows you to rate your personal opinion regarding the following question on annoyance from air pollution. You can indicate your level of annoyance on this scale between 0 and 10 where 0 mean does not annoy at all' and 10 means intolerable annoyance.'

56 . How much are you annoyed by outdoor air pollution (from traffic, industry, etc.) if you keep the windows open?

١	
 10	intolerable annoyance
9	
 8	
 7	
6	
5	
4	
3	
2	
1	
 0	doesn't annoy at all

# THOSE WHO HAVE NOT MOVED HOME SINCE LAST SURVEY (Check with response to question 37)

## **GO TO QUESTION 58**

## THOSE WHO HAVE MOVED SINCE LAST SURVEY – answer 57

57. How much were you annoyed by outdoor air pollution (from traffic, industry, etc.) in your previous home, if you kept the windows open?

	١	
	10	intolerable annoyance
	9	
	8	
	7	
	6	
	5	
	4	
	3	
	2	
	1	
	0	doesn't annoy at all
<u> </u>		$\neg$

58. How often do cars pass your house?	TICK ONE BOX ONLY
a) constantly	1
b) frequently	2
c) seldom	3
d) never	4

<ul> <li>59. How often do heavy vehicles (e.g. trucks/buses) pass your house?</li> <li>a) constantly</li> <li>b) frequently</li> <li>c) seldom</li> <li>d) never</li> </ul>	TICK ONE BOX ONLY  1 2 3 4
<ul> <li>60. Have you taken any of the following measures to reduce allergen or exposure to allergen in your home since the last survey?</li> <li>60.1 changed from carpet to a wooden or other smooth surface on floor of the room you use most</li> <li>60.2 changed from carpet to a wooden or to a smooth surface on floor your bedroom</li> <li>60.3 bought a new carpet for the room you use most</li> <li>60.4 bought a new carpet for your bedroom</li> <li>60.5 used antidust-mite sprays</li> <li>60.6 put an allergy-proof cover on your mattress</li> <li>60.7 sold, given away or destroyed a pet dog or cat</li> </ul>	NO YES
<ul> <li>61. Do you keep a cat? IF 'NO' GO TO QUESTION 62, IF 'YES' 61.1 Is your cat (are your cats) allowed inside the house? 61.2 Is your cat (are your cats) allowed in the bedroom? </li> <li>62. Do you keep a dog? IF 'NO' GO TO QUESTION 63, IF 'YES': 62.1 Is your dog (are your dogs) allowed inside the house? 62.2 Is your dog (are your dogs) allowed in your bedroom? </li> <li>63. Do you keep any birds? IF 'NO' GO TO QUESTION 64, IF 'YES': 63.1 Are any of these birds kept inside the house? </li> </ul>	NO YES  NO YES
64. Was there a cat in your home? 64.1 during your first year of life 64.2 when you were aged 1 to 4 years 64.3 when you were aged 5-15 years	NO YES DK
65. Was there a dog in your home? 65.1 during your first year of life 65.2 when you were aged 1 to 4 years 65.3 when you were aged 5-15 years	NO YES DK
66. Was there a bird in your home? 66.1. during your first year of life 66.2 when you were aged 1 to 4 years 66.3 when you were aged 5-15 years	NO YES DK

67. What term best describes the place you lived most of the time when you were under the age of five years?  a) farm b) village in a rural area	TICK ONE BOX ONLY  1 2
c) small town	3
d) suburb of a city	4
e) inner city	5
c) miles exis	·
68. When you are near animals, such as cats, dogs or horses, do yo	ou <i>ever</i> NO YES
68.1 start to cough?	
68.2 start to wheeze?	
68.3 get a feeling of tightness in your chest?	
68.4 start to feel short of breath?	
68.5 get a runny or stuffy nose or start to sneeze?	
68.6 get itchy or watering eyes?	
oolo get helly of watering eyes.	
69. When you are in a dusty part of the house, or near pillows or d	uvets do you <i>ever</i> NO YES
69.1 start to cough?	
69.2 start to wheeze?	
69.3 get a feeling of tightness in your chest?	
69.4 start to feel short of breath?	
69.5 get a runny or stuffy nose or start to sneeze?	
69.6 get itchy or watering eyes?	
07.0 get itelly of watering eyes:	
70. When you are near trees, grass or flowers, or when there is a loabout, do you <i>ever</i>	ot of pollen
	NO YES
70.1 start to cough?	
70.2 start to wheeze?	
70.3 get a feeling of tightness in your chest?	
70.4 start to feel short of breath?	
70.5 get a runny or stuffy nose or start to sneeze?	
70.6 get itchy or watering eyes?	
IF 'YES' TO ANY OF THE ABOVE:	
70.7.1-4 Which time of year does this happen?	NO YES
70.7.1 winter	
70.7.2 spring	
70.7.3 summer	
70.7.3 summer 70.7.4 autumn	
70.7.4 autumm	
71. How often do you eat pre-packaged food, such as tinned food of frozen meals?	or pre-prepared TICK ONE BOX ONLY
a) every day or most days	
b) at least once a week	2
c) less than once a week	3
c) iess than once a week	J

# Appendix B 1 – ECRHS II Main Questionnaire NO YES 72 Do you take snacks between meals? IF 'NO' GO TO OUESTION 73, IF 'YES': 72.1.1-3 Which of the following would you have as a snack at least once a week? NO YES 72.1.1 sayoury biscuits or crisps 72.1.2 sweets, chocolates or sweet biscuits 72.1.3 fruit or vegetables 73. Have you ever had an illness or trouble caused by eating a *particular* NO YES food or foods? IF 'NO' GO TO QUESTION 74, IF 'YES': 73.1 Have you nearly always had the same illness or trouble after eating this type of food? IF 'NO' GO TO OUESTION 74, IF 'YES': 73.1.1 What type of food was this? [List up to 3] 73.1.2.1-6 Did this illness or trouble include NO YES 73.1.2.1 a rash or itchy skin? 73.1.2.2 diarrhoea or vomiting? 73.1.2.3 runny or stuffy nose? 73.1.2.4 severe headaches? 73.1.2.5 breathlessness? 73.1.2.6 other: NO YES 74. Have you ever smoked for as long as a year? ['YES' means at least 20 packs of cigarettes or 12 oz (360 grams) of tobacco in a lifetime, or at least one cigarette per day or one cigar a week for one year] IF 'NO' GO TO OUESTION 75, IF 'YES': YEARS 74.1 How old were you when you started smoking? YES 74.2 Do you **now** smoke, as of *one month ago*? IF 'NO' GO TO QUESTION 74.3, IF 'YES': 74.2.1-4 How much do you *now* smoke on average? NUMBER 74.2.1 number of cigarettes per day 74.2.2 number of cigarillos per day 74.2.3 number of cigars a week

74.2.4 pipe tobacco in a) ounces / week

74.3 Have you stopped or cut down smoking?

b) grams / week

NO YES

IF 'NO' GO TO QUESTION 74.4, IF 'YES':	YEARS
74.3.1 how old were you when you stopped or cut down smoki	
74.3.2.1-4 <i>on average</i> of the entire time you smoked, before you	
stopped or cut down, how much did you smoke?	NUMBER
74.3.2.1 number of cigarettes per day	
74.3.2.2 number of cigarillos per day	
74.3.2.3 number of cigars a week	
74.3.2.4 pipe tobacco in a) ounces / week	
b) grams / week	
o) gramo, mon	NO YES
74.4 Do you or did you inhale the smoke?	
75 11	NOVEC
75. Have you been <b>regularly</b> exposed to tobacco smoke in the last 12	NOYES
months? ['Regularly' means on most days or nights]  IF 'NO' GO TO QUESTION 76, IF 'YES':	
75.1. Not counting yourself, how many people in your household smoke	NUMBER
regularly?	
	NO YES
75.2 Do people smoke regularly in the room where you work?	
75.3 How many hours per day are you exposed to <i>other people's</i>	HOURS
tobacco smoke?	
75.4 Please provide more information.	
How many hours per day, are you exposed to other peoples tobacco	
smoke in the following locations?	HOURS
at home	
at workplace	
in bars, restaurants, cinemas or similar social settings	
elsewhere	
76 Harrison and an inhalal malicina to halo annotation to an in-	NO VEC
76. Have you used any <b>inhaled</b> medicines to help your breathing at any time in the last <i>12 months</i> ?	NO YES
IF NO' GO TO QUESTION 77, IF 'YES':	
	NO VEC
Which of the following have you used in the last <i>12 months</i> ?	NO YES
76.1 <u>short acting</u> <b>beta-2-agonist inhalers</b> (Please include combinations that include beta 2 and steroids in section	n 76 5)
	11 70.3)
76.1.1 If used, which one?	
76.1.2 What type of inhaler do you use?	NUMBER
76.1.3. What is the dose per puff (in micrograms)?	
76.1.4. In the last 3 months, how have you used them:	TICK ONE BOX ONLY
a) when needed	1
b) in short courses	2
c) continuously	3
d) not at all	4
If answer to 76.1.4 is when needed:	NUMBER
76.1.5 Number of puffs per month	

If answer to 76.1.4 is <u>in short courses</u>	NUMBER
76.1.6 number of courses 76.1.7 number of puffs per day	
76.1.8 average number of days per month	NUMBER
If answer to 76.1.4 is continuously	NUMBER
76.1.9 number of puffs per day	
	NO VES
76.2 long acting beta-2-agonist inhalers	NO YES
(Please include combinations that include beta 2 and steroids in s	section 76.5)
76.2.1 If used, which one?	
76.2.2 What type of inhaler do you use?	
76.2.3. What is the dose per puff (in micrograms)?	NUMBER
70.2.3. What is the dose per puri (in interograms):	
76.2.4. In the last 3 months, how have you used them:	TICK ONE BOX ONLY
<ul><li>a) when needed</li><li>b) in short courses</li></ul>	2
c) continuously	3
d) not at all	4
If answer to 76.2.4 is when needed:	NUMBER
76.2.5 Number of puffs per month	
If answer to 76.2.4 is in short courses	NUMBER
76.2.6 number of courses	
76.2.7 number of puffs per day	
76.2.8 average number of days per month	
If answer to 76.2.4 is continuously	NUMBER
76.2.9 number of puffs per day	
	NO YES
76.3 non-specific adrenoreceptor agonist inhalers	
76.3.1 If used, which one?	
	NO YES
76.4 anti-muscarinic inhalers	
76.4.1 If used, which one?	
76.4.2 What type of inhaler do you use?	
76.4.3. What is the dose per puff (in micrograms)?	NUMBER

76.4.4. In the last 3 months, how have you used them: a) when needed	TICK ONE BOX ONLY
b) in short courses	2
c) continuously	3
d) not at all	4
If answer to 76.4.4 is when needed: 76.4.5 Number of puffs per month	NUMBER
If answer to 76.4.4 is in short courses	NUMBER
76.4.6 number of courses	
76.4.7 number of puffs per day	
76.4.8 average number of days per month	
If answer to 76.4.4 is <u>continuously</u>	NUMBER
76.4.9 number of puffs per day	
	NO YES
76.5 inhaled steroids	
if combined B2 and steroid please insert inhaled steroid dose) 76.5.1 If used, which one?	
76.5.2 What type of inhaler do you use?	
70.3.2 What type of finialer do you use:	NUMBER
76.5.3. What is the dose per puff (in micrograms)?	NOWIDER
76.5.4. In the last 3 months, how have you used them:	TICK ONE BOX ONLY
a) when needed	1
b) in short courses	2
c) continuously	3
d) not at all	4
f answer to 76.5.4 is <u>when needed</u> :	NUMBER
76.5.5 Number of puffs per month	
If answer to 76.5.4 is in short courses	NUMBER
76.5.6 number of courses	
76.5.7 number of puffs per day	
76.5.8 average number of days per month	
If answer to 76.5.4 is continuously	NUMBER
76.5.9 number of puffs per day	NOVEG
76.6 inhaled cromoglycate/nedocromil	NO YES
76.6.1 If used, which one?	
76.6.2. What is the dose per puff (in milligrams)?	NUMBER

76.6.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all	TICK ONE BOX ONLY  1 2 3 4
If answer to 76.6.3 is when needed: 76.6.4 Number of puffs per month	NUMBER
If answer to 76.6.3 is in short courses	NUMBER
76.6.5 number of courses 76.6.6 number of puffs per day 76.6.7 average number of days per month	
If answer to 76.6.3 is continuously	NUMBER
76.6.8 number of puffs per day	
76.7 inhaled compounds	NO YES
76.7.1 If used, which one?	
76.7.2 What type of inhaler do you use?	NHIMDED
76.7.3. What is the dose per puff (in micrograms)?	NUMBER
77. Have you used any pills, capsules, tablets or medicines, other than inhaled medicines, to help your breathing at any time in the last 12 mor IF 'NO' GO TO QUESTION 78, IF 'YES':  Which of the following have you used in the last 12 months?	
which of the following have you used in the last 12 mounts:	NO YES
77.1 oral beta-2-agonists	
77.1.1 If used, which one? 77.1.2 what dose of tablet	
77.1.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all	TICK ONE BOX ONLY  1 2 3 4
If answer to 77.1.3 is when needed: 77.1.4 number of tablets per month	NUMBER
If answer to 77.1.3 is in short courses	NUMBER
77.1.5 number of courses 77.1.6 tablets per day 77.1.7 average number of days per month	

If answer to 77.1.3 is continuously	NUMBER
77.1.8 tablets per day	
77.2 oral methylxanthines	NO YES
77.2.1 if used, which one?77.2.2 what dose of tablet	
77.2.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all	TICK ONE BOX ONLY  1 2 3 4
If answer to 77.2.3 is when needed: 77.2.4 number of tablets per month	NUMBER
If answer to 77.2.3 is in short courses	NUMBER
77.2.5 number of courses 77.2.6 tablets per day 77.2.7 average number of days per month	
If answer to 77.2.3 is <u>continuously</u>	NUMBER
77.2.8 tablets per day	
• •	
77.3 oral steroids	NO YES
77.3 <b>oral steroids</b> 77.3.1 If used, which one? 77.3.2 what dose of tablet	NO YES
77.3.1 If used, which one?	NO YES  TICK ONE BOX ONLY  1 2 3
77.3.1 If used, which one?	TICK ONE BOX ONLY  1  2
77.3.1 If used, which one?  77.3.2 what dose of tablet  77.3.3. In the last 12 months, how have you used them: a) when needed b) in short courses c) continuously  If answer to 77.3.3 is when needed:	TICK ONE BOX ONLY  1  2  3
77.3.1 If used, which one?  77.3.2 what dose of tablet  77.3.3. In the last 12 months, how have you used them: a) when needed b) in short courses c) continuously  If answer to 77.3.3 is when needed: 77.3.4 number of tablets per month	TICK ONE BOX ONLY  1 2 3  NUMBER
77.3.1 If used, which one?  77.3.2 what dose of tablet  77.3.3. In the last 12 months, how have you used them: a) when needed b) in short courses c) continuously  If answer to 77.3.3 is when needed: 77.3.4 number of tablets per month  If answer to 77.3 3 is in short courses 77.3.5 number of courses 77.3.6 tablets per day	TICK ONE BOX ONLY  1 2 3  NUMBER
77.3.1 If used, which one?  77.3.2 what dose of tablet  77.3.3. In the last 12 months, how have you used them: a) when needed b) in short courses c) continuously  If answer to 77.3.3 is when needed: 77.3.4 number of tablets per month  If answer to 77.3 3 is in short courses 77.3.5 number of courses 77.3.6 tablets per day 77.3.7 average number of days per month	TICK ONE BOX ONLY  1 2 3  NUMBER  NUMBER

77.4 oral anti-leukotrienes	NO YES
77.4.1 If used, which one?	
77.4.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all  If answer to 77.4.3 is when needed: 77.4.4 number of tablets per month	TICK ONE BOX ONLY  1
If answer to 77.4.3 is in short courses	NUMBER
77.4.5 number of courses 77.4.6 tablets per day 77.4 .7 average number of days per month	
If answer to 77.4.3 is continuously	NUMBER
77.4.8 tablets per day	
77.5 ketotifen	NO YES
77.5.1 If used, which one?	
77.5.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all	TICK ONE BOX ONLY  1
If answer to 77.5.3 is when needed: 77.5.4 number of tablets per month	NUMBER
If answer to 77.5.3 is in short courses	NUMBER
77.5.5 number of courses 77.5.6 tablets per day 77.5.7 average number of days per month	
If answer to 77.5.3 is continuously	NUMBER
77.5.8 tablets per day	
78. Since the last survey have you ever used inhaled steroids (show list)? <i>IF NO GO TO QUESTION 79</i> 78.1. How old were you when you first started to use inhaled steroids	NO YES YEARS

78.2. Have you used inhaled steroids <i>every year</i> since the last survey? <i>IF NO GO TO QUESTION 78.3, IF YES</i> 78.2.1. On average how many months each year have you taken then <i>NOW GO TO Q79</i>		YES THS
78.3 How many of the years since the last survey have you taken inhaled steroids?	YE.	ARS
78.4. On average how many months of each of these years have you taken them?	MON	THS
79. Have you been vaccinated for allergy since the last survey?  IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 80, IF 'YES':	YES	
79.1 Have you been vaccinated for allergy in the last <i>12 months</i> ?	NO	YES
80. Have you had any other <b>injections</b> to help your breathing at any time in the last <i>12 months</i> ?  IF 'NO' GO TO QUESTION 81, IF 'YES':	NO	YES
80.1 What injections?		
81. Have you had any suppositories to help your breathing at any time in the last <i>12 months</i> ?  IF 'NO' GO TO QUESTION 82, IF 'YES': 81.1 What suppositories?	NO	YES
82 . Have you used any other <b>remedies</b> to help your breathing at any time in the last <i>12 months</i> ?  IF 'NO' GO TO QUESTION 83 IF 'YES':  82.1. What remedies?	NO	YES
83. Has your doctor ever prescribed medicines, including inhalers, for your breathing?	NO	YES
IF 'NO' GO TO QUESTION 84, IF 'YES': 83.1 If you are prescribed medicines for your breathing, do you normally take TICK ONE I a) all of the medicine? b) most of the medicine? c) some of the medicine? d) none of the medicine?		ONLY

# Appendix B 1 – ECRHS II Main Questionnaire

83.2 When your breathing gets worse, and you are prescribed	
<i>y y y</i>	E BOX <u>ON</u> LY
a) all of the medicine?	1
b) most of the medicine?	2
c) some of the medicine?	3
d) none of the medicine?	4
83.3 Do you think it is bad for you to take medicines all the time to help your breathing?	NO YES
83.4 Do you think you should take as much medicine as you need to get rid of <i>all</i> your breathing problems?	NO YES
84. Since the last survey have you visited a hospital casualty department	NO YES
or emergency room because of breathing problems?  IF NO GO TO Q85, IF YES	
84.1 Have you visited a hospital casualty department or	NO YES
emergency room because of breathing problems in the <i>last 12 months</i> ?	
IF NO GO TO 85, IF YES	NO YES
84.1.1 Was this due to asthma, shortness of breath or wheezing?	
84.1.2 How many times in the last 12 months?	TIMES
85. Since the last survey have you spent a night in hospital because of	NO YES
breathing problems?	
IF NO GO TO Q86 IF YES	
85.1 Have you spent a night in hospital because of breathing problems	NO YES
in the last 12 months?	
IF NO GO TO Q86, IF YES	NO YES
85.1.1 Was this due to asthma, shortness of breath or wheezing?	
85.1.2 How many nights have you spent on each of the following	
types of ward in the last 12 months?	N <u>UMBE</u> R
General	
Chest medicine	
Rehabilitation	
Intensive care unit	
Other	
86. Since the last survey have you been seen by a doctor because of	NO YES
breathing problems or because of shortness of breath?	
IF NO GO TO Q87, IF YES	_
86.1 Have you been seen by a general practitioner because of	NO YES
breathing problems or shortness of breath in the <i>last 12 months?</i>	
IF NO GO TO Q86.4, IF YES	NO YES
86.2. Was this due to asthma, shortness of breath or wheezing?	

# Appendix B 1 – ECRHS II Main Questionnaire

86.3	How many times have you been seen by your general practitions of breathing problems or shortness of breath in each of these locations.	
	over the last 12 months?	NUMBER
	at home (excluding emergency visits)	TOMBER
	in his surgery	
	- ·	
	at home in an emergency	
	at another location	
	Have you seen a specialist (chest physician, allergy	
	alist, internal medicine specialist, ENT doctor) because of your	NO YES
	thing problems or shortness of breath <i>in the last 12 months</i> ?	
	IO GO TO Q87 IF YES	NUMBER
:	86.4.1 How many times?	
37. Are you	given regular appointments to be seen by a doctor (or nurse)	NO YES
for your as	thma, wheezing or shortness of breath?	
IF N	O GO TO Q88 IF YES	NO YES
87.1	.Are you given regular appointments with a hospital doctor?	
07.0	and the second of the second	NO YES
87.2	2 Are you given regular appointments with your general practitioner	
97.2	And you divine manylon and distributed with a myses?	NO YES
87.3	3. Are you given regular appointments with a nurse?	
	ny times have you visited the following because of oblems or shortness of breath <i>in the last 12 months?</i>	
producting pro	30.000 01 01.000 01 01 <b>0000 01 01000 01 0100 0100</b>	NUMBER
	88.1 nurse	
	88.2 physiotherapist	
	88.3 practitioner of 'alternative' medicine	
20 Have voi	a had any clinical or laboratory tests because of asthma	NO YES
-	shortness of breath <i>in the last 12 months</i> ?	
_	IF NO GOT Q90, IF YES	
	89.1. How many times have you had the following <i>in the last 12 n</i>	aonths?
	69.1. How many times have you had the following in the tust 12 in	NUMBER
	Breathing test in a laboratory specially for lung function measures	NOMBER
	Skin test for allergy	
	Blood test for allergy	
	x-rays	
	4 4 4	NO YES
	currently working?	
	O GO TO Q90.2 IF YES	
		NUMBER
shor	tness of breath or wheezing in the last 12 months?	
	Were you forced to <b>give up working</b> because of asthma, ezing or shortness of breath in the last <b>12 months?</b>	NO YES
wne	ezing of shormess of breath in the fast 12 months?	1 1 1 1

### IF NO GO TO 91. IF YES DAY MONTH YEAR 91.2.1. When? 91. Have there been any days when you have had to give up activities other than work (e.g. looking after children, the house, studying) because of your asthma, NO YES wheezing or shortness of breath in the last 12 months? IF NO YOU HAVE FINISHED THE QUESTIONNAIRE IF YES 91.2. How many days on average each month? M F Subjects Gender MONTH YEAR DAY Subjects Date of Birth **INTERVIEW TYPE?** TICK ONE BOX ONLY a) At centre face to face 1 2 b) At home face to face c) By telephone 3 d) Self completed at home

FIELDWORKER NUMBER

Appendix B 1 – ECRHS II Main Questionnaire

**END** 

	Centre	number	
	Persona	al number	
	Sample		
	Date		
	You were last seen as part of this survey in (month)	(year)	
	I AM GOING TO ASK YOU SOME QUESTIONS. AT FIRST THESE WILL B YOUR BREATHING. WHEREVER POSSIBLE, I WOULD LIKE YOU TO ANS		
1.	Have you had wheezing or whistling in your chest at any time in the <b>12 months</b> ?	e last	NO YES
	IF 'NO' GO TO QUESTION 2, IF 'YES':		NO YES
	1.1 Have you been at all breathless when the wheezing noise was	present?	
	1.2. Have you had this wheezing or whistling when you did not had a cold?	ve	NO YES YEARS
	1.3 How old were you when you first had wheezing or whistling in	•	ted 'as a baby' enter '01')
	1.4 How frequently have you had wheezing or whistling in the last everyday	12 months?	TICK ONE BOX ONLY
	at least once a week, but not everyday occasionally		3
2.	Have you woken up with a feeling of tightness in your chest at any the last 12 months?	time in	NO YES
3.	Have you had an attack of shortness of breath that came on during when you were at rest at any time in the last 12 months?	the day	NO YES
	IF 'NO' GO TO QUESTION 4, IF 'YES':		
	3.1 How old were you when you first had an attack of shortness of during the day when you were at rest?	breath that came on	YEARS
4.	Have you had an attack of shortness of breath that came on follow strenuous activity at any time in the last 12 months?	ving	NO YES
5.	i. Have you been woken by an attack of shortness of breath at any till last 12 months?	ne in the	NO YES
6.	i. Have you been woken by an attack of coughing at any time in the I months?	ast <b>12</b>	NO YES
7.	7. How often have you experienced bouts or spasms of coughing in the less than once a month every month, but less than every week every week, but not every day every day	ne last 12 months? TIC	CK ONE BOX ONLY  1  2  3  4

8.	Do you <i>usually</i> cough first thing in the morning in the winter? [IF DOUBTFUL, USE QUESTION 9.1 TO CONFIRM]	NO YES
9.	Do you <i>usually</i> cough during the day, or at night, in the winter?	NO FES
	IF 'NO' GO TO QUESTION 10, IF 'YES':	
	9.1 Do you cough like this on most days for as much as three months each year?	NO YES
	IF 'NO' GO TO QUESTION 10, IF 'YES':	
	9.2 How many years have you had this problem (coughing on most days for as much as three months each year?	YEARS
10.	Do you <i>usually</i> bring up any phlegm from your chest first thing in the morning in the winter?  [IF DOUBTFUL, USE QUESTION 11.1 TO CONFIRM]	NO YES
11.	Do you <i>usually</i> bring up any phlegm from your chest during the day, or at night, in the winter?	NO YES
	IF 'NO' GO TO QUESTION 12, IF 'YES':	
	11.1 Do you bring up phlegm like this on most days for as much as three months each year?	NO YES
	IF 'NO' GO TO QUESTION 12, IF 'YES':	
	11.2 How many years have you had this problem (of bringing up phlegm from your chest on most days for as much as three months each year)?	YEARS
	NO' TO QUESTIONS <u>3-11</u> GO DIRECT TO QUESTION 13; YES' TO ANY OF QUESTIONS <u>3-11</u> PLEASE COMPLETE QUESTION 12	
12.	In the last <b>12</b> months, have you had any episodes/times when your symptoms (cough, phlegm, shortness of breath) were a lot worse than usual?	NO YES
11	'NO' TO QUESTION 12 GO TO QUESTION 13; IF 'YES'	
	In the last <i>12 months</i> : 12.1 How many times have these episodes occurred?	TIMES
	12.2 How many times have these episodes forced you to consult your doctor?	TIMES
	12.3 How many times was your therapy changed after these episodes?	
	12.4 How many times have you visited a hospital casualty department or emergency room or have you spent a night in hospital after these episodes?	TIMES
13.	Do you ever have trouble with your breathing?	NO YES
	IF 'NO' GO TO QUESTION 14, IF 'YES':	

TICK ONE BOX ONLY

13.1 Do you have this trouble

a) continuously so that your breathing is never quite right?	1
b) repeatedly, but it always gets completely better?	2
c) only rarely?	3

14. Are you disabled from walking by a condition disease?	other than heart or lung	NO YES
IF 'YES' STATE CONDITION	AND GO TO QUESTION 15,	
IF 'NO':		NO 1/50
14.1 Are you troubled by shortness of breat ground or walking up a slight hill?	th when hurrying on level	NO YES
IF 'NO' GO TO QUESTION 14.2, IF 'YES':		
14.1.1 Do you get short of brea	th walking with other people of	NO YES
your own age on level ground?	•	
IF 'NO' GO TO QUESTI	ON 14.2, IF 'YES':	
14.1.1.1 Do you have	to stop for breath when walking at	NO YES
your own pace on leve	el ground?	
IF 'NO' GO TO	O QUESTION 14.2, IF 'YES':	
	you ever have to stop for breath after walking or after a few minutes) on level ground:	
IF 'NO' G	O TO QUESTION 14.2, IF 'YES':	
	1 Are you too short of breath to leave	NO YES
the house	OR short of breath on dressing or undressing	g?
14.2 How much shortness of breath are you column. If you are not experiencing any of the column	having right now? Please indicate by marking shortness of breath at present circle the ma	
<del>-</del>	Shortness of breath	
	as bad as can be	
	(4.0	Height in mm
	(NR.	total height =100mm)
	No shortness of breath	

15. Have you ever had asthma?  IF 'NO' GO TO QUESTION 16, IF 'YES':	NO YES
15.1 Was this confirmed by a doctor?	NO YES YEARS
15.2 How old were you when your asthma was confirmed by a doctor?	
15.3 How old were you when you had your first attack of asthma?	YEARS YEARS
15.4 How old were you when you had your most recent attack of asthma?	TLAKS
15.5.1-6 Which months of the year do you usually have attacks of asthma?	NO YES
15.5.1 January / February	
15.5.2 March / April	
15.5.3 May / June	
15.5.4 July / August	
15.5.5 September / October	
15.5.6 November / December	
25/5/6 (16/6/186) / 2506/186)	NO YES
15.6 Have you had an attack of asthma in the last 12 months?	
IF 'NO' GO TO 15.9, IF YES	ATTACKS
15.7 How many attacks of asthma have you had in the last 12 months?	
	ATTACKS
15.8 How many attacks of asthma have you had in the last <i>3 months</i> ?	
15.9 How many times have you woken up because of your asthma in the last 3 months?	TICK ONE BOX ONLY
every night or almost every night	1
more than once a week, but not most nights	2
at least twice a month, but not more than once a week	3
less than twice a month	4
not at all	5
15.10. How often have you had trouble with your breathing because of your asth in the last 3 months?	ma TICK ONE BOX ONLY
continuously	1
about once a day	2
at least once a week, but less than once a day	3
less than once a week	4
not at all	5
15.11 Are you currently taking any medicines including inhalers,	NO YES
aerosols or tablets for asthma?	NO YES
15.12 Do you have a peak flow meter of your own?	
IF 'NO' GO TO QUESTION 15.13 , IF 'YES':	TICK ONE DOV ONLY
15.12.1 How often have you used it over the last 3 months?	TICK ONE BOX ONLY
never	1 2
some of the days most of the days	3
וווטטנטו נווכ עמץט	J

15.12 Do you have written instructions from your dector on	NO VEC
15.13 Do you have written instructions from your doctor on how to manage your asthma if it gets worse or if you have an attack?	NO YES
16. Has a doctor ever told you that you have chronic bronchitis?	NO YES
IF 'NO' GO TO QUESTION 17, IF 'YES':	
16.1 How old were you when you first had a diagnosis of chronic bronchitis?	YEARS
17. Has a doctor ever told you that you have chronic obstructive pulmonary disease (COPD)?	NO YES
IF 'NO' GO TO QUESTION 18, IF 'YES	
17.1 How old were you when you first had a diagnosis of COPD?	YEARS
18. Has a doctor ever told you that you have emphysema?	NO YES
IF 'NO' GO TO QUESTION 19, IF 'YES':	
18.1 How old were you when you first had a diagnosis of emphysema?	YEARS
19. Have you ever been diagnosed with any other lung disease (excluding asthma, chronic bronchitis, COPD and emphysema)? IF 'NO' GO TO QUESTION 20, IF 'YES':	NO YES
19.1 What is that lung disease called?	CODE
20. Do you have any nasal allergies, including hay fever?	NO YES
IF 'NO' GO TO Q21, IF' YES':	
20.1 How old were you when you first had hay fever or nasal allergy?	YEARS
21. Have you ever had a problem with sneezing, or a runny or a blocked nose when you did not have a cold or the flu?	NO YES
IF 'NO' GO TO Q22, IF 'YES':	
21.1. Have you had a problem with sneezing or a runny or a blocked nose when you did not have a cold or the flu <i>in the last 12 months</i> ?	NO YES
IF 'NO' GO TO Q22, IF' YES':	
21.1.1. Has this nose problem been accompanied by itchy or watery eyes?	NO YES
21.1.2. In which months of the year did this nose problem occur? 21.1.2.1. January/February	NO YES
21.1.2.2. March/April	
21.1.2.3. May/June	
21.1.2.4. July/August	
21.1.2.5. September/October	
21.1.2.6 November/December	

•	ad this problem for more than 4 days in any one week	NO	YES
in the last 1			
IF NO GO	TO Q21.1.4, IF' YES':	NO	YES
21.1.3.1 Dic	this happen for more than 4 weeks consecutively?		TES
	the following problems, please indicate how important it has been st 12 months. (SHOW A CARD WITH THE FOLLOWING OPTIONS)		
2. A probler 3. A disturb	em (symptom not present) m that is/was present but not disturbing ing problem but not hampering day time activities or sleep m that hampers certain activities or sleep	_	
	Please enter code 1-4 in each		CODE
21.1.4.1	a watery runny nose	Γ	TC BOXES
21.1.4.2	a blocked nose (feeling of being unable to breath through your no	se)	
21.1.4.3	an itchy nose	,	_
21.1.4.4	sneezing, especially violent and in bouts		
21.1.4.5	watery, red itchy eyes	F	
		<u>L</u>	
22. Since the last survey have	e you used any medication to treat nasal disorders?	NO	YES
IF NO GO TO Q23, IF	YES		
	f the following nasal sprays for the treatment er? <b>{SHOW LIST OF STEROID NASAL SPRAYS</b> }	NO	YES
IF NO GO TO Q22.2, IF		VEARC	
22.1.1 How old we	ere you when you first started to use <b>this sort</b>	YEARS	
22.1 2 How many	years have you been taking this sort of nasal spray?	YEA NO	YES
22.1.3 Have you us	sed any of these nasal sprays in the last 12 months?	NO	YES
22.1.4. Have you ι	used this sort of nasal spray <i>every year</i> in the last 5 years?		
IF 'NO' GO	TO QUESTION 22.2 IF 'YES'		<b>T</b> 116
22.1.4.	1 On average how many months each year have you taken them ?	MON	THS
	of the following pills, capsules, or tablets your nasal disorder? <b>{SHOW LIST OF ANTIHISTAMINES</b> }	NO	YES
IF 'NO' GO TO Q23,	IF 'YES'		
	sed any of these pills, capsules or tablets in the last 12 months?	NO	YES

		NO YES
23. Has your nose been blocked for mo	re than 12 weeks during the last 12 months?	
24. Have you had pain or pressure arour 12 weeks during the last 12 months	nd the forehead, nose or eyes <u>for more than</u> ?	NO YES
<ol> <li>Have you had discoloured nasal disc throat for more than 12 weeks duri</li> </ol>	harge (snot) or discoloured mucus in the ng the last 12 months?	NO YES
26. Has your sense of smell been reduce during the last 12 months?	ed or absent <u>for more than 12 weeks</u>	NO YES NO YES
27. Has a doctor <i>ever</i> told you that you	have 27.1.1 <u>chronic</u> sinusitis? 27.1.2 nasal polyps?	
IF 'NO' TO Q27.1 and 27.2 GO	TO Q 28, IF 'YES'	
27.2 How old were you when a doo 27.3 How old were you when a doo (enter 00 if question not applic	ctor told you had nasal polyps?	YEARS
28. Have you <i>ever</i> had eczema or any k	kind of skin allergy?	NO YES
IF 'NO' TO Q28 GO TO Q 29, IF '	YES'	VEARS
28.1 How old were you when you	<u>.</u>	YEARS NO YES
28.2 Did/does your eczema or ski	in allergy affect your hands?	
28.3 Have you noticed that contact chemicals or anything else <u>ir</u>	act with certain materials, NO  n your work makes your eczema worse?	YES DON'T KNOW
29. Have you <i>ever</i> had an itchy rash the least 6 months?  IF 'NO' GO TO QUESTION 30, IF 'YE 29.1 Have you had this itchy rather than 10 miles.	ES':	NO YES NO YES
IF 'NO' GO TO QUESTION 30, IF 'YE		
the folds of the elbows, behind under the buttocks or around t	time affected any of the following places: the knees, in front of the ankles he neck, ears or eyes ted your hands at any time in the last 12 months?	NO YES
30. What was the highest level of edu	ucation your mother had?	TICK ONE BOX ONLY
<ul> <li>a) Up to the minimum school lea</li> <li>b) Secondary school/technical sc</li> <li>c) College or University</li> </ul>		1 2 3
31. What was the highest level of educa) Up to the minimum school leab) Secondary school/technical scc) College or University	ving age	TICK ONE BOX ONLY  1 2 3

	your bio	delivered by Caes  logical mother sti	ll alive?						NO NO	YES YES	DK DK
		'T KNOW'' GO TO		IF 'YES':						YEA	ARS
	33.1 Hov	w old is your moth	er now?					NON	/ GO TO	QUESTION YEAR	
	33.2 Hov	w old was your mo	other when she	died ?							
	IF 'NO'	ological father still GO TO QUESTION	34.2						NO	YES	DK
		<i>T KNOW" GO TO</i> w old is your fathe	-	IF 'YES':						YEA	ARS
		·		- d 3				NON	/ GO TO	QUESTIC YE.	ON 35 ARS
	34.2 HO	w old was your fat	ner when he die	ear							
35. Did	your bic	ological parents ev	er suffer from a	ny of the fo		_					
					I NC	MOTHER YES	DK		FAT IO	THER YES	DK
35.1.1	L Asthn	na			INC	, 	DK	35.1.2		11.3	
35.2.1	L Chron	ic bronchitis, emp	hysema and/or	COPD		1		35.2.2			
35.3.1	L Heart	disease						35.3.2			
35.4.1	L Hyper	tension				J Ш I		35.4.2			
35.5.1	L Stroke					1   1		35.5.2			
35.6.1	L Diabet	tes						35.6.2			
										NUN	/IBER
36. Hov	w many o	children do you ha	ve?								
IF ANS	WER TO	Q36 INDICATES PA	ARTICIPANT HA	S CHILDREN	GO	TO Q36.1;	If No	O CHILDR	EN GC	то qu	IESTIOI
	Please	Year of	Did this child	Did this chil		Has this chi	ild	Has this c	hild	Was th	is
	start	birth	have asthma	have asthma	a	ever had		ever had		child a	

#### N 37

	Please start with first born	Year of birth (eg 1995)		birth have asthma have asthma ever had		nad ies, ling hay	Has this child ever had eczema or atopic dermatitis?		Was this child a boy or girl (Boy=1, Girl=2)				
			NO	YES	NO YES		NO YES		NO YES		Sex		
36.1	Child 1												
36.2	Child 2												
36.3	Child 3												
36.4	Child 4												
36.5	Child 5												
36.6	Child 6												
36.7	Child 7												
36.8	Child 8												

You took part in the last survey in [month] in [year]. At that time you described your job as ['current' job from last occupational matrix]

37. I would like to ask you to list all jobs that you have had since the last survey. I am interested in each one of the jobs that you have done for three months or more. These jobs may be outside the house or at home, <u>excluding homemaking or housework</u>, full time or part time, paid or unpaid, including self employment, for example in a family business. Please include part time jobs only if you had been doing them for 20 or more hours per week. Please start with your current or last held job.

Job	Occupation  – Job Title:  Please  provide a  detailed  description  of the job	Industry / Branch: What does (did) your firm or employer make or what services does (did) it provide?	Sta	 S	Start	year	Er mo	 (If pi	curr lease	year ent je ente RENT	ob er
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											

IF JOBS ARE GIVEN GO TO QUESTION 37.1; IF NO JOBS GIVEN GO TO Q38

NO YES

37.1 Have you had to change or leave any of these jobs because it affected your breathing?

IF 'NO' GO TO QUESTION 38; IF 'YES':

37.1.1-10 Please indicate which job(s) you had to change or leave (use numbers from question 37).

NO YES

37.1.1 Job 1

37.1.2 Job 2

37.1.3 Job 3

37.1.4 Job 4

37.1.5 Job 5

37.1.6 Job 6

37.1.7 Job 7

37.1.8 Job 8

38. What best describes your current main activity?	TICK ONE BOX ONLY
Employed (including employed by temping agencies)	1
Self-employed (entrepreneur, freelance or other)	2
Full time student	3
Full time housewife/househusband	4
Unemployed looking for work	5

37.1.9 Job 9 37.1.10 Job 10

Unemployed not looking for work Retired Other	6
IF NOT 'EMPLOYED' OR NOT 'SELF-EMPLOYED' GO TO QUESTION 38.1 IF 'EMPLOYED' OR SELF-EMPLOYED' GO TO QUESTION 38.2;	NO YES
38.1 Were you forced to give up working all together because of asthma, wheezing shortness of breath or other respiratory or lung problems?	
IF 'NO' GO TO QUESTION 39, IF 'YES': MONTH	YEAR
38.1.1 When did this occur?	TEAN
NOW GO TO QUESTION 39	
	NO YES
38.2 In your <u>current job</u> , are you regularly exposed to vapours, gas, dust or fumes?	
38.3 . Does being at your <u>current workplace</u> ever cause breathing problems	NO YES
(chest tightness,wheezing, coughing)?  IF 'NO' GO TO QUESTION 38.4 , IF 'YES':	
38.3.1-5 Can you indicate what gives you breathing problems in your current work	cplace?
38.3.1 Physical exertion 38.3.2 Exposure to mist, hot or cold temperature 38.3.3 Exposure to vapours gas dust or fumes 38.3.4 Other peoples cigarette smoke	NO YES
38.3.5 Stress	
38.3.6 Do these breathing problems diminish or stop <u>during the weekend</u> <u>or during holidays</u> ?	NO YES
38.4. Within the last 12 months have there been wet or damp spots on surfaces in the room where you usually work (for example on walls, wall paper, ceilings or carpets)?	NO YES
38.5. Within the last 12 months has there been mould or mildew on any surfaces in the room where you usually work?	NO YES
38.6. At any time in the last <u>12 months</u> have you noticed the odour of mould or mildew (not from food) in the room where you usually work?	NO YES
38.7. Do you regularly use <u>cleaning products</u> or <u>disinfectants</u> in your current job?	NO YES

### IF 'NO' GO TO QUESTION 39, IF 'YES':

38.7.1-13 In the <u>last 12 months</u>, on how many days a week have you used the following products at work? (SHOW CARD WITH FOLLOWING OPTIONS)

- 1. Never
- 2. <1 day/week
- 3. 1-3 days/week
- 4. 4-7 days/week

	CODE
Enter code 1-4 for	all boxes
38.7.1 Bleach	
38.7.2 Ammonia	
38.7.3 Stain removers or other solvents	
38.7.4 Acids (including decalcifiers, liquid scale removers, vinegar, hydrochloric acid,)	
38.7.5 Floor polish or floor wax	
38.7.6 Liquid or solid furniture polish or wax	
38.7.7 Furniture sprays (atomisers or aerosols)	
38.7.8 Sprays for mopping the floor	
38.7.9 Glass cleaning sprays (atomisers or aerosols)	
38.7.10 Degreasing sprays including oven cleaning sprays (atomisers or aerosols)	
38.7.11 (Ethyl) alcohol	
38.7.12 Soaps or foams or any other chemical product for disinfecting hands	
38.7.13 Any other chemical disinfectant (for example, glutaraldehyde,	
formaldehyde, chloramine-T, quaternary ammonium compounds)	
	NO YES
39 Have you ever been involved in an incident at home, work or elsewhere that exposed	
you to high levels of vapours, gases, dusts or fumes?	
IF 'NO' GO TO QUESTION 40, IF 'YES':	
204.04 19.44	YEAR
39.1 When did this occur?  In case of more than one incident, please report on the I	most recent incident
39.2. Could you please classify this incident TICK ONE BOX	ONLY
A fire or an explosion	1
A leakage or spill	2
An inhalation related to mixing of cleaning products	3 4
Something else	4
39.3. Where did this happen? TICK ONE BO	X ONLY
In your own home	1
In your workplace	2
Somewhere else indoors	3
Outdoor	4
39. 4 Did you experience respiratory symptoms within 24 hours following this incident?	NO YES
IF 'NO' GO TO QUESTION 40, IF 'YES':	
	NO YES
39.4.1 Did you seek medical treatment for these symptoms?	
, , ,	CK ONE BOX ONLY
every day	1
4-6 times a week	2
2-3 times a week	3
once a week	4
once a month	5

	never	7
41. How m	any hours a week do you usually exercise so much that you	
	preath or sweat?	TICK ONE BOX ONLY
0	none	1
	about ½ hr	2
	about 1 hour	3
	about 2-3 hours	4
	about 4-6 hours	5
	7 hours or more	6
	7 Hours of Hiore	NO YES
42. Do you	avoid taking vigorous exercise because of breathing problems?	
43. When w	vas your present home built?	YEARS
44. How m	any years have you lived in your current home?	YEARS
45. Which	pest describes the building in which you live?	TICK ONE BOX ONLY
	a) a one family house detached from any other house?	2
	b) a one family house attached to one or more houses?	3
	c) a building for two families?	4
	d) a building for three or four families?	5
	e) a building for five or more families?	6
	•	8
	f) other:	NO CODE 1 and NO CODE 7
		NUMBER
46. How m	any rooms does your home have? (exclude kitchen, bathroom, toilet, la	
	,	NUMBER
47. How n	nany people live in your home?	
48 Does vo	our home have any of the following?	NO YES
-	ral heating	
	ed air heating (forced air heating)	
	onditioning	
.0.0 a		
49. Which	of the following appliances do you use for heating or for hot water?	NO YES
49.1 open	coal, coke or wood fire	
49.2 open a	gas fire	
49.3 electri	c heater	
49.4 paraff	in heater	
49.5 gas-fir	ed boiler(located inside the home)	
49.6 oil-fire	ed boiler	
49.7 portal	ole gas heater	
49.8 gas fir	ed boiler (located outside the home eg: balcony)	
49.9 fully e	nclosed wood/coal burning stove	
49.10 othe	r:	
EO What!	ind of stove de vou marthuuse for cooking?	TICK ONE DOV ONLY
	ind of stove do you <i>mostly</i> use for cooking? e or wood (solid fuel)?	TICK ONE BOX ONLY  1
,	,	<del></del>
	from the mains)?	2
c) electric?	//	3
	(kerosene)?	4
e) microwa		5
	rom bottles or other non-mains source)	6
g) other: _		7

50.1 IF YOU USE GAS FOR COOKING Which of the following do you have	e? <u>NO YES</u>
50.1.1 gas hob ( the area on top for heating for example saucepans)	
50.1 2.gas oven (the enclosed area used, for example, for baking or for re	pasting)
	MINUTES
51 . <i>On average</i> how long have you spent cooking with your cooker	
(hob or oven) <u>each day</u> over <u>the last four weeks</u> ?	
52. Over the last four weeks when you were cooking did you have a door or w	vindow to the
outside air open	TICK ONE BOX ONLY
a) most of the time	1
b) some of the time	2
c) rarely (or only occasionally)	3
d) I do not have a door or window that opens to the outside in my kitchen	4
e) never	5
53 Davis have an extractor for example and lead	NO YES DK
53. Do you have an extractor fan over the cooker?	
IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 54, IF 'YES':	TICK ONE BOY ONLY
53.1 When cooking, do you use the fan	TICK ONE BOX ONLY
a) all of the time? b) some of the time?	1
c) none of the time?	2
c) none of the time?	
53.2 Does the fan take the fumes outside the house?	NO YES DK
55.2 Does the fail take the lumes outside the house?	
54. Has there been any water damage to the building or its contents,	NO YES DK
for example, from broken pipes, leaks or floods?	
IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 55, IF 'YES':	NO YES DK
54.1 Has there been any water damage in the last 12 months?	
55. Within the last 12 months have you had wet or damp spots on surfaces	
inside your home other than in the basement (for example on walls, wall pap	er, NO YES
ceilings or carpets)?	
centings of earpeas).	
56. Has there ever been any mould or mildew on any surface, other than	NO YES DK
food, inside the home?	
IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 57, IF 'YES'	
56.1. Has there ever been any mould or mildew on any surface inside the h	ome NO YES DK
in the last 12 months?	
IF 'NO' OR 'DON'T KNOW' GO TO QUESTION 57, IF 'YES':	<u> </u>
IF NO OK DON I KNOW GO TO QUESTION 37, IF TES.	
56.1.1-6 Which rooms have been affected?	NO YES
56.1.1 bathroom(s)	
56.1.2 bedroom(s)	
56.1.3 living area(s)	
56.1.4 kitchen	
56.1.5 basement or attic	
56.1.6 other:	
	NO YES
57. Have you noticed the odour of mould or mildew (not from food) in your home	
in the last 12 months?	• 🗀 🗀

58. Does the room which you use most at home during the day	NO YES
58.1 have fitted carpets covering the whole floor?	
58.2 contain rugs?	HH
58.3 have double glazing/triple glazing?	HH
58.4 have visible wet or damp spots?	
58.5 have an airbrick or open chimney?	
	FLOOR
59. On what floor is the room which you use most at home during the day?	
(Basement = 00 ,Ground floor=1, First floor=2, Second floor=3 etc)	
60. Does your bedroom	NO YES
60.1 have fitted carpets covering the whole floor?	
60.2 contain rugs?	
60.3 have double glazing/triple glazing	
60.4 have visible wet or damp spots	
60.5 have an airbrick or open chimney	
60.6 have radiators that are the main source of room heating	
60.7 get condensation on the window especially in the winter	
	FLOOR
61. On what floor is the room in which you sleep?	
(Basement = 00 ,Ground floor=1, First floor=2, Second floor=3 etc)	
	YEARS
62 How old is the mattress you currently sleep on??	
	NO YES
63. Do you sleep with the windows open at night during winter?	
IF 'NO' GO TO QUESTION 64, IF 'YES':	
63.1 Do you sleep with the windows open	TICK ONE BOX ONLY
a) all of the time?	1
b) sometimes?	2
c) only occasionally?	3
	NO YES
64. Do you keep a cat?	
IF 'NO' GO TO QUESTION 65, IF 'YES'	NO YES
64.1 Is your cat (are your cats) allowed inside the house?	
64.2 Is your cat (are your cats) allowed in the bedroom?	
	NO YES
65. Do you keep a dog?	
IF 'NO' GO TO QUESTION 66, IF 'YES':	NO YES
65.1 Is your dog (are your dogs) allowed inside the house?	
65.2 Is your dog (are your dogs) allowed in your bedroom?	
	NO YES
66. Do you keep any birds?	
IF 'NO' GO TO QUESTION 67, IF 'YES':	NO YES
66.1 Are any of these birds kept inside the house?	
67. In the <u>last 12 months</u> , how often have you done any of the <u>cleaning</u> in your own ho	me? TICK ONE BOX ONLY
a) Never	1
b) On less than 1 day per week	2
c) On 1 to 3 days per week	3
·	3
d) On 4 to 7 days per week	4

67.1 In the <u>last 12 months</u>, on how many days a week have you <u>personally</u> used the following <u>cleaning products</u> in your own home? (SHOW CARD WITH FOLLOWING OPTIONS)

Never
 <1 day/week</li>

		1-3 days/week	
	4	. 4-7 days/week	CODE
		Enter code 1-4 for a	
67.1.3	L Bleach (NOT bleach used for	laundry)	
67.1.2	2 Ammonia		
67.1.3	3 Stain removers or other	solvents	
67.1.4	Acids (including decalcif	iers, liquid scale removers, vinegar, hydrochloric acid,)	
67.1.5	Floor polish or floor was		
67.1.6	5 Liquid or solid furniture	polish or wax	
67.1.	7 Furniture sprays (atomi	sers or aerosols)	
67.1.8	Sprays for mopping the	floor	
67.1.9	Glass cleaning sprays (a	comisers or aerosols)	
67.1.	10 Degreasing sprays inclu	ding oven cleaning sprays (atomisers or aerosols)	
68. How of	1 2	<1 day/week	)
	3	1-3 days/week 4-7 days/week	CODE
	·	Enter code 1-4 for a	
68.1	Liquid or solid perfumes of	r scents	
68.2	Plug-in or other <u>electric</u> a	r fresheners	
68.3	Air refreshing sprays (ato	nisers or aerosols)	
IF NEV	ER USE AIR FRESHENER SF	RAYS GO TO QUESTION 69: IF USE AIR FRESHENER	CODE
	How often do you use air f self inside your home?	reshening sprays(atomisers or aerosols)	
69. How of	1	The whole year round C	ODE
69.1	Insecticides or other pesti	Enter code 1-4 for cides in powder form	all boxes
69.2	Plug-in or other <u>electric</u> ir	secticides/pesticides	$\vdash$
	Insecticides or other pesti		
IF NEV	ER USE SPRAY INSECTICID		CODE ode 1-4
	How often do you use ins reself inside your home?	ecticides or other pesticides in spray form	Oue 1-4

70. We would like to know where you have lived since January 1990.

Please give the address, including postcode, of all homes you have lived in for at least one year since 1990, starting with your current address

House	Street name	City	Postcode	Moved in	Lived there until
					(YEAK) current
۱					

All centres please note: this information will be used for your centre staff to geocode residence.

Please do not attempt to send these data to the coordinating centre.

The variables needed within each centre ultimately will be (rh=residential history) **CURRENT Year moved in** rh70.1.1

rh70.1.1 CURRENT Year moved in rh70.1.2 CURRENT Year moved out

rh70.1.3 CURRENT Geocode rh70.2.1 HOUSE 1 Year moved in

rh70.2.2 HOUSE 1 Year moved out

rh70.2.3 HOUSE 1 Geocode

Further instructions will follow

70.1 How often do cars pass your house? a) more than 80 per hour b) between 21 and 80 per hour c) between 5 and 20 per hour d) less than 5 per hour		TICK ONE BOX ONLY  1  2  3  4
70.2 How often do heavy vehicles (trucks/bus a) more than 80 per hour b) between 21 and 80 per hour c) between 5 and 20 per hour d) less than 5 per hour	es) pass your house?	TICK ONE BOX ONLY  1 2 3 4
71. How many days per week do you comm	nute to work	NUMBER
IF '0' GO TO QUESTION 72; IF ONE O	R MORE DAYS	
71.1 On average, how much time do you day (total for both directions)? 71.2 What is your main method of comm		MINUTES
, ,	=	K ONE BOX ONLY
a) Walking or cycling		1
b) In a private car		2
c) Bus		3
d) Train		4
e) Other		5
72. Have you ever had an illness or trouble food or foods?  IF 'NO' GO TO QUESTION 73, IF 'YES' 72.1 Have you nearly always had the this type of food?  IF 'NO' GO TO QUESTION 73, IF 'YES':	:	NO YES  NO YES
72.2 Was this food any of the followi	_	
72.2.1 Co 72.2.2 He 72.2.3 Fi 72.2.4 Sh 72.2.5 Pe 72.2.6 Hai 72.2.7 Wa 72.2.8 Pea 72.2.9 Ap 72.2.10 Kiv 72.2.11 Ba 72.2.12 Me 72.2.13 To 72.2.14 Ce 72.2.15 Ca 72.2.16 So 72.2.17 Le 72.2.18 W	ow's milk*  n's eggs sh rimp or Lobster anut zelnut linut ch ple wi fruit nanas elon omato lery rrot ybean ntils	YES

72.2.19 Buckwheat

	72.2.20 Corn	
	72.2.21 Rice	7
	72.2.22 Sesame seed	
	72.2.23 Mustard seed	
	72.2.24 Sunflower seed	
	72.2.25 Poppy seed	
		<del>_</del>
_	Including other cow's milk products such as butter, cheese, yoghurt, crème fraiche, fr	romage frais
*	Including wheat products such as bread and breakfast cereals	
		NO VEC
	70.04	NO YES
	72. 3 Have you had any problems eating any other food or foods?	
	IF 'NO' GO TO QUESTION 72.4, IF 'YES PLEASE LIST THESE FOODS:	
		CODE
	72.3.1 Food 1	
		CODE
	72.3.2 Food 2	
		CODE
	72.3.3 Food 3	
	<b>72.4</b> Please answer each of these questions for the three foods causing the main p food from the list of foods given (q72.2.1-25). If than three foods are given in the list in 72.3.1-3. Please list in order of the most severe reaction	•
_	FOOD ONE	0005
	72.4.1 Please confirm the name of this food	CODE
	72.4.2-11 Did this illness or trouble include	NO YES
	72.4.2 a rash or itchy skin?	
	72.4.3 diarrhoea or vomiting?	
	72.4.4 runny or stuffy nose?	
	72.4.5 severe headaches?	
	72.4.6 breathlessness?	
	72.4.7 itching, tingling or swelling in the mouth, lips or throat?	
	72.4.8 difficulty swallowing?	
	72.4.9 fainting or dizziness?	
	72.4.10 symptoms so severe you had an emergency injection from a	
	doctor, or had to use an epipen	
	· · · · · · · · · · · · · · · · · · ·	
	/2.4.11 otner	
	72.4.11 other	
	72.4.11 other 72.4.12 . How soon after eating this food did you get the first symptoms?	TICK ONE BOX ONLY
		TICK ONE BOX ONLY
	72.4.12 . How soon after eating this food did you get the first symptoms?	
	72.4.12 . How soon after eating this food did you get the first symptoms?  a) Less than half an hour	1
	<ul><li>72.4.12 . How soon after eating this food did you get the first symptoms?</li><li>a) Less than half an hour</li><li>b) Half an hour to one hour</li></ul>	1 2
	72.4.12 . How soon after eating this food did you get the first symptoms?  a) Less than half an hour b) Half an hour to one hour c) One hour to two hours	1 2 3
	72.4.12 . How soon after eating this food did you get the first symptoms?  a) Less than half an hour b) Half an hour to one hour c) One hour to two hours d) Two hours to four hours	1 2 3 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	72.4.12 . How soon after eating this food did you get the first symptoms?  a) Less than half an hour b) Half an hour to one hour c) One hour to two hours d) Two hours to four hours	1 2 3 4 5 5
	72.4.12 . How soon after eating this food did you get the first symptoms?  a) Less than half an hour b) Half an hour to one hour c) One hour to two hours d) Two hours to four hours e) More than four hours	1 2 3 4 5 5
	72.4.12 . How soon after eating this food did you get the first symptoms?  a) Less than half an hour b) Half an hour to one hour c) One hour to two hours d) Two hours to four hours e) More than four hours	1

NUMBER

72.4.15 How many times has this occurred during your life?	
FOOD TWO	CODE
72.5.1 Please confirm the name of this food	
72.5.2-11 Did this illness or trouble include 72.5.2 a rash or itchy skin? 72.5.3 diarrhoea or vomiting? 72.5.4 runny or stuffy nose? 72.5.5 severe headaches? 72.5.6 breathlessness? 72.5.7 itching, tingling or swelling in the mouth, lips or throat? 72.5.8 difficulty swallowing? 72.5.9 fainting or dizziness?	NO YES
72.5.10 symptoms so severe you had an emergency injection from a doctor, or had to use an epipen 72.5.11 other	
72.5.12 . How soon after eating this food did you get the first symptoms?	TICK ONE BOX ONLY
<ul><li>a) Less than half an hour</li><li>b) Half and hour to one hour</li><li>c) One hour to two hours</li><li>d) Two hours to four hours</li><li>e) More than four hours</li></ul>	1
72.5.13 How old were you when you first had this attack?	YEARS YEARS
72.5.14 How old were you when you last had this attack?	NUMBER
72.5.15 How many times has this occurred during your life?	
FOOD THREE	CODE
72.6.1 Please confirm the name of this food	CODE
<ul> <li>72.6.2-11 Did this illness or trouble include</li> <li>72.6.2 a rash or itchy skin?</li> <li>72.6.3 diarrhoea or vomiting?</li> <li>72.6.4 runny or stuffy nose?</li> <li>72.6.5 severe headaches?</li> <li>72.6.6 breathlessness?</li> <li>72.6.7 itching, tingling or swelling in the mouth, lips or throat?</li> <li>72.6.8 difficulty swallowing?</li> <li>72.6.9 fainting or dizziness?</li> <li>72.6.10 symptoms so severe you had an emergency injection from a doctor, or had to use an epipen</li> <li>72.6.11 other</li> </ul>	NO YES
	K ONE BOX ONLY
a) Less than half an hour	1

b) Half and hour to one hour	2	
c) One hour to two hours	3	
d) Two hours to four hours	4	
e) More than four hours	5	
	YEAR	.s
72.6.13 How old were you when you first had this attack?		
	YEAR	.S
72.6.14 How old were you when you last had this attack?		
	NUME	BER
72.6.15 How many times has this occurred during your life?		
72 1/4		
73. When you are near animals, such as cats, dogs or horses, do you <i>ever</i>	NO	YES
73.1 start to cough?	NO	163
73.1 start to cough:		
73.3 get a feeling of tightness in your chest?		
73.4 start to feel short of breath?		
73.5 get a runny or stuffy nose or start to sneeze?		
73.6 get itchy or watering eyes?		
IF NO TO ALL SYMPTOMS GO TO QUESTION 74;IF YES TO ONE OR MORE SYMPTOMS		ш
73.7.1-4 Do you have such symptom/s when you are near	NO	YES
73.7.1 cat?		
73.7.2 dog?		
73.7.3 horse?		
73.7.4 other?		
74. When you are in a dusty part of the house, or near pillows or duvets do you <i>ever</i>	NO	YES
74.1 start to cough?		
74.2 start to wheeze?		
74.3 get a feeling of tightness in your chest?		
74.4 start to feel short of breath?		
74.5 get a runny or stuffy nose or start to sneeze?		
74.6 get itchy or watering eyes?		
75. When you are near trees, grass or flowers, or when there is a lot of pollen		
about, do you <i>ever</i>	NO	YES
75.1 start to cough?		
75.2 start to wheeze?		
75.3 get a feeling of tightness in your chest?		
75.4 start to feel short of breath?		
75.5 get a runny or stuffy nose or start to sneeze?		
75.6 get itchy or watering eyes?		
IF 'YES' TO ANY OF THE ABOVE:		
75.7.1-4 Which time of year does this happen?	NO	YES
75.7.1 winter		
75.7.2 spring		
75.7.3 summer		
75.7.4 autumn		
76.11	NO	YES
76. Have you ever smoked for as long as a year?		
['YES' means at least 20 packs of cigarettes or 12 oz (360 grams) of tobacco in a lifetime, or at least one cigarette per day or one cigar a week for one year]		
m a njetime, or at least one cigarette per day or one cigar a week jor one yearj		

IF 'NO' GO TO QUESTION 77, IF 'YES':

	YEARS
76.1 How old were you when you started smoking?	
76.2 Harrisold management at the desired and desired at the 2	YEARS
76.2 How old were you when you started smoking daily?	NO VEC
Never smoked daily please enter 88	NO YES
76.3 Do you <b>now</b> smoke, as of <b>one month ago</b> ?	
IF 'NO' GO TO QUESTION 76.4, IF 'YES':	NUMBER
76.3.1-4 How much do you <i>now</i> smoke on average?	NUMBER
76.3.1 number of cigarettes per day	
76.3.2 number of cigarillos per day	
76.3.4 mine telegras in a) suppose (week	
76.3.4 pipe tobacco in a) ounces / week	
b) grams / week	NO VEC
7C Aller a consistence of an autodocument of the 2	NO YES
76.4 Have you stopped or cut down smoking?	L L
IF 'NO' GO TO QUESTION 76.5, IF 'YES':	NO YES
76.4.1 Did you stop or cut down due to breathing problems?	VEARC
76.4.2 How old were you when you stopped or cut down smoking?	YEARS
76.4.3.1-4 <i>On average</i> of the entire time you smoked, before you	
stopped or cut down, how much did you smoke?	NUMBER
76.4.3.1 number of cigarettes per day	
76.4.3.2 number of cigarillos per day	
76.4.3.3 number of cigars a week	
76.4.3.4 pipe tobacco in a) ounces / week	
b) grams / week	
	NO YES
76.5 Do you or did you inhale the smoke?	
77. Have you been <b>regularly</b> exposed to tobacco smoke in the last <b>12</b>	NO YES
months? ['Regularly' means on most days or nights]	
IF 'NO' GO TO QUESTION 78, IF 'YES':	
77.1. Not counting yourself, how many people in your household smoke	NUMBER
regularly?	
J ,	NO YES
77.2 Do people smoke regularly in the room where you work?	
, , , , , , , , , , , , , , , , , , , ,	
77.3 How many hours per day are you exposed to <i>other people's</i>	HOURS
tobacco smoke?	
77.4 How many hours per day, are you exposed to other peoples tobacco	
smoke in the following locations?	HOURS
at home	
at workplace	
in bars, restaurants, cinemas or similar social settings	
elsewhere	
78. Have you used any <b>inhaled</b> medicines to help your breathing at any time	NO YES
in the last 12 months?	
IF NO' GO TO QUESTION 79, IF 'YES':	
Which of the following have you used in the last <b>12 months</b> ?	
	NO YES
78.1 short acting beta-2-agonist (only) inhalers	
(Please include combinations that include heta 2 and steroids in section 78.6)	

78.1.1 If used, which one?	
78.1.2 What type of inhaler do you use?	
78.1.3. What is the dose per puff (in micrograms)?	NUMBER
78.1.4. In the last 3 months, how have you used them:	TICK ONE BOX ONLY
a) when needed	1
b) in short courses	2
c) continuously	3
d) not at all	4
If answer to 78.1.4 is when needed:	NUMBER
78.1.5 Number of puffs per month	
If answer to 78.1.4 is in short courses	NUMBER
78.1.6 number of courses	
78.1.7 number of puffs per day	
78.1.8 average number of days per month	
If answer to 78.1.4 is continuously	NUMBER
78.1.9 number of puffs per day	
76.1.5 number of puns per day	
	NO YES
78.2 <u>long acting</u> beta-2-agonist inhalers	
(Please include combinations that include long acting beta 2 and steroids in section 78.6)	
78.2.1 If used, which one?	
78.2.2 What type of inhaler do you use?	AU I MARER
78.2.3. What is the dose per puff (in micrograms)?	NUMBER
76.2.3. What is the dose per pull (in filler ograms):	
78.2.4. In the last 3 months, how have you used them:	TICK ONE BOX ONLY
a) when needed	1
b) in short courses	2
c) continuously	3
d) not at all	4
If answer to 78.2.4 is when needed:	NUMBER
78.2.5 Number of puffs per month	
If answer to 78.2.4 is continuously	NUMBER
78.2.6 number of puffs per day	
	NO VES
78.3 short acting anti-muscarinic inhalers	NO YES
78.3.1 If used, which one?	- 📙
78.3.2 What type of inhaler do you use?	NUMBER
78.3.3. What is the dose per puff (in micrograms)?	NUMBER
78.3.4. In the last 3 months, how have you used them: TICK O	NE BOX ONLY
a) when needed	1
b) in short courses	2
c) continuously	3
d) not at all	4
a)	·
If answer to 78.3.4 is when needed:	NUMBER
78.3.5 Number of puffs per month	

	If answer to 78.3.4 is <u>continuously</u> : 78.3.6 Number of puffs per day	NUMBER
	76.5.6 Number of puris per day	
78.4 <u>long</u>	acting anti-muscarinic inhalers	NO YES
	78.4.1 If used, which one?	
	78.4.2 What type of inhaler do you use?	
	78.4.3. What is the dose per puff (in micrograms)?	NUMBER
	78.4.4. In the last 3 months, how have you used them: TICK ONE BOX ONLY	
	a) when needed	1
	b) in short courses	2
	c) continuously	3
	d) not at all	4
	If answer to 78.4.4 is when needed: 78.4.5 Number of puffs per month If answer to 78.4.4 is continuously: 78.4.6 Number of puffs per day	NUMBER NUMBER
	led steroids (ONLY) include combinations that include beta 2 and steroids in section 78.6)	NO YES
(Please	78.5.1 If used, which one?	
	78.5.2 What type of inhaler do you use?	
		∕IBER
	78.5.3. What is the dose per puff (in micrograms)?	
	78.5.4. In the last 3 months, how have you used them: TICK ONE BOX ONLY	
	a) when needed	1
	b) in short courses	2
	c) continuously	
		3
	d) not at all	3 4
		4
	If answer to 78.5.4 is <u>when needed</u> :	
	If answer to 78.5.4 is <u>when needed</u> : 78.5.5 Number of puffs per month	4 NUMBER
	If answer to 78.5.4 is <u>when needed:</u> 78.5.5 Number of puffs per month If answer to 78.5.4 is in <u>short courses</u>	4
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month If answer to 78.5.4 is in short courses 78.5.6 number of courses	4 NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day	4 NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month	NUMBER NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month  If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month  If answer to 78.5.4 is continuously	4 NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month	NUMBER NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month  If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month  If answer to 78.5.4 is continuously 78.5.9 number of puffs per day	NUMBER NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month If answer to 78.5.4 is continuously 78.5.9 number of puffs per day	NUMBER NUMBER NUMBER NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month If answer to 78.5.4 is continuously 78.5.9 number of puffs per day	NUMBER NUMBER NUMBER NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month  If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month  If answer to 78.5.4 is continuously 78.5.9 number of puffs per day 78.5.10 How many times over the last 3 months have you temporarily increased this treatment because your symptoms became worse?	NUMBER NUMBER NUMBER NUMBER
	If answer to 78.5.4 is when needed: 78.5.5 Number of puffs per month  If answer to 78.5.4 is in short courses 78.5.6 number of courses 78.5.7 number of puffs per day 78.5.8 average number of days per month  If answer to 78.5.4 is continuously 78.5.9 number of puffs per day  78.5.10 How many times over the last 3 months have you temporarily increased this treatment because your symptoms became worse?	NUMBER NUMBER NUMBER NUMBER

78.6.3. What is the dose	e per puff (in micrograms)?			
	(Please insert the dose of the inhaled steroid,			
	nths, how have you used them:	TICK ONE B		NLY
a) when needed			1	
b) in short courses			2	
c) continuously			3	
d) not at all			4	
If answer to 78.6.4 is <u>w</u>	<u>/hen needed</u> :	Ŋ	IUMB	ER
78.6.5 Numl	ber of puffs per month			
If answer to 78.6.4 is in	1 <u>short courses</u>		NUM	BER
78.6.6 nu	mber of courses			
78.6.7 nu	mber of puffs per day			
78.6.8 ave	erage number of days per month	İ		
If answer to 78.6.4 is co		L	NUMI	BFR
<del>-</del>	er of puffs per day	Ī	10	
76.6.5 Hamb	ci oi puiis pei uuy	L	NUN	/RFR
79 6 10 How many time	os avar tha last 2 manths hava vay tamparar	ik. [	INCIV	IDLIN
	es over the last 3 months have you temporari	liy [		
increased this treatment	t because your symptoms became worse?		^	VEC
		N	0	YES
78.7 inhaled cromoglycate/nedoo	cromil	L		
78.7.1 If used, which on	ne?			
			NUM	BER
78.7.2. What is the dose	e per puff (in milligrams)?			
78.7.3. In the last 3 mo	nths, how have you used them: TICK ONE	BOX ONLY		
a) when needed			1	
b) in short courses			2	
c) continuously			3	
d) not at all			4	
If answer to 78.7.3 is <u>c</u>	ontinuously:	1	NUME	BER
· · · · · · · · · · · · · · · · · · ·	ber of puffs per day			
			NO V	
			NO Y	E5
78.8 inhaled compounds		L		Ш
	2		_	
78.8.1 If used, which on			<u> </u>	
78.8.2 What type of inh	aler do you use?			
		N	UMBI	ER
78.8.3. What is the dose	e per puff (in micrograms)?		Ш_	
79. Have you used any pills, capsules, t	tablets or medicines, other than	NO	n '	YES
inhaled medicines, to help your breath		Г		
, , ,	J ,	L		
IF 'NO' GO TO QUESTION 80, IF				
Which of the following have you	used in the last 12 months?	A.I	0 '	VEC
70.1 aval bata 2 a a a late		N	<u> </u>	YES
79.1 oral beta-2-agonists				
	_		_	<del>, ,</del>
79.1.1 If used, which on			<u></u>	Ш
79.1.2 what dose of tab	let			
79.1.3. In the last 3 mor	nths, how have you used them:	TICK ONE B	OX O	NI V
	nais, now have you used them.	TICK OINL D		NL I
a) when needed			1	

	b) in short courses c) continuously d) not at all If answer to 79.1.3 is continuously: 79.1.4 Number of tablets per day	2 3 4 NUMBER
79.2 <b>oral</b>	methylxanthines	NO YES
	79.2.1 if used, which one?	— <del>—</del>
	73.2.2 What dose of tablet	
	79.2.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all If answer to 79.2.3 is continuously: 79.2.4 Number of tablets per day	TICK ONE BOX ONLY  1 2 3 4 NUMBER
79.3 <b>oral</b>	steroids	NO YES
	79.3.1 If used, which one?	
	79.3.2 what dose of tablet	_
	79.3.3. In the last 12 months, how have you used them: a) when needed b) in short courses c) continuously If answer to 79.3.3 is when needed:	TICK ONE BOX ONLY  1 2 3 NUMBER NUMBER NUMBER NUMBER NO YES
79.4 oral	anti-leukotrienes	NO YES
	79.4.1 If used, which one?	
	79.4.2 what dose of tablet	
	79.4.3. In the last 3 months, how have you used them: a) when needed b) in short courses c) continuously d) not at all	TICK ONE BOX ONLY  1 2 3 4
	If answer to 79.4.3 is <u>continuously</u> :	NUMBER
	79.4.4 Number of tablets per day	

	NO YES
80. Have you <u>ever</u> used inhaled steroids (show list, including combined therapy)? IF NO GO TO QUESTION 81;IF YES	YEARS
80.1 How old were you when you first started to use inhaled steroids?	TEARS
, ,	YEARS
80.2 How old were you when you last use inhaled steroids?	NO VEC
80.3. Have you used inhaled steroids <i>every year</i> since the last survey?	NO YES
IF 'NO' GO TO QUESTION 80.4: IF 'YES'	MONTHS
80.3.1 On average how many months each year have you taken them?	
NOW GO TO	QUESTION 81
80.4 How many of the years since the last survey have you taken inhaled steroids?	YEARS
IF 'NONE' ENTER 00 AND GO TO QUESTION 81;IF 'YES'	MONTHS
80.4.1 On average how many months of each of these years have you taken	
them?	NO YES
81. Have you had a course of antibiotics in the last 12 months to help your breathing?	
IF NO GO TO QUESTION 82;IF YES	NUMBER
81.1 How many courses of antibiotics?	NO YES
82. Have you used antibiotics for nasal/sinus problems in the last 12 months?	
	NO YES
83. Have you <u>ever</u> had any vaccinations or injections for the treatment of allergy	
or had a course of desensitisation?	
IF NO GO TO QUESTION 84;IF YES	CODE
83.4.1 What was this treatment?	NO YES
83.4.2 Have you had this treatment in the last 12 months?	
IF HAS HAD ANOTHER VACCINATION, INJECTION OF DESENSITISATION	CODE
83.4.3 What was this treatment?	
03.4.4.11	NO YES
83.4.4 Have you had this treatment in the last 12 months?	NO YES
84. Are you usually vaccinated against flu?	
IF NO GO TO QUESTION 85;IF YES	NO YES
84.1 Were you vaccinated against flu in the last winter period?	
	IO YES DK
85. Have you been vaccinated against pneumonia (Pneumovax) in the last 5 years?	_
86. Have you used any other <b>remedies</b> to help your breathing at any time in	NO YES
the last 12 months?	
IF 'NO' GO TO QUESTION 87 IF 'YES':	
86.1. What remedies?	
	<u> </u>
87. Has your doctor ever prescribed medicines, including inhalers, for your	NO YES
breathing?	
IF 'NO' GO TO QUESTION 88, IF 'YES':	
87.1 If you are prescribed medicines for your breathing, do you <i>normally</i>	
	NE BOX ONLY
a) all of the medicine?	1
b) most of the medicine?	2

-	me of the medicine? ne of the medicine?								3 4	
me a) all b) m c) so	2 When your breathing g dicines for your breathing of the medicine? ost of the medicine? me of the medicine? ne of the medicine?		prescr	ibed			TICK(	ONE B	OX ON 1 2 3 4	LY
	3 Do you think it is bad four breathing?	r you to take medicines	all the	time	to hel	р		N	0 \	/ES
	4 Do you think you should of <i>all</i> your breathing prol		as yo	u nee	d to ge	et		N	0 \	/ES
the respiratory	edication, regardless of ca medication given in previous gu IONE, PROCEED TO Q89,	<u>restions)</u>		for <u>m</u>	ore th	<u>an 6 o</u>	f the	last 12	month	ns? (DO NOT include
	T			1				1	1	i
20.4	Medication (name)		Α	N	N	Α	Α	N	N	
88.1										
88.2								-		
88.3 88.5										
88.6										
88.7										
88.8										
88.9										
88.10										
00.10		A=letter N=digit (o	f seven	alphan	umeric i	ATC cod	le)	1		
a) ne b) les c) mo d) at e) ev  IF Li 89. a) he b) ba c) ch	en do you take paracetar ver is than once a month ore than once a month but least once a week ery day ESS THAN WEEKLY GO TO 1 Please give the main re adache ckache or arthritis est problems enstrual pain her – please describe	it not every week O QUESTION 90; IF 'WEEI			ILY'				1 2 3 4 5	OX ONLY  OX ONLY
90. How oft	en do you take pain killer	s other than paracetomo	ol?					TICK	ONE B	OX ONLY
c) mo	ver is than once a month ore than once a month bu least once a week	it not every week							1 2 3 4	
e) ev	ery day								5	

	90.1 Please give the main						2	TICK ONE BOY ONLY
	a) headache	reason	uiai	. you take	e triese otrier pa	anikillers		TICK ONE BOX ONLY
	•							
	b) backache or arthritis							2
	c) chest problems							3
	d) menstrual pain							4
	e) other – please describe_						_	5
91. Do	you have or have you ever h	ad any	of th	ne follow	ing illnesses. If	yes, plea	se indica	te the age you were first
diagnos	sed with the disease?	NO	VEC			VEADC		
91.1.1	Stroke	NO	YES	91.1.2	Age diagnosed	YEARS	7	
91.2.1	Angina, heart attack,			91.2.2	Age diagnosed		1	
	coronary heart disease							
91.3.1	Insulin dependent diabetes			91.3.2	Age diagnosed			
91.4.1	Non-insulin dependent diabetes			91.4.2	Age diagnosed			
91.5.1	Cancer			91.5.2	Age diagnosed		91.5.3	Type of
								cancer
91.6.1	Depression			91.6.2	Age diagnosed			
91.7.1	Hypertension			91.7.2	Age diagnosed		-	Code for 91.5.3
91.8.1	Osteoporosis			91.8.2	Age diagnosed			1= breast 2= prostate
91.9.1	Crohns Disease			91.9.2	Age diagnosed			3= lung
91.10.1	Migraine			91.10.2	Age diagnosed			4= GI tract
91.11.1	Rheumatoid arthritis			91.11.2	Age diagnosed		-	5= other
91.12.1	Ankylosing spondylitis, psoariatic arthritis			91.12.2	Age diagnosed			
91.13.1	Gastro-oesophagel reflux			91.13.2	Age diagnosed			
	hiatus hernia or oesophagitis						_	
	you have any long term limi nma, COPD, chronic bronchi IF 'NO' GO TO QUESTION	tis or er	nph			a not inc	iluaing	NO YES  CODE
	92.1 Please name this	s condit	ion_					CODE
02 <b>Sin</b>	ce the last survey, have you	vicitod	a ha	cnital car	sualty dopartme	nt		NO YES
33. <b>3</b> 1110	or emergency room (for an						•	
	IF 'NO' GO TO QUESTION 94			art mom	accidents and i	iiijuiics).		NO YES
	93.1. Was this due at least			athing pi	roblems?			
	93.2 Have you visited a hos					gency roc	om	NO YES
	(for any reason, apart from	accider	nts a	nd injuri	es) in the last 1	2 month	s?	
	IF 'NO' GO TO QUEST	ION 94,	IF '	YES':	-			TIMES
	93.2.1 How many tim	es in the	e las	t <b>12 mo</b> n	ths?			
								TIMES
	93.2.2 Among these of [Write '0' if s/he had not vi			•		-	problems	5?
94. <b>Sin</b> o	ce the last survey, have you					-		NO YES
	(for any reason, apart from	accider	nts a	nd injuri	es)?			
	IF 'NO' GO TO QUESTION 9			•	•			NO YES
	94.1 Was this due at least of	-		thing pr	oblems?			
	94.2 Have you spent a nigh					rom		NO YES
	accidents and injuries) in th							
	IF 'NO' GO TO QUESTI							NIGHTS
	94.2.1 How many nigh	-			ths?			1,1,0,1,1,0
								NO YES
	94.2.2 Was this due at	ieast 0	nce.	io <i>preati</i>	iiig problems?			

### IF 'NO' GO TO QUESTION 95, IF 'YES':

i 9 9 9	14.3.1-5 In the last <b>12 months</b> how many nights have you in each of the following types of ward for <b>breathing prob</b> . 14.3.1 general 14.3.2 chest medicine 14.3.3 rehabilitation 14.3.4 intensive care unit 14.3.5 other		ed NIGHTS
(for any reason, ap IF 'NO' GO TO C 95.1 How many 95.2 Of these, he	hs have you been seen by a general practitioner art from accidents and injuries)?  QUESTION 96, IF 'YES': times in the last 12 months?  Downwany were for breathing problems?  of been seen by general practitioner in the last 12 months for breathing		TIMES TIMES
96. In the last <b>12 mont</b> accidents and injurie <i>IF 'NO' GO TO Q</i> 96.1 How many 96.2 How many allergy spec because of <i>b</i> [ <i>Write '0' if no</i> 97. Are you given regul	this have you seen a specialist (for any reason, apart from tes)?  UESTION 97, IF 'YES': times in the last 12 months? times have you seen a specialist (chest physician, cialist, internal medicine specialist, ENT doctor) treathing problems in the last 12 months? It been seen by a specialist in the last 12 months for breathing problems ar appointments to be seen by a doctor (or nurse)	n	NO YES  TIMES  TIMES  NO YES
because of <i>breath</i> 98.1 nurse 98.2 physiotherap	ths how many times have you visited the following ing problems?		TIMES
because of health  IF 'NO' GO TO QUE  99.1 Was this due  IF 'NO' GO TO  99.1.1-5 In th  for I  99  99  99	hs have you had any clinical or laboratory tests problems (apart from accidents and injuries)?  ESTION 100, IF 'YES': at least once to breathing problems?  O QUESTION 100, IF 'YES': at last 12 months how many times have you had the followereathing problems?  1.1 breathing test in a laboratory specially for lung function.  1.2 skin test for allergy  1.3 blood test for allergy  1.4 x-rays	_	NO YES  NO YES  TIMES
99.:	1.5 thorax CT  ths have you lost days of work because of health probler	NO YES	HAVE NOT WORKED IN THE LAST 12 months

IF NOT WO	RKED OR HAS NOT LOST DA	YS OF WORK GO TO QUE	STION 101; I	F 'YES'	DAYS
100.1 Ho	w many days in the last 12 r	months?			
100.2 Am	ong these ones, how many	because of breathing prol	blems?		
	Write '000' if not lost any days du	ue to breathing problems]			
101. Since the last	t survey were you forced to	give up working altogethe	er because of	health	NO YES
problems (apa	rt from accidents and injurie	es)?			
	O TO QUESTION 102, IF 'YES	s':	MONTH	,,	/EAR
101.1 When	did this occur ?				NO YES
101.2 Were y	ou forced to give working al	together because of <b>brea</b>	thing probler	ns?	
	months have there been an				
	ork (e.g. looking after childre		ecause of hea	ilth	NO YES
	rt from accidents and injurie	es)?			
	O QUESTION 103, IF 'YES':				DAYS
102.1 How m	any days <b>on average</b> each n	nonth?			
			_		DAYS
_	these ones, how many beca				
[Write	'0' if s/he has not had any d	ays of activity lost due to	breathing pr	oblems]	
103. Interview typ	oe			TICK ON	NE BOX ONLY
,	1 face to face interview at	clinic			
	2 telephone				
	3 face to face at home				
	4 other				
104. Date of birth	check. What is the date of k	pirth of this participant?	DAY	MONTH	YEAR
105. Which of the	following best describes yo	u?			
	,			TICK	ONE BOX ONLY
	1 Single				
	2 Married/cohabiting				
	3 Separated/Divorced				
	4 Widowed				
	5 Other or do not wish to a	answer			

# Kompetansesenter for klinisk forskning HAUKELAND SYKEHUS

# Institutt for indremedisin Seksjon for lungemedisin



Emit Omeunas

	ways symptoms	
1.	. Have you had wheezing or whistling in your chest at any time in the last 12 months?	□ No □ Yes
	If NO go to question 2, if YES:	
	1.1 Have you been at all breathless when the wheezing noise was presen	t?
	1.2 Have you had this wheezing or whistling when you did not have a co	ld? $\square$ No $\square$ Yes
2.	. Have you woken up with a feeling of tightness in your chest at any time in the last 12 months?	□ No □ Yes
3.	. Have you been woken by an attack of shortness of breath at any time in the last 12 months?	□ No □ Yes
4.	. Have you been woken by an attack of coughing at any time in the last 12 months?	□ No □ Yes
5.	. Have you had an attack of asthma in the last 12 months?	☐ No ☐ Yes
6.	. Are you currently taking any medicine (including inhalers, aerosols or tablets) for asthma?	□ No □ Yes
7.	. Do you have any nasal allergies including hay fever?	$\square$ No $\square$ Yes
8.	. What is your date of birth? (dd/mm/yy)	
9.	. What is today's date? (dd/mm/yy) .	
	. What is today's date? (dd/mm/yy) .  Are you male or female?	
10.	,	
10. 11.	. Are you male or female?	lale
10. 11.	. Are you male or female?   Now tall are you?	lale
10. 11. 12.	<ul> <li>Are you male or female?</li> <li>How tall are you?</li> <li>How much do you weigh?</li> <li>12.1 What is your waist circumference?  (Please use the provided tape measure, and measure your waist</li> </ul>	lale
10. 11. 12.	<ul> <li>Are you male or female?</li></ul>	lale
10. 11. 12.	<ul> <li>Are you male or female?</li></ul>	Female
10. 11. 12.	Are you male or female?   How tall are you?  How much do you weigh?  12.1 What is your waist circumference? (Please use the provided tape measure, and measure your waist at the level of the navel, while standing and under your clothes.)  In recent years, have you been troubled by a protracted cough?  Do you usually bring up phlegm or do you have phlegm in your lungs which you have difficulty bringing up?	Female
10. 11. 12.	<ul> <li>Are you male or female?</li></ul>	Female

15. [	Do yo	ou have or have	you ever had	asthma?			$\square$ No $\square$ Yes
		If NO go to qu	estion 16, if Y	ES:			
1	15.1	Have you ever	had asthma d	iagnosed by a c	loctor?		☐ No ☐ Yes
1	15.2	How old were	you when you	ı first experienc	ed asthma symp	otoms?	years
1	15.3	In which year o	lid you last ex	perience asthm	a symptoms?		Year
		doctor ever to onary disease (		u have chronic	obstructive		□ No □ Yes
17. H	Have	you ever had v	vheezing or w	histling in your	chest?		☐ No ☐ Yes
1	17.1	If YES, how ol whistling in yo		nen you first no	ticed wheezing	g or	years
1	17.2.	If YES, when whistling in yo		ear you noticed	wheezing or		Year
					as nasal conges without having		□ No □ Yes
		If NO go to qu	estion 19, if Y	ES:			
1	18.1	How old were for the first til	toms	years			
1	18.2	Have you had	such nasal syn	nptoms in the I	ast 12 months?		☐ No ☐ Yes
1	18.3	At which time		re your nasal sy	mptoms worst?		
		Spring	Summer	Autumn	Winter	Always	Don't know
		our nose been onths?	blocked <b>for m</b>	ore than 12 we	eks during the	last	□ No □ Yes
20. l	Have <b>for m</b>	you had pain o	or pressure arc eeks during th	ound the foreher e last 12 month	ead, nose or eye ns?	es	□ No □ Yes
					or discoloured r last 12 months		□ No □ Yes
		our sense of sn eeks during the		ced or absent f hs?	or more than		□ No □ Yes

Smo	oking	habits					
23.			this applies e pipe every t	even if you only s week)	moke the odd		☐ No ☐ Yes
24.	Did y	ou smoke p	reviously?				□ No □ Yes
	If NO	to questior	n 23 and 24 g	o to question 25	5, if YES:		
	24.1	How much	do you smo	ke / did you smol	ke? (give an ave	rage)	
							cigarettes/day
							cigars/week
							pkts pipe tobacco/week
	24.2	How old w	ere you whe	n you started sm	oking?		years
		For how lo ers and ex-s		smoked? (applie	es to both		years
	24.4	If you are a	an ex-smoker	, when did you s	top smoking?		Year
Mai	rital s	tatus					
25	What	t is vour curi	rent marital s	status? (tick one	hox only)		
		Single	Married	Cohabitating	Separated or divorced	Widowed	Do not wish to answer
Edu	ıcatio	n					
			ducational	evel which best o	describes your lo	ual (tick and	hov only)
20.	riease	mark tile e	aucatiOffal I	Lower or upper	•	vei. (tick one	BOX OHIY)
		rimary school		secondary schoo or technical scho	l,	College or university	

Occupation and wo	ork		
27. Are you currently	working?		□ No □ Yes
28. Which is your cur	rent or most recent wo	ork or occupation? (pleas	se use capital letters)
28.1 How many	years have you worke	d or did you work in this	occupation?years
		n it was as best, was 100 ability, expressed in pero	
30. Have you ever ch	anged job because the	e job affected your breat	hing?
31. Have you ever ch	anged job because of	hayfever or nasal sympto	om 🗆 No 🗀 Yes
32. Have you ever ch	anged job because of	other health problems/di	iseases?
33. Have you ever wo	orked as a painter?		$\square$ No $\square$ Yes
If YES, for how n	nany years?		years
34. Have you ever wo	orked as a cleaner?		☐ No ☐ Yes
If YES, for how n	nany years?		years
35. Have you been re	porting any days of si	ck leave in <b>the last 12 mo</b>	onths?
35.1 <i>If YES,</i> how	many days have you l	peen on sick leave? (tick	one box only)
1–7 days	8-30 days	31 days–90 days	More than three months
problems in <b>the l</b> 36.1 <i>If YES</i> , how	ast 12 months?	ck leave because of breat	□ No □ Yes
1–7 days	8–30 days	31 days–90 days	More than three months

In-de	oor a	nd out-door	environment		
37.		nich type of acco	ommodation do you live Semidetached or terra	•	ortment Other
38.	Whe	n did you move	to your current home?		Year
39.	How r	many hours per	day do you spend in you	ur home most days?	Approxhours/day
40.	Does	tobacco smokir	ng take place in your pre	sent home? (tick one	box only)
		es, y day	Yes, frequently 1-4 times/week	Yes, sometimes 1-3 times/month	No, never
41.	Have	any of the follo	owing been identified in	your home in the last	12 months:
	41.1	Water leakage	or water damage indoo	ors in walls, floor or ce	ilings $\square$ No $\square$ Yes
	41.2		low discoloration on plantation of parquet floor	stic floor covering, or	☐ No ☐ Yes
	41.3	Visible mould	growth indoors on walls	, floor or ceilings.	☐ No ☐ Yes
42.		you seen any si y time in <b>the p</b> a	gns of damp, water leak ist 10 years?	age or mould <u>in your</u>	home
43.			gns of damp, water leak any time in <b>the past 10</b>		☐ No ☐ Yes
44.	Is you	ur bedroom win	dow towards a nearby s	treet (less than 20 m)?	(tick one box only)
		No	Yes a street with little traffic	Yes a street with moderate traffic	Yes a street with much traffic
45.	Can y	ou in your bed	room hear traffic noise?	(tick one box only)	
	No	ot at all	A little	Much	Very much
46.			ou usually spend walkin ffic a typical weekday?	g or travelling along	Approx minutes/day

Child	hood and family					
	What term best descrik ive years? (tick one bo		ed most o	of the time when	you were	under the age of
		☐ Farm with liv☐ Farm withou☐ Village in rur	t livestoc	c 🗌 Su	nall town burb of ci	ty
	When you were a child more than one box m		wing wer	e mainly used fo	r heating?	,
(		ve with coke, al or wood	Paraffin	Electrici	ty Gas	or oil fired boiler
	Did you have a serious pefore the age of five		n	□ No	☐ Yes	☐ Don't know
50.1	Did your father ever your childhood?	smoke regularly dui	ring	☐ No	☐ Yes	☐ Don't know
50.2	Did your mother eve your childhood?	r smoke regularly du	uring	□No	☐ Yes	☐ Don't know
50.3	Did other people (other regularly at home du			□ No	☐ Yes	☐ Don't know
51. W	When you were a child, (more than one box)		eat fresh	fruits and berrie	es?	
		_			Almost da	· 1
	Never Rai	rely Every v	week	Almost daily	autumn	season
					L	
	Did your biological par more than one box m		m any of	the following:		
				Mother (tick box if Yes)	Fat (tick bo.	
A	Asthma					
(	Chronich bronchitis, er	nphysema and/or CO	OPD			
H	Heart disease					
H	Hypertension					
S	Stroke					
[	Diabetes					
(	Cancer					

53. Do you have children (including	grown-up childrer	)?		□ No □ Yes
If NO go to question 54, If YES:				
53.1 how many children do you	ı have?			children
53.2 Please write the years whe of the following:	en your children we	ere born, and	tick "YES" if t	hey have had any
or and remoting,	Asthma before 10 year Yes	Asthma after 10 years Yes	Hayfever/ rhinitis Yes	Atopic eczema/Skin allergies Yes
Child 1 born year				
Child 2 born year				
Child 3 born year				
Child 4 born year				
Child 5 born year				
Child 6 born year				
Child 7 born year				
54. Does your gum bleed when you  Always Often	-	(tick one box Rarely	conly) Neve	r
55. How often do you usually brush	your teeth? (tick o	one box only)		
2 times/day or more	Once daily	,	Less than	daily
56. How frequently do you exercise	? (give an average	tick one box	only)	
Less than	Once a			Almost every
Less than Never once a week	Once a week		times week	Almost every day
Never once a week	week	a	week	
Never once a week  If you do such exercise as freque	week	a v	week	
Never once a week	week	ore times a wooz only) eat or losing	week  eek:	day ´
Never once a week  If you do such exercise as frequence  56.1 How hard do you push yo  I take it easy without I	week  cently as once or moverself? (tick one between the breaking into a swithat I lose my breakexhaustion	a vore times a we cox only) eat or losing the and break	week  eek:  my breath / into a sweat /	day ´ □

Sleep and daytime symptoms								
The numbers mean:	1: 2: 3: 4: 5:		once a wice a s/days	week week a week				
How often has it occurred in the last month	ns (circle	one numbe	er for e	ach qu	estion):			
57that you snore loudly and disturbingly	y?		1	2	3	4	5	
58that you have heartburn or belching when you have gone to bed?								
59that you have difficulty in getting to	sleep at	night?	1	2	3	4	5	
60that you wake up repeatedly during t	1	2	3	4	5			
61that you perspire heavily during the r		1	2	3	4	5		
62that you feel drowsy in the daytime?		1	2	3	4	5		
63that you wake up too early and have in getting to sleep again?	difficult	у	1	2	3	4	5	
64. Have you ever had sleep apnoea diagno	osed by a	a doctor?			[	□ No	☐ Yes	
64.1 When did you get the diagnosis o	of sleep a	apnoea?				Year		
64.2 If you are currently treated for sle (more than one box may apply)	eep apno	oea, what t	reatme	ent do y	ou hav	e?		
		CPAP						
		Oral applia		•				
		Previous su Others	ırgery i	n the t	hroat o	r nose		
65. How long time do you usually sleep per night?		hou	ırs and		minut	tes		

Oth	er dis	eases					
66.	Have	you ever had hyper	tension (high bloo	d pressure) diagno	sed by a doctor?	□ No	☐ Yes
	66.1	When did you get	the diagnosis hype	ertension (high blo	od pressure)?	Year	
	66.2	Are you currently to (high blood pressu		ion for hypertensi	on	□ No	☐ Yes
67.		you ever had stroke				□ No	☐ Yes
	67.1	If YES, when did yo	ou have stroke (for	the first time)?		Year	
68.		you ever been trea gina pectoris?	ted in hospital bec	ause of heart infar	ction	□ No	☐ Yes
	68.1	If YES, when were because of heart in			ospital	Year	
69.	Have	you ever had diabe	tes diagnosed by a	a doctor?		□ No	☐ Yes
	69.1	When did you get	the diagnosis diab	etes?		Year:	
	69.2	What treatment a	re you currently us	ing for diabetes? (t	tick one box only	)	
		Insulin	Tablets	Both insulin and tablets	Only diet		
70.		you ever had ulcera		isease started?			☐ Yes years
71.	Have	you ever had Crohr	n's disease?			□ No	☐ Yes years

72. What picture best (tick one box only						
WOMEN						
Current Age 8 years At first menstruation Age 30 Age 45 At menopause						
(periods stopped 12 ma	onths or m	ore)				
MEN						
Current Age 8 years At age voice broke Age 30 Age 45 Age 55						

e best desc	ribes th	ne body	shape	of each	of you	· biologi	cal parer	nts at age	50 years
☐ Mother☐ Father	r								

Mother Father

Don't know

Information and contact	t conscent
In case we need to get in to	ouch with you again please write your telephone number below
Telephone number:	Mobile phone
	Daytime
	Evening
	THANK YOU FOR YOUR HELP

### **Papers**

# Respiratory Health in Cleaners in Northern Europe: Is Susceptibility Established in Early Life?

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#### Abstract

#### Rationale

There is some evidence that maternal smoking increases susceptibility to personal smoking's detrimental effects. One might question whether early life disadvantage might influence susceptibility to occupational exposure.

#### Objectives

In this cross-sectional study we investigated respiratory symptoms, asthma and self-reported chronic obstructive pulmonary disease (COPD) as related to working as a cleaner in Northern European populations, and whether early life factors influenced susceptibility to occupational cleaning's unhealthy effects.

#### Methods

The RHINE III questionnaire study assessed occupational cleaning in 13,499 participants. Associations with respiratory symptoms, asthma and self-reported COPD were analysed with multiple logistic regressions, adjusting for sex, age, smoking, educational level, parent's educational level, BMI and participating centre. Interaction of occupational cleaning with early life disadvantage (maternal smoking, severe respiratory infection <5 years, born during winter months, maternal age at birth >35 years) was investigated.

#### Main Results

Among 2138 ever-cleaners the risks of wheeze (OR 1.4, 95% Cl 1.3–1.6), adult-onset asthma (1.5 [1.2–1.8]) and self-reported COPD (1.7 [1.3–2.2]) were increased. The risk increased with years in occupational cleaning (adult-onset asthma: ≤1 year 0.9 [0.7–1.3]; 1–4 years 1.5 [1.1–2.0]; ≥4 years 1.6 [1.2–2.1]). The association of wheeze with cleaning activity ≥4 years was significantly stronger for those with early life disadvantage than in those without (1.8 [1.5–2.3] vs. 1.3 [0.96–1.8]; p<sub>interaction</sub> 0.035).

#### Conclusions

Occupational cleaners had increased risk of asthma and self-reported COPD. Respiratory symptom risk was particularly increased in persons with factors suggestive of early life disadvantage. We hypothesize that early life disadvantage may increase airway vulnerability to harmful exposure from cleaning agents later in life.

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**Data Availability:** Due to Norwegian ethical and legal restrictions, not all the data underlying the findings in our study can be made available in manuscript, in supplemental files or in a public repository. Requests for data access can be directed to Haukeland University Hospital, 5021 Bergen, Norway, Att. Med. Director Alf H. Andreassen; e-mail: <a href="mailto:postmottak@helse-bergen.no">postmottak@helse-bergen.no</a>; telephone +47 55 97 50 00. Org. nr: 983 974 724.

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#### Introduction

Several studies have shown that cleaners have more airway symptoms and asthma than a general population [1, 2], but even though exposure to cleaning agents is a risk factor for asthma, not all cleaners develop such problems. Likewise, only a limited proportion of smokers develop COPD. An analysis of the European Community Respiratory Health Survey showed that early life disadvantage was associated not only with adult lung function level, but also with accelerated lung function decline [3]. Why early life development may influence lung ageing decades later is not well understood. Two recent analyses revealed that persons with characteristics reflecting early life disadvantage were more susceptible to the harmful effects of adult smoking: Guerra et al [4] found stronger effects on airflow limitation of personal smoking in those exposed to parental smoking. Stronger detrimental effects of smoking on lung function decline was found in persons exposed to maternal smoking, born during the winter season, with maternal age at birth above 35 years and/or severe childhood respiratory infections (Dratva et al., 2014, manuscript in preparation). In these analyses, early life disadvantage factors appeared to increase the vulnerability to adult active smoking. Smoking involves a complex mix of harmful chemical exposures and it would be reasonable to suspect a similar interaction for other harmful chemical exposures. We hypothesise that early life disadvantage factors may modify adult responses to occupational exposures, such as cleaning agents.

There is little knowledge on the risk of COPD in cleaners. Smoking is still the single most important cause of COPD, but as the prevalence of smoking declines, non-smoking factors such as working conditions may become increasingly more important [5]. It seems plausible that exposure to different types of airways irritants (gases and aerosols) from cleaning agents over time might lead to COPD development among cleaners, but as of today this is not well investigated [6, 7].

The Respiratory Health In Northern Europe (RHINE) study provided an opportunity to investigate cleaners in a large population-based sample that included information on early life exposures. In addition, the study has sufficiently large numbers to address effect modification. In this article we investigated associations between working as a cleaner and respiratory symptoms, asthma and self-reported COPD, taking the duration of exposure into account, and we investigated whether early life factors modified the adverse effects of occupational cleaning on respiratory health.

#### Methods

#### Design and subjects

From 1990 to 1994, randomly selected population-based samples of men and women aged 20 to 44 years were invited to a postal screening questionnaire as part of the European Community Respiratory Health Survey (ECRHS) I stage I. The RHINE study is a postal questionnaire follow-up study of the 21,659 persons who participated from the seven Nordic and Baltic centres (Bergen in Norway; Umeaa, Gothenburg and Uppsala in Sweden; Aarhus in Denmark; Reykjavik in Iceland and Tartu in Estonia). From 1999 to 2001, a comprehensive postal questionnaire was answered by 16,106 responders (75%). The timing corresponded with the second follow-up of ECRHS II and, thus, is referred to as the RHINE II study. The third stage of the RHINE study, RHINE III, took place from 2010 to 2012, and included 13,499 responders (62% of the original sample) [8]. The questionnaires in RHINE II and RHINE III included questions on respiratory symptoms, asthma, rhinitis, bronchitis, smoking, indoor environment, occupation, early life exposures and sleep disorders. This article presents data from 13,283 persons who responded to a question on having worked as a cleaner (included only in the RHINE III questionnaire).

Written consent was obtained from all the participants at each stage of the study. The Regional Committees for Medical and Health Research Ethics West in Norway, the National Bioethics Committee in Iceland, the Research Ethics Committee of the University of Tartu in Estonia, The Regional Ethical Review Board in Uppsala, Sweden and the Scientific Committees for Central Jutland in Denmark approved each stage of the study.

#### Outcomes

The standard ECRHS questions were used to assess respiratory symptoms and diseases (for wording see <a href="www.ecrhs.org">www.ecrhs.org</a>). Wheezing and other respiratory symptoms were reported as 12 months prevalence, while the respiratory diseases adult asthma and COPD were reported as lifetime prevalence. Asthma was defined on participants' report of having or ever having had asthma; adult asthma was defined as onset of asthma after the age of 16 years. Self-reported COPD was defined by the question "Has a doctor ever told you that you have chronic obstructive pulmonary disease (COPD)?" Chronic bronchitis was defined as participants reporting bringing up phlegm almost every day for at least three months in two consecutive years [9, 10]. "Three or more asthma symptoms" was defined as having answered yes to three or more of the following symptoms in the last 12 months: Wheezing or whistling from the chest; breathless when wheezing; wheezing or whistling when not having a cold; woken with feeling of tightness in chest; been woken by attack of shortness of breath; been woken by attack of asthma; currently taking any asthma medicine [11].

#### Exposure

"Occupational cleaner" and "years worked as an occupational cleaner" were defined from the questions "Have you ever worked as a cleaner / cleaning assistant?" and "If yes, for how many years?". Participants who had ever worked as a cleaner were treated as exposed, whereas all other participants were treated as unexposed, thus, persons with other occupational exposures are included in the reference category. Based on the exposure duration in tertiles we built a categorical variable: never exposed, exposed for <1 year, >1 to <4 years, >4 years.

#### Covariates

Body mass index was calculated from self-reported weight and height, as weight in kilos per square height in meters. Smoking history was assessed by the questions "Are you a smoker?" and "Are you an ex-smoker?", defining never smokers, current smokers and ex-smokers. Participants' and their parents' educational level (primary school, lower/upper/technical school or college/university) was used as proxy variables for adult and early life socioeconomic status [12, 13].

#### Early life disadvantage

Early life disadvantage was defined as reporting maternal smoking in childhood, having had severe respiratory infection before 5 years of age, being born during winter months (December, January, February), and/or reporting maternal age at delivery above 35 years. These factors have been associated with accelerated lung function decline in a previous analysis (Dratva et al., 2014, manuscript in preparation). Participants reporting any of these factors were defined as having early life disadvantage.

#### Statistical analyses

Descriptive analyses of the study population and by cleaner-status were performed calculating prevalence of outcomes, exposure and covariates, using Pearson's chi-squared test. Multiple logistic regression analysis was used to evaluate possible associations between occupational cleaning and respiratory health. Never having worked as a cleaner was used as a reference group. Associations are reported as odd ratios [OR] with 95% confidence intervals [CI]. Due to varying numbers of missing for each variable, numbers at risk differ slightly between different outcomes. Based on prior knowledge and literature, adjustments were made for age, sex, smoking habits, educational level, parents' educational level, BMI (continuous) and participating centre. Sensitivity analyses in men, women, never smokers, current smokers, normal weight and overweight were performed. Potential heterogeneity between centres was analysed using meta-analysis according to derSimonian and Laird [14]. Analyses of associations between occupational cleaning ≥4 years and respiratory health outcomes were stratified by the dichotomous variable early life disadvantage. Potential interaction between early life disadvantages and cleaning status was analysed by including an interaction term of occupational cleaning ≥4 years and early life disadvantage in effects on respiratory outcomes. STATA (StataCorp, College Station, TX, USA), version IC 13.1, was used in all the statistical analyses.

#### Results

Overall, 2138 persons (16%) reported ever having worked as a cleaner, the median duration was 2.0 years and the mean duration was 5.7 years. The highest prevalence of participants having worked as a cleaner was found in Reykjavik (21%) and Aarhus (19%); whereas reporting work as a cleaner was least common in Bergen (13%) and Uppsala (12%) (p <0.05) (Table 1).

	All	Aarhus		Bergen (n = 2364)	Gothenburg	Umeaa (n = 1927)	Uppsala (n = 1926)	Tartu (n = 1200)
	(N = 13499)	(n = 2351)			(4 = 1706)			
	5	%	5	%	5	5	5	5
Men	47.0	47.2	45.5	50.1	45.9	47.3	47.6	41.9
Women	53.0	52.8	54.5	43.9	53.1	52.7	52.4	58.1
Age (years)								
MeanaSD	51.5 ±7.2	50.1 ±7.0	53.1 ±7.0	50.7 ±6.9	52.3 ±7.3	52.8 ±7.4	52.6 ±7.3	49.1 ±7.0
Smoking								
Current	17.9	19.4	18.4	24.2	17.2	11.7	11.0	25.1
Dr	46.6	51.3	59.3	57.9	40.6	35.2	34.8	38.9
Education level								
Lower	11.4	7.5	18.1	9.2	17.3	13.0	9.8	4.5
Intermediate	41.7	38.7	40.3	43.0	47.9	44.7	32.9	47.7
Higher	46.9	53.8	41.6	47.9	34.8	42.3	57.3	47.8
Occupational cleaning								
Yes	16.1	19.4	21.3	13.3	16.4	14.9	12.1	15.0
Years in occupational cleaning								
Median	2	2	2	2	3	3	3	2.5
Mean	5.7	3.5	5.6	5.3	7.2	7.8	6.5	4.2
401 10 1071 in end once 2011000 6001								

Table 1. Characteristics of the study population by study centre. https://doi.org/10.1371/journal.pone.0131959.t001

The majority of the cleaners was female (74%), the current mean age among ever cleaners was 50.2 ±7.0 years and mean duration of work as a cleaner was 5.7 ±7.8 years. More cleaners reported current (25%) or previous smoking (51%) than those never having worked as a cleaner (16.4% and 46% respectively) (p<0.05) (Table 2). The prevalence of smoking increased with increasing duration of years having worked as a cleaner (Table 2).

	Occupational cleaning no (n = 11145)	Occupational cleaning yes (n = 2138)		Occupational cleaning ≤ 1 year (n = 687)	Occupational cleaning >1 to <4 years (n = 566)	Occupational cleaning ≥ 4 years (n = 772)	
			p-				p- value
	%	5	*****	%	%	5	*****
Man	51.1	26.0	<0.001	31.6	24.9	22.8	<0.00
Women	48.9	74.0	<0.001	68.4	75.1	77.2	+0.00
Noe (veers)							
Mean ±SD	51.8 ±7.2	50.2 ±7.0		48.7 ±6.6	48.7±6.6	52.4 ±7.1	
IMI							
18.5:25	44.9	45.6	0.075	52.7	43.9	40.0	<0.00
> 25	54.3	53.0	0.075	46.1	54.8	58.9	+0.00
Smoker							
Current	16.4	24.5	<0.001	17.9	23.6	31.6	<0.00
Dr	45.7	51.3	40.001	46.2	56.1	53.0	40.00
Education level							
Lower	10.0	17.5	<0.001	7.2	11.7	30.1	+0.00
Intermediate	41.4	43.0	<0.001	35.8	45.7	48.1	<0.00
Higher	48.6	39.6	<0.001	57.0	42.6	21.9	<0.00
Mother's education							-
Lower	52.3	51.0	HD-001	45.6	49.6	57.9	*0.00
Intermediate	22.5	22.5	<0.001	25.2	22.8	18.6	40.00
Higher	9.1	7.2	<0.001	10.8	7.6	3.6	40.00
Father's education							
Lower	43.4	41.4	40.001	36.1	41.7	47.1	40.00
Intermediate	25.7	27.1	<0.001	28.7	25.3	26.1	<0.00
Higher	16.7	11.9	+0.001	16.9	12.7	6.7	+0.00
Early life Steedvertage?	58.6	62.2	0.002	63.0	62.7	81.4	0.01
Maternal age >35 years	13.3	12.3	0.26	12.3	13.3	11,4	0.54
Born during winter months	23.9	22.8	0.28	21.9	20.7	25.8	0.11
Childhood respiratory infection	6.6	8.5	<0.001	8.6	9.3	7.4	<0.00
Maternal smoking	33.8	39.3	<0.001	40.3	40.4	37.7	+0.00
Wheeze last 12 months	18.0	25.5	<0.001	20.3	25.0	29.9	<0.00
Asthma symptomed	13.0	20.8	<0.001	14.6	20.7	26.2	<0.00
Adult onset asthma§	6.3	9.3	<0.001	6.7	9.9	11.7	<0.00
Current asthma medication	7.1	10.2	<0.001	6.3	11.0	12.8	<0.00
Self-reported COPD	2.4	5.0	<0.001	3.2	5.1	60	<0.00
† Any of the followi 5 years and/or mat	email smoking. s: Yes to three or m				12.7 >35 years, severa respi	16.3 ratory infection before th	+0.00

#### Table 2. Characteristics of occupational cleaners in 13283 persons from Northern European population samples. https://doi.org/10.1371/journal.pone.0131959.t002

Respiratory symptoms, asthma, self-reported COPD and chronic bronchitis were significantly more prevalent among ever cleaners (p<0.001) (<u>Table 2</u>). The occurrences of wheeze in the last 12 months, asthma symptoms, adult onset asthma, current use of asthma medication, self-reported COPD, and chronic bronchitis were all significantly increased with time worked as a cleaner (p<0.001) (<u>Table 2</u>).

There was a significantly increased risk for self-reported COPD among cleaners (OR 1.69 [95% CI 1.29–2.20]), as well as for respiratory symptoms (1.44 [1.27–1.62]; 1.66 [1.46–1.90]) and asthma (1.47 [1.22–1.77]), after adjusting for potential confounding factors (Table 3). Those having worked with cleaning for more than one year had a significantly increased risk of wheeze last 12 months (1.46 [1.18–1.83]; 1.62 [1.34–1.96]), asthma symptoms (1.68 [1.33–2.31]; 2.04 [1.67–2.49]), current use of asthma medication (1.65 [1.23–2.21]; 1.67 [1.30–2.15]), self-reported COPD (1.80 [1.14–2.85]; 1.65 [1.14–2.42]) and chronic bronchitis (1.77 [1.34–2.32]; 1.84 [1.46–2.32]) compared to those never having worked as a cleaner. The risk for asthma, bronchitis and respiratory symptoms increased with increasing time having worked as a cleaner (Table 3). There was no significant heterogeneity between the participating centres with respect to respiratory symptoms and disease; the results for three or more asthma symptoms are presented in Fig 1.

## Fig 1. Adjusted odd ratios for the association of occupational cleaning with asthma symptoms (≥3 symptoms) in each study centre, as estimated from meta-analysis.

For each centre the square gives the OR and the horizontal lines indicate 95% CI; the area of the square is proportional to the size of the study sample in each centre. Adjustment within each centre made for sex, smoking habits, age, education level, parent's education level, BMI and participating centre. For combined odds ratios, the diamond indicates 95% CI from a model with centre as random effect. p-value for heterogeneity = 0.8. https://doi.org/10.1371/journal.pone.0131959.g001

Table 3. Respiratory health outcomes as associated with occupational cleaning in 13283 persons from Northern Europe population samples. https://doi.org/10.1371/journal.pone.0131959.t003

Increased risk of wheeze, three or more asthma symptoms and chronic bronchitis among occupational cleaners was consistently found in sensitivity analysis of various subgroups (<u>Table 4</u>).

Table 4. Sensitivity analysis: The association of occupational cleaning with respiratory symptoms in various subgroups. https://doi.org/10.1371/journal.pone.0131959.t004

Respiratory symptoms and diseases were more common in cleaners, both among persons with early life disadvantage and among those without such disadvantage. However, the asthma risk associated with occupational cleaning generally appeared to be higher among those with early life disadvantage (<u>Table 5</u>) (<u>Fig 2</u>). Interaction between early life disadvantage and occupational cleaning was statistically significant for the most prevalent outcome, wheeze (p<sub>interaction</sub> 0.035), and p<sub>interaction</sub> was 0.053 for ≥3 asthma symptoms (<u>Table 5</u>). A similar tendency was not indicated for chronic bronchitis and self-reported COPD. <u>S1 Table</u> shows analysis of the association of occupational cleaning with wheeze stratified by each of the components of the "early life disadvantage" factor.

Fig 2. Associations of occupational cleaning ≥4 years with respiratory symptoms in persons with and without early life disadvantage. https://doi.org/10.1371/journal.pone.0131959.g002

Table 5. Association of occupational cleaning with respiratory outcomes in persons with and without early life disadvantage.  $\underline{ https://doi.org/10.1371/journal.pone.0131959.t005}$ 

Discussion

This analysis observed that persons who had worked as cleaners had increased risk of respiratory symptoms, asthma and self-reported COPD. The suggested association with self-reported COPD was supported by increased risk also for symptoms of chronic bronchitis. For most outcomes, there was a trend toward a dose-response relationship with higher risks for those having worked as cleaners for longer duration. The findings were consistent across study centres from different countries in Northern Europe. To our knowledge, this analysis shows the first evidence of early life influence on susceptibility to an occupational hazard: Associations between occupational cleaning and respiratory symptoms were stronger among persons with early life disadvantage; thus, early life disadvantage appeared to constitute an important effect modifier by increasing the vulnerability to the harmful effects of occupational cleaning exposures. Our analyses suggest that early life disadvantage may increase susceptibility to chemical exposures later in life, a concept that may have considerable impact on public health policies.

An important novel finding of this paper regards the observed effect modification of exposure to cleaning agents by early life disadvantage factors. The "early life disadvantage" factor in this analysis was based on biological plausibility and previous knowledge [15, 16]; thus, this factor conveys a general concept beyond the individual components. An interaction between working as a cleaner and early life disadvantage was significant for wheeze and borderline significant for asthma symptoms. The findings are supported by two other analyses showing how factors reflecting early life disadvantage increased the susceptibility to the harmful effects from personal smoking with regard to airflow limitation [4] and lung function decline (Dratva et al., manuscript in preparation). A previous study showed that individuals with early life disadvantage were at risk of accelerated lung function decline and had substantially increased risk for COPD [3]. One may speculate that early life disadvantage might impair molecular maintenance mechanisms, and thereby reduce the ability to later in life deal with subsequent insults from harmful exposures such as cleaning chemicals.

This analysis confirmed the increased health hazard from work as a cleaner seen in several previous studies with similar increased risk of airway symptoms and asthma [17, 18, 19, 20, 21]. Proposed mechanisms include irritant effects as well as potential specific immunological effects. Although occupational exposures and COPD is described for other vocations [22, 23], there is little literature concerning COPD in occupational cleaners. An association seems biological plausible, given emerging understanding of inflammatory effects from cleaning chemicals [21] as well as potential for chronification of asthma on exposure after symptom debut. Repeated inhalation of irritants from cleaning chemicals, either from daily low-dose exposure or multiple single high-dose exposures, can cause damage to the bronchial epithelium through a pro-inflammatory response, neurogenic inflammation due to exposed nerve endings and increased lung permeability, and remodelling of the airway epithelium [24, 25, 26].

The strengths of this study include its population-based design, the extensive data from the participants, the large number of participants and the multicentre structure. That the participants were randomly selected from a general population makes the results applicable to a general population rather than to select groups. Furthermore, the data from the participants are reasonably extensive, ensuring that each individual is well characterised. Because of the large number of participants, the study had enough power to investigate possible interactions between work as a cleaner and early life disadvantage.

There was no apparent heterogeneity between the different centres, supporting an interpretation of the results in terms of biological mechanisms rather than confounding by sociocultural factors that are likely to differ between centres.

This analysis has some methodological challenges. Asthma and COPD were defined by self-report and could be subject to misclassification bias. Differential misclassification bias with regard to occupational cleaning is possible and could cause positive or negative confounding. However, the findings were generally consistent with results for symptoms of asthma or chronic bronchitis, for which non-differential misclassification is more likely than differential misclassification. Further, the findings were robust for various adjustments and consistent between subgroups and study centres. Reporting error in cleaning exposure assessment is likewise more likely to give non-differential bias. An analysis of adult reporting of childhood factors found high repeatability and that the reporting error was non-differential with regard to asthma and asthma symptoms [27]. It seems unlikely that error in reporting early life factors could have created the effect modification observed in the present study. We believe the overall result of misclassification in the present analysis may have led to underestimation of true effects.

The definition of COPD was based on self-reported doctor's diagnosed COPD, a definition that gives the lowest estimates of prevalence [28, 29], as COPD is substantially under diagnosed [30]. The numbers with self-reported diagnosed COPD in the study population where small, also due to the relatively young age group studied. Low numbers might explain less consistent findings on analyses of self-reported COPD in subgroups. Residual confounding by smoking is likely, thus, sensitivity analyses of never-smokers were conducted. This gave similar results as in the whole population, suggesting that the observed results were not related to rest confounding by smoking. As data on occupational cleaning was only collected in the last RHINE survey and with limited detail, it was not possible to confirm a temporal relationship between starting work as a professional cleaner and the development of asthma and COPD. Further, our data did not allow for more detailed exploration of susceptibility windows in adulthood.

The exposure assessment in the present paper ("having worked as a cleaner") is very crude. It is likely that occupational groups exposed to cleaning agents such as industrial cleaners and homecare takers [31] may be included in the reference category. The reference group further included occupational groups with known asthma risk [32, 33], thereby leading to an underestimation of the respiratory health risk related to occupational cleaning. It is possible that symptomatic subjects more often would quit work as cleaner. This potential "healthy worker effect" may further contribute to an underestimation of the negative impact from cleaning work [34] and might explain why there was not a stronger trend with increasing years in cleaning occupation. Overall, while the analysis have several methodological challenges; these are likely to have attenuated the associations and cannot easily explain an interaction between early life disadvantage and occupational exposure on asthma symptoms.

In conclusion, this analysis found increased asthma, respiratory symptoms and self-reported COPD in persons who have worked as occupational cleaners, particularly among persons with early life disadvantage. The findings suggest that early life disadvantage might increase individual susceptibility to an adult occupational exposure. This seems biologically plausible, and agrees with findings of increased susceptibility to smoking in persons with factors included in early life disadvantage, such as maternal smoking. This finding needs further confirmation in other studies, as there may be widespread implications. Firstly, this may lead to focused mechanistic research as to how early life disadvantage may influence adult respiratory health, and how responses to a chemical hazard may be modified. Secondly, work-place interventions might focus in particular on persons with early life disadvantage, although optimal work-place air quality and adequate protective measures are important for all. Finally, long-term

respiratory health effects of exposures in cleaning occupations need further investigation, as this study indicated increased risk of self-reported COPD in cleaners. Given the large scale use of cleaning agents and disinfectants in occupational setting as well as in private homes, it is essential to understand modifying factors, as well as long-term and short-term respiratory health consequences of such exposures.

#### Supporting Information

S1 Table. Association of occupational cleaning with respiratory symptoms in subgroups according to each of the components of the "early life disadvantage" factor.

\* Adjusted for age, smoking, education level and participating centre. https://doi.org/10.1371/journal.pone.0131959.s001 (DOCX)

#### **Author Contributions**

Analyzed the data: ØS CS, Wrote the paper: ØS CS, Participated with study design and revision of the manuscript; JD, Participated in coordination and collection of data and revised the manuscript: TDS AJ RJB MB BF TG MH CJ RJ FM DN ERO FGR VS TS GW JPZ TA.

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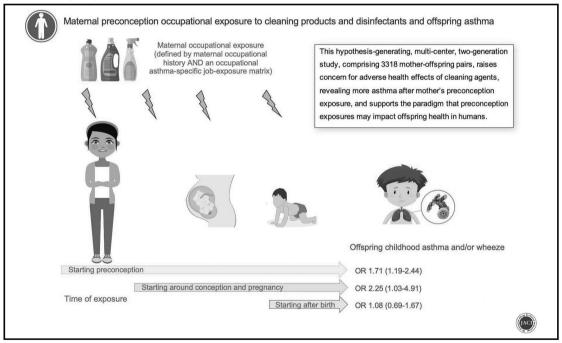
# Maternal preconception occupational exposure to cleaning products and disinfectants and offspring asthma



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#### GRAPHICAL ABSTRACT



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The RHINESSA generation study received funding by the Research Council of Norway (grants nos. 214123 and 228174), the Bergen Medical Research Foundation, the Western Norwegian Regional Health Authorities (grant nos. 912011, 911892, and 911631), the Norwegian Labour Inspection, the Norwegian Asthma and Allergy Association, the Danish Wood Foundation (grant no. 444508795), the Danish Working Environment Authority (grant no. 20150067134), the Swedish Heart and Lung Foundation,

Background: Emerging research suggests health effects in offspring after parental chemical exposures before conception. Many future mothers are exposed to potent chemicals at work, but potential offspring health effects are hardly investigated. Objective: We sought to investigate childhood asthma in relation to mother's occupational exposure to cleaning products and disinfectants before conception.

Methods: The multicenter Respiratory Health In Northern

Europe/Respiratory Health In Northern Europe, Spain and Australia generation study investigated asthma and wheeze

starting at age less than 10 years in 3318 mother-offspring pairs. From an asthma-specific Job-Exposure Matrix and mothers' occupational history, we defined maternal occupational exposure to indoor cleaning agents (cleaning products/ detergents and disinfectants) starting before conception, in the 2-year period around conception and pregnancy, or after birth. Never-employed mothers were excluded. Exposed groups include cleaners, health care workers, cooks, and so forth. Associations were analyzed using mixed-effects logistic regression and ordinary logistic regression with clustered robust SEs and adjustment for maternal education. Results: Maternal occupational exposure to indoor cleaning starting preconception and continuing (n = 610) was associated with offspring's childhood asthma: odds ratio 1.56 (95% CI, 1.05-2.31), childhood asthma with nasal allergies: 1.77 (1.13-2.77), and childhood wheeze and/or asthma: 1.71 (95% CI, 1.19-2.44). Exposure starting around conception and pregnancy (n = 77) was associated with increased childhood wheeze and/or asthma: 2.25 (95% CI, 1.03-4.91). Exposure starting after birth was not associated with asthma outcomes (1.13 [95% CI, 0.71-1.80], 1.15 [95% CI, 0.67-1.97], 1.08 [95% CI, 0.69-1.67]). Conclusions: Mother's occupational exposure to indoor cleaning agents starting before conception, or around conception and pregnancy, was associated with more childhood asthma and wheeze in offspring. Considering potential implications for vast

**Key words:** Occupational exposures, preconception exposures, Job-Exposure Matrix (JEM), disinfectants, cleaning products, mother, childhood asthma, generation study, RHINESSA

numbers of women in childbearing age using cleaning agents,

and their children, further research is imperative. (J Allergy

Clin Immunol 2022;149:422-31.)

In many countries, the prevalence of childhood asthma has increased substantially since the 1980s. The reason remains largely unknown; however, numerous mechanisms have been proposed, including events occurring early in life, both before and after birth. 1.2

Abbreviations used

ISCO: The International Standard Classification of

Occupations
JEM: Job-Exposure Matrix

OAsJEM: Occupational Asthma-specific Job-Exposure Matrix

RHINE: Respiratory Health In Northern Europe

RHINESSA: Respiratory Health In Northern Europe, Spain and

Australia

It is well established that workers who are directly exposed to cleaning products and disinfectants are at risk for respiratory symptoms and asthma. <sup>3-9</sup> In addition, it has been suggested that exposures related to cleaning activities may constitute a risk to long-term respiratory health. <sup>10</sup> A dose-response pattern with increased risk of respiratory symptoms and diseases by increased dose and duration of exposure has been reported. <sup>5,6,9</sup>

Cleaning products and disinfectants comprise a wide range of ingredients that are irritants and/or potential sensitizers. <sup>5,7,9</sup> Furthermore, the use of spray devices results in a substantial airborne exposure to nonvolatile aerosolized agents in the lower airways.

The biological mechanisms by which these products affect respiratory health are not fully understood but include both irritant and allergic mechanisms. 5,7,9,11 Moreover, recent evidence suggests that predisposition to adult-onset asthma may be related to the interaction between genes and occupational exposure to low-molecular-weight agents/irritants. 5 Genes potentially involved in adult asthma by interaction with occupational exposure play a role in the nuclear factor kappa B pathway, which is involved in inflammation. 12

When future parents are exposed to chemicals, both their somatic and germ cells may be affected. 13 Emerging evidence from human and animal models suggests that preconception parental exposures may influence the health of future generations. 14-24 Previous studies on maternal occupational exposure and respiratory health in offspring are scarce. 25-27 However, a registry study found that several parental occupations were associated with increased hospitalization for childhood asthma among offspring. 26

We hypothesize that preconception occupational exposures might impact offspring's health through germline cell exposure. Furthermore, occupational exposure in a pregnant woman might directly affect the fetus at critical time windows during the fetal growth and development.<sup>28</sup> Finally, a shared home environment influenced by maternal occupational habits might influence the health of the child after birth.<sup>29</sup> A theoretical model is summarized in Fig 1.

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#### Preconception time window

Hypothesis: Maternal occupational exposures to indoor cleaning products and disinfectants may influence germ cell development and thereby influence the health of the offspring

#### During pregnancy time window

Hypothesis: Maternal occupational exposures to indoor cleaning products and disinfectants might directly affect the fetus, and thereby influence the health of the offspring

#### After birth time window

Hypothesis: Shared home environment influenced by maternal occupational habits might influence the health of the offspring











Time

FIG 1. Theoretical model of time windows in which maternal occupational exposure to indoor cleaning products and disinfectants could have an impact on their offspring's health outcomes. When a future mother is exposed to chemicals before conception, even her germ cells will be exposed. Hence, the exposure might influence the health of her future offspring. In a pregnant woman, the exposure might directly affect the fetus, whereas shared home environment influenced by maternal occupational habits might influence the health of the child after birth.

The aim of our study was to investigate asthma risk in children whose mother had been occupationally exposed to indoor cleaning products and disinfectants before conception or around the time of conception and pregnancy.

#### METHODS

#### Study population

Two linked cohorts were used: the population-based RHINE (Respiratory Health In Northern Europe) study and the RHINESSA (Respiratory Health In Northern Europe, Spain and Australia) study of their offspring (www.rhinessa. net). <sup>18,30</sup> The present analysis includes data from 3318 RHINESSA study participants (offspring born 1962-1998) and their mothers who participated in the RHINE study (Fig 2). RHINE II included questionnaire-based data on persons born 1945 to 1973 from 7 centers in Northern Europe (Bergen in Norway, Umeå, Gothenburg, and Uppsala in Sweden, Aarhus in Denmark, Reykjavik in Iceland, and Tartu in Estonia). The survey was conducted from 1999 to 2001, and comprised a full occupational history for individual mothers within the time span 1963 to 1998.

The studies were approved by regional ethics committees, and all participants signed written informed consents.

#### Mother's exposure

Maternal occupational history, including job titles with start-year and stop-year for each job, was coded by an expert group according to the International Standard Classification of Occupations-1988 (ISCO-88). Subsequently, each mother's ISCO job codes were combined with an Occupational Asthma-specific Job-Exposure Matrix (OAsJEM). The OAsJEM considers exposure to 30 specific sensitizers/irritant agents for all job codes in ISCO-88. The Job-Exposure Matrix (JEM) category "indoor cleaning" defines occupational exposure to indoor cleaning agents (=cleaning products/detergents and low/intermediate-level disinfectants). Such exposures were present in 21 ISCO-88 job codes (see Table E1 in this article's Online Repository at www.jacionline.org).

In the present study, maternal occupational exposure to indoor cleaning agents was defined according to the OAsJEM as having had at least 1 ISCO job code with high (high probability and moderate to high intensity) or medium (low to moderate probability or low intensity) exposure to indoor cleaning agents for 6 months or longer. The reference category included mothers who had held at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to ISCO job code in combination with the OAsJEM.

Time windows of exposure were defined on the basis of when the first exposed job started (Fig 3); (1) Exposure only before conception (2 years or more before the child's birth year), (2) Exposure starting before conception and continuing around conception and pregnancy and/or after birth, (3) Exposure starting around conception and pregnancy, and (4) Exposure only after birth (starting the year after the child's birth year, or later). Because of the 1-year time resolution, the time window around conception and pregnancy covers 2 years, including approximately 3 to 15 months preconception, 9 months pregnancy, and 0 to 12 months infancy. In sensitivity analyses with smaller numbers, categories 1 to 3 were merged.

#### Offspring health outcomes

Three asthma outcomes, all starting before age 10 years, were defined on the basis of questionnaire data from RHINESSA adult offspring (wording given in this article's Online Repository at www.jacionline.org): childhood asthma, childhood asthma with nasal allergies, and childhood wheezing and/or asthma. Age 10 years was set as cutoff to distinguish childhood asthma and wheeze from asthma with onset in puberty or in adulthood.

#### Covariates

Potential confounders were identified on the basis of previous studies<sup>2,17,33-36</sup> and directed acyclic graphs.<sup>33,37</sup> Variables that had the potential to be associated with maternal occupational exposure and to cause childhood asthma/wheeze, and were not on the causal pathway between the exposure and outcome, were considered for inclusion in the model.<sup>33</sup> The minimal sufficient adjustment set included maternal education only<sup>33,37</sup> (see Fig E1 in this article's Online Repository at www.jacionline.org). Sensitivity analyses included adjustment for additional variables (offspring sex, nonsmoking mother, birth cohort).

#### Statistical methods

For the main analysis, associations between maternal exposure to indoor cleaning agents and offspring outcomes were analyzed using mixed-effects logistic regression with random intercept for study center and mother. In analyses stratified on offspring's birth cohort (1962-1979 and 1980-1998), offspring's sex, and mother's smoking habits, such models did not converge because of few exposed in some categories, and we used ordinary logistic regression with adjustment for study center as a covariate and clustered robust SEs to account for clustering of siblings within mothers. Association between the 5-category variable with level and timing of exposure and risk of asthma before age 10 years in the offspring was analyzed using mixed-effects logistic regression with random intercept for study center and mother.

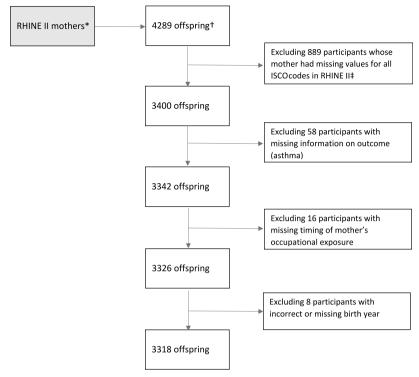


FIG 2. Definition of study population. \*Female participants in the RHINE II study. †RHINE II offspring 18 years or older who had participated in the RHINESSA study. †Mothers who had missing values for all ISCO job codes included mothers who did not report any jobs, that is, those who never were employed, and those who failed to respond to this question in the questionnaire.

Two-sided tests and significance level of 5% were used. Analyses were performed in STATA15 (StataCorp LLC, College Station, Tex).

#### **RESULTS**

Of 3318 offspring, 1307 had a mother who had been employed for at least 6 months in a job that involved exposure to indoor cleaning agents, whereas 2011 had a mother who had held at least 1 job for 6 months or more, but no occupational exposure to such agents. Exposed mothers were slightly younger, had lower education, and smoked slightly more (Table I).

For 150 offspring (11.5% of the exposed), the mother had been exposed to indoor cleaning agents only before conception (scenario 1 in Fig 3); on average, this exposure stopped 7 years before the offspring was born (mean, 7.8 years; median, 7 years). In 610 persons (46.7%), maternal exposure had started before conception and continued (scenario 2), whereas maternal exposure started around the time of conception and pregnancy for 77 persons (5.9%) (scenario 3). Finally, in 470 persons (36%), the maternal exposure started after the offspring were born (scenario 4).

Offspring asthma outcomes appeared to be higher if the mother had been occupationally exposed to indoor cleaning agents only before conception. Maternal exposure starting before conception and continuing was associated with offspring's childhood asthma:

odds ratio 1.56 (95% CI, 1.05-2.31), childhood asthma with nasal allergies: 1.77 (95% CI, 1.13-2.77), and childhood wheeze and/or asthma: 1.71 (95% CI, 1.19-2.44). Exposure starting around conception and pregnancy was associated with increased childhood wheeze and/or asthma: 2.25 (95% CI, 1.03-4.91). Exposure only after birth of the child was not associated with offspring asthma outcomes (Table II). Sensitivity analyses with adjustment for maternal smoking during pregnancy or offspring's childhood, and maternal asthma, slightly weakened the associations, but did not substantially alter the estimates (Table II).

Maternal exposure before conception or around conception and pregnancy appeared to be more strongly associated with offspring asthma if the exposure level had been high than if the exposure level had been medium (Table III). The magnitude of the associations of maternal exposure to indoor cleaning agents during preconception/pregnancy and childhood asthma appeared to be similar in male and female offspring (Table IV). The association was also consistent among offspring with a mother who did not smoke when she was pregnant or during their childhood (Table IV). Few cases in some exposure groups prevented detailed categorization in these sensitivity analyses. Sensitivity analyses stronger associations for exposure back in time even though the CIs were overlapping (see Table E2 in this article's Online Repository at www.jacionline.org).

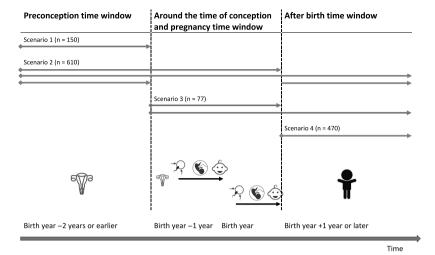


FIG 3. Real-life scenarios of time windows in which maternal occupational exposure to indoor cleaning products and disinfectants could have an impact on offspring health outcomes. Within each time window, the mother could have several consecutive jobs. Four exposure scenarios were defined on the basis of when mother's exposure started: (1) Exposure only before conception; (2) Exposure starting before conception and continuing into different time windows, around the time of conception and pregnancy, and/or after birth; (3) Exposure starting around the time of conception and pregnancy; and (4) Exposure only after birth. The time window around conception and pregnancy covers 2 years, including approximately 3 to 15 months pregnancy, and 0 to 12 months infancy.

TABLE I. Characteristics of the study population, according to mother's occupational exposure status

Characteristic	Total study sample	No jobs with exposure to indoor cleaning agents*	At least 1 job with exposure to indoor cleaning agents†	P value
n	3318	2011	1307	
Sex: female, n (%)	1900 (57.3)	1157 (57.5)	743 (56.9)	.69
Birth year, n (%)				
1962-1969	161 (4.9)	108 (5.4)	53 (4.1)	.21
1970-1979	907 (27.3)	534 (26.6)	373 (28.5)	
1980-1989	1318 (39.7)	789 (39.2)	529 (40.5)	
1990-1998	932 (28.1)	580 (28.8)	352 (26.9)	
Asthma before age 10 y, n (%)	234 (7.1)	127 (6.3)	107 (8.2)	.045
Mother's age at birth, mean ± SD	$27.3 \pm 4.9$	$27.5 \pm 4.9$	$27.0 \pm 5.0$	.007
Mother's educational level, n (%)				
Primary	514 (15.5)	257 (12.8)	257 (19.7)	<.001
Secondary	1158 (34.9)	656 (32.6)	502 (38.4)	
College/university	1629 (49.1)	1090 (54.2)	539 (41.2)	
Missing	17 (0.5)	8 (0.4)	9 (0.7)	
Mother's smoking, n (%)				
No smoking during childhood	2143 (64.6)	1355 (67.4)	788 (60.3)	.01
Smoking during childhood	837 (25.2)	574 (23.7)	361 (27.6)	
Smoking during pregnancy	289 (8.7)	189 (7.7)	134 (10.3)	
Missing	49 (1.5)	25 (1.2)	24 (1.8)	
Asthma in mother, n (%)				
Yes	363 (10.9)	198 (9.9)	165 (12.6)	.07
Missing	149 (4.5)	99 (4.9)	50 (3.8)	

Information on mother's education and smoking during pregnancy and/or childhood was reported by the offspring in the RHINESSA study. Information on mother's asthma and occupational history was reported by the mother in the RHINE II study.

<sup>\*</sup>Mothers with at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to the ISCO-88 job code and the OAsJEM. †According to the ISCO-88 job code and the OAsJEM.

<sup>‡</sup>Differences in characteristics between offspring of exposed and nonexposed mothers were tested using bivariate linear regression for continuous outcomes, bivariate logistic regression for binary outcomes, and bivariate multinomial logistic regression for categorical outcomes. Clustered robust SEs were used to account for clustering of siblings within the same mother.

**TABLE II.** Associations between timing of mother's occupational exposure to indoor cleaning agents\* and asthma, asthma with nasal allergies, and wheezing and/or asthma before age 10 years in the offspring†

	OR (95% CI)				
Exposure time categories for offspring outcomes	n outcome/ n total (%)	Crude model‡	Model 2§	Model 3	Model 4¶
Asthma before age 10 y in the offspring					
n	234/3318 (7.0)	3318	3301	3254	2906
Timing of mother's occupational exposure					
No jobs with exposure to indoor cleaning agents#	127/2011 (6.3)	1	1	1	1
Exposure only before conception	12/150 (8.0)	1.29 (0.64-2.61)	1.26 (0.63-2.54)	1.29 (0.64-2.62)	1.31 (0.63-2.74)
Exposure started before conception and continued	55/610 (9.0)	1.55 (1.05-2.29)	1.56 (1.05-2.31)	1.50 (1.00-2.24)	1.46 (0.97-2.21)
Exposure started around the time of conception or pregnancy	9/77 (11.7)	2.26 (0.98-5.21)	2.30 (1.00-5.28)	2.27 (0.98-5.26)	2.32 (1.00-5.39)
Exposure only after year of birth	31/470 (6.6)	1.04 (0.66-1.64)	1.13 (0.71-1.80)	1.10 (0.68-1.76)	1.13 (0.69-1.87)
Asthma before age 10 y in the offspring, with nasal allergies	**				
n	169/3253 (5.2)	3253	3236	3190	2852
Timing of mother's occupational exposure					
No jobs with exposure to indoor cleaning agents#	86/1970 (4.4)	1	1	1	1
Exposure only before conception	11/149 (7.4)	1.75 (0.84-3.65)	1.72 (0.82-3.60)	1.78 (0.85-3.71)	1.71 (0.77-3.78)
Exposure started before conception and continued	43/598 (7.2)	1.77 (1.14-2.75)	1.77 (1.13-2.77)	1.72 (1.09-2.69)	1.62 (1.00-2.61)
Exposure started around the time of conception or pregnancy	7/75 (9.3)	2.49 (0.99-6.27)	2.52 (1.00-6.36)	2.48 (0.98-6.26)	2.44 (0.94-6.37)
Exposure only after year of birth	22/461 (4.8)	1.07 (0.63-1.81)	1.15 (0.67-1.97)	1.09 (0.63-1.88)	1.08 (0.60-1.95)
Wheezing and/or asthma before age 10 y in the offspring					
n	267/3311 (8.1)	3311	3294	3247	2900
Timing of mother's occupational exposure					
No jobs with exposure to indoor cleaning agents#	143/2006 (7.1)	1	1	1	1
Exposure only before conception	15/150 (10.0)	1.50 (0.80-2.81)	1.46 (0.78-2.74)	1.49 (0.79-2.81)	1.52 (0.77-2.59)
Exposure started before conception and continued	66/610 (10.8)	1.69 (1.18-2.40)	1.71 (1.19-2.44)	1.64 (1.14-2.37)	1.59 (1.08-2.34)
Exposure started around the time of conception or pregnancy	10/77 (13.0)	2.22 (1.02-4.83)	2.25 (1.03-4.91)	2.23 (1.02-4.89)	2.01 (0.87-4.63)
Exposure only after year of birth	33/468 (7.1)	0.99 (0.64-1.53)	1.08 (0.69-1.67)	1.00 (0.64-1.58)	1.03 (0.63-1.68)

Boldface indicates statistical significant associations at significance level 0.05.

**TABLE III.** Level of maternal occupational exposure to indoor cleaning agents\* and risk of asthma before age 10 years in offspring†

Exposure time categories for levels of exposure	n asthma/n total	Adjusted model,‡ OR (95 % CI)
Maternal occupational exposure	dotimie/ ii total	011 (00 % 01)
n	234/3318	3301
Medium exposure§		
Timing of exposure		
No jobs with exposure to indoor cleaning agents¶	127/2011	1
Exposure started the year of offspring's birth or before	34/415	1.32 (0.84-2.08)
Exposure only after offspring's year of birth	12/239	0.81 (0.42-1.58)
High exposure#		
Timing of exposure		
No jobs with exposure to indoor cleaning agents¶	127/2011	1
Exposure started the year of offspring's birth or before	42/422	1.83 (1.18-2.83)
Exposure only after year of offspring's birth	19/231	1.52 (0.85-2.71)

Boldface indicates statistical significant associations at significance level 0.05.

OR, Odds ratio.

OR, Odds ratio.

<sup>\*</sup>According to the ISCO-88 job code and the OAsJEM.

<sup>†</sup>Analyzed using mixed-effects logistic regression with random intercept for study center and mother.

<sup>‡</sup>Crude estimates with random intercept for study center and clustering by family in case of multiple offspring from the same mother.

Adjusted for mother's level of education (primary, secondary, and college/university). Fully adjusted model identified by directed acyclic graphs.

Adjusted for mother's level of education and maternal smoking in 3 categories (no smoking, during pregnancy and/or childhood, during childhood).

Adjusted for mother's level of education, maternal smoking in 3 categories (no smoking, during pregnancy and/or childhood, during childhood) and maternal ever asthma.

#Mothers with at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to the ISCO-88 job code and the OASJEM.

<sup>\*\*</sup>Excluding 65 offspring with asthma without nasal allergies.

<sup>\*</sup>According to the ISCO-88 job code and the OAsJEM.

<sup>†</sup>Analyzed using logistic regression with adjustment for study center as a covariate and clustered robust SEs to account for clustering of siblings within mothers.

<sup>‡</sup>Adjusted for mother's level of education (primary, secondary, and college/university).

Low to moderate probability or low-intensity exposure to indoor cleaning for each ISCO-88 job code according to the OAsJEM.

<sup>||</sup> Few cases in some exposure groups prevented a more detailed timing of exposure categorization than the year of offspring's birth or before vs after offspring's year of birth.

\*Mothers with at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to the ISCO-88 job code and the OAsJEM.

<sup>&#</sup>x27;Mothers with at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to the ISCO-88 job code and the OASJEM.

#High probability of exposure and moderate- to high-intensity exposure to indoor cleaning for each ISCO-88 job code according to the OASJEM; with or without medium exposure.

**TABLE IV.** Mother's occupational exposure to indoor cleaning agents\* and risk of asthma before age 10 years in subgroups of offspring—male offspring, female offspring, and offspring with nonsmoking mother†

Exposure time categories for offspring subgroups	n asthma/ n total	Adjusted model,‡ OR (95 % CI)
Male offspring		
n	121/1418	1411
Timing of exposure§		
No jobs with exposure to indoor cleaning agents	67/854	1
Exposure started the year of off- spring's birth or before	39/366	1.38 (0.89-2.13)
Exposure only after year of offspring's birth	15/198	1.05 (0.57-1.92)
Female offspring		
n	113/1900	1890
Timing of exposure§		
No jobs with exposure to indoor cleaning agents	60/1157	1
Exposure started the year of off- spring's birth or before	37/471	1.49 (0.95-2.36)
Exposure only after year of offspring's birth	16/272	1.14 (0.62-2.08)
Offspring with nonsmoking mother¶		
n	150/2143	2137
Timing of exposure§		
No jobs with exposure to indoor cleaning agents	83/1355	1
Exposure started the year of off- spring's birth or before	51/538	1.60 (1.09-2.36)
Exposure after year of offspring's birth	16/250	1.15 (0.64-2.06)

Boldface indicates statistical associations at significance level 0.05.

#### DISCUSSION

This 2-generation study finds that maternal occupation that involved exposure to indoor cleaning agents (cleaning products/ detergents and disinfectants), when starting before conception, was associated with increased risk for childhood asthma in the offspring. This was found consistently for asthma, asthma with nasal allergies, and wheezing and/or asthma, and for offspring of nonsmoking mothers. There was modest evidence of an association with exposure that started around conception and pregnancy. Preconception exposure (suggesting germ cell impact) could not be definitely separated from exposure in utero (suggesting a direct effect on the fetus). Our hypothesis-generating results suggest potential impact of preconception exposure, because the estimates for exposure only before conception (small sample) were of crudely similar magnitude as estimates for preconception exposure continuing after conception (large sample). The associations appeared to be stronger with higher versus medium maternal exposure. Estimates were similar in male and female offspring, and fairly consistent with adjustment for maternal educational level, maternal smoking, and maternal asthma. Maternal exposure starting after birth of the offspring showed no clear associations with offspring outcomes, giving additional support to a specific role of preconception exposure rather than confounding.

To our knowledge, this is the first study that addresses potential offspring health impact of mother's preconception occupational exposure to cleaning products and disinfectants. Our findings are to some extent supported by a recent article suggesting increased risk for early-onset asthma if the mother had been occupationally exposed to allergens and reactive chemicals both before and after the offspring's year of birth.<sup>38</sup> This analysis of the RHINESSA/RHINE/ European Community Respiratory Health Survey cohorts studied 4 groups of occupational exposures, including 20 of the 30 asthmagens defined by the OAsJEM,<sup>32</sup> but not the JEM category "indoor cleaning," which is the focus of the present analysis. Furthermore, a recent analysis found higher asthma risk in offspring if mothers had been exposed to air pollution before age 18 years. 20 A role of preconception occupational exposure through the paternal line was suggested in an analysis of fathers' exposure to welding and metal fumes, showing increased asthma related to preconception exposure but no effect of postnatal exposure. 18 Indirect support to a role of preconception occupational exposure in childhood asthma is provided by a Swedish registry study that found that several occupations, in which the employees most likely would have been exposed to cleaning agents, were associated with an increased risk of hospitalization for childhood asthma in offspring.26

Emerging evidence from animal and human studies supports that environmental and occupational exposures might cause epigenetic changes that might be transmissible to offspring. <sup>14-22,39</sup> Such environmentally induced epigenetic alterations must be present in the germline to be transmissible to the next generation. <sup>13,24</sup> Alterations passed through the germline might result in inherited changes in gene expression in offspring in any kind of tissue and hence induce alteration in various tissues and cell types with impact on offspring phenotype. <sup>13,16,40</sup> With regard to cleaning agents, many have lipophilic properties and may accumulate in the future mother's fat tissue; thereby, such agents may constitute a continuous internal source of exposure because the accumulated chemicals subsequently are slowly released into the mother's bloodstream. <sup>41</sup>

Another potential mechanism for our findings could involve the microbiome. We speculate that maternal exposure to cleaning chemicals and disinfectants could influence the maternal microbiome, which in turn could influence her germline cells and thereby future offspring. Alternatively, the exposure could possibly contribute to persistent changes in the mother's microbiome, which may impact gestational biology directly. To our knowledge, there is no relevant literature exploring effects mediated by the microbiome across generations, but it is an interesting question for future research.

The increased risk for offspring asthma related to maternal exposure *during pregnancy* is biologically plausible, and supported by a rich literature showing that an unfavorable intrauterine environment may increase the risk of developing diseases later in life. <sup>42,43</sup> A Danish birth cohort study found that prenatal and postnatal maternal occupational exposure to low-molecular-

OR, Odds ratio.

<sup>\*</sup>According to the ISCO-88 job code and the OAsJEM.

<sup>†</sup>Analyzed in separate models using logistic regression with adjustment for study center as a covariate and clustered robust SEs to account for clustering of siblings within mothers.

<sup>‡</sup>Adjusted for mother's level of education (primary, secondary, and college/university).

<sup>§</sup>Few cases in some exposure groups prevented a more detailed timing of exposure categorization than the year of offspring's birth or before vs. after offspring's year of birth. [Mothers with at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to the ISCO-88 job code and the OAsJEM.

Mother did not smoke when pregnant with them or during their childhood.

weight agents and irritants was associated with asthma in 7-year-old offspring. <sup>25</sup> A British birth cohort study found that maternal exposure to biocides/fungicides during and following pregnancy was associated with higher asthma risk in 7-year-old children. <sup>27</sup> Mother's domestic use of cleaning sprays during pregnancy was associated with increased risk of wheezing and lower respiratory tract infections during offspring's early life in an analysis of 4 Spanish birth cohorts. <sup>44</sup> Because most cleaning agents comprise low-molecular-weight chemicals and are lipophilic, they readily diffuse across the placenta, <sup>28</sup> and it seems plausible that they may affect the prenatal development of the airways. <sup>28,42</sup>

In the present study, the participants were born during the period 1962 to 1998. During this time interval, the chemical content, application devices, and cleaning methods changed considerably. Several studies have identified the use of cleaning sprays as an important risk factor for asthma and wheezing. To Unfortunately, our study could not investigate specific chemicals, cleaning methods, or time trends.

A major strength of the present study was the multicenter generational study design, with data from mothers and offspring from 2 separate but linked studies.<sup>30</sup> The mother provided information about her own occupational history in the RHINE II study, more than 15 years before the offspring provided information about their asthma and wheeze in the RHINESSA study. The multicenter design increases the external validity of the findings but may also introduce random error due to random differences between study centers, which would reduce observed associations toward the null.

The exposure assessment in relation to the birth year of the offspring represents another important strength of the study. Data included full parental occupational history comprising up to 10 ISCO job codes<sup>31</sup> for each mother with start- and stop-years, and OASJEM classification of all these job codes with respect to exposure to indoor cleaning products and disinfectants.<sup>32</sup> These 2 measures, together with the birth year of the offspring, enabled us to model maternal occupational exposure into 4 time windows of interest. This is quite unique in a human study.

An inherent limitation in a human study is the lack of ability to disentangle the immediate preconception from the intrauterine time window. Women starting in a job before conception will often continue into other time windows, and probably few would quit an exposure immediately after conception. The modeling of time windows was also limited by the 1-year time resolution in employment period and offspring year of birth; thus, the category "Exposure started around the time of conception and pregnancy" included 3 to 15 months before conception. However, by defining "Exposure only before conception" as mother's occupational exposure that had ended 2 years or more before year of birth for each child (median, 7 years), this subcategory was well separated from the exposure category in utero. Because the association estimates were of similar magnitude for this subcategory and the subcategory in which the exposure started preconception and continued, a preconception effect seems likely.

Defining asthma in epidemiological studies implies some degree of misclassification. We analyzed 3 outcome variables capturing different aspects of asthma: asthma, asthma with nasal allergies, and wheezing and/or asthma. Reassuringly, these outcomes gave consistent results. The retrospective questionnaire data imply an inherited risk of recall bias when reporting childhood asthma back in time. However, both types of

misclassification in definition of the outcomes, reported by the offspring themselves, are unlikely to be related to start- and stop-years of a range of different jobs reported by their mother in another survey. Thus, such misclassification is likely to have attenuated observed results but not to have produced spurious results.

The mothers' occupational exposure was based on job title and a JEM, and not self-reported exposure or personal measurements of exposure. This implies both limitations and strengths. Exposure measurements would be impossible in this setting because the exposure is back in time; furthermore, cleaning products and disinfectants constitute a mixed exposure with multiple constituents. We used an asthma-specific JEM32 specifically designed for this kind of studies, based on an expert evaluation step to define the category "indoor cleaning." The JEM thus defines group averages of exposures, rather than exposure in each individual. Studies using a JEM are prone to a Berkson-type error, which in theory causes nearly unbiased effect estimates, but at the expense of loss of statistical power. 11 Thus, misclassification in exposure is likely to cause less precise results, but it is unlikely that our results are caused by information bias, while they might be underestimated because of nondifferential misclassification bias. Confounding by other occupational exposures that are correlated to exposure to indoor cleaning agents seems unlikely because exposure to cleaning and disinfectants was found in many different occupations (see Table E1). Rest confounding by socioeconomic status is possible. However, the variety in occupations with exposures to cleaning products and disinfectants reduces the chance for such confounding. Together with the adjustment for maternal education, we consider the risk of rest confounding by socioeconomic status to be relatively low. Rest confounding by smoking is unlikely because the results were consistent in offspring of never-smoking mothers.

Defining a reference group is critical in epidemiological studies involving occupational exposure. In this study, we compared offspring whose mother had been occupationally exposed to cleaning products and disinfectants with all other working mothers. Thus, the control group included offspring whose mother might have been occupationally exposed to any other of the 30 asthmagens in the OAsJEM<sup>32</sup> as well as persons with no such exposure. We considered the group of persons exposed to none of the 30 asthmagens more subject to selection bias, for instance, with regard to socioeconomic conditions, and therefore less appropriate as reference category. The group that was exposed only after the offspring's year of birth constitutes a different comparison group, which would have similar characteristics except the onset of exposure in relation to the birth year of a particular offspring. This group showed a null effect, strengthening the interpretation of a preconception/prenatal effect rather than shared environment.

Even though causality cannot be inferred from a single study, we speculate that the associations we observed in this study might possibly be causal. 46 Maternal occupational exposure preceded the onset of offspring disease, and a biological gradient is suggested. In addition, our results are consistent with the emerging understanding of intergenerational inheritance 16,39 and of how an unfavorable intrauterine environment might cause diseases later in life, 42,43 supporting the biological plausibility of our findings. Misclassification error is likely to be nondifferential, but unknown/unmeasured confounding cannot be ruled out.

#### Conclusions

We find a consistent association between mother's use of cleaning products and disinfectants at work that started before conception, and offspring asthma, in this 2-generation study. This is the first human study addressing offspring respiratory health effects of maternal preconception occupational exposure to cleaning products and disinfectants. Our hypothesis-generating study adds substantially to the emerging understanding of intergenerational effects. The use of cleaning products and disinfectants is widespread, not least in women of childbearing age. Our findings on adverse health effects of preconception exposure to such products adds a new dimension to the growing concern about health effects of cleaning agents. Because of potentially vast implications of this, there is an urgent need for focused mechanistic research and replication in human studies.

#### Data availability statement

The data set is held and managed by the RHINESSA study coordinating center at the Department of Occupational Medicine, Haukeland University Hospital, Bergen, Norway. Data cannot be made freely available because they are subject to Norwegian Data Protection regulations, but deidentified data can be made available to researchers on request. Requests for data can be sent to the principal investigator of the RHINESSA study: Cecilie Svanes, cecilie.svanes@helse-bergen.no.

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#### Key messages

- This study finds more childhood asthma outcomes if the mother had held a job that included exposure to cleaning products/detergents and disinfectants before conception.
- The findings appeared to be stronger with higher versus medium exposure to indoor cleaning agents, and were consistent for 3 asthma outcomes, in offspring of neversmoking mothers, and on adjustment for potential confounding variables from 2 generations.
- The study raises concern for adverse health effects of cleaning products and disinfectants, even in the next generation, and supports the paradigm that exposures before conception may have an impact on offspring phenotype in humans.

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#### **METHODS**

#### Study population

In this study, we used data from 2 linked surveys: (1) RHINE II (www. rhine.nu), a postal questionnaire follow-up of stage I of the European Community Respiratory Health Survey, which included randomly selected population-based samples of participants in more than 30 centers in Europe; and (2) RHINESSA (www.rhinessa.net), a questionnaire survey on offspring of the RHINE participants. E1

RHINE II included data on persons born from 1945 to 1973 from 7 centers in Northern Europe (Bergen in Norway, Umeå, Gothenburg, and Uppsala in Sweden, Aarhus in Denmark, Reykjavik in Iceland, and Tartu in Estonia). This survey, which took place from 1999 to 2001, comprised a full occupational history.

Our study population consisted of adult offspring who had participated in the RHINESSA study, but restricted to those who had a mother with at least 1 job lasting for at least 6 months and who had participated in the RHINE II study. The RHINESSA study was conducted in the period 2013 to 2016. In total, there were 4289 adult offspring of female participants in RHINE II, born before 1999. After excluding participants due to missing information on critical variables (Fig 2 in the main article), the final study population comprised 3318 adult offspring, of which 1307 had a mother with at least 1 job that involved exposure to indoor cleaning agents. The control group (n = 2011) included adult offspring whose mother had held at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents.

#### Exposure in mother

**Characterization of exposure.** RHINE II comprised a full maternal occupational history, including start- and stop-year for each job, based on the question "List your jobs including branch, your work tasks and the period of employment. Periods shorter than six months need not to be specified. Employment also includes work done by people with their own company." All jobs were coded according to ISCO-88. <sup>E2</sup>

An OAsJEM that considers exposure to 30 specific sensitizers/irritant agents was used to characterize the mothers' occupational exposure to indoor cleaning agents. E3 In the OAsJEM, an expert group previously assessed the exposure to each of the 30 agents for all job codes in ISCO-88. E2 The JEM category "indoor cleaning" was defined as exposure to cleaning products/detergents or low/intermediate-level disinfectants. E3 A total of 21 different job codes in ISCO-88 included exposure to indoor cleaning agents; from cleaners in offices, hotels, and other establishments, building caretakers, domestic helpers and cleaners, health care personnel, institutional-based and homebased personal care workers, and hairdressers, to food handlers such as butchers, cooks, and waiters (Table E1). In the OAsJEM, the job codes were classified into 3 exposure-level categories: (1) "High" (high probability of exposure and moderate to high intensity); (2) "Medium" (low to moderate probability or low intensity); and (3) "Unexposed" (Table E1). For the main analyses, maternal exposure was defined as having held at least 1 job with high or medium exposure to indoor cleaning agents, lasting for 6 months or more.

The control group included offspring whose mother had held at least 1 job for at least 6 months, but not been occupationally exposed to indoor cleaning agents, according to ISCO job code and OAsJEM. However, the mother might have been occupationally exposed to any other of the 30 agents in the OAsJEM.<sup>E3</sup>

**Time windows.** In this observational study, it was impossible to establish mutually exclusive groups for maternal occupational exposure to indoor cleaning agents because most occupationally exposed women had been exposed during more than 1 single time window. Even if the first exposed job ended before conception, there were often later exposed jobs for the same woman. Based on the theoretical model (Fig 1 in the main article) and modeling of different real-life scenarios for maternal lifetime occupational exposure (Fig 3 in the main article), we decided to categorize maternal occupational exposure according to when her first exposed job started.

Preconception exposure was defined as mother's occupational exposure to indoor cleaning agents that had started 2 years or more before year of birth for each child. Because we suspected that the mothers whose exposure also stopped within this time window differed from the mothers whose exposure continued, we decided to split the preconception category into 2 subcategories: Exposure only before conception and Exposure starting before conception and continued. This resulted in a total of 5 exposure categories: (a) No occupational exposure to indoor cleaning agents; (b) Exposure only before conception (= scenario 1 in Fig 3 in the main article); (c) Exposure started before conception and continued (= scenario 2); (d) Exposure started around the time of conception and pregnancy (scenario 3); (e) Exposure only after year of birth (= scenario 4). Occupational exposure around the time of conception and pregnancy was crudely assessed as exposure starting during the offspring's birth year or the year before birth for each child, because we only had start- and stop-year and not exact start- and stop-dates for each job. For different women, exposure in this time window included 3 to 15 months preconception exposure and up to 12 months exposure during offspring's infancy. For the Exposure only after birth year category, the mother's exposure to indoor cleaning agents started the year after the child's birth year, or later. In all the exposure groups, the mother could have several consecutive jobs (up to 10) with different exposure levels to indoor cleaning agents, or only 1 single job with such exposure.

To investigate whether level of exposure influenced the outcome, we also made a categorical variable in which we incorporated the level of exposure, according to the OAsJEM, for each woman. E3 A mother with a combination of jobs with high and medium exposure was categorized as having high exposure, and start of exposure was defined as the start-year for the first exposed job regardless of the level of exposure in this job. Because of few cases, we combined the time windows before conception and time around conception and pregnancy in this variable, giving the following 5 exposure-level categories: (1) No occupational exposure to indoor cleaning agents; (2) Medium exposure starting the year of birth or before; (3) High exposure starting the year of birth or before; (4) Medium exposure, only after year of birth; (5) High exposure, only after year of birth.

#### Health outcomes in offspring

The RHINESSA study provided information given by offspring about their own asthma, allergies, and wheeze (www.rhinessa.net).

Childhood asthma was defined as an affirmative answer to the question "Do you have, or have you ever had asthma?" and a self-reported age less than 10 years on the question "How old were you when you first experienced asthma symptoms?" Children with nasal allergies were defined as the above, plus a confirmation to the question "Have you ever experienced nasal symptoms such as nasal congestion, rhinorrhea (runny nose), and/or sneezing attacks without having a cold?"

Childhood wheeze was defined as an affirmative answer to the question "Have you ever had wheezing or whistling in your chest?" and a self-reported age less than 10 years on the question "How old were you when you first noticed wheezing or whistling in your chest?" The outcome "Wheezing and/or asthma before age 10" was defined as an affirmative answer to either childhood asthma, or childhood wheeze, or both.

Age 10 years was set as cutoff to distinguish childhood asthma and wheeze from asthma with onset in puberty or adulthood because the latter 2 may be related to other causes and/or biased by other exposures, such as offspring's own smoking and occupational exposure.

#### Statistics

Descriptive statistics for the study population were calculated as mean and SDs for continuous variables, and counts and percentages for categorical variables. Differences in characteristics between offspring of exposed and nonexposed mothers were tested using linear regression for continuous outcomes, logistic regression for binary outcomes, and multinomial logistic regression for categorical outcomes. Clustered robust SEs were used to account for clustering of siblings within mothers.

Association between timing of exposure to indoor cleaning agents and risk of asthma before age 10 years in the offspring was analyzed using mixed-effects logistic regression with random intercept for study center and mother and reported as odds ratios with 95% CIs. The same method was applied for asthma before age 10 years with nasal allergies, and wheezing and/or asthma before age 10 years.

For models with stratification on offspring's birth cohort, offspring's sex, and mother's smoking, the mixed-effect logistic regression failed to converge because of the low number of outcomes within each exposure category, and we therefore applied ordinary logistic regression with adjustment for study center as a covariate and clustered robust SEs to account for clustering of siblings within mothers. In models with stratification on sex and mother's smoking, we also collapsed the 3 exposure categories for exposure before and during pregnancy into 1 category.

Association between the 5-category variable with level and timing of exposure and risk of asthma before age 10 years in the offspring was analyzed using mixed-effects logistic regression with random intercept for study center and mother.

Two-sided tests with a significance level of 5% were used in all analyses. All analyses were performed in STATA version 15 (StataCorp LLC, College Station Tex)

#### Confounders/adjustment

Potential confounders in the association between mother's occupational exposure to indoor cleaning agents and offspring asthma and wheeze were identified a priori on the basis of previous studies, E<sup>4-E10</sup> and by using directed acyclic graphs<sup>E4,E11</sup> in the online tool http://www.dagitty.net/dags.html. Variables that had the potential to be associated with the exposure of interest and to cause childhood asthma/wheeze, such as parental educational level, asthma, and smoking, were considered for inclusion in the model (Fig E1). According to the online tool, which specifies minimal sufficient adjustment sets, the fully adjusted model indicated adjustment for maternal education only. <sup>E4,E11</sup>

Information on mother's educational level was reported by the offspring in the RHINESSA study.

#### **Ethics**

This study was approved by regional committees of medical research ethics in each study center according to national legislations. A written informed consent was obtained from each participant before participation.

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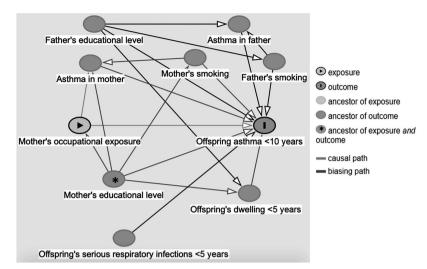


FIG E1. Directed acyclic graph (DAG) identifying potential confounders in the association between mother's occupational exposure to indoor cleaning agents and offspring asthma, based on previous studies. The DAG is created by the online DAGitty software, which is available at www.dagitty.net.

TABLE E1. ISCO-88 job codes\* including exposure to indoor cleaning agents,† and corresponding exposure-level categories†

3-digit ISCO code (minor group)*	4-digit ISCO code (unit group)*	ISCO minor group or unit group description	Exposure level‡	N
	2230	Nursing and midwifery professionals	Medium	172
	3231	Nursing associate professionals	Medium	148
512		Housekeeping and restaurant services workers	Medium	2
	5121	Housekeepers and related workers	Medium	13
	5122	Cooks	Medium	94
	5123	Waiters, waitresses, and bartenders	Medium	56
513		Personal care and related workers	Medium	21
	5131	Child-care workers	Medium	267
	5132	Institution-based personal care workers	High	429
	5133	Home-based personal care workers	Medium	170
	5139	Personal care and related workers not elsewhere classified	Medium	17
	5141	Hairdressers, barbers, beauticians, and related workers	Medium	53
	5143	Undertakers and embalmers	Medium	3
	7411	Butchers, fishmongers, and related food preparers	Medium	17
913		Domestic and related helpers, cleaners, and launderers	Medium	1
	9131	Domestic helpers and cleaners	High	17
	9132	Helpers and cleaners in offices, hotels, and other establishments	High	295
	9133	Hand-launderers and pressers	Medium	7
914		Building caretakers, window and related cleaners	Medium	0
	9141	Building caretakers	Medium	45
	9142	Vehicle, window, and related cleaners	Medium	5

<sup>\*</sup>ISCO-88's hierarchical structure consists of 10 major groups at the top level of aggregation, subdivided into 28 submajor groups, 116 minor groups, and 390 unit groups. †According to the OAsJEM.

<sup>‡</sup>High: high probability of exposure and moderate to high intensity. Medium: low to moderate probability or low intensity, both according to the OAsJEM.

TABLE E2. Analyses stratified by year of birth for the child: Association\* between timing of exposure† and asthma before age 10 years in the offspring (N = 3318)

Exposure time categories for birth cohorts	n asthma/N total	Crude model,‡ OR (95 % CI)	Model 2,§ OR (95 % CI)
Year of birth 1963-1979			
n		1013	1004
Timing of mother's occupational exposure			
No jobs with exposure to indoor cleaning agents	24/642	1	1
Exposure only before conception	0/12	_	_
Exposure started before conception and continued	7/125	1.48 (0.61-3.58)	1.53 (0.62-3.76)
Exposure started around the time of conception or pregnancy	3/36	2.34 (0.67-8.22)	2.34 (0.67-8.22
Exposure only after year of birth	11/253	1.17 (0.56-2.44)	1.21 (0.56-2.59
Year of birth 1980-1998			
n		2250	2242
Timing of mother's occupational exposure			
No jobs with exposure to indoor cleaning agents	103/1369	1	1
Exposure only before conception	12/138	1.10 (0.56-2.13)	1.10 (0.57-2.14
Exposure started before conception and continued	48/485	1.27 (0.88-1.85)	1.28 (0.87-1.87
Exposure started around the time of conception or pregnancy	6/41	1.95 (0.80-4.77)	1.97 (0.81-4.81
Exposure only after year of birth	20/217	1.21 (0.72-2.04)	1.27 (0.75-2.14

OR, Odds ratio.

<sup>\*</sup>ORs are estimated using logistic regression with clustered robust SEs to take into account clustering of siblings. Study center is included as an adjustment variable in all models. †According to the ISCO-88 job code and the OAsJEM.

<sup>‡</sup>Adjusted for study center.

§Adjusted for mother's level of education (primary, secondary, and college/university). Fully adjusted model identified by directed acyclic graphs.

<sup>||</sup>Mothers with at least 1 job for 6 months or more, but with no occupational exposure to indoor cleaning agents according to the ISCO-88 job code and the OAsJEM.



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