

1 **Effect of antenatal and infant micronutrient supplementation on middle childhood and**
2 **early adolescent development outcomes in Tanzania**

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26
27 **Running Title:** Micronutrient Supplementation and Development

31 **Abstract**

32

33 **Background:** There is growing evidence that nutritional interventions in the first 1,000 days of life may
34 influence long-term health and development outcomes. Few studies have examined the effect of maternal
35 and infant micronutrient supplementation on development outcomes in sub-Saharan Africa.

36 **Methods:** We conducted a follow-up study of two randomized trials of antenatal and infant micronutrient
37 supplementation conducted in Dar es Salaam, Tanzania. We assessed the effect of maternal multiple
38 micronutrient (MMN) supplementation in pregnancy on development of children at 11-14 years of age.
39 We also examined the effect of infant zinc and MMN supplementation on development at 6-8 years of
40 age. We use generalized linear models to assess standardized mean differences (SMDs) in general
41 intelligence, executive function and mental health scores.

42 **Results:** We followed-up 446 children whose mothers were enrolled in the maternal MMN
43 supplementation trial and 365 children who were enrolled in the infant zinc and MMN supplementation
44 trial. We found no effect of maternal MMN supplementation on general intelligence (SMD: -0.03; 95%
45 CI: -0.15, 0.09), executive function (SMD: 0.00; 95% CI: -0.11, 0.11) and mental health scores (SMD:
46 0.06; 95% CI: -0.10, 0.22). We also found no effect of either infant zinc or MMN supplementation on
47 any of the three development domains (p-values >0.05).

48 **Conclusions:** We found that antenatal MMN supplementation and infant zinc and MMN supplementation
49 did not have a large effect on development outcomes in middle childhood and early adolescence in
50 Tanzania.

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57 **Introduction**

58 It is estimated that 250 million children under the age of 5 years in low- and middle-income
59 countries (LMICs) do not currently reach their full developmental potential (1). Suboptimal cognitive,
60 language, motor and socioemotional development in LMICs is likely related to a combination of poverty-
61 related biological, environmental, and psychosocial exposures (2). Developmental deficits during early
62 childhood may persist and have a range of consequences across the life course including poor schooling
63 achievement and reductions in lifetime earnings (3, 4). Therefore, interventions that promote early child
64 development in LMICs may produce significant individual and societal benefit.

65 The first 1,000 days of life (conception through 2 years of age) represents a critical window of
66 child growth and brain development. There is a relatively large body of observational evidence linking
67 low birth weight and linear growth faltering with suboptimal cognitive and motor development (2, 5).
68 Observational studies have also linked nutritional intake and status during pregnancy with early childhood
69 with later developmental outcomes (6, 7). However, evidence on the effect of nutrition interventions
70 during the first 1,000 days of life on short- and long-term development outcomes in LMICs is much more
71 limited. Long-term follow-up studies of randomized trials of prenatal (maternal) multiple micronutrient
72 (MMN) supplements in pregnancy conducted in Indonesia, China, and Nepal have noted null or mixed
73 effects on development outcomes in middle childhood and early adolescence; however, there is some
74 indication that MMN may provide greater benefits for girls and children born to mothers who were
75 anemic in pregnancy (8-11). As for post-natal (infant) micronutrient supplementation, the most recent
76 Cochrane Review on zinc supplementation, that included no trials from sub-Saharan Africa, found no
77 effect on mental and psychomotor development scores (12). As a result, the evidence on the effect of
78 maternal and infant micronutrient supplementation on development outcomes is mixed and is particularly
79 limited in the population of mothers and infants in sub-Saharan Africa.

80 We present a long-term follow-up study of two randomized trials of maternal and child
81 micronutrient supplementation conducted in Dar es Salaam, Tanzania. The first trial randomized HIV-
82 uninfected pregnant women to daily MMN supplementation or placebo; we assessed development

83 outcomes of their children at 11-14 years of age (13). The second trial examined the effect of child zinc
84 and MMN supplementation among HIV-uninfected infants; we followed-up these children at 6-8 years of
85 age (14). In this report we examined the effect of the randomized supplementation regimens in each trial
86 on general intelligence, executive function and on instruments reflecting mental health.

87

88 **Materials and Methods**

89 *Study Population*

90 The protocol for this long-term child development follow-up study has been fully detailed
91 elsewhere (15). Briefly, we enrolled children from two randomized, double-blind trials of micronutrient
92 supplementation conducted in Dar es Salaam, Tanzania: 1) a trial of maternal MMN supplementation in
93 pregnancy (NCT00197548) and 2) a trial of infant zinc and MMN supplementation (NCT00197548) (13,
94 14).

95 The maternal multivitamin supplementation trial began enrollment in August 2001 and completed
96 follow-up for the primary outcomes in February 2005 (13). The trial enrolled 8,428 HIV-uninfected
97 pregnant women at 12-28 weeks gestation. Pregnant women were randomized to either a daily MMN or
98 placebo regimen and were supplemented and followed up until 6 weeks postpartum. The MMN
99 supplements contained 20 mg of vitamin B1, 20 mg of vitamin B2, 25 mg of vitamin B6, 100 mg of
100 niacin, 50 µg of vitamin B12, 500 mg of vitamin C, 30 mg of vitamin E, and 0.8 mg of folic acid. These
101 amounts were twice the recommended dietary allowance (RDA) for vitamin E and 6 to nearly 20 times
102 the RDA for B vitamin complex and vitamin C (16). All participants received 60 mg iron and 0.25 mg
103 folic acid (IFA) as standard of care.

104 The infant micronutrient supplementation trial began enrollment in July 2007 and completed
105 follow-up for the primary outcomes in May 2011 (14). The trial enrolled 2,400 HIV-unexposed infants at
106 6 weeks of age and supplemented children to 18 months of age. Infants were randomized in a factorial
107 design to receive a daily oral dose of one of four trial regimens: 1) zinc, 2) MMN, 3) zinc + MMN, or 4)
108 placebo. Infants received one capsule per day from 6 weeks to 6 months of age and then two capsules per

109 day from 7 months of age to the end of follow-up at 18 months post-randomization. Infants in the zinc
110 group received capsules that contained 5 mg of zinc. Infants in the multivitamin group received capsules
111 that contained 60 mg of vitamin C, 8 mg of vitamin E, 0.5 mg of thiamine, 0.6 mg of riboflavin, 4 mg of
112 niacin, 0.6 mg of vitamin B-6, 130 mg of folate, and 1 mg of vitamin B12. These doses were between
113 150% and 600% of the RDA or Adequate Intake (AI) for children 0-6 months of age and 200–400% of
114 the RDA or AI for infants older than 6 months.

115

116 *Child Development Follow-up Procedures and Assessments*

117 The follow-up study was conducted from July 2015 – March 2017. All child participants of the
118 maternal supplementation and child supplementation trials were eligible for recruitment into the follow-
119 up study. Children of mothers who were enrolled in the maternal multivitamin supplementation trial were
120 11-14 years of age at the time of the follow-up study, while children enrolled in the infant zinc and
121 multivitamin supplementation trial were 6-8 years of age. Written informed consent was sought from
122 mothers or primary caregivers for all child participants; children were excluded from the study if the
123 mother or primary caregiver did not consent for participation.

124 A full description of the child development test battery used in the follow-up study has been
125 detailed elsewhere (15, 17). Briefly, we administered the East African Neurodevelopment Tools to
126 children in both trials (17); the tests included the Atlantis, hand movements, footsteps, story completion,
127 Kilifi Naming Test, Rey–Osterrieth complex figure (ROCF), go/no go test for sustained attention and
128 response control (NOGO), shift, people search, literacy and numeracy tests. In addition, the Koh’s Block
129 Design Test and Verbal Fluency tests were conducted as assessments of general intelligence. We also
130 administered the Strengths and Difficulties Questionnaire (SDQ) and the Behaviour Rating Inventory of
131 Executive Function (BRIEF) to assess mental health. The study staff who administered the development
132 assessment, the parents, and the children were blinded to their randomized trial group.

133 We assessed inter-rater reliability of each development test in a subgroup of 18 children by
134 having two interviewers assess the same child at the same time. We conducted these inter-rater reliability

135 tests regularly at one month intervals during the full period of data collection. Kappa coefficients were
136 used to capture the reliability of responses between interviewers for these 18 children and the results are
137 presented in Supplemental Table 1. There was high agreement between interviewers for all tests (kappa
138 coefficients >0.60), other than the Kilifi naming test (kappa coefficient: 0.42) and verbal fluency (kappa
139 coefficient: 0.47) which had moderate reliability.

140

141 *Statistical Methods*

142 All primary statistical analyses were based on the intention-to-treat principle and were performed
143 separately by maternal and infant supplementation trial. The development assessments were first grouped
144 into three domains: general intelligence (Atlantis, Footsteps, Hand movement, Kilifi naming test, Koh's
145 block design test, Story completion, and verbal fluency), executive function (Literacy, Numeracy, NOGO,
146 People search, ROCF copy, ROCF recall, and Shift), and mental health (BRIEF and SDQ). Individual
147 test scores were converted to z-scores and averaged to create a composite z-score for each of the three
148 domains. This analytic method was used to reduce the risk of Type I errors due to multiple testing and
149 has also been used by other studies (11). Prior to z-score conversion, all test scores were examined for
150 skewness and those with a skewness value above 1 or below -1 were log-transformed. If the log-
151 transformation reduced skewness, the log-transformed score was then converted to a z-score. All scores
152 for which a lower score indicated a better performance were also multiplied by -1 before z-score
153 conversion. All domain scores exceeding 5 standard deviations above or below the median were
154 excluded from the analysis of the domain.

155 Generalized linear models with robust variances were used to determine the effect of randomized
156 regimen on the general intelligence, executive function, and mental health development domain z-scores.
157 Standardized mean differences (SMDs) and their 95% confidence intervals are presented for each domain.
158 The primary analytic models were adjusted for child sex, age, and interviewer. As a sensitivity analysis,
159 we additionally adjusted for sociodemographic characteristics including baseline maternal education,
160 marital status, parity and household assets due to potential for imbalance by randomized regimen (even if

161 not statistically significant) and an independent relationship with development outcomes. We also
162 explored whether there was any difference in individual test scores of each domain by randomized
163 regimen using the non-parametric Kruskal–Wallis test. Due to evidence that the effect of maternal MMN
164 supplementation in pregnancy on child development scores may be modified by child sex and maternal
165 anemia status, we also present effect estimates for the maternal supplementation trial stratified by these
166 variables (9, 11). In order to examine the risk of selection bias, we also compared characteristics of
167 children and caregivers that were enrolled in the development follow-up study to those who did not
168 participate. Missing data for covariates were retained in the analysis using the missing indicator method.
169 All p-values were 2-sided and a p-value of less than 0.05 was considered statistically significant.
170 Statistical analyses were performed using the SAS v 9.4 (SAS Institute, Cary, NC).

171

172 **Results**

173 A total of 8,428 pregnant women and 2,400 infants were enrolled in the parent maternal and child
174 micronutrient supplementation trials, respectively. Figure 1 presents the participant flow for the main
175 trials and the child development follow-up study. In the maternal MMN supplementation trial a total of
176 7,828 infants were alive at the end of the main trial follow-up period at 6 weeks of age; we enrolled 446
177 children at 11-14 years of age in the follow-up study. In the child zinc and MMN supplementation trial,
178 2,355 infants were alive at the end of the main trial follow-up at 2 years of age; we enrolled 365 children
179 at 6-8 years of age in the follow-up study. We found that baseline characteristics between children
180 enrolled in the development follow-up study were relatively similar to those who were not enrolled in
181 both the maternal MMN and infant micronutrient supplementation trials (Supplemental Tables 2 and 3).
182 Table 1 presents characteristics of child development follow-up study participants stratified by maternal
183 and child supplementation trial. We examined potential imbalances in baseline characteristics by
184 randomized treatment arm in each trial separately and found no indication of major imbalance between
185 randomized arms in both trials (Supplemental Tables 4 and 5).

186

187 *Effect of maternal MMN supplementation on development outcomes*

188 The effect of maternal MMN supplementation on general intelligence, executive function and
189 mental health z-scores at 11-14 years old is presented in Table 2. We found no effect of maternal MMN
190 supplementation on any of the three development domains (p-values >0.05). In sensitivity analyses we
191 also found no effect of maternal MMN after multivariate adjustment (Supplemental Table 6) or on
192 individual test scores within the domains (Supplemental Table 7). In an exploratory analysis, we
193 examined the effect of MMN on development outcomes stratified by maternal anemia status at trial
194 enrollment in pregnancy and by child sex (Supplemental Table 8). There was some indication, although
195 not statistically significant, that there may be a greater effect of MMN on mental health among pregnant
196 women who were anemic at enrollment (SMD: 0.15; 95% CI: -0.07, 0.36) as compared to those who were
197 not anemic (SMD: 0.06; 95% CI: -0.29, 0.42) (p-value for effect modification: 0.24). There was no
198 indication that child sex modified the effect of MMN supplementation on development.

199

200 *Effect of infant zinc and MMN supplementation on development outcomes*

201 In the factorial designed infant supplementation trial, we found no evidence of interaction of
202 infant zinc and MMN supplementation on the three development domains and therefore we present the
203 study arms collapsed (p-values for interaction >0.05). We found no effect of infant zinc or MMN
204 supplementation any development domain at 6-8 years of age (Table 3). We also found no effect of zinc
205 or MMN supplementation on any development after multivariate adjustment (Supplemental Table 9) or
206 on individual tests within the three domains (Supplemental Table 10).

207

208 **Discussion**

209 In this long-term follow-up study, we found no significant effect of maternal MMN
210 supplementation in pregnancy on general intelligence, executive function or mental health of their
211 children at 11-14 years of age. Similarly, we found no effect of infant zinc and MMN supplementation on
212 these development domains at 6-8 years of age.

213 We did not identify an effect of maternal MMN supplementation on child development outcomes,
214 which is in-line with the overall null findings in other follow-up studies (9, 10); however, there is also
215 some evidence that MMN in pregnancy may provide child development benefits in some populations or
216 subgroups of children (8, 9, 11). In a follow-up study conducted in Indonesia, children whose mothers
217 received antenatal MMN supplementation scored a mean 0.11 standard deviations higher on procedural
218 memory tests at 9-12 years of age as compared to children of mothers who received iron-folic acid alone
219 (11). Additionally, this study found that children of anemic pregnant women who received MMN scored
220 0.18 standard deviations higher on general intelligence tests as compared to children of anemic pregnant
221 women who received iron-folic acid alone (11). In our study, we found some indication in study that
222 MMN may have a greater positive effect on mental health among children born to anemic mothers. This
223 evidence suggests that MMN may provide greater benefit for mothers who are undernourished in
224 pregnancy. Another recent study of maternal MMN in Nepal found no overall effect on child IQ at 12
225 years of age; however, girls whose mothers were randomized to MMN had significantly higher IQ (~3 IQ
226 points) than girls of mothers who were randomized to iron-folic acid alone (9). There is also evidence
227 that MMN supplementation in pregnancy may produce greater survival benefits for female as compared
228 to male infants (18). As a result, further research on differences in the response to micronutrient
229 supplementation in pregnancy by maternal nutritional status and sex are needed.

230 There are multiple mechanisms by which micronutrient supplements in pregnancy could
231 influence child development outcomes. There is evidence that some vitamins and minerals, like vitamin
232 B12, have a direct effect on brain development and function (19). A recent trial in India determined that
233 children of mothers who received vitamin B12 supplementation in pregnancy had significantly higher
234 scores on expressive language scores as compared to children of mothers who received placebo (20).
235 There are also many indirect pathways through which micronutrients may potentially provide benefit,
236 including increases in birthweight, reduction in risk of prematurity, and reductions in maternal and fetal
237 inflammation that may subsequently influence child development (18, 21). In the primary report of the
238 maternal supplementation trial, we found that antenatal MMN reduced the risk of low birth weight by

239 18%; low birth weight is a well-characterized predictor of suboptimal child cognitive development (13,
240 22, 23). Therefore, research is needed to determine which components of multivitamins may produce
241 positive effects and also their mechanisms of action.

242 Prophylactic zinc supplementation for infants 6-24 months of age has been shown to reduce
243 diarrhea incidence (24); however, the effect on child development outcomes remains equivocal (12).
244 Diarrhea during infancy has been negatively linked with cognitive development; however, the effect for
245 each additional diarrhea episode is suspected to be small (SMD <0.10) (25, 26). In the parent trial, we
246 determined that infant zinc supplementation starting at 6 weeks of age reduced the risk of diarrhea during
247 the 18-month follow-up period; however, in this follow-up study we found no significant effect on
248 development outcomes at 6-8 years of age (14). The most recent Cochrane review determined there was
249 no effect of infant zinc supplementation on mental development index (MDI) and psychomotor
250 development index (PDI) scores of the Bayley Scales of Infant Development, although due to the small
251 sample size the uncertainty in the estimates was large (12). As a result, larger studies of prophylactic zinc
252 supplementation and child development will be needed to identify an effect size that may be 0.10 SD or
253 less.

254 There is sparse evidence on the effect of infant MMN supplementation on development
255 outcomes. The most recent meta-analysis identified six infant MMN trials that suggested there may be
256 potential for benefit on mental development (SMD: 0.08; 95% CI: -0.01, 0.18), but the results were not
257 statistically significant (27). Nevertheless, there is growing evidence that infant vitamin B12
258 supplementation, which was a component of our MMN supplements, may produce positive cognitive and
259 motor effects. A recent randomized controlled trial of vitamin B12 and folic acid supplementation among
260 Indian children aged 6–30 months found that children provided with both vitamin B12 and folic acid had
261 better gross motor and problem solving functioning as compared to those who received placebo (27, 28).
262 Overall, there are significant research gaps on the role of individual and combined micronutrient
263 supplementation in development of infants and children.

264 There are a few limitations of this study. Foremost, we were only able to enroll 5% of the
265 maternal supplementation trial cohort and 15% of the infant supplementation trial cohort and therefore
266 our study is at risk of bias due to loss to follow-up. Although the measured baseline characteristics of
267 study participants who were enrolled in the development follow-up study tended to be similar to
268 participants who were not enrolled, we cannot empirically rule out the potential for selection bias. In
269 addition, due to the small sample size of the follow-up study cohorts, we had limited power (<20%) to
270 detect differences in child development that are likely for nutritional interventions in pregnancy and
271 infancy (~0.1 standard deviations) (27). In addition, the full battery of development assessments used in
272 our study has also not been directly validated for children in Tanzania and therefore there is a risk of non-
273 differential misclassification for individual test and domain scores that would bias estimates to the null.
274 As a result, studies examining the effect on objective measures of neuroanatomy and neurologic function
275 may produce different results (29, 30).

276 We found that antenatal MMN supplementation and infant zinc and MMN supplementation did
277 not have a large effect on general intelligence, executive function and mental health among Tanzanian
278 children in middle childhood and early adolescence; however, we cannot rule out small to moderate
279 beneficial or harmful effect. Integrated nutrition, environmental and stimulation interventions may
280 produce larger positive effects on development of children in LMICs.

281

282

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284

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295

296 **Conflict of Interest**

297 The authors declare no conflict of interest.

298

299

300 **Author Contributions**

301 WWF, CPD and KPM were the Principal Investigators of the parent trials. WWF, CPD, KPM, and RK
302 contributed to conduct of the parent trials. TAS, IK, MH WWF, CPD and KPM designed the
303 development follow-up study and obtained funding. KPM, RK, IK, MH, DCM, TAS, CPD, and WWF
304 were involved in the adaptation and training of the development tool assessments used in the follow-up
305 study. KPM and RK led field implementation of the follow-up study. CRS and AMD conducted the
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308

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314

315 **Supplementary Information**

316 Supplementary information is available at EJC�'s website

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Table 1. Maternal and child characteristics of development follow-up study participants for the maternal supplementation [n=446] and infant supplementation [n=365] trial cohorts

	Maternal Supplementation Trial [n=446]	Infant Supplementation Trial [n=365]
<i>Baseline maternal and socioeconomic characteristics</i>		
Age, years		
< 20	22 (4.9)	13 (3.6)
20 – 24	106 (23.8)	88 (24.4)
25 – 29	181 (40.6)	129 (35.7)
≥ 30	137 (30.7)	131 (36.3)
Education, years		
None / did not complete primary	37 (8.1)	6 (1.7)
Primary	298 (63.2)	238 (65.8)
Secondary	106 (22.0)	99 (27.4)
Post-secondary	30 (6.7)	19 (5.2)
Married or living with partner	409 (91.7)	331 (91.7)
Prior pregnancies		
None	49 (11.0)	97 (26.7)
1 – 4	375 (84.1)	256 (70.5)
≥ 4	22 (4.9)	10 (2.8)
Household possessions ¹		
None	17 (3.8)	134 (37.0)
1 - 3	257 (57.6)	196 (54.1)
≥ 4	172 (38.6)	32 (8.8)
<i>Child characteristics</i>		
Age at development assessment		
6-8	0 (0)	365 (100.0)
11-14	446 (100.0)	0 (0)
Sex		
Male	226 (50.7)	191 (52.3)
Female	220 (49.3)	174 (47.7)
Low birth weight (<2500g)	27 (6.1)	6 (1.6)
Preterm (<37 weeks gestation)	67 (15.1)	34 (10.6)

¹From a list that included a sofa, television, radio, refrigerator, and fan

Table 2. Effect of maternal multivitamin supplementation on general intelligence, executive function and mental health z-scores among children 11-14 years of age [n=446].

	General intelligence z-score (SD)	General intelligence SMD* (95% CI)	p-value	Executive function z-score (SD)	Executive function SMD* (95% CI)	p-value	Mental health z-score (SD)
Placebo [n=237]	0.00 (0.65)	Ref.		0.00 (0.60)	Ref.		-0.02 (0.87)
Multivitamins [n=209]	-0.01 (0.66)	-0.03 (-0.15, 0.09)	0.63	0.00 (0.58)	0.00 (-0.11, 0.11)	0.97	0.02 (0.89)

SMD = standardized mean difference

*Adjusted for child sex, age, and child development assessor

Table 3. Effect of infant zinc and multivitamin supplementation on general intelligence, executive function and mental health z-scores among children 6-8 years of age [n=365].

	General intelligence z-score (SD)	General intelligence SMD* (95% CI)	p-value	Executive function z-score (SD)	Executive function SMD* (95% CI)	p-value	Mental health z-score (SD)
No zinc [n=198]	0.00 (0.58)	Ref.		0.00 (0.59)	Ref.		-0.04 (0.90)
Zinc [n=167]	-0.01 (0.63)	0.02 (-0.09, 0.14)	0.71	0.00 (0.67)	0.03 (-0.10, 0.15)	0.69	0.04 (0.78)
No multivitamins [n=193]	0.00 (0.61)	Ref.		0.00 (0.51)	Ref.		-0.04 (0.78)
Multivitamins [n=172]	0.00 (0.59)	0.04 (-0.07, 0.16)	0.46	0.00 (0.64)	0.00 (-0.12, 0.13)	0.94	0.04 (0.93)

SMD = standardized mean difference

*Adjusted for child sex, age, and child development assessor

Supplemental Table 1. Inter-rater reliability by development assessment test (n=18)

Test	Kappa (95% CI)
Atlantis	1.00 (1.00, 1.00)
Footsteps	0.91 (0.83, 0.98)
Hand movements	0.87 (0.70, 1.00)
Kilifi naming test	0.42 (0.21, 0.64)
Koh's block design test	0.58 (0.36, 0.81)
Literacy	0.87 (0.70, 1.00)
Go/no go test for sustained attention and response control (NOGO)	1.00 (1.00, 1.00)
Numeracy	0.86 (0.68, 1.00)
People search	0.76 (0.56, 0.96)
Rey-Osterrieth complex figure copy	0.81 (0.61, 1.00)
Rey-Osterrieth complex figure recall	0.88 (0.72, 1.00)
Shift	0.88 (0.72, 1.00)
Story completion	0.94 (0.82, 1.00)
Verbal fluency	0.47 (0.23, 0.71)

Figure Legends

Figure 1. Flow chart of participation in child development follow-up study for maternal supplementation and child supplementation trial cohorts

Figure 1 Footnote. Maternal supplementation trial randomized pregnant women to receive multivitamins or placebo supplements from the second trimester of pregnancy to six week postpartum. Infant supplementation trial randomized infants to receive multivitamins and zinc, zinc only, multivitamins only, or placebo supplements from 6 weeks to 18 months of age.