



## Risk Factors for Neural-Tube Defects Detected in Utero: A Prospective Community-Based Study from Addis Ababa

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■ **BACKGROUND:** A recent community-based study from Addis Ababa identifying Neural Tube Defect (NTD) cases by ultrasound examination of pregnant women showed a higher prevalence of 17 per 1000 fetuses. The risk factors behind the high prevalence remain unclear.

■ **METHODS:** Altogether 891 of the 958 women participated in the ultrasound examination. Thirteen with unaffected twin pregnancies were excluded. Among 878 singleton pregnancies, 15 NTD cases were identified. Serum Folate, vitamin B12, and homocysteine levels were measured in case-mothers and a sub-set of 28 noncase mothers. Because of the modest sample size, exact logistic regression analysis was used to estimate associations between risk factors and NTDs.

■ **RESULTS:** Serum vitamin status was generally poor for participants in the study. Still, relatively higher values of folate or vitamin B12 in serum, appeared to be protective for NTD (odds ratio [OR] = 0.61 per ng/ml, 95% Confidence interval [CI]: 0.42–0.85 and OR = 0.67 per 100 pg/ml, 95% CI: 0.41–1.02, respectively). High serum homocysteine was associated with higher risk of NTD (OR = 1.3 per  $\mu\text{mol/l}$ , 95% CI: 1.02–1.8). Women aged 30 years or more had an OR of 3.5 (95% CI: 1.1–12) for having a NTD child, and families with NTD children had lower household income. Women in the NTD group also had more spontaneous abortions or

stillbirths in previous pregnancies. Self-reported intake of folate did not appear to protect against NTDs.

■ **CONCLUSIONS:** Within this high-prevalence community, poor vitamin status was identified as a risk factor for NTDs detected at ultrasound examination. Improving food security and fortification of foods or food ingredients could be alternative measures.

### BACKGROUND

A report from the World Health Organization<sup>1</sup> suggests that low- and middle-income countries (LMICs) contribute to 94% of all birth defects and 95% of deaths from birth defects globally. Neural tube defects (NTDs) are the second most common category of birth defects after cardiac anomalies.<sup>1,2</sup> NTDs develop around the 28th gestational day due to the failure of neurulation or alterations in the morphogenesis or histogenesis of the nervous tissue.<sup>3</sup> Surgery for NTD and hydrocephalus are the most commonly performed neurosurgical procedures in Ethiopia.<sup>4</sup> In a recent retrospective study, investigating the outcomes of surgically treated NTDs in Addis Ababa, 26.1% had immediate wound complications, and the mortality at four years of age was 41% in this patient group.<sup>4</sup> In addition, most of surviving children had lifelong disabilities.<sup>5</sup> In a prospective study 38% of the patients were readmitted and 55% had wound

### Key words

- Community-based prospective study
- High prevalence
- Intrauterine NTD
- Neural tube defects

### Abbreviations and Acronyms

**NTDs:** Neural tube defects  
**CI:** Confidence interval  
**ANC:** Antenatal care  
**OR:** Odds ratio  
**5MTHF:** 5-Methyltetrahydrofolate

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related complications. Hydrocephalus (80%) and open defects (88%) were identified as risk factors for readmission. Hydrocephalus and younger age were significantly associated mortality.<sup>6</sup>

Several studies have reported a high prevalence of NTD in Ethiopia<sup>7-10</sup>; a recent prospective community-based ultrasound prevalence study in Addis Ababa estimated a prevalence of 17 per 1000 (95% CI: 10–27).<sup>11</sup> It is not clear which risk factors that contribute to this high prevalence. Folate deficiency, other nutritional factors, genetic factors, women's age during pregnancy, spontaneous abortions or stillbirth in previous pregnancy, having a child or a sibling with NTD, intake of certain drugs during pregnancy, maternal comorbidities, and low socioeconomic status are all suggested risk factors for NTDs.<sup>12-18</sup>

Folate supplementation in the first trimester reduces NTD development.<sup>19</sup> In Ethiopia, however, there is no national strategy to ensure early folate administration to pregnant women.<sup>20</sup> Iron/folate supplements are usually given to pregnant women in antenatal care (ANC) clinics, but not until the second trimester.<sup>21,22</sup> Consequently, this inadequate folate intake does not reduce NTD prevalence.<sup>14,16</sup>

Low socioeconomic status is prevalent among the Ethiopian population and nutritional deficiencies are common.<sup>15</sup> It is likely that dietary issues are related to the prevalence of NTDs, and that fortification of foods with folate may prevent NTDs and stillbirths in Ethiopia.<sup>23-25</sup>

Aneuploidy of meiotic origin increases dramatically with maternal age.<sup>26</sup> Thus, the risk for spontaneous abortions or carrying a child with congenital malformations increases accordingly. A meta-analysis showed that African women older than 30 years are at 1.5 times higher risk of having a baby with NTD.<sup>14</sup> Another Spanish meta-analysis showed maternal age above 40 years and below 19 years as a risk factor<sup>17</sup> and a maternal cohort from England also showed increased risk with increasing maternal age.<sup>18</sup>

As summarized here, these risk factors are known or have been discussed to be of importance for NTD development. However, it is not known for us whether these factors contribute to the higher prevalence of NTDs we have in our specific population in Ethiopia.

In addition, most of the studies attempting to identify risk factors for NTDs in Ethiopia are hospital-based and not likely to be representative for the general population. According to the 2016 National Ethiopian Demographic and Health Survey, only 29% of births occurred in health facilities.<sup>21</sup> In this study, we attempt to identify and verify maternal risk factors related to NTDs detected by ultrasound in a geographically defined population with a particularly high prevalence in Addis Ababa, Ethiopia.

## METHODS

### Study Population and NTD Screening

To obtain a representative sample, we included pregnant women from health centres in Addis Ababa according to a previously published method.<sup>11</sup>

We invited pregnant women at gestational age 9–22 weeks into the study from first of October 2018 to 30<sup>th</sup> of April 2019 when they came for routine ANC follow-up. Consenting women signed a

written form. Illiterate women gave their oral consent, witnessed by another person.

Health professionals interviewed all the participants at the health centre by using standardized forms. (See appendix for details).

The women were invited to an obstetric ultrasound examination for NTDs screening at the university hospital in Addis Ababa 1 to 2 weeks later. Final year radiology residents under supervision by consultant radiologists did the examinations. Neural-tube defects were defined as a cystic swelling behind the yolk sac at or above 9 weeks of gestation age. For the rest of the pregnancy, the diagnosis was made when there was a spinal defect in continuity.

### Patient and Public Involvement

The project plan was submitted to and communicated with the Ministry of Health in Ethiopia. Furthermore, the project plan was presented at a meeting of the Ethiopian Neurosurgical Society, where representatives of parents with children affected by NTDs provided valuable input on the study plan. As data collection commenced in 2019, the neurosurgical society organized a dedicated conference on NTDs, with active participation from parents through their formal association.

### Biochemical Markers

A laboratory technician took one sample of 3–5 ml venous blood from all women carrying a foetus with NTD and from 28 women carrying foetuses assessed as normal by US. Samples were centrifuged at room temperature and stored at –80°C in the core lab of Black Lion Hospital. Folate, vitamin B12, and homocysteine serum levels were analysed by enzyme-linked immunosorbent assay (ELISA). The analyses were conducted at the Immunology laboratory of the Armauer Hansen Research Institute in Addis Ababa.

For description and tabulation, folate levels were classified into 3 categories: 1. Deficiency (<3 ng/ml); 2. Possible deficiency (3–5.99 ng/ml); and 3. Normal (6–20 ng/ml) (23). Levels of vitamin B12 were classified into 3 categories: 1. Severe deficiency (<150 pg/ml); 2. Moderate deficiency (150–200 pg/ml), and 3. Normal (>201pg/ml) (24). Homocysteine concentrations of <12 μmol/l are considered optimal, 12–15 μmol/l as borderline, and >15–30 μmol/l are considered as moderate hyperhomocysteinemia (25).

### Statistical Analysis

Data entry and descriptive analyses were conducted using Statistical Package for Social Science version 21 (IBM Corporation, Armonk, New York, USA). Regression analyses were performed using StataCorp, College Station, Texas 77,845, USA v.17.

Since the sample size was modest, we decided to use exact logistic regression to estimate the odds ratio (OR) of the association between each risk factor and NTDs. All estimates (except for maternal age) were adjusted for maternal age (≥30, yes/no). Precision of the OR estimates is represented by 95% confidence intervals. Since the outcome (NTD) was relatively rare, the ORs are good approximations of relative risks. Continuous variables were kept continuous in our analyses to retain statistical power.

### Ethical Approval

Ethical approval was given from IRB of Addis Ababa Health Bureau (Ref. no. Addis Ababa Health bureau 7029/227) and college of Health Science, Addis Ababa University (Protocol no. 088/17/Surg) and the Regional Committee for Medical and Health Research Ethics, Norway (REK Vest, project no. 103230).

### RESULTS

Altogether 958 pregnant women consented and were enrolled. Of these, 891 (93%) came for ultrasound examination. Among 891 women, 13 had twin pregnancy. We identified 15 NTD cases among 904 fetuses, corresponding to an ultrasound-based prevalence of 166 per 10,000.<sup>11</sup>

In comparing the cohort of 15 women with NTD fetuses to their counterparts, the former exhibited higher age (53% of the women were age 30 or above) and a greater history of spontaneous abortions (20% of the pregnant women with NTD fetuses had spontaneous abortion), as indicated in **Table 1**. Importantly, none of the women in the NTD group had a prior occurrence of NTD in their offspring. Notably, a majority (53%) of women in the NTD group had completed only primary school; however, no significant educational differences were observed between the groups. Similarly, small variations were noted in the categories of occupation. Additionally, the mean family income was lower for the NTD group (2675 ETB in the NTD group vs. 4734 ETB in the non-NTD group).

A higher proportion (73%) of women in the NTD-group reported to have taken iron/folate supplementation during the pregnancy. However, women in both groups typically reported to start their folate intake around 2 months after they became pregnant. The dose of folate that was reported to be taken routinely was 87 mg of iron with 300 µg of folic acid daily. Only a couple of women in the NTD-group had comorbidities or used medication like anti-convulsant or deworming drugs for intestinal parasites in the NTD group (**Table 2**).

We then analysed serum folate, vitamin B12 and homocysteine levels in the 15 pregnant women in the NTD group and in 28 control-women from the non-NTD group. The distribution of levels of these measurements is shown in **Table 3**. Vitamin status was generally poor for participants in the study. Within the measured range, we still found higher values of folate to be protective for NTD (OR=0.61 per ng/ml, 95% CI: 0.42–0.85) (**Table 4**). A similar association was seen for vitamin B12 (OR=0.67 per 100 pg/ml, 95% CI: 0.41–1.02). All 4 women with Vitamin B12 deficiency in the NTD group, and 3 out of 7 women with Vitamin B12 deficiency in the non-NTD group, had additional folate deficiency. High serum homocysteine level was associated with higher risk of NTD (OR=1.3 per µmol/l, 95% CI: 1.02–1.8). It should also be noted that the 3 women in the NTD group with hyperhomocysteinemia all had normal serum folate and vitamin B12 levels.

Estimated ORs for associations between risk factors and NTD with 95% confidence intervals and P-values are presented in **Table 4**. These ORs may be interpreted as relative risks. Women aged 30 or more had a 3.5-fold risk of an NTD (95% CI: 1.1–12). A previous spontaneous abortion doubled the NTD risk, but the confidence interval of the OR did not exclude 1. The analysis

suggested that higher family income reduced the risk, but again the confidence interval did not exclude 1. Taking a folate supplement had an apparent effect in the opposite direction than expected, but the confidence interval included values consistent with an expected protection.

### DISCUSSION

In this prospective study from Addis Ababa, Ethiopia, we recruited and interviewed 956 women and were able to record results from an ultrasound examination of NTDs for 891 (93%) pregnant women. Among these 891 women, 13 had twin pregnancy. We identified 15 NTD cases among 904 fetuses, yielding an ultrasound-based prevalence of 166 per 10,000 (95% CI: 100–274).<sup>11</sup>

In this population, we found an increased risk of NTDs among babies for women aged 30 years or more. In general, the pregnant women in our study were younger in comparison with other studies.<sup>18,26</sup> The association with maternal age was stronger in our data than in previous Ethiopian studies,<sup>14–16</sup> but consistent with other reports from Africa. Another case-control study from Addis Ababa did not find an association between maternal age and risk of NTD, with a mean age in both the case and the control group of 26 years.<sup>8</sup> However, this study was hospital based, and the selection of women participating might differ from our present study and might be the reason for these different results.

Our study suggested that a higher proportion of women with previous spontaneous abortion had a foetus with an NTD, but the estimate had a wide confidence interval. Other studies have also reported previous abortions and perinatal or neonatal mortality as risk factors for NTD, but the findings are not consistent.<sup>8</sup>

The women in the NTDs group in our study appeared to have lower educational level compared to the non-NTD group, but we could not be sure that our OR estimate was different from 1. Lack of knowledge may be a risk factor for NTD in our study population, as this might be connected to dietary issues. In addition, the average monthly income was lower in the NTD group than in the non-NTD group. This supports that the NTD group has fewer resources than the others. These findings suggest that a lower socio-economic status may be a risk factor for NTD. Previous studies have also reported low socio-economic status as risk factors for NTD.<sup>14,16</sup> Low socio-economic status may be related to food insecurity and micronutrient deficiency in this population.

Studies have shown that folate deficiency is a preventable risk factor for development of NTD.<sup>19</sup> Guidelines recommend folate intake from preconception until 3 month after conception.<sup>27</sup> We did not find self-reported folate intake to contribute to less NTDs. However, the women in our study usually started to take the folate two months after they became pregnant, and the dosage of folate (<500 µg) intake was lower than what is recommended to prevent NTDs. Thus, the folate supplement may have been taken too late and at insufficient doses. This is a likely reason for the lacking relation between the reported folate supplementation and NTD in our study. Although estimated associations with risk factors from the interview are consistent with estimates from other studies, none of them are likely to explain the high prevalence in our study.

Folic acid is not the only nutrient that may increase the risk for NTDs if deficient.<sup>28</sup> Deficiency in vitamin B12 during pregnancy is

**Table 1.** Characteristics of 878 Singleton Pregnancies From Addis Ababa with Completed Ultrasound Examination in a Study of NTDs

|  | Fetus with NTD<br>(n = 15) | Unaffected<br>(n = 863) | Total<br>(n = 878) |
|--|----------------------------|-------------------------|--------------------|
| Maternal age                             |                            |                         |                    |
| < 30 years                               | 7 (47%)                    | 639 (74%)               | 646 (74%)          |
| ≥ 30 years                               | 8 (53%)                    | 208 (24%)               | 216 (25%)          |
| Missing                                  | 0                          | 16 (2%)                 | 16 (2%)            |
| Marital status                           |                            |                         |                    |
| Married                                  | 14 (93%)                   | 815 (94%)               | 829 (94%)          |
| Single                                   | 1 (7%)                     | 35 (4%)                 | 36 (4%)            |
| Divorce/separated/<br>widowed            | 0                          | 10 (1%)                 | 10 (1%)            |
| Missing                                  | 0                          | 3 (0.4%)                | 3 (0.4%)           |
| Outcome of previous pregnancy            |                            |                         |                    |
| Live birth                               | 6 (40.0%)                  | 397 (46%)               | 403 (46%)          |
| Still birth                              | 0 (0%)                     | 18 (2%)                 | 18 (2%)            |
| Spontaneous abortion                     | 3 (20%)                    | 95(11%)                 | 98 (11%)           |
| Induced abortion                         | 1 (7%)                     | 50 (6%)                 | 51 (6%)            |
| No previous pregnancy                    | 4 (27%)                    | 237 (27%)               | 241 (27%)          |
| Missing                                  | 1 (7%)                     | 66 (8%)                 | 67 (8%)            |
| Educational level                        |                            |                         |                    |
| Cannot read and write                    | 0                          | 87 (10%)                | 87 (10%)           |
| Can read and write                       | 1 (7%)                     | 64 (7%)                 | 65 (7%)            |
| Completed primary school                 | 8 (53%)                    | 290 (34%)               | 298 (34%)          |
| Completed secondary school               | 5 (33%)                    | 264 (31%)               | 269 (31%)          |
| Completed college                        | 1 (7%)                     | 156 (18%)               | 157 (18%)          |
| Missing                                  | 0                          | 2 (0.2%)                | 2 (0.2%)           |
| Maternal occupation                      |                            |                         |                    |
| Unemployed                               | 1 (7%)                     | 125 (14%)               | 126 (14%)          |
| Student                                  | 0                          | 9 (1%)                  | 9 (1%)             |
| House wife                               | 6 (40%)                    | 296 (34%)               | 302 (34%)          |
| House maid/local drink seller            | 0                          | 30 (3%)                 | 30 (3%)            |
| Civil servant/merchant/<br>self employed | 8 (53.3%)                  | 400 (46%)               | 408 (46%)          |
| Missing                                  | 0                          | 3 (0.4%)                | 3 (0.3%)           |
| Mean monthly household income (SD)       | 2675 ETB*<br>(1172)†       | 4734 ETB*<br>(6013)‡    |                    |
| Continues                                |                            |                         |                    |

**Table 1.** Continued

|  | Fetus with NTD<br>(n = 15) | Unaffected<br>(n = 863) | Total<br>(n = 878) |
|--|----------------------------|-------------------------|--------------------|
| Folate during pregnancy  |                            |                         |                    |
| Yes  | 11 (73%)                   | 472 (55%)               | 483 (55%)          |
| No   | 4 (27%)                    | 387 (45%)               | 391 (45%)          |
| Missing  | 0                          | 4 (0.5%)                | 4 (0.5%)           |
| NTD, neural tube defect.<br>*Ethiopian birr.<br>†Information was missing for 7 women.<br>‡Information was missing for 345. |                            |                         |                    |

also a known risk factor for NTDs. It is important in the formation of the amino acid methionine; which is important for neurulation and development of the nervous system.<sup>28</sup> Most reports have shown that vitamin B12 and folate deficiencies are associated, as conversion of homocysteine to methionine needs 5-methyltetrahydrofolate as a donor, which is a bioactive form of folate.<sup>28,29</sup>

Our study was supplemented with objective measurements of serum folate, B12 and homocysteine in case-mothers and a set of controls to enable estimation of general levels and potential associations with case-status. Vitamin status was generally poor for participants in the study, but even poorer for case-mothers. All 4 women with Vitamin B12 deficiency in the NTD group and 3 out of 7 women with Vitamin B12 deficiency in the non-NTD group also had folate deficiency. In addition, homocysteine, a hazardous metabolite, accumulates in the presence of folate deprivation, and elevated homocysteine levels have long been associated with cardiovascular disease and birth defects, such as NTDs.<sup>29</sup> We found only 3 women in the NTD group with hyperhomocysteinemia, and all 3 had normal serum folate and vitamin B12 levels.

A recent case-control study in pregnant women from 3 hospitals in Addis Ababa reported significantly lower median concentration of folate and vitamin B12 in the mothers with NTD children than controls, while homocysteine concentration was significantly higher in the cases than the controls. Similar to our study, they concluded that lower folate and vitamin B12, and higher homocysteine levels were associated with NTDs.<sup>30</sup>

Our study is unique by being prospective and community based and may provide less biased estimates for risk factors that are subject to recall bias or biased by selection. Our study also included collection of blood samples. A recently published systematic review and meta-analysis of previous retrospective hospital based studies of risk factors of NTDs from Ethiopia included 61,064 participants from 42 studies.<sup>31</sup> Similar to our study, this study report strong association between maternal age ≥35 (AOR 1.90, 95% CI [1.13–3.25]) and maternal low educational status (AOR 1.60, 95% CI [1.13–2.24]) with having NTDs.<sup>31</sup> In addition, lack of antenatal care (AOR 2.26, 95% CI [1.30–3.94]), preconception intake of folic acid (AOR 0.41, 95% CI [0.26–0.66]), having chronic medical illness (AOR 2.06, 95% CI [1.42–

**Table 2.** Health Conditions Among 878 Pregnant Women From Addis Ababa with Completed Ultrasound Examination

|   | Fetus with NTD (n = 15) | Unaffected (n = 863)     | Total (n = 878)        |
|---|-------------------------|--------------------------|------------------------|
| Maternal diseases (number affected)                     |                         |                          |                        |
| Diabetes mellitus                                       | 0                       | 10 (1%) (4 missing)      | 10 (1%) (4 missing)    |
| Hypertension  | 0 (7 missing)           | 37 (4%) (304 missing)    | 37 (4%) (311 missing)  |
| Febrile illness during pregnancy                        | 3 (20%)                 | 137 (15%) (12w. missing) | 131 (15%) (4 missing)  |
| Maternal drug intake during pregnancy (number affected) |                         |                          |                        |
| Anticonvulsant Drugs                                    | 0                       | 5 (1%) (5 missing)       | 5 (1%) 5 missing)      |
| Deworming medicine                                      | 1 (7%)                  | 243 (3%) (2 missing)     | 25 (3%) (2 missing)    |
| Methotrexate  | 1 (7%)                  | 31 (4%) (3 missing)      | 32 (4%) 3 missing)     |
| NTD History   |                         |                          |                        |
| NTD in previous pregnancy                               | 0 (8 missing)           | 3 (0.4%) (346 missing)   | 3 (0.3%) (354 missing) |
| Family member with NTD                                  | 0                       | 11 (1%) (32 missing)     | 11 (1%) (3 missing)    |

2.99]), drinking alcohol (AOR 2.70, 95% CI [1.89–3.85]), smoking cigarette (AOR 2.49, 95% CI [1.51–4.11]), chewing khat (AOR 3.30, 95% CI [1.88–5.80]), exposure to pesticides (AOR 3.87, 95% CI [2.63–5.71]), and residing in urban areas.

(AOR 0.75, 95% CI [0.58 to 0.97]) and family history of NTDs (AOR 2.51, 95% CI [1.36–4.62]) were found to risk factors associated with NTD cases.<sup>31</sup> While some risk factors were not within the scope of our study, we conducted an analysis of vitamin levels in blood samples. Our findings underscore the necessity for more comprehensive investigations into nutritional status.

Our data suggest that a low household income may be associated with NTDs, although the difference was not significant. Most women in our study reported that their household was suffering from some kind of food shortage. Encouraging people to eat food

enriched with folate as a possible preventive measure may therefore not be efficient in our population. For this reason, it has been argued that fortification of foods is a better option than supplementation in Ethiopia.<sup>25</sup>

### STRENGTH

Our study recruited pregnant women from the communities by contacting them at the early ANC-visits. This makes the results more relevant for a larger population of Addis Ababa and less affected by various selection mechanisms. The study was based on ultrasound examination of all pregnant women in the study. Since we include all NTD-cases found early in the pregnancies, our study is less affected by possible selection from spontaneous or induced abortions. Ultrasound examinations were performed at the university hospital and the blood analyses were made in a quality laboratory. The very high prevalence may represent an opportunity to find key risk factors in this population.

### LIMITATIONS

Although our study was designed to represent the communities of Addis Ababa, our sample was based on attendance at the health centers providing antenatal care and may be biased toward women with better knowledge about antenatal care and with concerns about complications during pregnancy. As recent reports show that less than half (32%) of pregnant women receive optimal antenatal care visits in Ethiopia.<sup>32</sup> In addition, we did not collect specific details on the number of antenatal care visits or their previous history. Retrieving compiled data retrospectively at the health center posed logistical challenges. However, it's worth noting that a substantial proportion of pregnant women in our study were in their first or early second trimester, suggesting that they likely had their first visit around the time of recruitment.

Despite the high prevalence, our sample size was modest for estimation of associations. Still, our community-based estimates will add to the growing evidence from multiple studies. Some of the women who were enrolled in the study (7%) did not come for ultrasound examination at the university hospital. These may in

**Table 3.** Serum Measurements of Folate, Vitamin B12, and Homocysteine for 15 Pregnant Ethiopian Women with Foetuses Affected by NTD and 28 Singleton Controls

| Serum level          | NTD Pregnancy<br>n = 15 | Control Pregnancy<br>n = 28 |
|----------------------|-------------------------|-----------------------------|
| Folate               |                         |                             |
| Deficiency           | 3 (20%)                 | 0                           |
| Possible deficiency  | 5 (33%)                 | 8 (29%)                     |
| Normal               | 7 (47%)                 | 20 (71%)                    |
| Vitamin B12          |                         |                             |
| Severe deficiency    | 1 (7%)                  | 2 (7%)                      |
| Moderate deficiency  | 3 (20%)                 | 5 (18%)                     |
| Normal               | 11 (73%)                | 21 (75%)                    |
| Homocysteine         |                         |                             |
| Hyperhomocysteinemia | 3 (20%)                 | 0                           |
| Borderline           | 7 (47%)                 | 8 (29%)                     |
| Normal               | 5 (33%)                 | 20 (71%)                    |

**Table 4.** Estimated Associations Between NTD and Potential Risk Factors Including Serum Measurements

| Risk Factors                                  | OR*  | 95% Confidence Interval | P Value |
|---|------|-------------------------|---------|
| Maternal age $\geq 30$ years                  | 3.5  | (1.1–12)                | 0.03    |
| Single, divorced, separated or widowed mother | 1.2  | (0.03–8.2)              | 0.99    |
| Previous spontaneous abortion†                | 2.5  | (0.41–12)               | 0.35    |
| Educational level (continuous by level)       | 1.0  | (0.66–1.7)              | 0.96    |
| Unemployed                                    | 0.46 | (0.01–3.1)              | 0.76    |
| Family income (continuous by 1000 ETB)        | 0.75 | (0.46–1.03)             | 0.12    |
| Took folate supplement                        | 2.4  | (0.71–10)               | 0.19    |
| Fever during pregnancy                        | 1.9  | (0.33–7.4)              | 0.53    |
| Serum measurements‡                           |      |                         |         |
| Serum folate (per ng/ml)                      | 0.61 | (0.42–0.85)             | 0.002   |
| Serum B12 (per 100 pg/ml)                     | 0.67 | (0.41–1.02)             | 0.06    |
| Serum homocysteine (per $\mu\text{mol/l}$ )   | 1.3  | (1.02–1.8)              | 0.03    |

\*Odds ratio estimates from exact logistic regression. Estimates are adjusted for maternal age ( $\geq 30$  years, yes/no).

†Based on 636 women with a previous pregnancy.

‡Analyses based on serum measurements in 15 case-pregnancies and 28 controls.

various ways be different from those who participated. The need for travel to get to the referral university hospital for obstetrics ultrasound examinations may for example have contributed to selection. There may also have been selection ahead of recruitment since not all pregnant women attend the health centers for antenatal care and since some women did not consent. Also, it was a weakness that we did not plan for blood samples from the start in this study. We cannot be sure that the control mothers are representative of all non-case mothers. Although the statistical power was limited by the number of cases, better planning might have given larger numbers of participants for the blood sample analyses and more precise estimates. We acknowledge the importance of achieving statistical significance and will enhance our statistical approach to ensure a more robust and conclusive analysis in future studies.

Identification of risk factors requires that there is adequate variation in risk factor levels within the study sample. The generally poor social conditions and poor nutritional status may partly explain the high prevalence of NTDs. These risk factors may still not show up as significant risk factors within the study if study participants are relatively similar. Moving forward, we recognize the importance of expanding our research to include a more extensive exploration of potential hidden risk factors within the community. This insight will guide future studies and contribute to a more comprehensive understanding of the factors influencing NTDs in our target population. This will involve a targeted investigation into factors unique to this demographic, supplementing our analysis of established risk factors.

## CONCLUSION

We attempted to find risk factors among women giving birth in a community with a remarkably high prevalence of NTDs. As expected, maternal age of 30 or above was a significant risk factor

for NTDs. Low socioeconomic status and low family income were associated with NTD, but with imprecise estimates. Food security and vitamin status appeared to be generally poor in the community, but higher serum-folate and serum B12 were still protective for NTD. Both dosage and timing of intake of folate supplements appeared to be inadequate. The results indicate a need for revision of current practice of folate supplementation, as well as the importance of integrating preconception counselling with obstetrics care in Ethiopia. However, improving the situation may require improvements in the food security and socioeconomic situation for the families. Fortification of foods or food ingredients used in all households is a measure that should be considered.

## CRedit AUTHORSHIP CONTRIBUTION STATEMENT

**Abenezer Tirsit:** Writing – review & editing, Writing – original draft, Validation, Methodology, Investigation, Data curation, Conceptualization. **Mahlet Yigaramu:** Writing – original draft, Supervision, Methodology, Investigation, Data curation, Conceptualization. **Daniel Zewdneh:** Methodology, Investigation, Conceptualization. **Winner Kucha:** Investigation, Data curation. **Seifu Hagos:** Methodology, Investigation, Data curation, Conceptualization. **Bilal Shikur:** Methodology, Data curation. **Tsegazeab Laeke:** Data curation. **Bente E. Moen:** Writing – original draft, Validation, Supervision, Methodology, Conceptualization. **Rolv T. Lie:** Writing – review & editing, Writing – original draft, Supervision, Methodology. **Morten Lund-Johansen:** Writing – review & editing, Writing – original draft, Validation, Supervision, Conceptualization. **Rupavathana Mahesparan:** Writing – review & editing, Writing – original draft, Supervision, Methodology, Investigation, Data curation, Conceptualization.

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## APPENDIX

**Supplementary Table 1.** This interview guide was used during examinations.....explain where and when it was used.

|  |  |
|--|--|
| Obstetric Ultrasound, 9–12 weeks   |  |
| No of intrauterine pregnancy   |  |
| Gestational age using crown-rump length (mm), (for each fetus if multiple) |  |
| Is there is any cystic mass besides the yolk sac?                          |  |
| Is trans cranial lunacy visible?   |  |
| Is the cisterna magna effaced?   |  |
| Nuchal translucency distance- (mm)   |  |
| Obstetric ultrasound between 18–22   |  |
| Gestational age (for each fetus if multiple)                               |  |
| Is the supra orbital skull vault formed?                                   |  |
| If no,   | Cerebral parenchyma absent Present but disorganized        |
| Is there is any spinal defect in continuity?                               |  |
| If yes, length of spinal defect (in terms of number of spine)              |  |
| If yes to 1608, describe the shape of frontal bone                         | Concave anterior or lemon shaped<br>Oval<br>Crescent shape |
| Cerebellar shape   |  |
| Herniation of cerebellar tonsil below foramen magnum:                      | Yes<br>No  |
| Is there is any spinal defect?   | Yes<br>No  |
| Is overlying skin  | Intact<br>Defective  |
| Level of defect  | Cervical<br>Thoracic<br>Thoracolumbar<br>Lumbar sacral     |
| Gestational age (for each fetus if multiple)                               |  |
| Is there is any overlying cystic mass in                                   |  |
| Form used at ultrasound for NTD examination.                               |  |