

**Long-term health effects of outdoor air pollution on asthma and respiratory symptoms: a  
systematic review and meta-analysis**

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**2023**

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This thesis is submitted in partial fulfillment of the requirements for the degree of  
Master of Philosophy in Global Health at the University of Bergen  
(60 ECTS credits)

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University of Bergen, Norway  
2023

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## **List of Abbreviations**

WHO: World Health Organization

LMICs: Low-and middle-income countries

DALYs: Disability-adjusted life-years

PM: Particulate matter

COPD: Chronic obstructive pulmonary disease

NO<sub>2</sub>: Nitrogen dioxide

ppm: Parts per million

CO: Carbon monoxide

O<sub>3</sub>: Ozone

SO<sub>2</sub>: Sulfur dioxide

BC: Black carbon

VOCs: Volatile organic compounds

AQG: Global air quality guidelines

ESCAPE: European Study of Cohorts for Air Pollution Effects

PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analyses

PROSPERO: International Prospective Register of Systematic Reviews

PECOT: Population Exposure Comparator Outcomes Timing

PECOS: Population Exposure Comparator Outcomes Study design

ROBINS-E: Risk of Bias in Non-randomized Studies of Exposure

CoE: Certainty of Evidence

GRADE: Grading of Recommendations Assessment, Development and Evaluation

RoB: Risk of Bias

CI: Confidence Interval

## **Acknowledgment**

I am very grateful to my main supervisor Professor Ane Johannessen for her unwavering supervisory support in grooming me as an upcoming researcher and also for giving me the opportunity to attend the European Respiratory Society Congress in Barcelona, Spain in 2022 as her first master's student to participate in the congress. I am also grateful to her for my involvement in the Life- Greenness Air Pollution (Life-GAP) project as a scientific assistant. Special thanks to my co-supervisor Shanshan Xu for her support as the second reviewer, her assistance in the statistical analysis, and for drawing my attention to every detail of this review.

I would also like to acknowledge the contribution of the university librarian, Elisabeth Ebner, for her assistance with the electronic search strategies and suggestions for the relevant databases.

I am also thankful to my family of orientation, extended families, friends, and well-wishers who have prayed for me, encouraged me, and wished me well throughout this journey.

Lastly, I would like to express my sincere gratitude to my family of procreation for their sacrifices, love, patience, and understanding over the past two years of being in a different city. I hereby dedicate this piece of work to you my husband Arome, and my children Eny'Ojo, Ojonemile, and Ojonugwa. Above all, I am eternally grateful to the Trinity (God the Father, Son, and Holy Spirit).

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Masters in Global Health

(August 2021 to May 2023)



# 1 Introduction

## Air pollution overview

The problems of modern outdoor air pollution can be traced back to the 1950s in London where accretion of air pollution particularly sulphur dioxide and smoke reaching  $1,500 \text{ mg/m}^3$ , led to a dramatic increase in the death rates of 4000 deaths in December 1952 (1, 2, 3). Afterward, extremely high levels of air pollution with subsequent adverse health effects were reported in New York City (about 400 deaths in 1963), Mexico City and Rio de Janeiro, Milan, Ankara, Melbourne, Tokyo, and Moscow (1).

Air pollution is ranked as the fourth largest risk factor for premature death worldwide (4). In particular, the low-and middle-income countries (LMICs) continue to experience high burdens of diseases attributed to air pollution (5). It was estimated that particulate matter ( $\text{PM}_{2.5}$ ) exposure have caused 4.2 million deaths and 103.1 million disability-adjusted life-years (DALYs) (6).

Pollution occurs when substances that have negative effects on humans and other living organisms are introduced into the environment. Toxic pollutants are in the form of solids, liquids, or gases that cause a deterioration in the quality of the environment (1). The effect of air pollution greatly affects people, especially those dwelling in large urban areas, where road emission is a major contributor to the deterioration of air quality. Also, industrial accidents may lead to the transmission of toxic fog which can be deadly to the people living around such places (1).

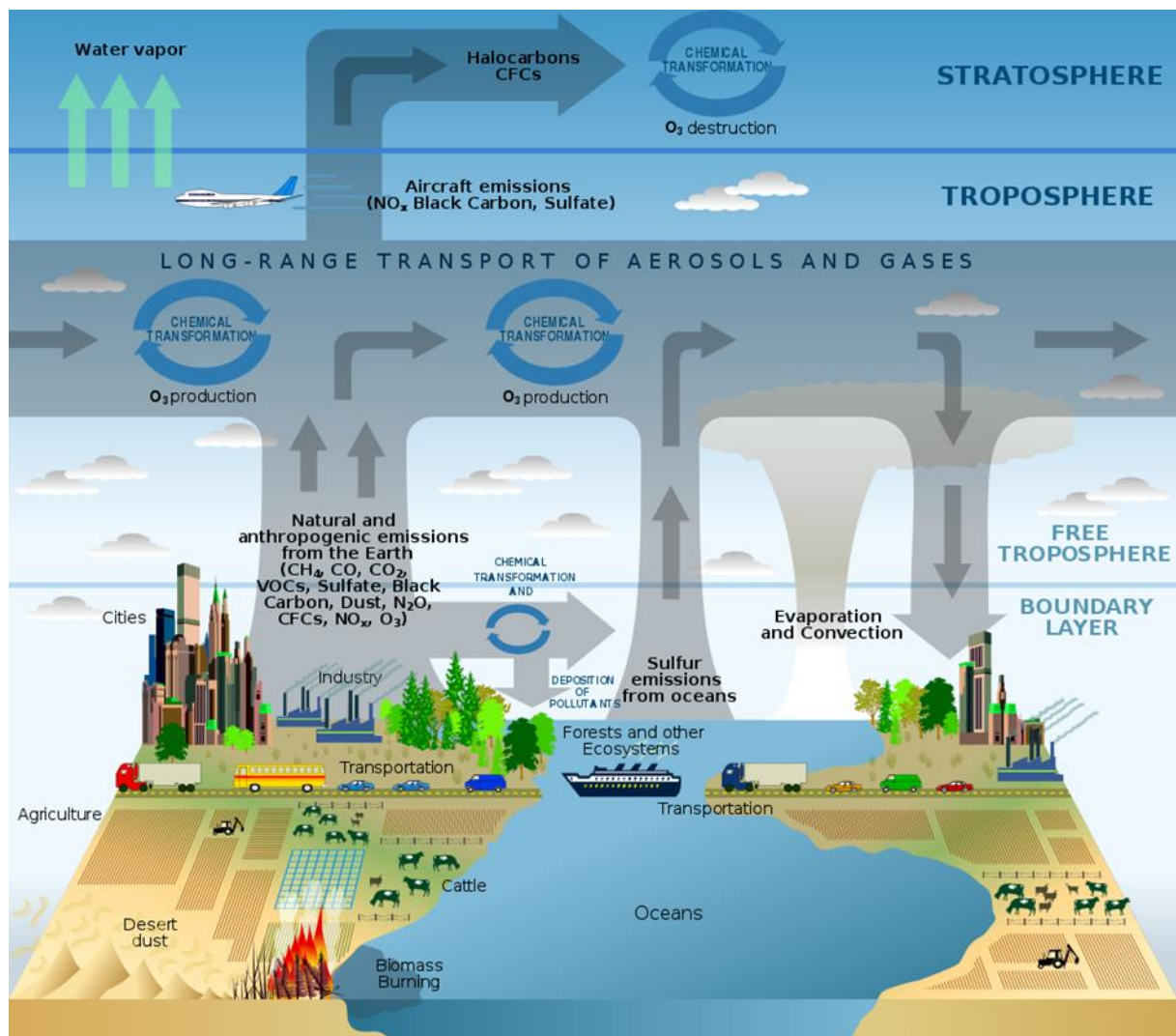
World Health Organization (WHO) defined air pollution as the contamination of the outdoor or indoor environment by any biological, chemical or physical agent that changes the natural features of the atmosphere (7). Duan et al. (8), acknowledged that air pollution results from the complex mixture of particles, gases, and vapors that emanate from natural and synthetic sources and are formed through photochemical transformation processes. Outdoor air pollution can be described as the existence of one or more substances in the atmosphere with duration and concentrations above the natural limits (9). Exposure to outdoor air pollution can lead to damage to several organs and systems of the human body, thereby severely affecting health. The respiratory tract is particularly susceptible to pollutants because it has direct exposure to the outside environment (8), and when a person breathes in pollutants, it causes oxidative stress, inflammation, immunosuppression, and mutagenicity in the cells of the body, thus, affecting and potentially causing diseases in the lungs, heart, brain, and other organs (10). Indoor air pollution occurs when

harmful pollutants such as particulate matter, carbon monoxide, and other pollutants are released inside the building for example through indoor fuel burning for heating and cooking (11). For this review, we will focus only on outdoor air pollution.

### **Sources of air pollution**

The WHO (10) reported that about 50% of the outdoor air pollution is due to household air pollution being discharged into the environment. This is because smoke leaks from doors, windows, and house chimneys. A major, most widespread, and significant source of air pollution exposure is the burning of biomass fuel such as raw plant material, dung, charcoal, wood, and crop residues that are either used for cooking or heating (28).

It has been established that the emission of most environmental pollutants is done through the performance of large-scale human activities, for instance, usage of industrial machines, combustion engines, cars, and power-producing stations as shown in **Figure 1**.



**Figure 1: Composition diagram showing the evolution/cycles of various elements in Earth’s atmosphere.**

Retrieved from TROPOMI. Data products [Available from: <http://www.tropomi.eu/data-products> (12).

Exhaust emissions from cars are the major causes of recent air pollution (1, 13). This has been well explained by the recent WHO technical report and is also in line with the reports by the United States Environmental Protection Agency (EPA), emphasizing that emissions from vehicles can lead to adverse health effects of people who dwell or work near the roads (14, 15, 16).

According to Schultz et al. (17), outdoor air pollution is comprised by different intricate mixtures of compounds which differ in concentration depending on sources, geography, topography, wind

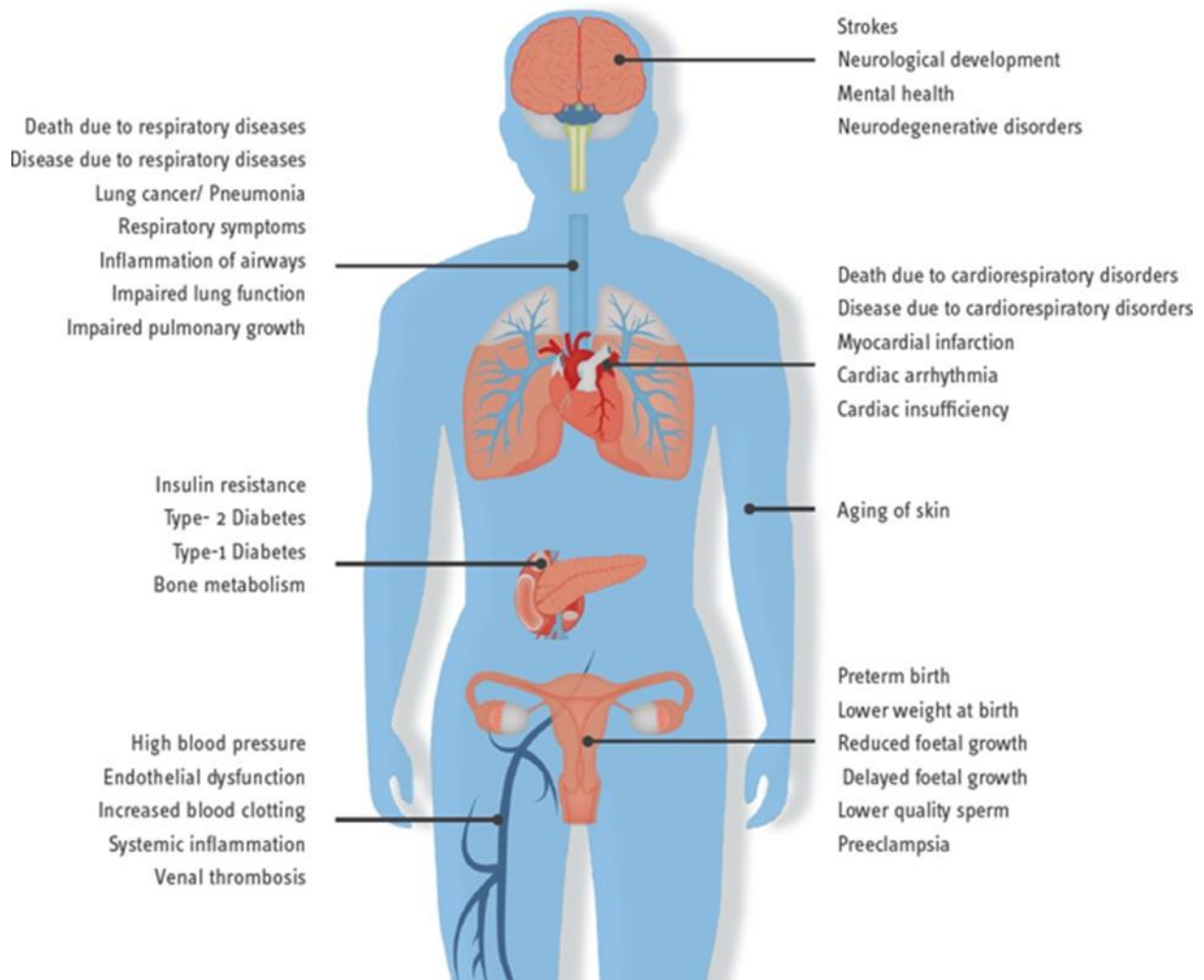
direction, and speed, relative humidity, temperature, and ultraviolet radiation. Since these pollutants often come from the same sources and are spread alike, it may be challenging to differentiate the significance of one pollutant from the other when studying their health effects (17).

Based on the classification system of air pollution as identified by Manisalidis et al. (1), the four main sources are;

1. Major sources: pollutants that are emitted from power stations, refineries, petrochemicals, chemical and fertilizer industries, metallurgical and other industrial plants, and incineration from the community (1).
2. Mobile sources: automobiles, cars, railways, airways, and other types of vehicles (1).
3. Natural sources: physical disasters such as volcanic erosion, forest fire, and agricultural burning (1)
4. Indoor area sources: domestic cleaning activities, printing shops, dry cleaners, and petrol stations (1).

### **Health effects of air pollution**

Long-term consequences of air pollution are often related to the onset of chronic diseases and conditions and may have a lasting impairing effect on both individuals and society. Such health problems can be respiratory diseases, cardiovascular diseases, different types of cancers, and other disorders such as sense of smell impairment and irritation in the eyes, nose, and throat (1, 4, 7, 8, 21, 24, 30). For humans, health effects of air pollution depend on the type of pollutant, the duration and level of exposure, and other factors such as individual health risks and the cumulative impacts of several pollutants or stressors (4). **Figure 2** below shows an overview of how pollutants such as particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>), nitrogen dioxide (NO<sub>2</sub>), and black carbon (BC) cause negative impact on health.



**Figure 2: Overview of the health effects of air pollutants in the human body**

Retrieved from Peters et al., from the European Respiratory Society: The Health Impact of Air Pollution. 2019. <https://ers.app.box.com/s/81rilw1uyrj8kv24caowsy2hf7dv8nuz> (18).

### **Asthma and respiratory symptoms**

The term asthma has been defined in the paper. Guarnieri and Balmes (19) identified a major significant contributor to asthma to be urbanization which is closely linked to higher levels of outdoor air pollution. Furthermore, there is every likelihood for the global burden of asthma to increase due to ongoing rapid growth in population which is accompanied by increased outdoor air pollution in several urban areas in the developing countries especially China, India, and Southeast Asia.

It is challenging to figure out a particular, direct cause for asthma, but WHO (20) has identified certain factors that play a role in increasing the risk of asthma development.

- Genetic factor: a higher likelihood for the development of asthma if a close family member such as a parent or sibling has asthma.
- Allergic conditions such as eczema and rhinitis
- Multiple lifestyle factors linked with urbanization such as stress, lack of exercise, tobacco smoke, unhealthy diets.
- Some events or conditions during early life such as low birth weight, pre-mature birth, exposure to tobacco smoke and other sources of air pollution, viral respiratory infections.
- Exposure to a range of environmental allergens and irritants such as outdoor and indoor air pollution, moulds, house dust mites, and workplace exposure to chemicals, dusts, or fumes.
- Overweight and obesity.

A study conducted by Orellano et al. (21) showed that air pollution from NO<sub>2</sub>, and PM may increase incidence, prevalence, hospitalizations, or worsening asthma symptoms. Exposure to fine particles has been reported to have caused respiratory symptoms (such as wheeze, cough, and phlegm), reduced pulmonary function, and increased airway inflammation and responsiveness (22, 23). In the present study, asthma and respiratory symptoms were selected as health outcomes associated.

### **Types of air pollutants**

Diverse pollutants exist in the atmosphere, or on the ground but the pollutants that pose the strongest public health concerns are particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>), carbon monoxide (CO), ozone (O<sub>3</sub>), nitrogen dioxide (NO<sub>2</sub>), and sulfur dioxide (SO<sub>2</sub>) (24). For this review black carbon (BC), nitrogen dioxide (NO<sub>2</sub>), and particulate matter- PM<sub>2.5</sub> and PM<sub>10</sub> were selected as the pollutants of interest.

### **Particulate matter (PM)**

PM is a complex heterogeneous combination of soot, dirt, smoke, and liquid droplets from both natural and man-made sources. The respiratory system is normally the first point of entry for PM into the body even though particles are seen in several organs (25).

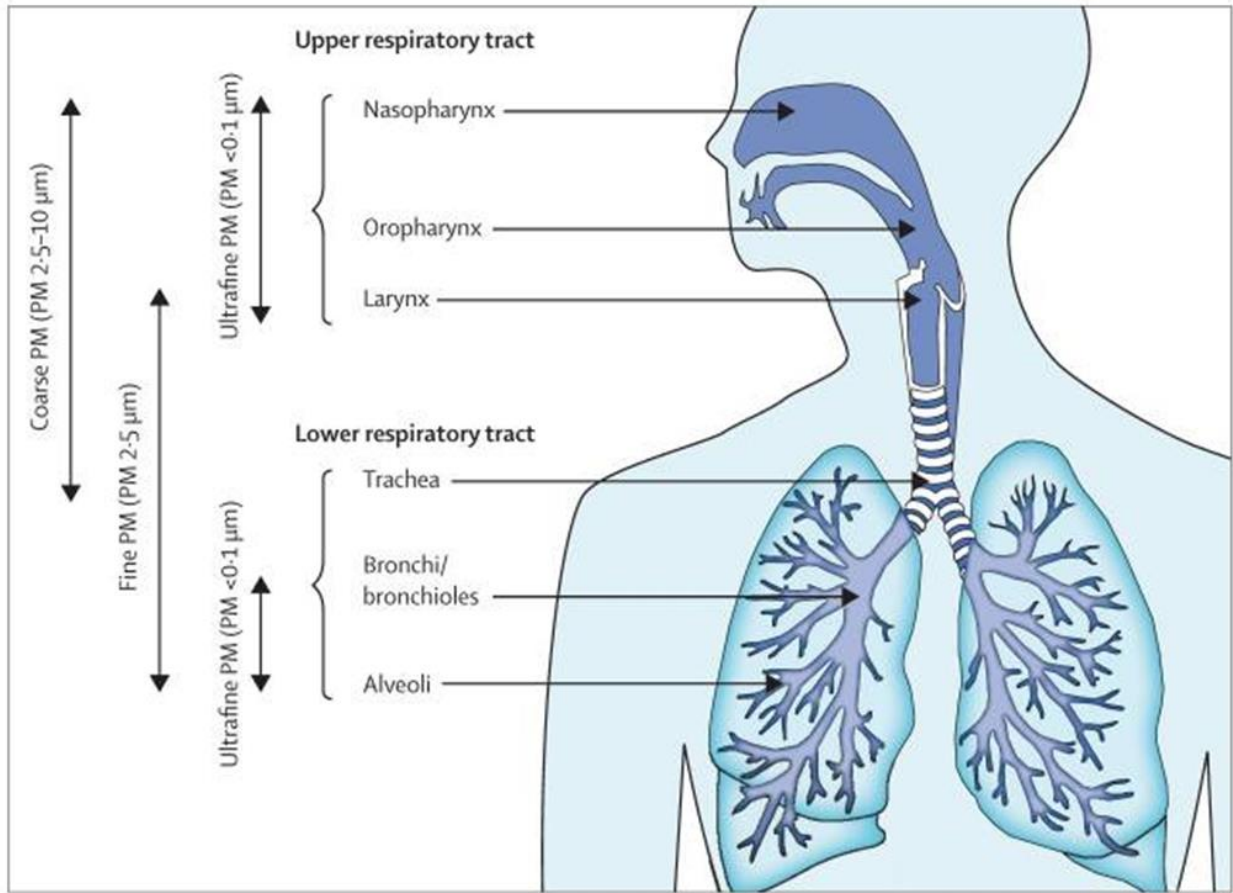
PM are very small particles that consist of mineral dust, black carbon, water, nitrates, sulphate, ammonia, and sodium chloride which are suspended in the air we breathe in (26).

PM can be either primary or secondary depending on the mode it is discharged into the environment. Primary particles are imported into the atmosphere directly from their sources such as combustion, road transport, and wind-blown soils. Secondary PM is due to chemical reactions among various primary particulates such as nitrogen dioxides, volatile organic compounds (VOCs), sulfur dioxides (SO<sub>2</sub>), and ammonia (27). Comparing primary PM to secondary PM, the chemical mechanisms involved in the composition of secondary PM are rather slow and thus, their persistence in the atmosphere is protracted (25, 28).

PM is classified based on the aerodynamic diameter it has significance for, especially at the point of deposition when breathed in. For instance as shown in **Figure 3** aerodynamic diameter of 2.5-10 µm of coarse PM is deposited primarily in the nose and broad conducting airways while PM<sub>2.5</sub> also known as fine PM is deposited everywhere in the respiratory tract and specifically in narrow alveoli and lower airways (19). Findings by Manisalidis et al. (1) show, in line with other epidemiological studies, that an association exist between PM and harmful health effects in both a short-term and long-term perspective. Due to the various chemical compositions and different ways of penetration in to the respiratory tract, the health impact of fine and coarse particles may vary (29). Park et al., (30) has pointed out that the size of the PM particles significantly determines their impact on airway inflammation. There is a negative relationship between the size of the PM and its level of toxicity in the lungs. The smaller the size of PM, the more adverse effect it will have. Another point worth taking note of by Duan et al., (8) is that PM can ingest other fungi, allergens, dust mites, microorganisms, and other pathogenic agents in the air which leads to more severe damage to the human body. Most existing studies focus on particulate matter (26) because they are the most significant source of health risks especially fine particulate matter since they are able to penetrate deep into the lungs, the bloodstreams, and travel to organs causing systemic damages to tissues and cells (10).

**PM<sub>10</sub>** are particles up to 10 µm in aerodynamic diameter which are coarse (31) and primarily accrues in the upper respiratory tract, for example larynx, pharynx, and nasal cavity (8). PM<sub>10</sub> after inhalation can penetrate the lungs (1). It is comprised mainly of crustal material, sea salt, and biological material (29).

**PM<sub>2.5</sub>** are fine particles with diameters 2.5 micrometers or less (1). PM<sub>2.5</sub> is also known as respirable particles because they have the ability to penetrate into the alveolar gas in the lungs and enter the bloodstream where they move to other parts of the body to cause potential harm to the heart, brain, and other organs (28).



**Figure 3: Compartmental deposition of particulate matter**

Retrieved from Guarnieri M, Balmes JR. Outdoor air pollution and asthma. *The Lancet*. 2014;383(9928):1581-92 (19).

### **Black carbon (BC)**

Black carbon is a component of fine particulate matter, a powerful warming agent in the atmosphere, and a major contributor to regional environmental disruption as well as an accelerator in the melting of glaciers (10). WHO (32) has defined black carbon as a dark, light-absorbing element of aerosols that has two parts of elemental carbon, that is the char-elemental carbon and soot-elemental carbon that are acquired from different combustion sources. Major sources of black



carbon especially in the cities are vehicle-related traffic from diesel-driven vehicles and domestic burning of wood or coal, and open biomass-burning. Ågren (33) was of the opinion that if people's exposure to black carbon is reduced, the adverse health effects linked to PM<sub>2.5</sub> would be reduced.

### **Nitrogen dioxide (NO<sub>2</sub>)**

NO<sub>2</sub> is usually produced from the process of combustion particularly in relation to transport, heating, and power generation (24, 34). Irritation of the airways and aggravation of the respiratory system may occur with inhalation of nitrogen dioxide. The characteristics of nitrogen dioxide include solubility in water, strong oxidant and reddish-brown color (10). Stieb et al. (35) pointed out that NO<sub>2</sub> is a generally known marker of traffic-related urban air pollution and also mirrors combustion in air from sources such as fossil fuel and industry powered electric power generating stations. It was also noted in their study that during the past 15-20 years, NO<sub>2</sub> outdoor concentrations have reduced markedly in Europe, Japan, North America, and South Korea whereas, in other parts of the world, high levels of NO<sub>2</sub> concentrations are still on the increase.

### **WHO air quality guidelines and response to air pollution**

The WHO Global air quality guidelines (AQG) have been largely used as a point of reference to assist decision-makers all around the world put in place standards and goal for the management of air quality (36). These guidelines offer global recommendation on thresholds and limits for important air pollutants that pose health risks. Although many countries have implemented legislation and public health interventions to reduce the emission of ambient air pollutants over the past decades, more than 99% of the world population still live in places where air quality does not meet the recently launched WHO 2021 air quality guideline (37). The WHO 2021 guidelines recommend annual average concentration of 5 µg/m<sup>3</sup>, 15 µg/m<sup>3</sup> and 10 µg/m<sup>3</sup> for PM<sub>2.5</sub>, PM<sub>10</sub> and NO<sub>2</sub>, respectively (38).

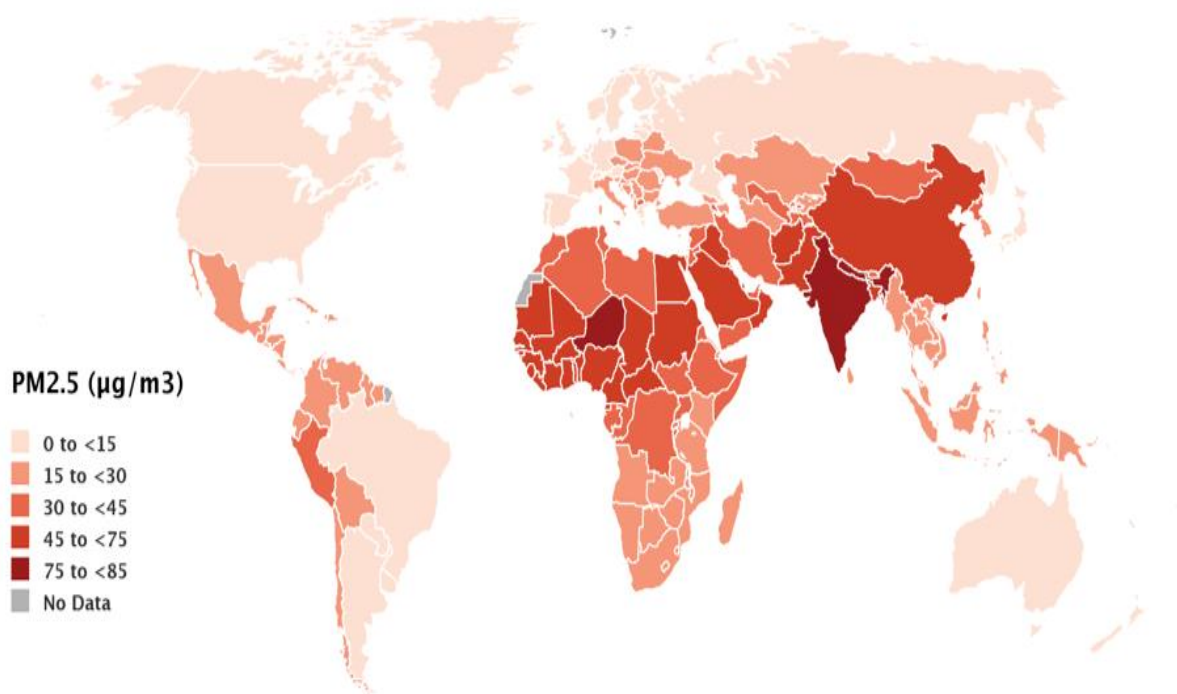
So far, WHO has put several measures in place to combat the growing problems of air pollution. These include the development and implementation of strategies to raise awareness on the health risks due to air pollution exposure, in addition to available solutions that can be used to alleviate the risks of exposure to air pollution (39). Another response by WHO is monitoring and reporting on global trends and changes in health outcomes in relation to measures taken to tackle air pollution at the national, regional and global levels (39).

## Context of air pollution in LMICs

This systematic review used the definition of LMICs from the World Bank Classification (5).

Air pollution problems are more severe in LMICs because of overpopulation and uncontrolled urbanization, and rapid ongoing industrialization (1, 40). Challenges with poor air quality are particularly severe in regions with social discrepancies and inadequate or no information on sustainable management of the environment (1).

As shown in **Figure 4** most of the population in LMICs are exposed to higher levels of PM<sub>2.5</sub> than high-income countries (HICs). However, despite these high exposures, there is a striking lack of literature from the African continent on outdoor air pollution. This is consistent with the findings of Katoto et al., (41, 42) that there is no sufficient documentation on the degree of the attributable risk of outdoor air pollution in LMICs.



**Figure 4: Global distribution of population weighted annual PM<sub>2.5</sub> concentrations for 2019 (HEI, 2020).**

Figure produced from <https://www.stateofglobalair.org/data/#/air/map> (last access: 10 December 2021) (43).

### **Lack of epidemiological studies of air pollution and respiratory health in LMICs**

The epidemiological studies of exposure to air pollution and respiratory health in LMICs are limited. Both air pollution burden and asthma disease burden are highest in LMICs, but although some studies exist, systematic overviews from LMICs are lacking, in particular for adult populations (44, 45, 46, 47). As emphasized also in the introduction of the systematic review paper which constitutes the core of the master thesis, an overview of air pollution in relation to asthma in LMICs could be an important tool to identifying knowledge gaps where more original research studies are needed.

## **2 Main aim**

The main aim of this study is to explore the association between long-term health effects of exposure to outdoor air pollution and asthma and respiratory symptoms among adults in LMICs. This systematic review is written as a scientific paper, targeted towards the Environmental Research Journal.

### **Specific objectives**

1. To determine the air pollution sources that are most prevalent in LMICs.
2. To identify the respiratory health consequences of long-term outdoor air pollution exposure in adults in LMICs.
3. To examine the importance of different air pollutants such as PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, and black carbon in causing these respiratory health consequences.

## **3 Methods**

As mentioned in the paper, this systematic review and meta-analysis was conducted according to the PRISMA 2020 (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) checklist (48). For a better understanding and to provide detailed explanation for each reporting item on the checklist, we used the PRISMA 2020 Explanation and Elaboration (49) as a guide. In addition to registering the study protocol in advance in International Prospective Register of Systematic Reviews (PROSPERO- CRD42022311326), we also wrote a protocol paper which has received a minor revision decision from PLOS ONE and recently been revised and re-submitted

accordingly. A final decision from PLOS ONE is expected shortly. The response letter to reviewers' comments can be found in Appendix 8.

### **Using PECOT to define the research question.**

An important area to be given consideration before embarking any research is the formulation of research question which aims to examine an existing ambiguity in areas of concern, pointing to a need for study (50, 51). Establishing a good research question is one of the first crucial steps in the research process particularly in the areas of health and social research where the systematic formation of knowledge can be employed to improve, strengthen, maintain, and/or protect the health of individuals and populations (50).

As suggested by Ratan et al. (50) and Tawafik et al. (51), the research question of systematic review and meta-analysis like every other study design should be feasible, interesting, novel, ethical, and relevant (FINER) as well as manageable, appropriate, with potential value and systematic [FINERMAPS according to Ratan et al. (50)]. With regards to this, the approach of describing the Population (animal species inclusive), Exposure, Comparator, Outcomes, and Timing (PECOT) as pillars of the research question is widely acknowledged to evaluate the association between exposures and outcomes (52). PECOT further describes the study design or the criteria for inclusion and exclusion for a review (52). In addition, the PECOT provides the framework from which studies are identified and selected for inclusion (53).

Schaefer and Myers (54), Morgan et al. (52), and Riva et al. (55) explicitly defined PECOT as:

**P** – Population: the population (human populations and animal species inclusive) one aims to recruit in the study

**E** – Exposure: what the study population is exposed to.

**C** – Comparator: the reference or control group that is non-exposed or exposed to concentrations below the level that causes the health effects of the exposure.

**O** – Outcome: the adverse effects that one hypothesizes may happen due to the exposure

**T** – Timing: the duration of the study period.

This review used PECOT mainly as described above but with the timing (T) integrated into the population, and an S added for “Study design”, formulating it into a PECOS framework. This has

been done previously by Zheng et al., (56), Dimala et al., (57), and Boogaard et al., (58), and we chose this framework for our review because it explicitly describes each component of the research question. Using PECOS, the following research question was formulated:

Does long-term exposure to air pollution increase the risk of asthma and respiratory symptoms among adults in LMICs as compared to adults with relatively low levels of exposure to air pollution?

**Eligibility criteria**

Eligibility criteria are conducted according to the PECOT approach, study design, and date. Inclusion and exclusion criteria for the systematic review in this thesis are presented in **Box 1** in the paper. The most important exclusion criteria were no relation with the topic of interest, duplicates, full texts unavailability, or abstract-only papers. Inclusion criteria entailed studies on the pre-defined target population, exposure, and comparison of outcomes across different levels of exposure.

**Assessment of risk of bias**

Search strategy, data management and screening, and data extraction is described in the systematic review paper. The quality assessment using the Risk of Bias in Non-randomized Studies of Exposure (ROBINS-E) is also briefly described in the paper.

A more thorough description on how the overall judgement is obtained in ROBINSE-E and the algorithm for reaching the judgement of this tool is displayed in Table 1 & Table 2 below.

**Table 1: ROBINS-E judgement and interpretations**

<b>Judgement</b>	<b>Interpretation</b>	<b>How it is reached</b>
Low risk of bias	There is little or no concern about bias regarding this domain	Low risk of bias except for concerns about residual confounding in Domain 1 and Low risk of bias in all other domains
Moderate risk of bias(some concerns)	There is some concern about bias regarding this domain, although it is not clear that there is an important risk of bias	At least one domain is at Some concerns, but no domains are at High risk of bias or very high risk of bias

High risk of bias	The study has some important problems in this domain: characteristics of the study give rise to a high risk of bias	At least one domain is at High risk of bias, but no domains are at Very high risk of bias <u>OR</u> Several domains are at Some concerns, leading to an additive judgement of High risk of bias
Very high risk of bias	The study is very problematic in this domain: characteristics of the study give rise to a very high risk of bias	At least one domain is at Very high risk of bias <u>OR</u> Several domains are at High risk of bias, leading to an additive judgement of Very high risk of bias

**Table 2: Algorithm for reaching judgement of whether bias threatens the conclusions.**

Judgement	How it is reached
Yes	<i>Yes</i> in any domains
No	<i>No</i> in any domains
Can't tell	At least one domain is <i>Can't tell</i> , but no domains are <i>Yes</i>

Table 1 & Table 2 were retrieved from ROBINS-E Development Group led by Higgins et al., (59).

### Meta-analysis

As far back as in 1930s, meta-analytical methods were used but in 1976, a researcher named Glass officially formed the term *meta-analysis* (60). Meta is a Greek word that means “after” or “beyond”; a meta-analysis is an “analysis of analyses”(61). Due to the continuous and increasing large amounts of new information emerging and being published, it has become unfeasible for healthcare practitioners to study and assess all accessible data in the healthcare sector. Furthermore, research findings from individual studies are usually not sufficient to draw clear conclusions. Hence, there is great need for an overview of the best evidence-based healthcare literature and this can be found in meta-analyses (61). Meta-analysis is defined as a method using

mathematical procedure to combine and summarize the findings of a particular outcome that are extracted from analogous empirical studies (49, 60, 61). Lee (61) further pointed out that a meta-analysis is an objective, quantitative synthesis of study results that raises the statistical power and precision for effect estimates through the combination of existing findings. The issue of limited sample sizes and insufficient statistical power are consequently overcome.

As described by Cheung and Vijayakumar (62) and Lee (61), a meta-analysis merges the effect sizes of the included studies by weighting the data in accord with the diverse amounts of data in each study, using one of two statistical methods. On one hand, the fixed effect model infers that all of the studies in the meta-analysis have one true effect size and the observed variation amongst studies is due to sampling errors or chance. The fixed effect model evaluates only intra-study sampling errors, that is, within-study variation. On the other hand, the random effect model assumes that various studies display considerable diversification, and the true effect size might range between studies. It also evaluates both intra-study sampling errors and inter-study variance, that is, between-study variation (61). Given the explicit description of meta-analysis models, the choice of which model to use is dependent on the presence or absence of heterogeneity. A fixed effect model is used if heterogeneity is absent, that is heterogeneity  $p \geq 0.10$  while a random effect model is recommended when there is a presence of heterogeneity, that is, the heterogeneity is significant ( $p < 0.10$ ) (61).

With the understanding of which model to use as described by Lee above, the DerSimonian and Laird random-effects methods for meta-analysis was employed in the present thesis. This is in line with other systematic reviews and meta-analyses related to this topic of interest (29, 30, 35, 63, 64). DerSimonian and Laird random effects model has been known to be the simplest and most widely used method for fitting the random effects model for meta-analysis (65).

### **Heterogeneity**

An important aim of a meta-analysis is to evaluate the presence of heterogeneity amidst primary studies and scrutinize the variance in the findings of the various studies. The degree of dissimilarity in the individual study findings is meta-analysis heterogeneity (61). The heterogeneity test assesses the null hypothesis, that there are no changes in the results of the primary studies. Lee (61) highlighted two major statistical tests that have been formulated to find and measure heterogeneity in meta-analysis.

- The Cochran's Q test is used to resolve whether significant differences are seen between primary studies or if the variation observed is because of chance. The Cochran's Q-value is calculated as the sum of the squared deviations of the estimate of each study from the overall estimate and comparing it afterwards with a chi-square distribution with  $\kappa-1$  degrees of freedom (df), where  $\kappa$  represents the number of studies (61). Lee (61) further noted that when the meta-analysis involves only a few studies, there may be unreliability in the Q test. So, a significance p-level of  $p < 0.10$  instead of the traditional 0.05 has been set to account for low statistical power and insensitivity in the Cochran's Q test.
  
- The  $I^2$  value is another generally used method for testing heterogeneity, it measures the impact of heterogeneity and is not dependent on the number of studies or the type of outcome data. The  $I^2$  values vary between 0% and 100%, and show the proportion of inter-study variability that is linked to heterogeneity instead of chance (61). The formula is  $[I^2 = 100\% \times (Q - df)/Q]$ .  $I^2$  value of 25% is considered as low, 50% is moderate while 75% is high (29, 61). This implies that if the P values were less than 0.10 in the Q-test and/or the  $I^2$  index was above 75%, then the pooled analysis will be regarded to be significantly heterogeneous (21).

### **Publication Bias**

Lee (61) defined publication bias as overestimate of the “real effect degree” of studies. Studies that have positive effects are more often published than those that do not have positive effects, and studies that show no significant findings often remain unpublished. Andrade (60) identified that one of the ways to pinpoint the potential presence of publication bias is asymmetry in a funnel plot. A funnel plot is a scatter plot with the x-axis showing the effect size and the y-axis shows the measure of study precision or sample size. The funnel plot is used graphically where at the bottom of the graph, the effect estimates of small studies will scatter usually across the base of the plot while the distribution of the larger studies will be narrower usually around the top of the plot as described (66). When there is no publication bias, a symmetrical inverted funnel is produced on the funnel plot where the included studies have scattered on both sides of the overall effect line. Whereas, severe asymmetry to either side shows that publication bias might be present (66). As simple as the funnel plot method may be, the challenge is to decipher the plot when the number of studies is small (61). With the shortcomings of the funnel plots which need a range of studies of



different sizes and include subjective judgments, publication bias can alternatively be assessed through other techniques, for instance the Egger's linear regression test. The Egger's linear regression test measures funnel plot asymmetry using a natural logarithm scale of odds ratios. It also assesses whether the intercept diverges substantially from zero in a regression of the standardized effect estimates against their precision (61). For this review, we used the Egger's linear regression test due to the small number of included studies for meta-analysis.

## **Analysis**

### **Descriptive analysis**

We conducted a narrative synthesis of the findings from the included studies. We structured the narrative synthesis by describing the studies according to the study design; characteristics of the target population such as age, sex, educational level, socioeconomic status; type of air pollutants; and type of respiratory health outcomes.

### **Statistical analysis**

We pooled the results of the included studies using Random-effects DerSimonian-Laird model in the Stata software.

### **Evaluation of certainty of evidence**

Following a detailed description of the certainty of evidence (CoE) in the paper, the overall rating of CoE as described by Orellano et al. (64) below was used in judging each pollutant exposure and outcome for the included studies.

- High: means there is unlikely change in the effect estimate given further studies.
- Moderate: a certain likelihood in change of the effect estimate given further studies.
- Low: further studies are very likely to cause a change in the effect estimate.
- Very low: high uncertainty in the effect estimate.

The Grading of Recommendations Assessment, Development and Evaluation (GRADE) is defined as 'a systematic, and transparent framework for assessing and communicating the certainty of the available evidence used in decision making in healthcare and health-related disciplines' (67). The assessments for GRADE domains were mainly evaluated from the results of the risk of bias (RoB), heterogeneity, and publication bias analyses which were previously described here in the 'Methods section'. We adopted the reasons for both downgrade and upgrade as explicitly described by Chen et al., (29), Orellano et al., (63) and Orellano et al., (64). Upgrading indicates that we trust the

results of the study more, and downgrading indicates we mean we trust the results of the study less.

### **Reasons for downgrade**

Limitations in studies: The certainty of evidence (CoE) was downgraded with one or two levels if serious or very serious risk of bias was present in studies that had a substantial weight in the meta-analysis. If high risk of bias studies disagrees in effect size from low/moderate risk of bias studies, consideration should be given to rule out high risk of bias studies from the meta-analysis.

Indirectness: The CoE was downgraded if the included studies did not answer the PECOS question.

Inconsistency: if serious heterogeneity was detected, then the CoE was downgraded. For instance, if on one hand there were studies in the body of evidence that present an adverse effect and on the other hand, studies that also present a preventive effect, some heterogeneity is anticipated due to the likely differences in the various characteristics of the studies.

Imprecision: The CoE was downgraded if results are imprecise, for instance, when studies include few participants and few events and hence have a wide confidence interval (CI) around the effect estimate (68).

Publication bias: The CoE was downgraded if publication bias was found either by visual inspection of the funnel plot or through the Egger's test.

### **Reasons for upgrade**

Large effect size: The CoE was upgraded if the pooled effect size was large or very large.

Confounding domain: The CoE was upgraded if all plausible confounding shifted the relative risk of the main exposure towards the null.

Concentration-response gradient: The CoE was upgraded if there was a concentration-response relationship between exposure and outcomes, either linearly or non-linearly.

### **Ethics approval and consent to participate.**

This systematic review does not require ethical approval as it involves a synthesis of data collected from different primary studies. No primary data collection from patients was done for this systematic review.

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1 **Target journal: Environmental Research**

2 The format of academic paper was prepared according to the requirements of the journal.

3

## 4 **5 Academic Paper**

5 **Long-term health effects of outdoor air pollution on asthma and respiratory symptoms: a**  
6 **systematic review and meta-analysis**

7

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26 **Abstract**

27 **Background:** Several epidemiological studies have examined the risk of asthma and respiratory  
28 diseases in association with long-term exposure to outdoor air pollution. However, little is known  
29 regarding the adverse effects of long-term exposure to outdoor air pollution on the development  
30 of these outcomes in low- and middle-income countries (LMICs).

31 **Objective:** To systematically evaluate the epidemiological evidence regarding the associations  
32 between long-term exposure to outdoor air pollution and respiratory symptoms in LMICs.

33 **Methods:** We searched for literature up to September 2022 in Embase (Ovid), Medline (Ovid),  
34 and Web of Science (Core Collection). The air and gaseous pollutants studied included particulate  
35 matter (PM<sub>2.5</sub> and PM<sub>10</sub>), nitrogen dioxide (NO<sub>2</sub>), and black carbon (BC), and exposure was 1-year  
36 duration or more. We conducted a systematic review and meta-analysis with a random-effects  
37 model to calculate the relative risk (RR) estimates. The study protocol was registered in advance  
38 in **PROSPERO - CRD42022311326**.

39 **Results:** Of the 1246 studies identified, only six met our inclusion criteria, and these six reported  
40 PM<sub>2.5</sub>, PM<sub>10</sub>, and NO<sub>2</sub> with asthma as the main outcome. Three of these included studies were  
41 further included in the meta-analysis because they had data on the same exposure and outcome  
42 (PM<sub>2.5</sub> and asthma). The main result of our study showed a borderline significant association  
43 between a 10 µg/m<sup>3</sup> increase in exposure to PM<sub>2.5</sub> and an increased risk of asthma (RR 1.21, 95%  
44 CI 0.96, 1.50). There was evidence of considerable heterogeneity (I<sup>2</sup> =75.87%). The regression-  
45 based Egger test for small-study effects showed no significant publication bias among these three  
46 studies.

47 **Conclusion:** Long-term exposure to PM<sub>2.5</sub> seems to increase the risk of asthma in LMICs, but  
48 studies are scarce and there is a large need for more research in LMICs in this field.

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51 **Keywords:** Air pollution, asthma, respiratory symptoms, LMICs, long-term, respiratory diseases

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## 58 **Introduction**

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60 In low-, middle-, and high-income countries, outdoor air pollution is one of the biggest health and  
61 environmental problems (1, 2). Over the previous years, these problems have become worse,  
62 especially for low- and middle-income countries (LMICs) because of rapid industrialization and  
63 urbanization, population growth, and changes in the rates of non-communicable diseases (2, 3, 4).  
64 Air pollution is described as the presence of substances in the air that are detrimental to humans  
65 and are linked to an increased risk for premature deaths resulting from lung cancer, chronic  
66 obstructive pulmonary disease (COPD), lower respiratory infections, and cardiovascular diseases  
67 (5, 6). Air pollution emanates from both natural sources (dust, pollen, mold spores) and  
68 anthropogenic activities (man-made activities such as industrial processes, construction work,  
69 combustion of fossil fuel, cigarette smoking, wood stove burning, and road traffic) (5, 7, 8).

70 Most of the world's population presently lives in countries where the levels of air pollution  
71 according to the World Health Organization (WHO) air quality guidelines are significantly  
72 exceeded due to emissions from anthropogenic activities (9). Although the air quality in high-  
73 income countries (HICs) has tremendously improved since the 1970s, the harmful health effects  
74 of air pollution exposure still remain, and they are posing an even bigger health threat in LMICs  
75 where pollution levels are higher (10). Populations of Sub-Saharan Africa, and Central and  
76 Southern Asia continue to experience exposure to high levels of air pollution (11). Simultaneously,  
77 there is a lack of sufficient data on the magnitude of the health impacts of outdoor air pollution in  
78 most parts of the African continent (12, 13).

79 In the last years, air pollution contributed to 11.5% of deaths around the world (6). According to  
80 the Global Burden of Disease (GBD) 2019 Study, outdoor air pollution has been acknowledged as

81 a risk factor for many of the world's dominant causes of death, such as lung cancer, respiratory  
82 diseases e. g. asthma, stroke, and heart disease (2, 6). The GBD 2019 study further pointed out that  
83 approximately 4.51 million premature deaths occurred globally in the recent year due to outdoor  
84 air pollution (2).

85 There is growing evidence in relation to the adverse health effects of high levels of long-term air  
86 pollution exposure, especially on asthma and respiratory symptoms (14, 15). Recent findings from  
87 cohort studies have reported that long-term air pollution exposure could cause new asthma  
88 development in addition to asthma exacerbations and could cause a delay in lung development (3).

89 Asthma is a chronic respiratory disease that affects people of all ages around the world (16).

90 Asthma is a chronic inflammatory disorder that is characterized by airway hyperresponsiveness,  
91 chronic airway inflammation, and airway obstruction causing common symptoms such as  
92 wheezing, dyspnoea, cough, chest tightness, and shortness of breath (5, 7, 17, 18, 19). Since the

93 1960s, the global prevalence, economic burden, mortality, and morbidity from asthma especially  
94 in children have been on a swift rise. Even though asthma is most common in developed countries,

95 it is becoming more prevalent in developing countries which is probably due to rapid urbanization  
96 (20). Globally, about 300 million people around the world presently have asthma (21), with around

97 50% increase in prevalence every decade (20). Phase I of the Global Asthma Network (GAN)  
98 evaluated the global prevalence of present asthma symptoms in children, adolescents, and adults

99 to be 9.1%, 11.0%, and 6.6%, respectively (22). In 2019, the GBD predicted 21.6 million  
100 disability-adjusted years (DALYs) ascribed to asthma across all ages worldwide. Among the

101 dominant causes of burden of disease, asthma was rated 34<sup>th</sup>, which was responsible for a 5<sup>th</sup> out  
102 of the total DALYs from chronic respiratory diseases (22).

103 Although both the burdens of air pollution and asthma morbidity are major problems in LMICs,  
104 there is a lack of systematic overviews from LMICs. To the best of our knowledge, quite a small  
105 number of studies have carried out systematic reviews in the field of exposure to outdoor air  
106 pollution in LMICs, and no systematic reviews have focused on air pollution in relation to asthma  
107 and respiratory symptoms in adults in this area. Such overviews can be important tools in  
108 improving public health by serving as a base for informed policymaking, arousing public health  
109 authorities and institutions to invest in more effective measures to cause a decline in exposure to  
110 air pollutants, and pinpointing out knowledge gaps where more original research studies are  
111 needed. Thus, the main aim of this systematic review is to investigate the association between  
112 long-term health effects of outdoor air pollution and asthma and respiratory symptoms among  
113 adults in LMICs.

#### 114 **Research Question**

115 Does long-term exposure to air pollution increase the risk of asthma and respiratory symptoms  
116 among adults in LMICs as compared to adults with relatively low levels of exposure to air  
117 pollution?

#### 118 **Methods**

##### 119 **Design**

120 This systematic review and meta-analysis was conducted according to the PRISMA 2020  
121 (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) checklist (23). The  
122 completed PRISMA checklist can be found in Appendix Table D. The study protocol was  
123 registered in advance in International Prospective Register of Systematic Reviews (PROSPERO-  
124 CRD42022311326). We used the World Bank's classification of the low-and middle-income  
125 countries (24).

126 **Eligibility criteria**

127 The inclusion and exclusion criteria are explicitly described in **Box 1**.

## **Inclusion criteria**

**Types of participants/population, study period, and study setting:** Studies conducted on human adult populations exposed to outdoor air or gaseous pollutants of  $\geq 1$  year up to September 2022 in low- and middle-income countries (LMICs- as defined by the World Bank Classification).

**Exposure:** Studies that reported on exposure to either of the following outdoor air or gaseous pollutants: particulate matter  $<2.5 \mu\text{m}$  in aerodynamic diameter ( $\text{PM}_{2.5}$ ),  $<10 \mu\text{m}$  in aerodynamic diameter ( $\text{PM}_{10}$ ), nitrogen dioxide ( $\text{NO}_2$ ), and black carbon (BC).

**Comparison:** Cohort studies that reported on exposure to lower levels of air or gaseous pollutants in the same population.

**Outcomes:** Asthma, wheezing, cough, and dyspnea

**Study designs:** Cohort studies, and cross-sectional studies with registered air pollution exposure  $>1$  year back in time, and with the following effect estimates: odds ratio (OR), relative risk (RR), and hazard ratio (HR).

## **Exclusion criteria**

- Non-availability of full texts
- Non-English studies
- Children
- Qualitative studies, and studies that are not original research papers.

128 **Box 1.** Inclusion and exclusion criteria

129

130

131 **Search strategy**

132 The search strategy was developed in collaboration with a librarian at the medical faculty,  
133 University of Bergen. The search strategy for all databases, the interface through which the  
134 database was searched, and the dates of coverage are attached as Appendix Table A. We searched  
135 systematically in Embase (Ovid), Medline (Ovid), and Web of Science (Core Collection) up to  
136 September 20th, 2022.

137 **Data management and screening**

138 All identified studies were exported to EndNote 20 from the three databases and duplicates were  
139 removed first in EndNote 20 and then in Rayyan, a web-based research collaboration platform,  
140 was used for screening (25). Reviewers AA and SX independently screened titles and abstracts of  
141 all records retrieved from the database searches according to the inclusion criteria described above,  
142 after which the full texts of possible eligible studies were obtained. AA and SX proceeded to screen  
143 all the included full text studies. Disagreements on which studies to include for full text screening  
144 were resolved by AJ in dialogue with AA and SX.

145 **Data extraction**

146 AA and SX independently extracted data from the included studies using a standardized pre-  
147 piloted data extraction form in an Excel sheet. See Appendix Table E. The form was adapted from  
148 The Cochrane Collaboration (26) and modified to suit the data extraction of the included studies  
149 of this review. Extracted data included year of publication, study locations, study designs, duration  
150 of follow-up, pollutants studied, outcomes reported, and effect estimates.

151 **Quality assessment**

152 The quality of included studies was independently scored by two reviewers (AA and SX) and any  
153 disagreement was resolved by AJ. Quality was assessed using the Risk of Bias In Non-randomized

154 Studies-of Exposure (ROBINS-E) tool developed by the ROBINS-E Development Group led by  
155 Higgins and co-workers (27), but we adopted the format of the ROBINS-E form by Park and co-  
156 workers from their supplementary data (28). This tool provides an orderly way to assess the risk  
157 of bias in observational epidemiological studies. It includes seven domains of bias: confounding,  
158 exposure classification, participant selection, departure from intended exposure, missing data, and  
159 outcome measurement. Each domain is addressed using a series of signaling questions with the  
160 purpose of collecting significant information on the study and analysis being evaluated (27). In  
161 addition, three judgements are made after the important signaling questions have been answered,  
162 then, an overall judgement is carried out for each of these considerations (27).

### 163 **Meta-analysis**

164 A meta-analysis was performed where two or more studies were identified for the same pollutant  
165 and the same health outcome. In view of the anticipated variations in both population sizes and  
166 pollutants, we *a priori* resolved to pool estimates using DerSimonian and Laird random-effect  
167 meta-analysis (29).

168 In the case when a study reported OR estimates for an increment different than per 10  $\mu\text{g}/\text{m}^3$  (30),  
169 we converted the estimate to per 10  $\mu\text{g}/\text{m}^3$  by calculating slope (Beta) and standard error (SE) per  
170 1  $\mu\text{g}/\text{m}^3$ , multiplied by 10 and then exponentiated. We adopted the standard equations below from  
171 Chen et al., (31)

$$172 \text{Beta} = \text{LN}(\text{RRo}) / \text{increment}$$

$$173 \text{SE} = (\text{LN}(\text{RRo\_high}) - \text{LN}(\text{RRo\_low})) / (2 \times 1.96 \times \text{increment})$$

$$174 \text{RRc} = \text{EXP}(\text{Beta} \times 10)$$

175  $RRc\_low = EXP (Beta \times 10 - 1.96 \times SE \times 10)$

176  $RRc\_high = EXP (Beta \times 10 + 1.96 \times SE \times 10)$

177  $RRc$  is the estimate we converted to, and  $RRo$  is the effect estimate originally reported in the paper  
178 with its low ( $RRo\_low$ ) and high ( $RRo\_high$ ) end of the confidence interval (CI).

179 Statistical investigation of heterogeneity of effect estimates between studies were evaluated using  
180  $\tau^2$ , shown in the form of an 80% prediction interval around the mean effect (32), Q-test ( $\chi^2$ ),  
181 and  $I^2$  index. If the  $P$  values were below 0.10 in the Q-test and/or the  $I^2$  index was higher than 75%,  
182 then the pooled analysis was considered significantly heterogeneous (28). To estimate the possible  
183 publication bias, we conducted Egger's weighted linear regression (33). All statistical tests and  
184 plots were done on STATA version 17.0 statistical software.

### 185 **Certainty of evidence assessment**

186 For each pollutant exposure and outcome, the certainty of evidence (CoE) was judged by adapting  
187 the Grading of Recommendations Assessment, Development and Evaluation (GRADE) approach  
188 developed by a group of experts convened by the WHO (34, 35, 36). The GRADE domains consist  
189 of five domain downgrade reasons: limitations in studies, indirectness, inconsistency, imprecision,  
190 and publication bias and three domains of upgrade reasons: large effect size, confounding domain,  
191 and concentration-response gradient domain. In a nutshell, we began the rating steps at moderate  
192 certainty of evidence due to the risk of unmeasured confounding in observational studies.  
193 Thereafter, we downgraded or upgraded the CoE according to the five (downgraded domains  
194 reasons) and the three (upgraded domains reasons) respectively.

195

196



## 197 **Results**

### 198 **Included studies**

199 Our detailed literature search across Embase, Medline, and Web of Science identified 1,246 studies  
200 as shown in **Figure 1**. Following the removal of duplicates from the records exported to Rayyan  
201 software from EndNote, 16 records were further removed as duplicates in Rayyan, after which we  
202 screened the titles and abstracts of 738 studies. 721 studies were excluded because of the following  
203 reasons: wrong population (n=305), wrong study design (n=152), wrong outcome (n=97), wrong  
204 exposure (n=50), not related to the topic of interest (n=33), wrong publication type (n=20), indoor  
205 exposure (n=23), occupational exposure (n=14), studies not in LMICs (n=12), short-term study  
206 (n=13), animal studies (n=2). Only 17 studies were eligible for an in-depth full text screening.  
207 However, just 6 of these studies (30, 37, 38, 39, 40, 41) met our inclusion criteria while 11 studies  
208 were excluded. Of these 11, 5 studies did not report on outcome of interest, 3 studies did not report  
209 on any of the pollutants of interest, 2 studies had the wrong study design and 1 study reported on  
210 short-term exposure. From the 6 included studies, 3 studies were included in the meta-analysis,  
211 while 3 studies did not provide estimates suitable for our meta-analysis and were included only in  
212 the descriptive part of this review.

### 213 **Study characteristics**

214 Of the six included studies, one study recruited participants 15 years old and above, and considered  
215 them as adults (40). For the other five studies, the study participants were  $\geq 18$  years old. The  
216 outcomes reported were wheeze (2), cough (3), dyspnoea (2), and asthma (3). Studies were carried  
217 out in six different LMICs. Four studies were carried out in India, one in South Africa, and one  
218 was a multi-country study including participants from India, South Africa, China, Russia, Ghana,  
219 and Mexico. These studies were published between 2001 and 2022, and the duration of studies

220 ranged from one year to ten years. The sample size of participants ranged from 572 to 39,054. The  
221 study designs used were 1 cohort study, and 5 cross-sectional studies but with pollution exposures  
222 measured back in time. A general description of each included study is shown in **Table 1** and  
223 Appendix Table C.

224 Yan et al., (37) reported both hazard ratio (HR) and odds ratio (OR) as their effect estimates. The  
225 pooled HR was the main effect estimate reported in their article while the pooled OR was reported  
226 as part of the supplementary data. To be able to include this study in our meta-analysis, we chose  
227 the pooled OR from the Yan study.

228 Our review found only two studies from the African continent by Bagula et al., (30) and Ai et al.,  
229 (38) that reported on the exposure to PM<sub>2.5</sub> and NO<sub>2</sub> in South Africa and PM<sub>2.5</sub> in Ghana and South  
230 Africa respectively.

### 231 **Summary of Findings of the included studies**

232 From the most recently published paper in our included study by Yan et al., (37), it was observed  
233 that after adjusting for cities (Model 4 as described in **Table 2**), there was no significant association  
234 between PM<sub>2.5</sub> and asthma. These differences in the results between Model 4 and the other models  
235 can be attributed to the diverse economic and medical conditions that can be seen in the four  
236 different cities (Rizhao, Shenyang, Taiyuan, and Tianjin), but they can also be due to different  
237 pollution levels in the different cities. From the study by Yan et al., we included OR without  
238 adjusting for cities in the meta-analysis. This information was retrieved from the ‘Table S8 of the  
239 Supplementary materials’ and showed a significant association between 10µm/m<sup>3</sup> increase of  
240 PM<sub>2.5</sub> and asthma.

241 In the study by Yan et al., high-resolution PM<sub>2.5</sub> concentration estimates of 1 km x 1 km was used  
242 because it provided more accurate exposure gradients within population clusters. Moreover, this  
243 was a large cohort study in Northern China with an almost 10-year follow-up to define the  
244 concentration-response (C-R) curves between prolonged outdoor exposure to PM<sub>2.5</sub> and the onset  
245 of chronic respiratory diseases. It is also the first of its kind to consider passive smoking status as  
246 an adjustment variable because second-hand smoke is a significant risk factor of respiratory  
247 diseases in never smokers. Another important strength of this study was that the authors carried  
248 out bi-pollutant models such as PM<sub>2.5</sub>-NO<sub>2</sub> and PM<sub>2.5</sub>-SO<sub>2</sub> to assess the impacts of multi-pollutant  
249 exposure on chronic respiratory outcomes. On the other hand, recall bias for specific self-reported  
250 contents such as lifestyle factors was experienced due to the retrospective cohort study design. The  
251 study also lacked time-scale data on lifestyles such as smoking and drinking status, hence they  
252 were not analysed as time-varying covariates. (37).

253 From the cross-sectional study carried out among adults from four informal settlements in the  
254 Western Cape province of South Africa by Bagula et al., (30), participants from Khayelitsha had  
255 the highest proportion of wheezing (13.4%), shortness of breath (10.5%), and chest tightness  
256 (12.2%) in the last 12 months. For shortness of breath after exercise, Masiphumelele (Noordhoek)  
257 had the highest proportion with 25.9%, while Oudtshoorn had the highest proportion of  
258 participants bringing up phlegm from the chest during winter with 12.2%. A major strength of this  
259 study was the use of Land-Use Regression models which was the first to be used in Africa to  
260 evaluate annual exposure to outdoor air pollution and to assess its link with cardiorespiratory  
261 outcomes. Thus, these models were used to assess each participant's annual concentration of  
262 exposure to NO<sub>2</sub> and PM<sub>2.5</sub> at their present residential address during the study duration.

263 Generalizability of their findings may not be possible neither to the men nor the general population  
264 because most of the participants (about 88.5%) were women (30).

265 The study by Ai et al., (38) showed that men and smokers had higher risk of asthma than women  
266 and non-smoker, respectively. Almost 12% of the asthma cases in men were attributable to PM<sub>2.5</sub>.  
267 One significant strength of this study was this estimation of attributable burden which pointed out  
268 the public health benefit that would be accomplished if relevant interventions are put in place to  
269 reduce the exposure to air pollution. But some weaknesses were also identified. This study  
270 employed a cross-sectional study design which was not able to determine the causal relationship  
271 between PM<sub>2.5</sub> and asthma. The authors could not control for potential confounders because of lack  
272 of information of the residential changes of the participants which may also have an impact on the  
273 exposure assessment. If a person lived somewhere with high pollution exposure at the time of the  
274 exposure measurement and then moved to a place with low pollution exposure, he would in fact  
275 have a lower pollution exposure on average than what was registered in the study. The opposite  
276 could also happen for some participants. This could lead to underestimation and overestimation of  
277 the effects, respectively.

278 Khafaie et al., (39) conducted the first study among diabetic and non-diabetic participants in India  
279 that investigated the long-term effect of background concentration of air pollution on the  
280 respiratory health. The findings from this study showed that living in a region with high air  
281 pollution concentration is linked with chronic respiratory problems. This study has followed  
282 quality controlled standard protocols. Nonetheless, a major weakness in this study was the  
283 presence of residual confounding even though the authors adjusted for known and possible  
284 confounders. These residual confounders could be since the non-diabetic participants were  
285 selected from the hospital staff. It is likely that this group of participants more often live in the city

286 centre which has higher levels of air pollution, and they were younger and so they could likely  
287 spend considerable amount of their time outdoors (39).

288 The study by Kumar et al., (40) was one of the few studies carried out in a developing country to  
289 use an ecological method to conduct a comparison between the respiratory health status of  
290 residents of an industrial town with a high level of air pollution and residents of a town with lower  
291 air pollution. A major strength of this study was a very high participation rate (90%), and data was  
292 collected from each town at the same time and from the same field investigators. Also, calibration  
293 of the instruments was regularly done against a standard. However, the possibility to carry out  
294 individual air sampling and to quantify the effect of various levels of air pollutants was not  
295 available, instead every person living in the same study region was defined with the same level of  
296 air pollution exposure (40).

297 Findings from Chhabra et al., (41) indicated a significantly higher ratio of symptomatic persons in  
298 the higher age groups both in the lower-and higher-pollution zones. Also, a highly significant  
299 linear relationship exists between increasing age and occurrence of symptoms. It was further  
300 shown that increasing age, smoking, male sex, and lower socioeconomic status were strong  
301 independent risk factors for the occurrence of chronic respiratory symptoms, cough, and dyspnoea.  
302 However, wheezing showed no consistent pattern in relation to its association with air pollution.

### 303 **Meta-analysis findings**

304 From the six included studies, we conducted meta-analysis on three of these studies because they  
305 reported on the same PM<sub>2.5</sub> exposure and the same asthma outcome with effect estimate of OR or  
306 comparable (30, 37, 38). All effect estimates were >1. However, the smallest study (30) had a very  
307 wide confidence interval, and the pooled estimate was borderline significant with RR 1.21 (95%  
308 CI 0.93, 1.50) as shown in **Figure 2**. There was evidence of considerable heterogeneity ( $I^2$

309 =75.87%). The regression-based Egger test for small-study effects showed no significant  
310 publication bias among these three studies.

### 311 **Risk of bias assessment in individual studies**

312 According to our risk of bias assessment, most of the included studies (30, 37, 38, 40, 41) were  
313 moderate while only one study by (39) was rated high (**Figure 3**). The detailed analysis based on  
314 ROBINS-E domains is summarized in **Table 1** and Appendix Table B.

315

### 316 **Certainty of evidence**

317 **Table 3** gives a description of the application of the GRADE tool to the body of evidence for PM<sub>2.5</sub>  
318 and asthma and the rationale for the rating of the various GRADE domains. We concluded a  
319 downgrade with one level for both inconsistency and imprecision because there was considerable  
320 heterogeneity ( $I^2 = 75.87\%$ ) and sample size was met but the confidence intervals were wide and  
321 included 1. On the other hand, an upgrade with one level was concluded for the concentration-  
322 response gradient because two studies reported plausible shape of the concentration-response  
323 gradient. In sum, we rated the overall GRADE assessment for our included studies to be low.

## 324 **Discussion**

325 This current systematic review and meta-analysis showed that exposure to PM<sub>2.5</sub> increased the risk  
326 of asthma. Studies that were not included in the meta-analysis but were included in the narrative  
327 part of this review further indicated a significant impact of PM<sub>10</sub> on the development of respiratory  
328 symptoms such as cough and dyspnoea. Only one study (30) included in this review reported on  
329 NO<sub>2</sub>, it showed no significant association between NO<sub>2</sub> and respiratory symptoms.

330 Although this present review included only six studies and conducted meta-analysis on only three  
331 of these, we found that certain factors contribute greatly to vulnerability to the adverse effects of  
332 air pollution. Geography, economic conditions, sex, and age were all of importance. Geography  
333 can play a direct part with different areas having different levels of air pollution exposure. For  
334 example, Bagula et al., (30) found that participants from Khayelitsha had higher proportion of  
335 asthma and respiratory outcomes than participants from the other locations in the study, possibly  
336 because they were also exposed to the highest levels of NO<sub>2</sub> and PM<sub>2.5</sub> as indicated by the annual  
337 mean concentrations. However, geography can also affect the vulnerability to adverse health  
338 effects of air pollution, regardless of the air pollution levels. The same levels of PM exposures  
339 may have different health effects in urban and rural areas because the components of PM vary in  
340 different locations (42). Another example is that warmer geographic areas have more pollen than  
341 colder areas, and pollen may interact with other outdoor air pollution causing increased  
342 vulnerability to pollution health effects in a population (43). Economic conditions are also of  
343 relevance. The associations between air pollution and asthma in poorer cities or neighborhoods are  
344 stronger than in wealthier areas (44, 45). The findings by Ai et al., (38) in addition showed that  
345 sex is another factor. It was shown that males had higher risk of asthma due to the exposure to  
346 PM<sub>2.5</sub> (RR 1.09, 95% CI 1.04, 1.14) than females (RR 1.01, 95% CI 0.97, 1.06). This is also

347 consistent with the previous studies of Alhanti et al., (46). An underlying reason to this higher  
348 association among the males might be that men are prone to engage in outdoor activities than  
349 women, hence causing exposure to higher levels of pollution and then inducing the likelihood of  
350 asthma occurrence. In a cohort study from Northern China conducted by Yan et al., (37) one of  
351 the included studies in this review, it was noted that participants younger than 60 years had more  
352 asthma due to exposure to outdoor PM<sub>2.5</sub> air pollution than the younger participants. The reason  
353 for higher potential vulnerability to air pollution effects in younger people may be linked to  
354 younger people staying outdoors more. However, sufficient data on the elderly on the impact of  
355 PM<sub>2.5</sub> is lacking, hence Fan et al., (47) recommended that more studies are needed to focus on the  
356 elderly since they are more prone than the younger populations to various chronic diseases and  
357 reduced immune function.

358 WHO has developed guidelines on recommended limit levels of outdoor air pollution which are  
359 largely adopted as a reference guide by policymakers globally to set standards and goals for the  
360 management of air quality. These guidelines give evidenced, health-based standards for air or  
361 gaseous pollutants that cities should employ as their air quality targets (48). The updated WHO  
362 2021 guidelines recommend annual average concentration of 5µg/m<sup>3</sup>, 15 µg/m<sup>3</sup> and 10 µg/m<sup>3</sup> for  
363 PM<sub>2.5</sub>, PM<sub>10</sub> and NO<sub>2</sub> , respectively (48). In our six included studies, the WHO recommended  
364 guidelines on the annual mean concentrations were exceeded. Yan et al., (37) reported the average  
365 concentration of annual mean of PM<sub>2.5</sub> exposure from 2000 to 2009 of the four cities in their cohort  
366 study was 66.5 µg/m<sup>3</sup>. Rizhao had the lowest level of annual concentration of 41.4 µg/m<sup>3</sup> while  
367 Tianjin had the highest level of annual concentration of 96.7 µg/m<sup>3</sup>. Ai and co-workers reported  
368 the annual average PM<sub>2.5</sub> concentration of India 49.7 µg/m<sup>3</sup> and China had 47.0 µg/m<sup>3</sup>. Kumar and  
369 co-workers reported the levels of PM<sub>10</sub> and NO<sub>2</sub> in India were 112.8 µg/m<sup>3</sup> and 27.4 µg/m<sup>3</sup>,



370 respectively. Chhabra et al., (41) reported the levels of NO<sub>2</sub> in the lower-pollution and higher-  
371 pollution zones were 28.6 ± 9.3 µg/m<sup>3</sup> and 49.0 ± 31.0 µg/m<sup>3</sup>, respectively. Khafaie et al., (39)  
372 also reported the annual average concentration of PM<sub>10</sub> at the participants 'residence to be 300.48  
373 ± 98.3 µg/m<sup>3</sup>. These findings are in agreement with the report from the development aid (49) that  
374 South and East Asian cities emerge as the most polluted cities globally. It was further reported by  
375 Ai et al., (38) that the average PM<sub>2.5</sub> concentration in Ghana and South Africa were 29.0 µg/m<sup>3</sup>  
376 and 16.9 µg/m<sup>3</sup> which also exceeded the WHO recommended guidelines. From a cross-sectional  
377 study of four study areas in South Africa by Bagula and co-workers (30), the estimated annual  
378 concentration of NO<sub>2</sub> was 16.9 µg/m<sup>3</sup>, and the estimated annual PM<sub>2.5</sub> concentration was 10.1  
379 µg/m<sup>3</sup>.

### 380 **Findings from meta-analysis**

381 The main result of our study showed a borderline significant association between 10 µg/m<sup>3</sup>  
382 increase in exposure to PM<sub>2.5</sub> and increased risk of asthma (RR 1.21, 95% CI 0.96, 1.50). In the  
383 meta-analysis, however, we observed a considerable heterogeneity between studies (I<sup>2</sup> =75.87%).  
384 This is to be expected given the differences in methodology, exposure information source,  
385 concentration, geographical location, and duration as shown in Table 1 and Appendix Table C. In  
386 addition, composition of PM and study population characteristics are also likely to differ between  
387 studies, causing increased heterogeneity. The composition of PM<sub>2.5</sub> across studies will vary in  
388 different locations due to different industries and sources of emissions.

389 These factors aforementioned are also emphasized in the systematic reviews and meta-analyses  
390 conducted by Chen et al., (31), Park et al., (28), Badida et al., (50) and Rajak et al., (51). It would  
391 be valuable to investigate properly the sources of heterogeneity in our review, but unfortunately,

392 we could not carry out subgroup analyses due to the low number of studies (n=3) included in our  
393 meta-analysis.

394 This review did not focus on the duration of exposure to the air pollutants and the effect of duration  
395 on exposure-outcome associations. This is because the duration of exposure reported in each of  
396 the included studies varied. It is important to note that these results were based on the current  
397 availability of observational studies with both short and long follow-up periods between one to ten  
398 years, and this may have impacted the results of our meta-analysis. The duration will to some  
399 extent be linked with the effect size because the longer the duration, the more likely it is that the  
400 risk will increase. For instance, the study of Yan showed the longest follow up (almost 10 years)  
401 and had the highest effect size (OR 1.36 95% CI: 1.15, 1.60). The other two included studies by  
402 Bagula and Ai had shorter follow up duration of one and three years, respectively with effect sizes  
403 of OR 1.27 95% CI: 0.95, 1.71 and RR 1.05 95% CI: 1.01, 1.08.

#### 404 **Comparison with other studies from LMICs**

405 No other meta-analysis exists on air pollution and asthma and respiratory symptoms in LMICs,  
406 but some meta-analyses from LMICs are published on other respiratory outcomes. Dimala et al.,  
407 (52) reported that a significant association exist between exposure to PM<sub>2.5</sub>, and PM<sub>10</sub> and the  
408 incidence of pulmonary tuberculosis [PM<sub>2.5</sub> (pooled aRR 1.12, 95% CI 1.06, 1.19, n = 6);  
409 PM<sub>10</sub> (pooled aRR 1.06, 95% CI 1.01, 1.12, n = 8).

410 In a systematic review and meta-analysis by Park et al., (28), results showed that PM<sub>2.5</sub> is  
411 associated with increased incidence of chronic obstructive pulmonary disease (COPD) (pooled  
412 hazard ratio (HR) pr 10 µg/m<sup>3</sup> increase 1.18, 95% CI 1.13, 1.23). It was also noted that NO<sub>2</sub> is  
413 marginally associated with increased incidence of COPD (pooled HR pr 10 µg/m<sup>3</sup> increase 1.07,  
414 95% CI 1.00, 1.16). PM<sub>10</sub> on the other hand seems to have no significant impact on the incidence

415 of COPD (pooled HR pr 10  $\mu\text{g}/\text{m}^3$  increase 0.95, 95% CI 0.83, 1.08). The findings from these  
416 mentioned reviews corroborate with the findings of our review that long-term exposure to air  
417 pollution has a significant association with health outcomes.

418 The underlying reason why  $\text{PM}_{2.5}$ ,  $\text{PM}_{10}$ , and  $\text{NO}_2$  are largely associated with diseases of the  
419 respiratory system is through irritation of the respiratory system (53, 54, 55). Feng et al., (56) has  
420 pointed out that inflammation is one of the major mechanisms of the severe health effects of  $\text{PM}_{2.5}$ .  
421 Positive findings with  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$  in our narrative review are in line with this, however no  
422 associations with  $\text{NO}_2$  were observed in the papers we examined.

423 The lack of association between  $\text{NO}_2$  and asthma in our review is surprising, but only one study  
424 included  $\text{NO}_2$ , and this study had a small study population. So, the lack of association is probably  
425 due to the small study population and does not necessarily mean that  $\text{NO}_2$  is not harmful in LMICs.  
426 A higher number of studies for inclusion in our review would probably alter this lack of  
427 association.

#### 428 **Comparison with studies from high-income countries (HICs)**

429 Findings from a nationwide cohort of 50,884 U.S women by Young et al., (57) on ambient air  
430 pollution exposure and incident adult asthma showed that greater  $\text{PM}_{2.5}$  concentrations were  
431 associated with incident wheeze and asthma. For an interquartile range (IQR) difference (3.6  
432  $\mu\text{g}/\text{m}^3$ ) in estimated  $\text{PM}_{2.5}$  exposure, the adjusted odds ratio (aOR) was 1.20 (95% CI 0.99, 1.46)  
433 for incident asthma and 1.14 (95% CI 1.04, 1.26) for incident wheeze. For  $\text{NO}_2$ , there was evident  
434 association with incident wheeze [aOR pr IQR difference 11.9  $\mu\text{g}/\text{m}^3$ ) 1.08, 95% CI 1.00, 1.17].  
435 Neither pollutant was significantly associated with incident cough ( $\text{PM}_{2.5}$ : aOR = 0.95, 95% CI  
436 0.88, 1.03;  $\text{NO}_2$ : aOR = 1.00, 95% CI 0.93, 1.07). With our current study showing a borderline  
437 significant association between exposure to  $\text{PM}_{2.5}$  [RR pr 10  $\mu\text{g}/\text{m}^3$  increase 1.21 (95% CI 0.96,

438 1.46)] and the risk of asthma, we can compare the findings and conclude that exposure to PM<sub>2.5</sub>  
439 seems to have a harmful impact on the risk of asthma among adults.

440 In the Weichenthal et al., (58) large population-based cohort study of about 1.1 million adults in  
441 Toronto, Canada, they found no clear evidence of positive associations between ambient ultrafine  
442 particles and respiratory disease incidence. However, per IQR increase in ambient PM<sub>2.5</sub> and NO<sub>2</sub>  
443 were associated with increased risk of COPD, and adult-onset asthma. For PM<sub>2.5</sub>: COPD; [HR 1.07  
444 (95% CI 1.06, 1.09)]; adult-onset asthma [HR 1.01 (95% CI 1.00, 1.02)]; For NO<sub>2</sub>: COPD [HR  
445 1.10 (95% CI 1.09, 1.11)]; adult-onset asthma [HR 1.04 (95% CI 1.03, 1.05)]. Hence, a line of  
446 comparability is also observed between the study by Weichenthal (58) and our present review that  
447 outdoor PM<sub>2.5</sub> pollution exposure increases the risk for asthma.

448 In agreement with this present review is also the findings by Liu et al., (59) from the Danish Nurse  
449 Cohort of 28,731 female nurses. The authors found positive associations between long-term  
450 exposure to outdoor air pollution for PM<sub>2.5</sub> [HR 1.29 per IQR (95% CI 1.03, 1.61)] and NO<sub>2</sub> [HR  
451 1.16 per IQR (95% CI 1.07, 1.27)] and asthma incidence. A non-significant association for asthma  
452 with PM<sub>10</sub> (adjusted OR 1.04; 95% CI 0.88, 1.23 per 10 µg/m<sup>3</sup>) and PM<sub>2.5</sub> (adjusted OR = 1.04;  
453 95% CI: 0.88, 1.23 per 5 µg/m<sup>3</sup>) were found in the European Study of Cohorts for Air Pollution  
454 Effects (ESCAPE) study by Jacquemin et al., (60), and a borderline significant association for NO<sub>2</sub>  
455 (adjusted OR 1.10; 95% CI: 0.99, 1.21 per 10 µg/m<sup>3</sup>). Fisher and co-workers (61) in their studies  
456 among the American Nurses' Health Study of 121,701 female nurses found no associations  
457 between exposures to PM<sub>2.5</sub>, PM<sub>10</sub>, and asthma incidence (adjusted HR 0.90; 95% CI 0.73, 1.12  
458 per 10 µg/m<sup>3</sup> and adjusted HR 0.94; 95% CI 0.84, 1.06 per 10 µg/m<sup>3</sup>), respectively).

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460

461 **Certainty of evidence**

462 We applied an adopted GRADE approach to evaluate certainty in the epidemiological body of  
463 evidence. Overall, PM<sub>2.5</sub> showed more consistent association with asthma and respiratory  
464 symptoms than PM<sub>10</sub>. A reasonable explanation for this difference might be because there are  
465 fewer studies of PM<sub>10</sub> relative to PM<sub>2.5</sub> (31) and because PM<sub>2.5</sub> enters deeper into the airways.  
466 There is more complexity in the application of the risk of bias than in using a simple checklist  
467 because careful interpretations to make appropriate judgement are needed.

468 **Strengths and limitations**

469 One of the strengths of our review is the collective and independent efforts of the collaborative  
470 team made in the conduct of the systematic review to ensure validity. This process helped to avoid  
471 subjective bias in the article inclusion process.

472 Another strength worthy of note is the professional involvement of the librarian from the medical  
473 faculty at the university in the search of the relevant databases and the development of the search  
474 terms. This involvement helped this review to identify the relevant databases and to perform broad  
475 literature searches across these databases and avoided unnecessary duplicates of articles.

476 Also, the performance of a pilot search improved the credibility, relevance, and methodology of  
477 the review. Correcting the errors in the pilot search ensured a robust high-quality search in the  
478 work with the main review.

479 The reviewers of this study did a thorough review of all the included studies by reading through  
480 also the supplementary materials associated with the selected papers. This helped us to extract  
481 valuable additional information such as identifying from the paper by Yan et al., (37) that the effect  
482 estimates of our interest was not reported in the main paper but in the online supplement.

483 Lastly, we ensured that the effect estimates dealt with comparable exposure increments. Two of  
484 the included papers reported on 10  $\mu\text{g}/\text{m}^3$  increment while one paper looked at IQR with the IQR  
485 in that study being 5.12  $\mu\text{g}/\text{m}^3$ . We converted the OR for increment less than per 10  $\mu\text{g}/\text{m}^3$ , that is,  
486 per interquartile range increase (IQR) of 5.12  $\mu\text{g}/\text{m}^3$  in  $\text{PM}_{2.5}$  in one of the included studies to OR  
487 per 10  $\mu\text{g}/\text{m}^3$  increase in exposure to  $\text{PM}_{2.5}$ . The conversion formula is reported in the ‘Methods  
488 section’. Because of this conversion, we were able to conduct more direct comparisons of the effect  
489 estimates.

490 When interpreting the results from this review, certain limitations should be noted. A significant  
491 limitation of our meta-analysis is that the small number of included studies affected heterogeneity.  
492 To be included in a meta-analysis, different studies should report the same kind of effect estimate,  
493 in addition to having comparable exposures and outcomes. In our meta-analysis, two of the  
494 included effects estimates were odds ratios and one was relative risk. We initially wanted to  
495 streamline the effect estimates by converting the RR to OR, However, such conversion was not  
496 possible because the exposure variable was a continuous variable, and we could not identify  
497 formulars for the conversion of confidence intervals for estimates based on continuous exposure  
498 variables. Hence, we adopted the recommendations of (62, 63, 64) that OR and RR can be  
499 interpreted interchangeably for rare outcomes when the prevalence of OR is less than 10%. We  
500 included both OR and RR in the meta-analysis and interpreted the OR as RR. Although this  
501 approach was not optimal, we found it the only way to conduct meta-analysis, since there are  
502 extremely few studies of long-term pollution exposure and asthma in LMICs. Since the outcomes  
503 were relatively low prevalence ‘less than 10%’ (62, 63, 64) in our review, interpreting ORs as RRs  
504 should not present a major methodological problem. The prevalences of the three studies are 0.2%,

505 6.6%, and 15.7%, respectively (30, 37, 38). Only three studies out of the six included studies  
506 reported the impact of PM<sub>2.5</sub> and asthma on adults.

507 Some potential biases were encountered in this review. The health outcomes of interest in this  
508 review (asthma, cough, wheeze, and dyspnoea) were mainly based on self-report which might have  
509 introduced recall bias as reported by Yan and Bagulas' studies. Coughlin (65) (pg. 87) defined  
510 recall bias as "a form of differential misclassification bias and the risk estimate may be biased  
511 away from or towards the null". For instance, Yan's study was a retrospective cohort study that  
512 might have caused a recall bias for some self-reported details, lifestyle habits such as physical  
513 activity etc. So, both under- and over- estimations may have taken place. However, the pollution  
514 exposures were measured objectively, and the outcomes were defined by self-report based on  
515 current disease and through registry. Also, our search was limited to English, which means we  
516 may have missed some studies published in other languages. This could have resulted in biased  
517 effect estimates and reduced generalizability. Through an additional search where we also included  
518 non-English papers, however, we found that only a limited number of studies were missed in this  
519 manner: one study was published in Bulgarian and two studies in Chinese.

520 It is challenging to assess the impact of long-term exposure of air pollution on human health due  
521 to the large amounts of efforts and resources needed to conduct a long-term prospective  
522 observational study. To measure and estimate the level of air pollution exposure over expansive  
523 areas, special technology is required. The small number of studies found through our literature  
524 search mirrors this great challenge in designing such a study to explore the impact of air pollution  
525 on lung health in LMICs. This is due to the lack of resources in LMICs. It is easier in the HICs  
526 with more research funding possibilities to conduct studies with costly technologies.

527

528 **Implications of the study**

529 Our findings support that long-term exposure to air pollution is harmful for asthma development  
530 in low-and middle-income countries. Most of all, this review has revealed a striking lack of studies  
531 in this field in LMICs. There is an acute need for more studies to be conducted.

532 The considerable heterogeneity observed across included studies implies large variation regarding  
533 air pollution and respiratory diseases across LMICs. This should be taken into consideration and  
534 studies should be planned for multiple locations such as in Africa, Eastern Mediterranean, and  
535 South-East Asia regions where no or few research projects have been carried out. Also, in areas  
536 where there is significant contribution of dust to the PM<sub>2.5</sub> composition, our pooled relative risks  
537 (RRs) may not be applicable and the need for separate studies will be even larger.

538 The current review provides more evidence for why implementation of air quality monitoring  
539 should be important for policy makers. The results can also be important for disease prevention:  
540 identifying patients at risk and advising them to avoid pollution as much as they can to avoid  
541 becoming sick.

542 Another possible implication is related to costs. If this study can contribute to increased air quality  
543 monitoring and knowledge about associations between pollution and respiratory diseases in  
544 LMICs, a decrease in health costs could be a significant co-benefit.

545 As pointed out by Dominski et al., (55) a substantial percentage of the total health expenditure is  
546 spent on respiratory diseases. In the Dominski study, average yearly direct costs of 764 USD to  
547 929 USD to cover for medication, transportation, job loss and other expenses. In addition,  
548 hospitalizations have been shown to be a major cost-driver of severe asthma for the (66).



549 The total health cost acquired from air pollution is enormous, and the decrease in associated health  
550 costs would be a significant co-benefit of implementation of air pollution preventive measures  
551 (67).

## 552 **Conclusion**

553 Our systematic review and meta-analysis indicate a positive association between long-term  
554 exposure to outdoor air pollution (PM<sub>2.5</sub>) and the development of asthma among adults. The  
555 findings of this review contribute to scientific evidence and may help underpin targeted mitigation  
556 measures to decrease the health burden associated with outdoor air pollution.

557 The LMICs are experiencing environmental problems especially because of their fast urbanization,  
558 and economic transformation, and the problems are further aggravated by poverty. These factors  
559 contribute greatly to the increasing levels of outdoor air pollution. Although there is increasing  
560 knowledge and epidemiological studies on air pollution in the developed countries, such  
561 information is still lacking in the LMICs. We propose that more primary studies are needed to fill  
562 these knowledge and methodological gaps and to strengthen the current evidence to inform and  
563 support policy makers.

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570 **CRedit authorship contribution statement**

571 **Achenyo Peace Abbah, Shanshan Xu, Ane Johannessen:** Conceptualization, Methodology.

572 **Shanshan Xu, Ane Johannessen:** Supervision. **Achenyo Peace Abbah:** Writing – Original draft.

573 **Achenyo Peace Abbah, Shanshan Xu, Ane Johannessen:** Writing- Review & Editing.

574 **Declaration of interest**

575 The authors declare no competing interests.

576 **Funding source**

577 There was no funding for this study.

578 **Acknowledgements**

579 We would like to acknowledge the contribution of university librarian Elisabeth Ebner for her

580 assistance with the electronic search strategies and suggestions for the relevant databases.

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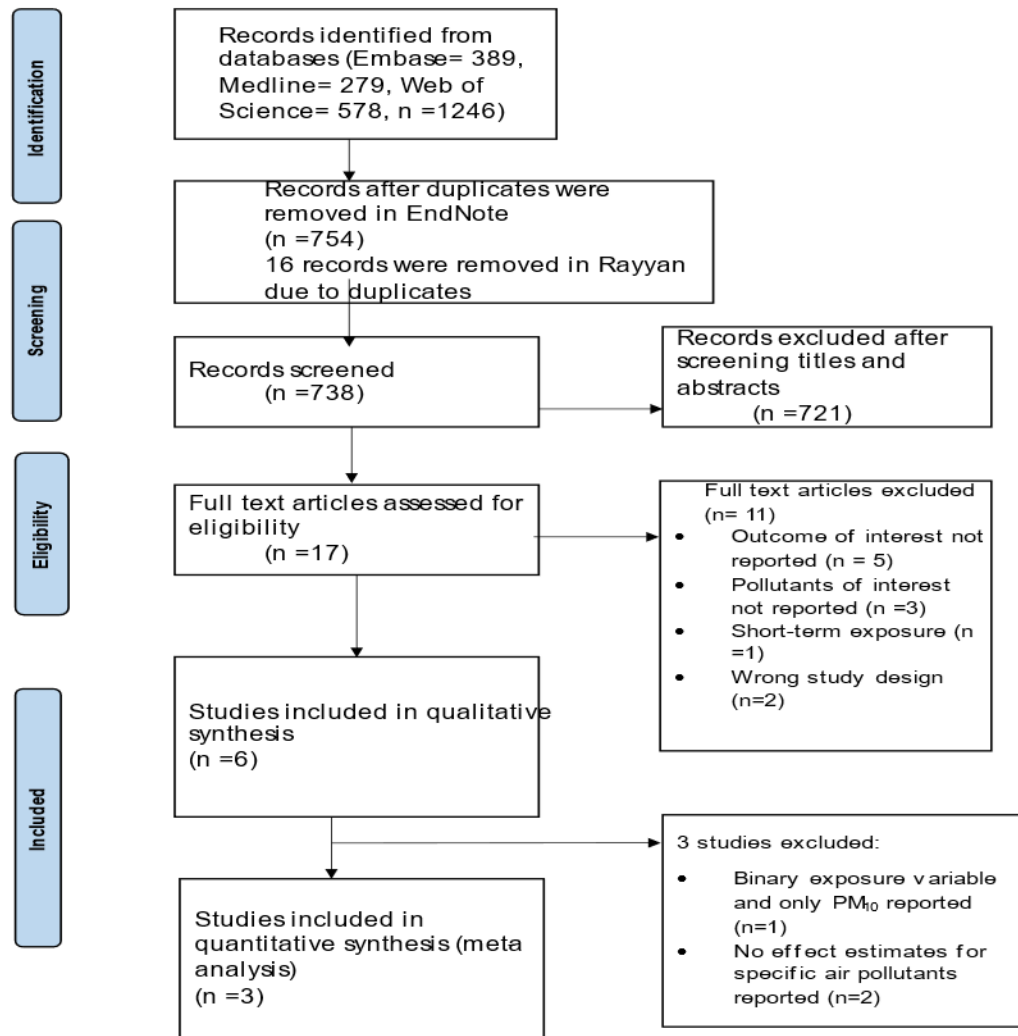
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## Tables and Figures



**Figure 1.** PRISMA flowchart of the study identification and selection process.

Retrieved from: Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. *BMJ* 2021;372:n71. doi: 10.1136/bmj.n71. For more information, visit: <http://www.prisma-statement.org/>

**Table 1.** Characteristics of the included studies

Study author	Year of publication	Study location(s)	Study design	Number of participants	Duration (years)	Pollutants studied	Outcomes reported	Effect estimates reported	Risk of Bias using ROBINS-E
Yan et al., (37)	2022	Northern India	Cohort	39,054	9.8	PM <sub>2.5</sub>	Asthma	Odds ratio	Moderate
Bagula et al., (30)	2021	South Africa	Cross-sectional	572	1	PM <sub>2.5</sub> , NO <sub>2</sub>	Asthma	Odds ratio	Moderate
Ai et al., (38)	2019	China, India, Ghana, Mexico, South Africa & Russia	Cross-sectional	29,249	3*	PM <sub>2.5</sub>	Asthma	Relative risk**	Moderate
Khafaie et al., (39)	2017	India	Cross-sectional	865	1	PM <sub>10</sub>	Cough, dyspnoea	Odds ratio	High
Kumar et al., (40)	2004	Northern India	Cross-sectional	3603	2*	PM <sub>10</sub> , NO <sub>2</sub>	Cough, wheeze	Odds ratio	Moderate
Chhabra et. Al, (41)	2001	India	Cross-sectional	4171	10*	NO <sub>2</sub>	Wheeze, cough, dyspnoea	Odds ratio	Moderate

\*The study duration for these cross-sectional studies is listed as more than one year because the air pollution exposure was measured back in time even though it was a one-study participation.

\*\*Prevalence ratio was assessed which is mathematically identical to relative risk (64), hence this review used the term RR (relative risk).

NO<sub>2</sub>: Nitrogen dioxide, PM<sub>10</sub>: particulate matter < 10 µm, PM<sub>2.5</sub>: particulate matter < 2.5 µm



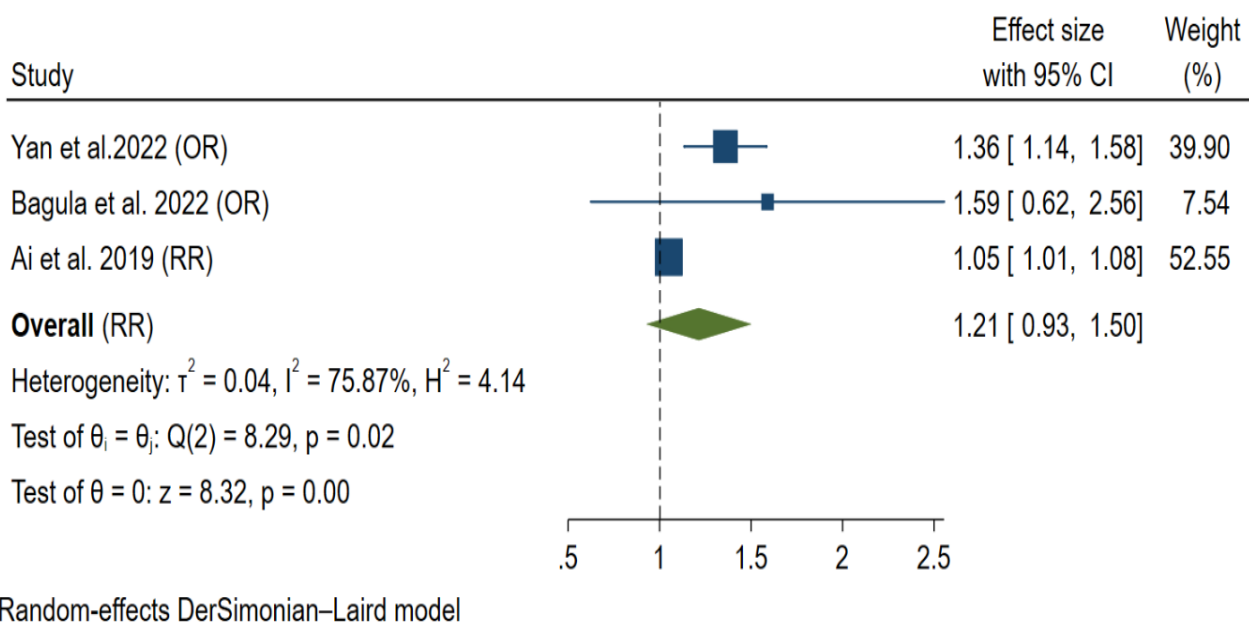
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**Table 2.** Adjusted OR (95% CI for the incidence of asthma in relation to each 10  $\mu\text{g}/\text{m}^3$  increase in  $\text{PM}_{2.5}$ ) in the study by Yan et al., (37)

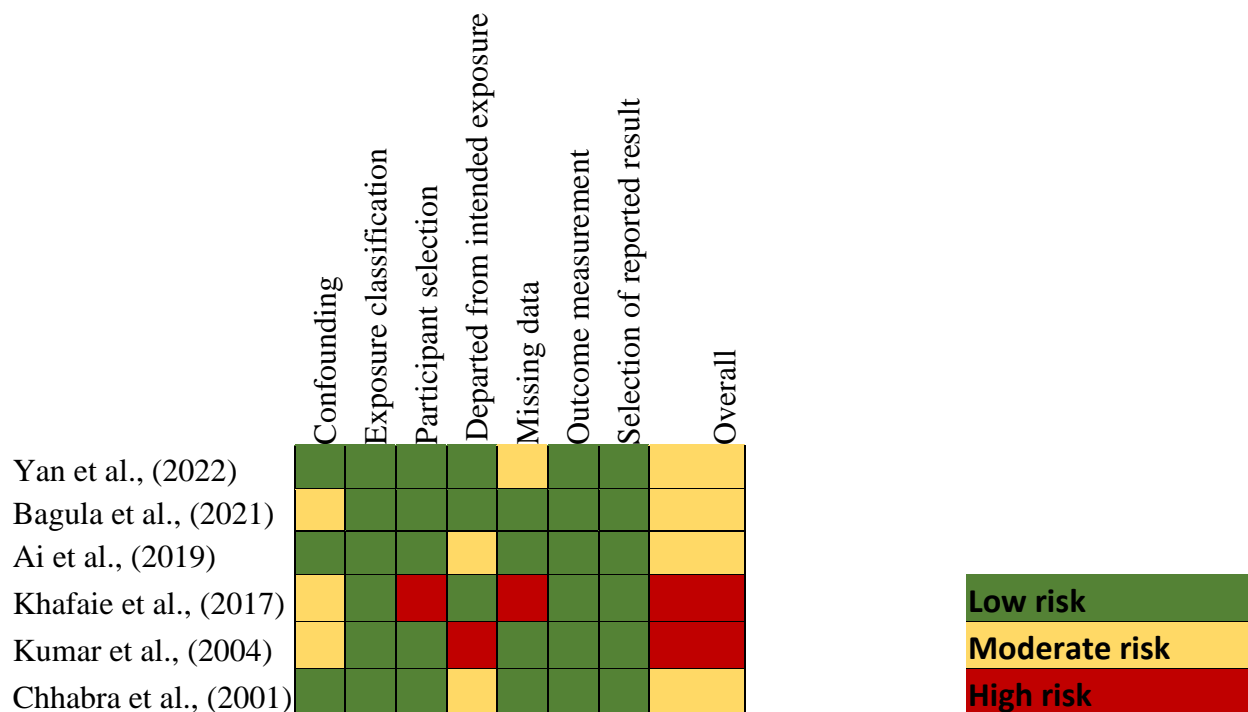
<b>Adjusted baseline variable</b>	<b>Asthma</b>
	<b>Odds ratio (95% CI)</b>
Crude model	1.48 (1.27, 1.73)
Model 1	1.45 (1.24, 1.70)
Model 2	1.45 (1.23, 1.70)
Model 3	1.36 (1.15, 1.60)
Model 4	0.76 (0.55, 1.04)

Abbreviations: Model 1: adjusted for gender (male and female), age, and BMI. Model 2: adjusted Model 1 plus educational level, personal income. Model 3: adjusted for Model 2 plus smoking status, passive smoking status, alcohol consumption, physical activity, and family history of asthma. Model 4: adjusted for Model 3 plus the four cohort cities.

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**Figure 2.** Forest plot of PM<sub>2.5</sub> and asthma



**Figure 3.** Risk of bias assessment in the included studies

**Table 3.** Detailed assessment of certainty of evidence for exposure-outcome

Exposure – Outcome	Reasons for downgrade										Reasons for upgrade						Overall
	A1	Rationale	A2	Rationale	A3	Rationale	A4	Rationale	A5	Rationale	B1	Rationale	B2	Rationale	B3	Rationale	
PM <sub>2.5</sub> and Asthma	0	No studies rated high RoB	0	The research question in the studies reflected the PECO question	-1	Considerable heterogeneity (I <sup>2</sup> =75.87%)	-1	Sample size met but confidence intervals were wide and included unity	0	No evidence of publication bias	0		0		+1	Two studies reported plausible shape of concentration-dose gradient	Low

Abbreviations: A1 = limitations in studies (risk of bias); A2 = indirectness; A3 = inconsistency; A4 = imprecision; A5 = publication bias; B1= large effect size (RR); B2 = confounding; B3 = concentration-response gradient.

## Appendices

# Appendix 1. Search Strategies

## Appendix Table A. Search strategies

SEPTEMBER 23, 2022

Ovid MEDLINE(R) and Epub Ahead of Print, In-Process, In-Data-Review & Other Non-Indexed Citations and Daily <1946 to September 22, 2022>

- 1 Air Pollution/
- 2 vehicle emission/
- 3 exp Air Pollutants/
- 4 traffic-related pollution/
- 5 Nitrogen Dioxide/
- 6 Particulate matter/
- 7 ("air quality" or "air toxic\*" or (Air and (pollut\* or emission\* or exhaust or particulate or particle or "black carbon" or "nitrous oxide" or "oxides of nitrogen" or "nitrogen dioxide" or "NO2" or smoke or "wood burn\*" or "wood heat\*" or fire-place or fireplace or chimney or stack or "tunnel" or "PM10" or "PM2.5"))) .ti,ab,kw.
- 8 1 or 2 or 3 or 4 or 5 or 6 or 7
- 9 (ambient or outdoor\*) .ti,ab,kw.
- 10 8 and 9
- 11 Asthma/
- 12 respiratory sounds/
- 13 (Asthma\* or dyspnoea\* or dyspnea\* or cough\* or wheez\*) .ti,ab,kw.
- 14 11 or 12 or 13
- 15 10 and 14
- 16 (afghanistan\* or albania\* or algeria\* or american samoa\* or angola\* or argentina\* or armenia\* or azerbaijan\* or bangladesh\* or belize\* or benin\* or bhutan\* or bolivia\* or "bosnia and herzegovina" or brazil\* or bulgaria\* or burkina faso\* or burundi\* or cabo verde\* or cambodia\* or cameroon\* or central african republic\* or chad\* or china\* or colombia\* or comoros\* or democratic republic congo\* or congo republic\* or costa rica\* or "cote d'ivoire" or cuba\* or djibouti\* or dominica\* or dominican republic\* or ecuador\* or egypt\* or united arab republic\* or el salvador\* or equatorial guinea\* or eritrea\* or eswatini\* or ethiopia\* or fiji\* or gabon\* or gambia \* or "georgia republic" or ghana\* or grenada\* or guatemala\* or guinea\* or guinea bissau\* or guyana\* or haiti\* or honduras\* or india\* or indonesia\* or iraq\* or jamaica\* or jordan\* or kazakhstan\* or kenya\* or "democratic people's republic of korea" or kosovo\* or kyrgyz republic\* or lao pdr\* or lebanon\* or lesotho\* or liberia\* or libya\* or madagascar\* or malawi\* or maldives\* or mali\* or marshall islands\* or mauritania\* or mauritius\* or mexico\* or micronesia fed sts\* or mongolia\* or montenegro\* or morocco\* or mozambique\* or myanmar\* or namibia\* or nepal\* or nicaragua\* or niger\* or nigeria\* or north macedonia\* or pakistan\* or panama\* or papua new guinea\* or paraguay\* or peru\* or philippines\* or romania\* or russian federation\* or rwanda\* or samoa\* or "sao tome and principe" or senegal\* or serbia\* or sierra leone\* or solomon islands\* or somalia\* or south africa\* or south sudan\* or sri lanka\* or "st. lucia" or "st vincent and the grenadines" or sudan\* or suriname\* or syrian arab republic\* or tajikistan\* or tanzania\* or thailand\* or timor leste\* or togo\* or tonga\* or tunisia\* or turkey\* or turkmenistan\* or tuvalu\* or uganda\* or ukraine\* or uzbekistan\* or uzbek\* or vanuatu\* or vietnam\* or "west bank and gaza" or yemen rep\* or zambia\* or zimbabwe\*) .ti,ab,sh,kf.
- 17 15 and 16

**SEPTEMBER 23, 2022**

Embase (Ovid SP) <1974 to 2022 September 22>

- 1 Air Pollution/
- 2 Vehicle emission/
- 3 exhaust gas/
- 4 exp Air Pollutants/
- 5 traffic-related pollution/
- 6 traffic pollution/
- 7 Nitrogen Dioxide/
- 8 Particulate matter/
- 9 ("air quality" or "air toxic\*" or (Air and (pollut\* or emission\* or exhaust or particulate or particle or "black carbon" or "nitrous oxide" or "oxides of nitrogen" or "nitrogen dioxide" or "NO2" or smoke or "wood burn\*" or "wood heat\*" or fire-place or fireplace or chimney or stack or "tunnel" or "PM10" or "PM2.5"))).ti,ab,kw.
- 10 1 or 2 or 3 or 4 or 5 or 6 or 7 or 8 or 9
- 11 (ambient or outdoor\*).ti,ab,kw.
- 12 10 and 11
- 13 Asthma/
- 14 respiratory sounds/
- 15 (Asthma\* or dyspnoea\* or dyspnea\* or cough\* or wheez\*).ti,ab,kw.
- 16 13 or 14 or 15
- 17 12 and 16
- 18 (afghanistan\* or albania\* or algeria\* or american samoa\* or angola\* or argentina\* or armenia\* or azerbaijan\* or bangladesh\* or belize\* or benin\* or bhutan\* or bolivia\* or "bosnia and herzegovina" or brazil\* or bulgaria\* or burkina faso\* or burundi\* or cabo verde\* or cambodia\* or cameroon\* or central african republic\* or chad\* or china\* or colombia\* or comoros\* or democratic republic congo\* or congo republic\* or costa rica\* or "cote d'ivoire" or cuba\* or djibouti\* or dominica\* or dominican republic\* or ecuador\* or egypt\* or united arab republic\* or el salvador\* or equatorial guinea\* or eritrea\* or eswatini\* or ethiopia\* or fiji\* or gabon\* or gambia \* or "georgia republic" or ghana\* or grenada\* or guatemala\* or guinea\* or guinea bissau\* or guyana\* or haiti\* or honduras\* or india\* or indonesia\* or iraq\* or jamaica\* or jordan\* or kazakhstan\* or kenya\* or "democratic people's republic of korea" or kosovo\* or kyrgyz republic\* or lao pdr\* or lebanon\* or lesotho\* or liberia\* or libya\* or madagascar\* or malawi\* or maldives\* or mali\* or marshall islands\* or mauritania\* or mauritius\* or mexico\* or micronesia fed sts\* or mongolia\* or montenegro\* or morocco\* or mozambique\* or myanmar\* or namibia\* or nepal\* or nicaragua\* or niger\* or nigeria\* or north macedonia\* or pakistan\* or panama\* or papua new guinea\* or paraguay\* or peru\* or philippines\* or romania\* or russian federation\* or rwanda\* or samoa\* or "sao tome and principe" or senegal\* or serbia\* or sierra leone\* or solomon islands\* or somalia\* or south africa\* or south sudan\* or sri lanka\* or "st. lucia" or "st vincent and the grenadines" or sudan\* or suriname\* or syrian arab republic\* or tajikistan\* or tanzania\* or thailand\* or timor leste\* or togo\* or tonga\* or tunisia\* or turkey\* or turkmenistan\* or tuvalu\* or uganda\* or ukraine\* or uzbekistan\* or uzbek\* or vanuatu\* or vietnam\* or "west bank and gaza" or yemen rep\* or zambia\* or zimbabwe\*).ti,ab,sh,kf.

- 19 17 and 18  
20 limit 19 to english

## WEB OF SCIENCE (CORE COLLECTION)

### SEPTEMBER 23, 2022

1: TS=("air quality" OR "air toxic\*" OR "traffic-related pollution" OR (Air AND (pollut\* OR emission\* OR exhaust OR particulate OR particle OR "black carbon" OR "nitrous oxide" OR "oxides of nitrogen" OR "nitrogen dioxide" OR "NO2" OR smoke OR "wood burn\*" OR "wood heat\*" OR fire-place OR fireplace OR chimney OR stack OR "tunnel" OR "PM10" OR "PM2.5"))))

2: TS=(ambient\* OR outdoor\*)

3: #1 AND #2

4: TS=(Asthma\* OR dyspnoea\* OR dyspnea\* OR cough\* OR wheez\* OR "respiratory sound\*")

5: #3 AND #4

6: TS=(afghanistan\* OR albania\* OR algeria\* OR american samoa\* OR angola\* OR argentina\* OR armenia\* OR azerbaijan\* OR bangladesh\* OR belize\* OR benin\* OR bhutan\* OR bolivia\* OR "bosnia and herzegovina" OR brazil\* OR bulgaria\* OR burkina faso\* OR burundi\* OR cabo verde\* OR cambodia\* OR cameroon\* OR central african republic\* OR chad\* OR china\* OR colombia\* OR comoros\* OR democratic republic congo\* OR congo republic\* OR costa rica\* OR "cote d'ivoire" OR cuba\* OR djibouti\* OR dominica\* OR dominican republic\* OR ecuador\* OR egypt\* OR united arab republic\* OR el salvador\* OR equatorial guinea\* OR eritrea\* OR eswatini\* OR ethiopia\* OR fiji\* OR gabon\* OR gambia\* OR "georgia republic" OR ghana\* OR grenada\* OR guatemala\* OR guinea\* OR guinea bissau\* OR guyana\* OR haiti\* OR honduras\* OR india\* OR indonesia\* OR iraq\* OR jamaica\* OR jordan\* OR kazakhstan\* OR kenya\* OR "democratic people's republic of korea" OR kosovo\* OR kyrgyz republic\* OR lao pdr\* OR lebanon\* OR lesotho\* OR liberia\* OR libya\* OR madagascar\* OR malawi\* OR maldives\* OR mali\* OR marshall islands\* OR mauritania\* OR mauritius\* OR mexico\* OR micronesia fed sts\* OR mongolia\* OR montenegro\* OR morocco\* OR mozambique\* OR myanmar\* OR namibia\* OR nepal\* OR nicaragua\* OR niger\* OR nigeria\* OR north macedonia\* OR pakistan\* OR panama\* OR papua new guinea\* OR paraguay\* OR peru\* OR philippines\* OR romania\* OR russian federation\* OR russia\* OR rwanda\* OR samoa\* OR "sao tome and principe" OR senegal\* OR serbia\* OR sierra leone\* OR solomon islands\* OR somalia\* OR south africa\* OR south sudan\* OR sri lanka\* OR "st. lucia" OR "st vincent and the grenadines" OR sudan\* OR suriname\* OR syrian arab republic\* OR tajikistan\* OR tanzania\* OR thailand\* OR timor leste\* OR togo\* OR tonga\* OR tunisia\* OR turkey\* OR turkmenistan\* OR tuvalu\* OR uganda\* OR ukraine\* OR uzbekistan\* OR uzbek\* OR vanuatu\* OR vietnam\* OR "west bank and gaza" OR yemen rep\* OR zambia\* OR Zimbabwe\*)

7: #5 AND #6

8: #7 and English (Languages)

## Appendix 2. ROBINS-E tool of the risk of bias assessment

Appendix Table B. ROBINS-E tool of the risk of bias assessment

Study	Domain	Risk	Overall assessment
Yan et al., (2022)	Confounding	Clinically relevant confounders were included in the analysis model	Low
	Exposure classification	Satellite based spatiotemporal model was used.	Low
	Participant selection	This study used a population-based large cohort from four cities in Northern China	Low
	Departure from intended exposure	The estimated annual mean concentration was based on the daily monitoring date. The concentration of PM <sub>2.5</sub> was treated as a time-varying variable	Low
	Missing data	This study had a few participants excluded due to missing covariates	Moderate
	Outcome measurement	Self-report and doctor diagnosis	Low
	Selection of reported result	No issue of selection of reporting was found	Low
Bagula et al., (2021)	Confounding	This study did not have data on the BMI and socioeconomic status of the participants	Moderate



	Exposure classification	Land-use regression model and geographic information system (GIS) were used to evaluate the spatial variation in the annual average concentrations	Low
	Participant selection	This study is a cross-sectional design but part of the larger cohort study from four informal settlements	Low
	Departure from intended exposure	The 1-year average concentration of pollutants	Low
	Missing data	NA	
	Outcome measurement	Self-reported and doctor-diagnosed asthma was used for the diagnosis of asthma	Low
	Selection of reported result	No issue of selection of reporting was found	Low
Ai et al., (2019)	Confounding	All clinically relevant confounders were included in the analysis model	Low
	Exposure classification	A combination of Aerosol Optical Depth (AOD) measurements and the Global Chemical Transport Models (CTMs) were used to estimate the yearly average concentrations of PM <sub>2.5</sub>	Low
	Participant selection	A multistage cluster sampling method of the population-based cohort from six countries was used	Low
	Departure from intended exposure	The 3-year average concentration of PM <sub>2.5</sub> before the survey was used as the proxy for long-term exposure to PM <sub>2.5</sub>	Moderate
	Missing data	NA	

	Outcome measurement	Self-report and medically diagnosed asthma were used for asthma diagnosis	Low
	Selection of reported result	No issue of selection of reporting was found	Low
Khafaie et al., (2017)	Confounding	This study did not include data on the socioeconomic status of the participants	Moderate
	Exposure classification	The atmospheric dispersion model, AERMOD to estimate background PM <sub>10</sub> concentration	Low
	Participant selection	This study only included diabetic participants, DM, and non-diabetic hospital staff	High
	Departure from intended exposure	The annual mean concentration of PM <sub>10</sub>	Low
	Missing data	Significant exclusion of participants due to the absence of valid lung functions	High
	Outcome measurement	A questionnaire and medical history of the respiratory symptoms of the participants were used for the diagnosis	Low
	Selection of reported result	No issue of selection of reporting was found	Low
Kumar et al., (2004)	Confounding	There was no data on the BMI of the participants	Moderate
	Exposure classification	A high-volume air sampler at a rate of 1 l/min was used for laboratory analysis	Low
	Participant selection	A cluster sampling design was used with a random selection of the colonies	Low

	Departure from intended exposure	A town-based air pollution exposure and not individual sampling	High
	Missing data	There was no significant exclusion of participants owing to missing data	Low
	Outcome measurement	British Medical Research Council Questionnaire was used to diagnose cough and wheezing	Low
	Selection of reported result	No issue of selection of reporting was found	Low
Chhabra et. al., (2001)	Confounding	NA	
	Exposure classification	Permanent air quality monitoring stations	Low
	Participant selection	A cross-sectional study with a randomized stratified sampling method was used	Low
	Departure from intended exposure	The 10-year average concentration of pollutants, and not individual exposure	Moderate
	Missing data	NA	
	Outcome measurement	A questionnaire was used for the diagnosis of wheezing, cough, and dyspnoea	Low
	Selection of reported result	No issue of selection of reporting was found	Low

PM<sub>2.5</sub> = particulate matter with an aerodynamic diameter of 2.5 µm or less, PM<sub>10</sub> = particulate matter with an aerodynamic diameter of 10 µm or less, NO<sub>2</sub> = nitrogen dioxide, NA= Not Applicable, DM= Diabetes Mellitus, BMI= Body Mass Index

**Appendix 3.** Exposures, outcomes, exposure estimates, and covariates adjusted of the included studies.

**Appendix Table C.** Exposures, outcomes, exposure estimates and covariates adjusted of the included studies.

Study	Exposure	Exposure information source	Outcome definition	Exposure estimates	Fully adjusted association (95%CI) reported	Covariate adjusted
Yan et al., (1)	PM <sub>2.5</sub>	Satellite-based spatiotemporal model with resolution 1 km x 1 km	Self-report and physician diagnosis of asthma	The average concentration of annual-mean PM <sub>2.5</sub> exposure from 2000 to 2009 was 66.5 µg/m <sup>3</sup> . (R <sup>2</sup> =0.93 at monthly level and R <sup>2</sup> =0.95 at annual level)	OR per 10 µg/m <sup>3</sup> increase in PM <sub>2.5</sub> was (1.36 95% CI 1.15, 1.60)	Age, gender, BMI, monthly income, education level, smoking status, passive smoking, physical activity, alcohol intake, family history of related chronic respiratory diseases
Bagula et al., (2)	PM <sub>2.5</sub> , NO <sub>2</sub>	Land-use regression (LUR) model and geographic information system (GIS) to assess the	Self-report and doctor diagnosis of asthma	NO <sub>2</sub> : mean annual concentration was 16.9µg/m <sup>3</sup> (interquartile range:	OR for interquartile range increase of 14.1µg/m <sup>3</sup> in NO <sub>2</sub> was (1.13 95% CI 0.11, 12.05) and	Age, sex, education, employment status, smoking status, physical activity

annual average concentrations. 9.6 $\mu\text{g}/\text{m}^3$  to 23.7  $\mu\text{g}/\text{m}^3$  5.12 $\mu\text{g}/\text{m}^3$  in **PM<sub>2.5</sub>** was (1.27 95% CI **PM<sub>2.5</sub>**: mean annual 0.95, 1.71) in the concentration was single-pollutant 10.1 $\mu\text{g}/\text{m}^3$  model (interquartile range: 7.3 $\mu\text{g}/\text{m}^3$  to 12.4  $\mu\text{g}/\text{m}^3$ )

Ai et al., (3)

PM<sub>2.5</sub>

A combination of Participants who The mean SD for For each 10  $\mu\text{g}/\text{m}^3$  Age, sex, BMI, Aerosol Optical reported treatment PM<sub>2.5</sub> ( $\mu\text{g}/\text{m}^3$ ) for increase in **PM<sub>2.5</sub>**, education, smoking Depth (AOD) for asthma within asthma and non- the adjusted RR for status, alcohol measurements with one year and /or asthma participants asthma is (1.05 95% consumption, and the Global Chemical those diagnosed were 37.33 (2.05) CI 1.01, 1.08) occupational Transport Models with asthma from and 34.33 (2.02). exposure (CTMs) to estimate approved medical the yearly average institutions. Validation through concentrations of PM<sub>2.5</sub> during 2007- medical testing to establish the resolution. Original credibility of the AOD data was

refined from 10 km data from self-  
 x 10 km resolution reported patients.  
 to 1 km x 1 km  
 resolution

Khafaie et al., (4)	PM <sub>10</sub>	<p>Atmospheric dispersion model AERMOD to estimate background PM<sub>10</sub> concentration at subject's home and work. PM<sub>10</sub> at home x time stay at home/24 + PM<sub>10</sub> at home x time stay at work/24</p> <p><b>Cough:</b> cough or phlegm that is not common cold that has been accumulating for at least three months of the year for the last two years.</p> <p><b>Dyspnoea:</b> also referred to as shortness of breath is any attack of breath shortness except common colds occurring in the last twelve years.</p>	<p>Logistic regression models were used to describe the association between residential air pollution exposure and chronic respiratory symptoms. This was expressed as 1 SD = 98.38 µg/m<sup>3</sup> of PM<sub>10</sub> concentration (OR = expo [coef. X 98.38])</p>	<p>OR for 1 SD µg/m<sup>3</sup> increment in PM<sub>10</sub> <b>Cough:</b> (1.33 95% CI 1.02-1.74) <b>Dyspnoea:</b> (1.50 95% CI 1.12, 2.01)</p>	<p>Age, gender, BMI, diabetes status, smoking, and temperature on the day of the blood sample collection</p>
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Kumar et al., (5)	PM <sub>10</sub> , NO <sub>2</sub>	<p>Air sampling was conducted for at</p> <p>Cough and wheezing for more</p>	<p>Exposure assessment</p>	<p>The OR for having chronic respiratory</p>	<p>Age, gender, education,</p>
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least one day each than one month information was symptoms was (1.5 occupation, income, week for 12 hours in using British from collected from 95% CI 1.2, 1.8) smoking status, each town using a Medical Research ecological source **Cough:** (1.73 95% passive smoking, high-volume air Council using logistic CI 1.29, 2.32) type of cooking fuel, sampler at a rate of 1 Questionnaire and regression analysis **Wheezing:** (1.89 migration l/min placed at a spirometry test. to assess the effect 95% 1.40, 2.55) height of 10 feet of residence in a poor air-quality town on respiratory health.

Chhabra et al., (6) NO<sub>2</sub>

**Cough:** cough that Residential source NR Age, sex, economic happened on most of information status, smoking days for three or more consecutive months during the year for the past two years. history, education, type of domestic fuel used, and occupation

**Dyspnoea:** breathlessness on walking requiring the subject to stop or slow down for

---

breath when  
walking one's own  
pace on level  
ground.

**Wheezing:**

wheezing or  
whistling sounds in  
breathing associated  
with breathlessness  
on most days or  
nights

Abbreviations:  $R^2$  means the coefficient of determination, which indicates how well the fitted regression model explains the actual data from measurement; SD, standard deviation; BMI, body mass index; OR odds ratio; RR, relative risk; NR, not reported.



## Appendix 4. Completed PRISMA Checklist

Appendix Table D. Completed PRISMA Checklist

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
<b>TITLE</b>			1
Title	1	Identify the report as a systematic review.	
<b>ABSTRACT</b>			2
Abstract	2	See the PRISMA 2020 for the Abstracts checklist.	
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of existing knowledge.	3-4
Objectives	4	Provide an explicit statement of the objective(s) or question(s) the review addresses.	4
<b>METHODS</b>			
Eligibility criteria	5	Specify the inclusion and exclusion criteria for the review and how studies were grouped for the syntheses.	5

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
Information sources	6	Specify all databases, registers, websites, organizations, reference lists, and other sources searched or consulted to identify studies. Specify the date when each source was last searched or consulted.	5
Search strategy	7	Present the full search strategies for all databases, registers, and websites, including any filters and limits used.	Appendix (1-3)
Selection process	8	Specify the methods used to decide whether a study met the inclusion criteria of the review, including how many reviewers screened each record and each report retrieved, whether they worked independently, and if applicable, details of automation tools used in the process.	6
Data collection process	9	Specify the methods used to collect data from reports, including how many reviewers collected data from each report, whether they worked independently, any processes for obtaining or confirming data from study investigators, and if applicable, details of automation tools used in the process.	6
Data items	10a	List and define all outcomes for which data were sought. Specify whether all results that were compatible with each outcome domain in each study were sought (e.g. for all measures, time points, analyses), and if not, the methods used to decide which results to collect.	6

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
	10b	List and define all other variables for which data were sought (e.g. participant and intervention characteristics, funding sources). Describe any assumptions made about any missing or unclear information.	
Study risk of bias assessment	11	Specify the methods used to assess the risk of bias in the included studies, including details of the tool(s) used, how many reviewers assessed each study and whether they worked independently, and if applicable, details of automation tools used in the process.	6
Effect measures	12	Specify for each outcome the effect measure(s) (e.g. risk ratio, mean difference) used in the synthesis or presentation of results.	
Synthesis methods	13a	Describe the processes used to decide which studies were eligible for each synthesis (e.g. tabulating the study intervention characteristics and comparing against the planned groups for each synthesis (item #5)).	6
	13b	Describe any methods required to prepare the data for presentation or synthesis, such as handling of missing summary statistics, or data conversions.	7
	13c	Describe any methods used to tabulate or visually display the results of individual studies and syntheses.	7

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
	13d	Describe any methods used to synthesize results and provide a rationale for the choice(s). If meta-analysis was performed, describe the model(s), method(s) to identify the presence and extent of statistical heterogeneity, and software package(s) used.	7
	13e	Describe any methods used to explore possible causes of heterogeneity among study results (e.g. subgroup analysis, meta-regression).	7
	13f	Describe any sensitivity analyses conducted to assess the robustness of the synthesized results.	
Reporting bias assessment	14	Describe any methods used to assess the risk of bias due to missing results in a synthesis (arising from reporting biases).	
Certainty assessment	15	Describe any methods used to assess certainty (or confidence) in the body of evidence for an outcome.	7
<b>RESULTS</b>			
Study	16a	Describe the results of the search and selection process, from the number of records identified in the search to the	29

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
selection		number of studies included in the review, ideally using a flow diagram.	
	16b	Cite studies that might appear to meet the inclusion criteria, but which were excluded, and explain why they were excluded.	
Study characteristics	17	Cite each included study and present its characteristics.	30
Risk of Bias in Studies	18	Present assessments of risk of bias for each included study.	31
Results of individual studies	19	For all outcomes, present, for each study: (a) summary statistics for each group (where appropriate) and (b) an effect estimates and its precision (e.g. confidence/credible interval), ideally using structured tables or plots.	31
Results of syntheses	20a	For each synthesis, briefly summarise the characteristics and risk of bias among contributing studies.	30
	20b	Present results of all statistical syntheses conducted. If meta-analysis was done, present for each the summary estimate	31

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
		and its precision (e.g. confidence/credible interval) and measures of statistical heterogeneity. If comparing groups, describe the direction of the effect.	
	20c	Present results of all investigations of possible causes of heterogeneity among study results.	
	20d	Present results of all sensitivity analyses conducted to assess the robustness of the synthesized results.	
Reporting biases	21	Present assessments of risk of bias due to missing results (arising from reporting biases) for each synthesis assessed.	
Certainty of evidence	22	Present assessments of certainty (or confidence) in the body of evidence for each outcome assessed.	32
<b>DISCUSSION</b>			
Discussion	23a	Provide a general interpretation of the results in the context of other evidence.	13-18
	23b	Discuss any limitations of the evidence included in the review.	19
	23c	Discuss any limitations of the review processes used.	19-21

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
	23d	Discuss the implications of the results for practice, policy, and future research.	22-23
<b>OTHER INFORMATION</b>			
Registration and protocol	24a	Provide registration information for the review, including the register name and registration number, or state that the review was not registered.	4
	24b	Indicate where the review protocol can be accessed, or state that a protocol was not prepared.	
	24c	Describe and explain any amendments to the information provided at registration or in the protocol.	
Support	25	Describe sources of financial or non-financial support for the review, and the role of the funders or sponsors in the review.	24
Competing interests	26	Declare any competing interests of review authors.	24
Availability of data, code, and	27	A report of which of the following are publicly available and where they can be found: template data collection forms; data extracted from included studies; data used for all analyses; analytic code; any other materials used in the review.	

Section and Topic	Item #	Checklist item	The location where the item is reported (page)
other materials			

*From:* Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. *BMJ* 2021;372:n71. doi: 10.1136/bmj.n71 For more information, visit: <http://www.prisma-statement.org/>



## Appendix 5. Data extraction form for included studies

**Appendix Table E.** Data extraction form for included studies

Review title or ID	
Study ID ( <i>surname of first author and year first full report of study was published e.g., Smith 2001</i> )	
Report ID	
Report ID of other reports of this study	
Notes	

### General Information

Date form completed ( <i>dd/mm/yyyy</i> )	
Name/ID of person extracting data	
Reference citation	
Study author contact details	

Publication type <i>(e.g. full report, abstract, letter)</i>	
Journal/issue of publication	
Notes:	

**Study eligibility**

Study Characteristics	Eligibility criteria <i>(Insert inclusion criteria for each characteristic as defined in the Protocol)</i>	Eligibility criteria met?			Location in text or source <i>(pg &amp; ¶/fig/table/other)</i>
		Yes	No	Unclear	
Type of study design	Cohort	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
	Cross-sectional	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Participants	Adults 18 years and above	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Types of exposures	Air or gaseous pollutants such as PM <sub>10</sub> , PM <sub>2.5</sub> , NO <sub>2</sub> , and black carbon.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Types of comparison	Cohort and cross-sectional studies reported on exposure to relatively low levels of air or gaseous pollutants in the same population.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Types of outcome measures	Asthma, wheezing, cough, and dyspnea	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Types of effect estimates	Relative Risk (RR), Odds Ratio (OR), Hazard Ratio (HR)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
					<p style="text-align: right;">           INCLUDE <input type="checkbox"/>            EXCLUDE <input type="checkbox"/> </p>
Reason for exclusion					
Notes:					

**DO NOT PROCEED IF STUDY EXCLUDED FROM REVIEW**

**Methods**

	<b>Descriptions as stated in report/paper</b>	<b>Location in text</b> <i>(pg &amp; ¶/fig/table)</i>
Aim of study		
Study design		
Start date		
End date		
Number of participants per group		
Number of participants with the outcome		
Duration of participation <i>(from recruitment to last follow-up)</i>		
<b>Notes:</b>		

Adapted from [https://training.cochrane.org/sites/training.cochrane.org/files/public/uploads/resources/downloadable\\_resources/English/Collecting%20data%20-%20form%20for%20RCTs%20and%20non-RCTs.doc](https://training.cochrane.org/sites/training.cochrane.org/files/public/uploads/resources/downloadable_resources/English/Collecting%20data%20-%20form%20for%20RCTs%20and%20non-RCTs.doc)

1 **Appendix 6. A systematic review protocol.**

2

3 **Long-term exposure to outdoor air pollution and asthma in low-and middle-income**  
4 **countries: a systematic review protocol**

5 **Achenyo Peace Abbah<sup>1\*</sup>, Shanshan Xu<sup>1</sup>, Ane Johannessen<sup>2</sup>**

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10 **Funding:** This study will not be receiving any funding.

11 **Competing interests:** The authors declare that no competing interests exist.

12 **Data availability:** Data will be available upon the study's completion.

13 **Abstract**

14 **Background:** Several epidemiological studies have examined the risk of asthma and respiratory  
15 diseases in association with long-term exposure to outdoor air pollution. However, little is known  
16 regarding the adverse effects of long-term exposure to outdoor air pollution on the development  
17 of these outcomes in low- and middle-income countries (LMICs). Our study aims to investigate  
18 the association between long-term exposure to outdoor air pollution and asthma and respiratory  
19 diseases in LMICs through a systematic review with meta-analysis.

20 **Methods:** This systematic review and meta-analysis will follow the PRISMA (Preferred Reporting  
21 for Systematic Reviews and Meta-Analyses) checklist and flowchart guidelines. The inclusion

22 criteria that will be used in our study are 1) Original research articles with full text in English; 2)  
23 Studies including adult humans; 3) Studies with long-term air pollution assessment in LMICs, air  
24 pollutants including nitrogen oxide (NO<sub>2</sub>), sulfur oxide (SO<sub>2</sub>), particulate matter (PM<sub>2.5</sub> and PM<sub>10</sub>),  
25 carbon monoxide (CO) and ozone (O<sub>3</sub>); 4) cohort and cross-sectional studies; 5) Studies reporting  
26 associations between air pollution and asthma and respiratory symptoms. A comprehensive search  
27 strategy will be used to identify studies published up till August 2022 and indexed in Embase,  
28 Medline, and Web of Science. Three reviewers will independently screen records retrieved from  
29 the database searches. Where there are enough studies with similar exposure and outcomes, we  
30 will calculate, and report pooled effect estimates using meta-analysis.

31 **Systematic review registration:** PROSPERO CRD42022311326

32 **Discussion:** Findings from the health effects of long-term exposure to outdoor air pollution may  
33 be of importance for policymakers. This review will also identify any gaps in the current literature  
34 on this topic in LMICs and provide direction for future research.

35

## 36 **Introduction**

37 Outdoor air pollution is a major menace to public health globally (1) that causes around 4.2 million  
38 deaths each year and inflicts a heavy morbidity burden on society (2, 3). Sweileh and co-workers  
39 (1) pointed out that almost 90% of deaths related to air pollution happen in low- and middle-  
40 income countries (LMICs) with almost 2 out of 3 happening in South-East Asia and Western  
41 Pacific regions. The World Health Organization (WHO) reports that 99% of the global population  
42 lives in regions where the recently launched WHO guideline limits on air pollution are exceeded  
43 (3, 4). South and East Asian locations emerge as the most polluted globally. Bangladesh, China,

44 India, and Pakistan share 49 of the 50 most polluted cities worldwide. This high air pollution rate  
45 in Asia can be related to expeditious urbanization and industrialization (1, 5). In other areas such  
46 as the African Continent, there is no sufficient documentation on the magnitude of the attributable  
47 risk of outdoor air pollution (6, 7). The problem of air pollution is particularly severe in countries  
48 with social disparities and a lack of sustainable management of the environment (8).

49 Duan and co-workers (9) showed that even though the levels of air pollution in high income  
50 countries have significantly decreased over the last 25 years, over the same period, air pollution  
51 levels are on the increase in LMICs especially China and India.

52 In recent years, there has been an increase in knowledge about health effects of long-term air  
53 pollution exposures, especially on asthma and respiratory symptoms. In several countries, the  
54 prevalence of asthma is between 1 and 8% of the population. A recent study has shown that 13%  
55 of global incidence of asthma in children can be attributable to traffic-related air pollution (TRAP)  
56 and TRAP affects the development of asthma also in adults (10). In 2019, WHO reported that  
57 about 262 million persons had asthma which caused 461,000 deaths annually. Most asthma-related  
58 deaths happen in LMICs due to challenges of under-diagnosis and under-treatment (11). The WHO  
59 (11) further stressed the impact of asthma on normal daily living as it causes poor concentration,  
60 sleep disturbance, and tiredness during the day among persons not sufficiently treated for their  
61 asthma. Also, people who suffer from asthma and their families face the challenges of missing  
62 school and work, thus causing a substantial economic burden on their families and society at large.

63 Asthma is described as chronic inflammatory disorder of the airways associated with bronchial  
64 hyper-responsiveness, and reversible airflow limitation (5, 10, 12). In other words, asthma occurs  
65 when the air passage in the lungs narrows because of inflammation and tightening of the muscles

66 around the small airways. The main symptoms of asthma are wheeze, dyspnea, cough, tightness  
67 of the chest and shortness of breath.

68 Air pollution may induce or aggravate asthma. Pollutants in the atmosphere are linked with  
69 increased incidence, prevalence, hospitalizations, or worsening symptoms of asthma (12). Tiotiu  
70 and co-workers (10) have further acknowledged that air pollution does not only worsen existing  
71 asthma but may cause new onset of asthma in previously healthy persons.

72 Even though both the air pollution burden and asthma disease burden are highest in LMICs, more  
73 systematic overviews from LMICs are scarce. One recent overview examined air pollution in  
74 LMICs in association with respiratory mortality and chronic obstructive pulmonary disease  
75 (COPD) (13), and one overview has examined air pollution in LMICs in association with asthma  
76 in children (14), but no overview currently exists covering air pollution and asthma in adults in  
77 LMICs. Such overviews could be important tools to improve public health by providing the basis  
78 for informed policy making and stimulating public health institutions and authorities to put more  
79 effective measures in place to reduce exposure to air pollutants. Thus, the main aim of this  
80 systematic review is to investigate the association between long-term health effects of outdoor air  
81 pollution and asthma and respiratory symptoms among adults over 18 years old in LMICs.

## 82 **Materials and Methods**

83 The proposed systematic review and meta-analysis will be conducted following the PRISMA  
84 (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) checklist (S1 Table) and  
85 flowchart guidelines (15). The study protocol was registered in advance in International  
86 Prospective Register of Systematic Reviews (PROSPERO- CRD42022311326).

87



88 **Objective**

89 The main objective of this review is to investigate the association between long-term health effects  
90 of outdoor air pollution and asthma and respiratory symptoms among adults (over 18 years old) in  
91 LMICs.

92 **Review question**

93 Does long-term exposure to outdoor air pollution increase the risks of asthma, and respiratory  
94 symptoms among adults (over 18 years old) in LMIC as compared to adults (over 18 years old)  
95 with relatively low levels of exposure to outdoor air pollution?

96 **Eligibility Criteria**

97 As pointed out by Schaefer and Mayers (16), documentation of clear criteria for inclusion and  
98 exclusion in any study is a major strength of the systematic review approach because it documents  
99 the reason why particular studies were selected as likely key studies and why other studies were  
100 excluded. These criteria are formed in accordance with the questions that are established during  
101 the problem formulation stage. In addition, eligibility criteria are conducted according to the  
102 Population (animal species inclusive), Exposure, Comparator, Outcomes, and Timing (PECOT)  
103 approach, study design, and date. Main exclusion criteria are unrelated studies, duplicates, full  
104 texts unavailability, or abstract-only papers while inclusion criteria entail studies on the target  
105 population, investigated exposure, or the comparison between two studied exposures. In a nutshell,  
106 the inclusion criteria should be articles that contain clear and sufficient information (both positive  
107 and negative) that answers the research question (17). Based on this definition of eligibility criteria,  
108 we identified our inclusion and exclusion criteria for this study

109

110

111 **Inclusion criteria**

- 112 • **Population:** Studies on human adult population on long-term exposure to outdoor air or  
113 gaseous pollutants (long-term defined as  $\geq 1$  year in line with the 2021 WHO air quality  
114 guidelines (18)) in LMICs.
- 115 • **Exposure:** Studies that reported on exposure to the outdoor air or gaseous pollutants nitrogen  
116 oxide (NO<sub>2</sub>), sulphur oxide (SO<sub>2</sub>), particulate matter (PM<sub>10</sub>), particulate matter (PM<sub>2.5</sub>), carbon  
117 monoxide (CO) and/or ozone (O<sub>3</sub>).
- 118 • **Comparator:** Cohort studies that reported on exposure to relatively low levels of air or  
119 gaseous pollutants in the same population.
- 120 • **Outcomes:** Outcomes are asthma, and respiratory symptoms (such as wheeze, cough, and  
121 dyspnoea) that are not a result of biological agents.
- 122 • **Timing:** Studies conducted up to August 2022.

123 **Exclusion criteria**

124 Studies published in any other language besides English will not be included. Also, studies that  
125 are not available in full texts and studies conducted among participants less than 18 years old will  
126 not be considered.

127 **Information sources**

128 A significant component of the systematic review process is sufficient searching of scientific and  
129 relevant literature, hence, the suggestion of Schaefer and Mayers (16) that search terms should be  
130 carefully chosen to adequately narrow down the search results to produce rich information will be  
131 adhered to in this review.

132 As advised by Cheung and Vijayakumar (19) it is wise to search more than one database in order  
133 to reduce selection bias, thus, studies published in English up to August 2022 that matched the

134 PECOT question will be searched systematically in Embase (Ovid), Medline (Ovid), and Web of  
135 Science (Core collection). The aforementioned databases were selected to avoid too many  
136 duplications of studies from similar databases such as PubMed, Embase, and the Cochrane Library.  
137 Furthermore, a librarian from the faculty of medicine at the university will guide the search.

### 138 **Search strategy**

139 A pilot search of Embase (Ovid) was carried out to identify studies on the topic. The text words in  
140 the titles and abstracts of relevant studies and the index terms used to define the articles were used  
141 to develop a full search strategy (S2 Table). The reference lists of key full text articles included in  
142 the review will be screened to find additional studies. This review will conduct searches for  
143 relevant literature on the identified databases through a combination of free text and indexed terms  
144 such as Medical Subject Headings (MeSH) terms and will be combined using Boolean operators.

### 145 **Data management**

146 The search results will be compiled in a reference manager program using EndNote and duplicates  
147 will be removed. The records will further be exported to Rayyan software (20) where the screening  
148 of all records will be done.

### 149 **Selection process**

150 For a thorough review, three reviewers will independently screen all records retrieved from the  
151 database searches using Rayyan, a software for systematic reviews by screening the titles, and  
152 abstracts using screening question according to the inclusion and exclusion criteria. Full text  
153 articles will then be assessed by the same reviewers. Any disagreements between the three  
154 independent reviewers will be resolved through group discussion and voting or by contacting the  
155 author if further information is required. Reasons for exclusion of the articles will be recorded.

156 A PRISMA flowchart as shown in (S1 Figure) representing the selection process and numbers of  
157 the selected articles, the numbers of the articles initially identified, the numbers of articles excluded  
158 before and after screening based on titles and abstracts, eligible articles did not meet inclusion  
159 criteria, and the primary reasons for exclusion (15) will be presented.

#### 160 **Data collection process**

161 Data from full texts will be extracted and screened by exporting the results to Excel form designed  
162 by the reviewers. The extraction characteristics of the included articles will be: name of authors;  
163 year, journal/issue of publication; study location; study design; sample size of the study;  
164 demographic characteristics of the study population; pollutants; outcomes; statistical methods;  
165 effect estimates; confounders in the statistical model (21, 22). In accordance with good practice, a  
166 pilot testing of the Excel form will be done on a sample of included studies to ensure that all  
167 relevant information is captured (23). The three reviewers will compare and discuss the accuracy  
168 and completeness of the data extracted. If during the extraction process some data is missing,  
169 unclear or incomplete, inquiries will be sent to the authors.

#### 170 **Risk of bias assessment**

171 Three reviewers will independently assess the risk of bias in included by using the Risk of Bias In  
172 Non-randomized Studies-of Exposure (ROBINS-E) tool. The ROBINS-E tool developed by the  
173 ROBINS-E Development Group led by Higgins and co-workers (24) provides an orderly way to  
174 assess the risk of bias (RoB) in observational epidemiological studies. It includes seven domains  
175 of bias: confounding, exposure classification, participant selection, departure from intended  
176 exposure, missing data, and outcome measurement. Each domain is addressed using a series of  
177 signaling questions with the purpose of collecting significant information on the study and analysis  
178 being evaluated. In addition, three judgements are done after the important signaling questions

179 have been answered, then, an overall judgement is carried out for each of these considerations  
180 (24). This tool was used by Park and co-workers (25) in a very simplified approach, thus making  
181 it adoptable for other researchers like us.

182 A pilot quality assessment will be conducted on a few selected included studies. It is anticipated  
183 that the quality assessment of studies could involve a certain extent of subjective judgment, thus,  
184 any differences in opinion will be resolved through discussion. The quality assessment for  
185 individual included studies will be qualitatively summarized as part of the summary of the findings  
186 table.

## 187 **Analysis**

### 188 **Descriptive analysis**

189 We will conduct a narrative synthesis of the findings from the included studies. We will structure  
190 the narrative synthesis by describing the studies according to the study design; characteristics of  
191 the target population (e. g age, sex, socioeconomic status, educational level etc.); the type of air  
192 pollutants; the type of respiratory health outcomes.

### 193 **Statistical analysis**

194 If there are enough studies with similar exposure and outcomes, we will pool the results using  
195 meta-analysis in the STATA software. As described by Cheung and Vijayakumar (19) and Lee  
196 (26), two statistical models are used for a meta-analysis given that a meta-analysis merges the  
197 effect sizes of the included studies by weighting the data in accord with the diverse amounts of  
198 data in each study. On one hand, the fixed effect model infers that all the studies in the meta-  
199 analysis have one true effect size and the observed variation amongst studies is due to sampling  
200 errors or chance. The fixed effect model evaluates only intra-study sampling errors, that is, intra-  
201 study variation. On the other hand, the random effect model assumes that various studies display

202 considerate diversification, and the true effect size might range between studies. It also evaluates  
203 both intra-study sampling errors and inter-study variance, that is, between-study variation.

204 With the understanding of which model to use as described by Lee above, the DerSimonian and  
205 Laird random-effects methods for meta-analysis might be employed. This is in line with other  
206 systematic reviews and meta-analyses related to this topic of interest (21, 25, 27, 28, 29).  
207 Furthermore, DerSimonian and Laird random effects model has been known to be the simplest and  
208 most widely used method for fitting the random effects model for meta-analysis (30).  
209 Heterogeneity among studies will be assessed using both the  $\chi^2$  test and  $I^2$  statistics. According to  
210 the Cochrane Handbook (31), we will consider an  $I^2$  value over 50% to indicate substantial  
211 heterogeneity. We will assess publication bias by using funnel plots and Egger's linear regression.

#### 212 **Assessment of certainty of evidence across studies**

213 For each pollutant exposure and outcome, the certainty of evidence (CoE) will be judged by  
214 adapting the Grading of Recommendations Assessment, Development and Evaluation (GRADE)  
215 approach. The GRADE domains consist of risk of bias, directness of information, precision of an  
216 estimate, consistency of estimates across studies, risk of bias related to selective reporting, strength  
217 of the association, presence of a dose-response gradient, and the presence of plausible residual  
218 confounding that can increase confidence in estimated effects (32). The basis of the GRADE  
219 domains assessment will be from the results of the risk RoB assessment, heterogeneity, sensitivity,  
220 and publication bias analyses (21, 33). The overall rating of certainty of evidence as described by  
221 (28) are as follows;

- 222 • High: means there is unlikely change in the effect estimate given further studies.
- 223 • Moderate: a certain likelihood in change of the effect estimate given further studies.
- 224 • Low: further studies are very likely to cause a change in the effect estimate.

- Very low: high uncertainty in the effect estimate.

## 226 **Discussion**

227 This systematic review protocol was precisely developed to increase the knowledge and awareness  
228 on deleterious air or gaseous pollutants that cause obstructive respiratory diseases such as asthma  
229 and respiratory symptoms to support the drive for future research. There is growing evidence of  
230 the positive association between some air or gaseous pollutants and the development of respiratory  
231 diseases in high-income countries. However, very few studies have been conducted in the low-and  
232 middle-income countries on the significant association between air or gaseous pollutants and  
233 respiratory diseases. Hence, we aim to investigate the association between long-term health effects  
234 of outdoor air pollution and asthma and respiratory symptoms among adults (over 18 years old) in  
235 LMICs. This evidence will provide institutional bodies with a better prospect to regulate and  
236 formulate measures on air pollution to prevent unfavorable health outcomes in these countries.

## 237 **Conclusions**

238 The findings from this review will contribute to the growing body of knowledge of the health  
239 effects of outdoor air pollution and may hopefully be used to inform policymaking in LMICs-  
240 contributing to improving public health in these areas.

## 241 **Author Contributions**

242 **Conceptualization:** Achenyo Peace Abbah, Shanshan Xu, Ane Johannessen

243 **Methodology:** Achenyo Peace Abbah, Shanshan Xu, Ane Johannessen

244 **Supervision:** Shanshan Xu, Ane Johannessen

245 **Writing – original draft:** Achenyo Peace Abbah

246 **Writing- review & editing:** Achenyo Peace Abbah, Shanshan Xu, Ane Johannessen

## 247 **Acknowledgements**

248 We would like to acknowledge the contribution of Elisabeth Ebner for her assistance with the  
249 electronic pilot search strategy.

## 250 **Supporting information**

251 **S1 Table. Completed PRISMA-P Checklist.** Preferred Reporting Items for Systematic review  
252 and Meta-Analysis Protocols 2015 checklist: recommended items to address in a systematic review  
253 protocol.

254 **S2 Table. Search strategy**

255 **S1 Fig. PRISMA flowchart of the study identification and selection process**

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## Appendix 7. Supporting information for systematic review protocol

**S1 Table. Completed PRISMA-P Checklist.** Preferred Reporting Items for Systematic review and Meta-Analysis Protocols 2015 checklist: recommended items to address in a systematic review protocol\*

Section and topic	Item No	Checklist item
<b>ADMINISTRATIVE INFORMATION</b>		
Title:		
Identification	1a	✓ Identify the report as a protocol of a systematic review
Update	1b	N/A If the protocol is for an update of a previous systematic review, identify as such
Registration	2	✓ If registered, provide the name of the registry (such as PROSPERO) and registration number
Authors:		
Contact	3a	✓ Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author
Contributions	3b	✓ Describe contributions of protocol authors and identify the guarantor of the review
Amendments	4	N/A If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments
Support:		
Sources	5a	N/A Indicate sources of financial or other support for the review
Sponsor	5b	N/A Provide name for the review funder and/or sponsor
Role of sponsor or funder	5c	N/A Describe roles of funder(s), sponsor(s), and/or institution(s), if any, in developing the protocol
<b>INTRODUCTION</b>		
Rationale	6	✓ Describe the rationale for the review in the context of what is already known
Objectives	7	✓ Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)
<b>METHODS</b>		
Eligibility criteria	8	✓ Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review
Information sources	9	✓ Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage
Search strategy	10	✓ Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated

Study records:			
Data management	11a	✓	Describe the mechanism(s) that will be used to manage records and data throughout the review
Selection process	11b	✓	State the process that will be used for selecting studies (such as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)
Data collection process	11c	✓	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators
Data items	12	✓	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications
Outcomes and prioritization	13	✓	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale
Risk of bias in individual studies	14	✓	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis
Data synthesis	15a	✓	Describe criteria under which study data will be quantitatively synthesised
	15b	✓	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as $I^2$ , Kendall's $\tau$ )
	15c	✓	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)
	15d	✓	If quantitative synthesis is not appropriate, describe the type of summary planned
Meta-bias(es)	16	✓	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)
Confidence in cumulative evidence	17	✓	Describe how the strength of the body of evidence will be assessed (such as GRADE)

**\* It is strongly recommended that this checklist be read in conjunction with the PRISMA-P Explanation and Elaboration (cite when available) for important clarification on the items. Amendments to a review protocol should be tracked and dated. The copyright for PRISMA-P (including checklist) is held by the PRISMA-P Group and is distributed under a Creative Commons Attribution Licence 4.0.**

*From: Shamseer L, Moher D, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart L, PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015: elaboration and explanation. BMJ. 2015 Jan 2;349(jan02 1):g7647.*

## S2 Table. Search strategies

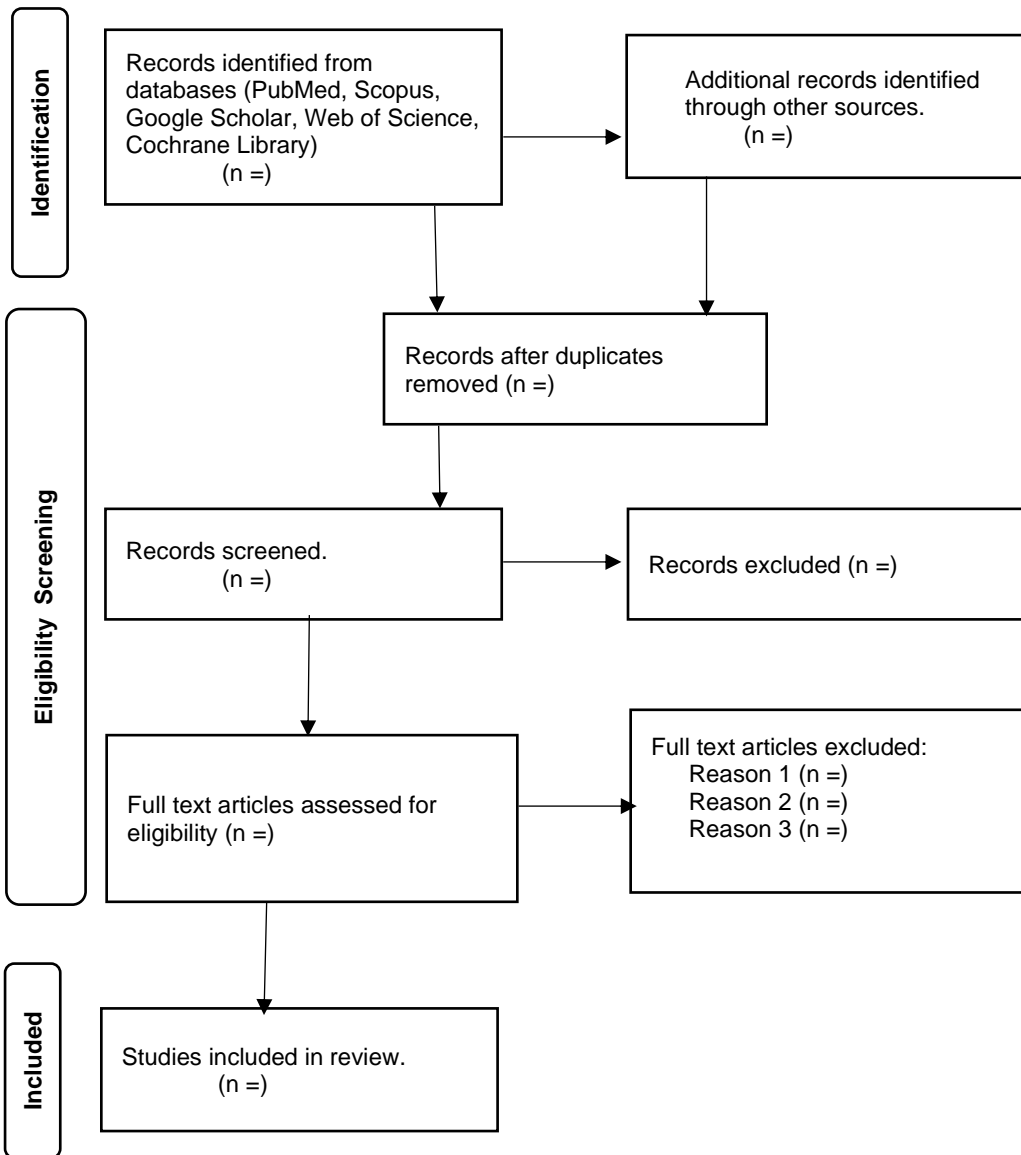
### Embase Ovid

- 1 Air Pollution/
- 2 smoke/ or soot/
- 3 Vehicle Emissions/
- 4 exp Air Pollutants/
- 5 Ozone/
- 6 Nitrogen Dioxide/
- 7 ("air quality" or "air toxic\*" or (Air and (pollut\* or emission\* or exhaust or lead or diesel or particulate or particle or "elemental carbon" or "black carbon" or "carbon monoxide" or "nitrous oxide" or "oxides of nitrogen" or "nitrogen dioxide" or "sulfur dioxide" or ozone or lead or traffic or vehicle or road or bushfire or bush-fire or wildfire or wild-fire or "controlled burn" or smoke or "wood burn\*" or "wood heat\*" or fire-place or fireplace or chimney or stack or tunnel or "vent stack" or "light scatter\*" or "back scatter\*" or visibility or nephelometer or "coal dust" or "coal burn\*")))).ti,ab,kw.
- 8 1 or 2 or 3 or 4 or 5 or 6 or 7
- 9 (ambient or outdoor\*).ti,ab,kw.
- 10 8 and 9
- 11 Asthma/
- 12 Respiratory Sounds/
- 13 (Asthma\* or dyspnea\* or dyspnoea\* or "shortness of breath" or "breath shortness" or breathlessness or "respiratory sound\*" or "breathing sound\*" or "lung sound\*" or wheez\*).ti,ab,kw.
- 14 11 or 12 or 13
- 15 10 and 14
- 16 (afghanistan or albania or algeria or american samoa or angola or "antigua and barbuda" or antigua or barbuda or argentina or armenia or armenian or aruba or azerbaijan or bahrain or bangladesh or barbados or republic of belarus or belarus or byelarus or belorussia or byelorussian or belize or british honduras or benin or dahomey or bhutan or bolivia or "bosnia and herzegovina" or bosnia or herzegovina or botswana or bechuanaland or brazil or brasil or bulgaria or burkina faso or burkina fasso or upper volta or burundi or urundi or cabo verde or cape verde or cambodia or kampuchea or khmer republic or cameroon or cameron or cameroun or central african republic or ubangi shari or chad or chile or china or colombia or comoros or comoro islands or iles comores or mayotte or democratic republic of the congo or democratic republic congo or congo or zaire or costa rica or "cote d'ivoire" or "cote d'ivoire" or cote divoire or cote d ivoire or ivory coast or croatia or cuba or cyprus or czech

republic or czechoslovakia or djibouti or french somaliland or dominica or dominican republic or ecuador or egypt or united arab republic or el salvador or equatorial guinea or spanish guinea or eritrea or estonia or eswatini or swaziland or ethiopia or fiji or gabon or gabonese republic or gambia or "georgia (republic)" or georgian or ghana or gold coast or gibraltar or greece or grenada or guam or guatemala or guinea or guinea bissau or guyana or british guiana or haiti or hispaniola or honduras or hungary or india or indonesia or timor or iran or iraq or isle of man or jamaica or jordan or kazakhstan or kazakh or kenya or "democratic people's republic of korea" or republic of korea or north korea or south korea or korea or kosovo or kyrgyzstan or kirghizia or kirgizstan or kyrgyz republic or kirghiz or laos or lao pdr or "lao people's democratic republic" or latvia or lebanon or lebanese republic or lesotho or basutoland or liberia or libya or libyan arab jamahiriya or lithuania or macau or macao or republic of north macedonia or macedonia or madagascar or malagasy republic or malawi or nyasaland or malaysia or malay federation or malaya federation or maldives or indian ocean islands or indian ocean or mali or malta or micronesia or federated states of micronesia or kiribati or marshall islands or nauru or northern mariana islands or palau or tuvalu or mauritania or mauritius or mexico or moldova or moldovian or mongolia or montenegro or morocco or ifni or mozambique or portuguese east africa or myanmar or burma or namibia or nepal or netherlands antilles or nicaragua or niger or nigeria or oman or muscat or pakistan or panama or papua new guinea or new guinea or paraguay or peru or philippines or philippines or philippines or philippines or poland or "polish people's republic" or portugal or portuguese republic or puerto rico or romania or russia or russian federation or ussr or soviet union or union of soviet socialist republics or rwanda or ruanda or samoa or pacific islands or polynesia or samoan islands or navigator island or navigator islands or "sao tome and principe" or saudi arabia or senegal or serbia or seychelles or sierra leone or slovakia or slovak republic or slovenia or melanesia or solomon island or solomon islands or norfolk island or norfolk islands or somalia or south africa or south sudan or sri lanka or ceylon or "saint kitts and nevis" or "st. kitts and nevis" or saint lucia or "st. lucia" or "saint vincent and the grenadines" or saint vincent or "st. vincent" or grenadines or sudan or suriname or surinam or dutch guiana or netherlands guiana or syria or syrian arab republic or tajikistan or tadjikistan or tadjhikistan or tadjhik or tanzania or tanganyika or thailand or siam or timor leste or east timor or togo or togolese republic or tonga or "trinidad and tobago" or trinidad or tobago or tunisia or turkey or turkmenistan or turkmen or uganda or ukraine or uruguay or uzbekistan or uzbek or vanuatu or new hebrides or venezuela or vietnam or viet nam or middle east or west bank or gaza or palestine or yemen or yugoslavia or zambia or zimbabwe or northern rhodesia or global south or africa south of the sahara or sub-saharan africa or subsaharan africa or africa, central or central africa or africa, northern or north africa or northern africa or magreb or maghrib or sahara or africa, southern or southern africa or africa, eastern or east africa or eastern africa or africa, western or west africa or western africa or west indies or indian ocean islands or caribbean or central america or latin america or "south and central america" or south america or asia, central or central asia or asia, northern or north asia or northern asia or asia, southeastern or southeastern asia or south eastern asia or southeast asia or south east asia or asia, western or western asia or europe, eastern or east europe or eastern europe or developing country or developing countries or developing nation? or developing population? or developing world or less developed countr\* or less developed nation? or less developed population? or less developed world or lesser developed countr\* or lesser developed nation? or lesser developed population? or lesser developed world or under developed countr\* or under developed nation? or under developed population? or under developed world or underdeveloped countr\* or underdeveloped nation? or underdeveloped population? or underdeveloped world or middle income countr\* or middle income

nation? or middle income population? or low income countr\* or low income nation? or low income population? or lower income countr\* or lower income nation? or lower income population? or underserved countr\* or underserved nation? or underserved population? or underserved world or under served countr\* or under served nation? or under served population? or under served world or deprived countr\* or deprived nation? or deprived population? or deprived world or poor countr\* or poor nation? or poor population? or poor world or poorer countr\* or poorer nation? or poorer population? or poorer world or developing econom\* or less developed econom\* or lesser developed econom\* or under developed econom\* or underdeveloped econom\* or middle income econom\* or low income econom\* or lower income econom\* or low gdp or low gnp or low gross domestic or low gross national or lower gdp or lower gnp or lower gross domestic or lower gross national or lmic or lmics or third world or lami countr\* or transitional countr\* or emerging economies or emerging nation?).ti,ab,sh,kf.

17 15 and 16



**S1 Fig. PRISMA flowchart of the study identification and selection process**



## **Appendix 8. Response letter to Reviewers' comments**

Dear PLOS ONE academic editor Dr Haruna Musa Moda and reviewers,

Thank you for giving us the opportunity to submit a revised draft of our manuscript titled “Long-term health effects of outdoor air pollution on asthma and respiratory symptoms: a systematic review and meta-analysis” to *PLOS ONE*. We appreciate the time and effort that you have dedicated to providing your valuable feedback on our manuscript. We have carefully revised the manuscript according to the reviewers' insightful comments and have provided point-by-point responses as follows, and we have highlighted the changes within the manuscript. We greatly appreciate the Reviewers' comments, they enabled us to improve the quality of our paper substantially.

### **Journal Requirements:**

When submitting your revision, we need you to address these additional requirements.

1. Please ensure that your manuscript meets PLOS ONE's style requirements, including those for file naming. The PLOS ONE style templates can be found at [https://journals.plos.org/plosone/s/file?id=wjVg/PLOSONe\\_formatting\\_sample\\_main\\_body.pdf](https://journals.plos.org/plosone/s/file?id=wjVg/PLOSONe_formatting_sample_main_body.pdf) and [https://journals.plos.org/plosone/s/file?id=ba62/PLOSONe\\_formatting\\_sample\\_title\\_authors\\_affiliations.pdf](https://journals.plos.org/plosone/s/file?id=ba62/PLOSONe_formatting_sample_title_authors_affiliations.pdf)

**Response:** We have adhered to the PLOS ONE's style requirements in the revised version of the manuscript

2. We note that the original protocol file you uploaded contains a confidentiality notice indicating that the protocol may not be shared publicly or published. Please note, however, that the PLOS Editorial Policy requires that the original protocol be published alongside your manuscript in the event of acceptance. Please note that should your paper be accepted, all content including the protocol will be published under the Creative Commons Attribution (CC BY) 4.0 license, which means that it will be freely available online, and any third party is permitted to access, download, copy, distribute, and use these materials in any way, even commercially, with proper attribution.

**Response:** We have removed the confidentiality notice from the revised version of the manuscript and have added a statement that we will be able to publish this protocol under CC BY 4.0.

Therefore, we ask that you please seek permission from the study sponsor or body imposing the restriction on sharing this document to publish this protocol under CC BY 4.0 if your work is accepted. We kindly ask that you upload a formal statement signed by an institutional representative clarifying whether you will be able to comply with this policy. Additionally, please upload a clean copy of the protocol with the confidentiality notice (and any copyrighted institutional logos or signatures) removed.

3. We noticed you have some minor occurrences of overlapping text with the following previous publication(s), which needs to be addressed:

- <https://www.frontiersin.org/articles/10.3389/fped.2021.827507/full>

In your revision ensure you cite all your sources (including your own works), and quote or rephrase any duplicated text outside the methods section. A further consideration is dependent on these concerns being addressed.

**Response:** We have not seen the review article on global research on perinatal palliative care that you refer to before. Any similarity and overlapping text with this paper is therefore due to chance. We suspect there will be some degree of overlapping text between all systematic review papers within the medical field, as there are many formal requirements to this kind of paper needs to adhere to. Please let us know if we need to revise any sections of our text due to this coincidence, we will of course be happy to oblige.

### **Comments from Reviewers**

#### **Reviewer #1:**

**Comment 1:** *Make sure to accentuate the findings of the systematic review that relates to /answers the review question you stated in your protocol.*

**Response:** Thank you for pointing this out, we will indeed accentuate the findings relating to the review question in our systematic review and keep this protocol paper vivid in mind when performing the systematic review.

#### **Reviewer #2: Detailed Review**

The current manuscript details a study protocol for carrying out a systematic review of long-term exposure to outdoor air pollution and its links to asthma prevalence in low-and middle-income

countries (LMIC).

**General comment 1:** *The English language in the manuscript needs to be more polished*  
*e.g., 1 - Refer to lines 61 to 63. The wordings are not right*  
*e.g., 2 - Instead of using Dual et al., Orlenno et al., and so forth in the paper, rewrite these*  
*sentences to bring in more uniformity for the reader.*

**Response:** Thank you for pointing this out. We agree with this comment and have gone through the manuscript rephrasing wordings in better English where needed to improve the reader's understanding.

**e.g., 1:** We have corrected the wording. The change can for example be found on page 3, paragraph 3, and lines 63-64.

**e.g., 2-** We have rewritten the sentences by incorporating the names of the authors using in-text Vancouver referencing style all through the manuscript (writing, for example, Duan and co-workers instead of Duan et al. on page 3, paragraph 2, and lines 60).

**General comment 2:** *Certain sections should be rewritten more concisely*  
*E.g. – Section on “Assessment of certainty of evidence across studies”*  
*Here, once the author expands the GRADE approach and cites appropriately, there is no need to*  
*write in detail and define it. One of the important purposes of citing/quoting references is to refer*  
*the reader to sources that can provide more information on the context being discussed and avoid*  
*detailing the concepts or procedures again.*

**Response:** Agree. We have, accordingly, revised this sentence and we have deleted the detailed description. We have made the changes on page 11, lines 235-237.

**Major comment 1:** *Lines 84-85 – Mentions systematic overview from LMICs is lacking. This definitely is an understatement as recent systematic reviews and meta-analyses are available on this topic (<https://doi.org/10.1016/j.envres.2022.114604>; <https://doi.org/10.1016/j.atmosenv.2021.118422>).*

*Hence, the introduction needs to be rewritten with a better emphasis on the need for the current proposed review and how it is different/unique from other existing reviews on the topic.*

**Response:** We thank the reviewers for the two review papers' recommendations. Our statement about how systematic overviews from LMICs are lacking refers to overviews on air pollution and asthma in adults. We agree this should be more clearly specified and have rewritten the Introduction accordingly. We have also included the two suggested references (page 4, lines 89-93).

**Major comment 2:** *Lines 249-251 - The expected outcomes of the review are overstated. How are the authors linking a review of this kind to directly inform policymaking?*

**Response:** we agree the expected outcomes may have been worded a bit too ambitiously, and we have revised the manuscript accordingly. Although systematic review papers such as our planned paper are indeed suitable to inform policymaking- through summarizing large amounts of information, identifying positive and negative effects of various exposures, and identifying gaps in medical research- we do not have a direct link with the policymakers in LMICs and can therefore not assume that our paper will directly inform policymaking. We have kept the potential for

policymaking in the manuscript but have acknowledged that we cannot be certain that our paper will be used for this purpose (page 5, lines 93-98, page 12, lines 258-260, lines 262-264).

**Major comment 3:** *In their inclusion criteria, the authors mention “Long term” and “ $\geq 1$  year”. Typically, at least a period covering more than one annual cycle or with repetitive annual seasonal cycles are referred to as long term. Hence, use a different jargon or increase the exposure duration to “ $\geq 2$  years” if feasible.*

**Response:** Although we agree that more than one annual cycle is ideal for looking at long-term exposures, the purpose of this planned systematic review is to gather an overview of all long-term air pollution exposure papers focusing on asthma in adults in LMICs. To not miss out on any papers, we have chosen the definition of long-term exposure from the 2021 WHO Global air quality guidelines. In these guidelines, long-term exposure is defined as “a mean of one or several years” while short-term exposure is “measures over minutes to days”. We have specified the reason for our definition of long-term exposure in the revised manuscript (page 6, lines 128-129).

**Minor comment 1:** *Lines 36 to 42 – The historical statements given here are of little importance to the context. The authors should rewrite these in a better way leading the reader to the topic.*

**Response:** Thank you for pointing this out. We have, accordingly, changed the first paragraph in the introduction section for better understanding. This can be found on pages 2-3, lines 38-46.

**Minor comment 2:** *Lines 125-126 – “Outcomes that include asthma, respiratory symptoms” –*

*Such outcomes can also result from biological agents. So care should be taken while screening articles and this needs to be explicitly mentioned in the inclusion/exclusion criteria*

**Response:** Agree. Health outcomes that were identified in this systematic review are now explicitly mentioned in the inclusion/exclusion criteria. This can be found on page 6, lines 135-136.

**Minor comment 3:** *Introduce expanded forms of abbreviations before start using them (e.g., RoB)*

**Response:** We agree with this and have incorporated your suggestion throughout the manuscript. The expanded form of RoB can be found on page 11, and line 242.

**Minor comment 4:** *Please check for the appropriate use of subscripts and postscripts throughout the manuscript including the reference section.*

**Response:** We agree with this and have incorporated your suggestion throughout the manuscript. These changes can for example be found on page 13, lines 325, 350-355.

**Minor comment 5:** *The authors assume 18 years to be the universal adult age, and this is not true even within some LMIC countries. So, it's better to write it explicitly as 18 years through the manuscript in place of saying "adults"*

**Response:** We thank the reviewers for pointing this out. We have explicitly stated that we mean 18 years or above when referring to adults throughout the revised manuscript. These changes can for example be found on page 5, lines 106-107.